

Termination Tools

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Contents: Proving (Non-)Termination Automatically

TRS category in termCOMP 2024:

tool/method	YES	NO
AProVE	1030	282
NaTT	876	169
MU-TERM	717	135
NTI	293	275
autonon	—	233

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Termination by Linear Interpretations

Well-Founded Monotone Algebras

Definition

let $\mathcal{A} = (A, \{f_{\mathcal{A}}\})$ be algebra equipped with strict order $>$

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- \mathcal{A} is **(strictly) monotone** if

$$a_i > b \implies f_{\mathcal{A}}(a_1, \dots, a_i, \dots, a_n) > f_{\mathcal{A}}(a_1, \dots, b, \dots, a_n)$$

for all $f^{(n)} \in \mathcal{F}$, $a_1, \dots, a_n, b \in A$, and $i \in \{1, \dots, n\}$

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- $s >_{\mathcal{A}} t$ if $[\alpha]_{\mathcal{A}}(s) > [\alpha]_{\mathcal{A}}(t)$ for all assignments $\alpha : \mathcal{V} \rightarrow A$

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Theorem (Lankford 1979, Zantema 1994)

$>_{\mathcal{A}}$ is reduction order if \mathcal{A} is well-founded monotone algebra

Termination Proof of Addition

consider TRS \mathcal{R} and well-founded monotone algebra \mathcal{A} on \mathbb{N} :

$$\mathcal{R} = \left\{ \begin{array}{l} \mathbf{a}(x, \mathbf{o}) \rightarrow x \\ \mathbf{a}(x, \mathbf{s}(y)) \rightarrow \mathbf{s}(\mathbf{a}(x, y)) \end{array} \right\}$$

$$\mathcal{A}: \left\{ \begin{array}{l} \mathbf{o}_{\mathcal{A}} = 1 \\ \mathbf{s}_{\mathcal{A}}(x) = x + 1 \\ \mathbf{a}_{\mathcal{A}}(x, y) = x + 2y \end{array} \right.$$

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$$\mathcal{R} \subseteq >_{\mathcal{A}} \iff \bigwedge \left\{ \begin{array}{l} \forall x \in \mathbb{N}. \quad 2 + x > 0 + x \\ \forall x, y \in \mathbb{N}. \quad 2 + x + 2y > 1 + x + 2y \end{array} \right\}$$

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consider TRS \mathcal{R} and well-founded monotone algebra \mathcal{A} on \mathbb{N} :

$$\mathcal{R} = \left\{ \begin{array}{l} a(x, 0) \rightarrow x \\ a(x, s(y)) \rightarrow s(a(x, y)) \end{array} \right\} \quad \mathcal{A}: \left\{ \begin{array}{l} o_{\mathcal{A}} = 1 \\ s_{\mathcal{A}}(x) = x + 1 \\ a_{\mathcal{A}}(x, y) = x + 2y \end{array} \right.$$

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Finding Interpretations Automatically

consider TRS \mathcal{R} and algebra \mathcal{A} on \mathbb{N}

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with $0_0, s_0, a_0 \in \mathbb{N}$ and $s_1, a_1, a_2 \in \mathbb{N}_+$ (why?):

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with $o_0, s_0, a_0 \in \mathbb{N}$ and $s_1, a_1, a_2 \in \mathbb{N}_+$ (why?):

$$\begin{aligned} & \mathcal{R} \subseteq >_{\mathcal{A}} \\ \iff & \bigwedge \left\{ \begin{array}{l} \forall x \in \mathbb{N}. \quad a_0 + a_1x + a_2o_0 > x \\ \forall x, y \in \mathbb{N}. \quad a_0 + a_1x + a_2s_0 + a_2s_1y > s_0 + s_1a_0 + s_1a_1x + s_1a_2y \end{array} \right\} \end{aligned}$$

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Solving Constraints by SMT Solvers

```
(declare-const o0 Int)
(declare-const s0 Int)
(declare-const s1 Int)
(declare-const a0 Int)
(declare-const a1 Int)
(declare-const a2 Int)
```

Solving Constraints by SMT Solvers

```
(declare-const o0 Int)      (assert (and
(declare-const s0 Int)      (>= o0 0)
(declare-const s1 Int)      (>= s0 0) (>= s1 1)
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(declare-const a0 Int)      (>= a0 0) (>= a1 1) (>= a2 1)
(declare-const a1 Int)      (> (+ a0 (* a2 o0)) 0)
(declare-const a2 Int)      (>= a1 1)
```

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(declare-const a2 Int)      (>= a1 1)
                              (> (+ a0 (* a2 s0)) (+ s0 (* s1 a0)))
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(check-sat)
(get-value (o0 s0 s1 a0 a1 a2))
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                              (check-sat)
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```

output:

```
sat
((o0 11) (s0 13) (s1 1) (a0 1) (a1 12) (a2 8))
```

Linear Interpretations

Definition

linear interpretations are of form

$$f_{\mathcal{A}}(x_1, \dots, x_n) = f_0 + f_1x_1 + \dots + f_nx_n \quad (f_0, f_1, \dots, f_n \in \mathbb{N})$$

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Theorem

solving polynomial equations over \mathbb{Z} is undecidable

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Theorem (Mitterwallner et al. 2024)

termination by linear interpretations is undecidable

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Derivational Complexity

Definition (Hofbauer and Lautemann 1989)

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- $\text{dh}(t, \rightarrow) = \max\{n \in \mathbb{N} \mid t \rightarrow^n u \text{ for some } u\}$
- $\text{dc}_{\mathcal{R}}(n) = \max\{\text{dh}(t, \rightarrow_{\mathcal{R}}) \mid t \text{ is term with } |t| \leq n\}$

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- $\text{dh}(t, \rightarrow) = \max\{n \in \mathbb{N} \mid t \rightarrow^n u \text{ for some } u\}$
- $\text{dc}_{\mathcal{R}}(n) = \max\{\text{dh}(t, \rightarrow_{\mathcal{R}}) \mid t \text{ is term with } |t| \leq n\}$

Theorem

$\text{dc}_{\mathcal{R}}(n)$ is bound from above by exponential function if
 $\mathcal{R} \subseteq \succ_{\mathcal{A}}$ for some linear interpretation \mathcal{A} on \mathbb{N}

Complexity Analysis of Bubble Sort Algorithm

TRS \mathcal{R}

$$b(a(x)) \rightarrow a(b(x))$$

take linear interpretation \mathcal{A} on \mathbb{N} :

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$$\mathbf{b}(\mathbf{a}(x)) \rightarrow \mathbf{a}(\mathbf{b}(x))$$

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$$\mathbf{a}_{\mathcal{A}}(x) = x + 1$$

$$\mathbf{b}_{\mathcal{A}}(x) = 2x$$

thus, $\text{dc}_{\mathcal{R}}(n)$ is bounded by exponential function

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thus, $\text{dc}_{\mathcal{R}}(n)$ is bounded by exponential function

but bubble sort is quadratic-time algorithm, isn't it?

Idea: Counting a below b

TRS \mathcal{R}

$$b(a(x)) \rightarrow a(b(x))$$

rewriting

$$\begin{array}{l} \underline{b}(a(\underline{b}(a(\underline{b}(x)))))) \qquad 2 + 1 + 0 = 3 \\ \rightarrow_{\mathcal{R}} a(\underline{b}(\underline{b}(a(\underline{b}(x)))))) \qquad 1 + 1 + 0 = 2 \\ \rightarrow_{\mathcal{R}} a(\underline{b}(a(\underline{b}(\underline{b}(x)))))) \qquad 1 + 0 + 0 = 1 \\ \rightarrow_{\mathcal{R}} a(a(\underline{b}(\underline{b}(\underline{b}(x)))))) \qquad 0 + 0 + 0 = 0 \end{array}$$

Complexity Analysis by Matrix Interpretations

Definition

triangular matrix interpretation \mathcal{A} on \mathbb{N}^2 is of form

$$f_{\mathcal{A}}(\mathbf{x}_1, \dots, \mathbf{x}_n) = \mathbf{f}_0 + F_1 \mathbf{x}_1 + \dots + F_n \mathbf{x}_n \quad \text{with } \mathbf{f}_0 \in \mathbb{N}^2 \text{ and } F_i = \begin{pmatrix} 1 & a \\ 0 & b \end{pmatrix}$$

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$(x, y) > (x', y')$ if $x > x'$ and $y \geq y'$

Theorem (Moser, Schnabl, and Waldmann 2010)

$dc_{\mathcal{R}}(n) \in O(n^2)$ if $\mathcal{R} \subseteq >_{\mathcal{A}}$ for some triangular matrix interpretation \mathcal{A} on \mathbb{N}^2

Exercise: Find Triangular Matrix Interpretation

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$$b(a(x)) \rightarrow a(b(x))$$

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TRS \mathcal{R}

$$b(a(x)) \rightarrow a(b(x))$$

take triangular matrix interpretation \mathcal{A} on \mathbb{N}^2 :

$$a_{\mathcal{A}}(\mathbf{x}) = \mathbf{x} + \begin{pmatrix} 0 \\ 1 \end{pmatrix} \qquad b_{\mathcal{A}}(\mathbf{x}) = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \mathbf{x}$$

thus, $dc_{\mathcal{R}}(n) \in O(n^2)$

Dependency Pair Method

Literature

- Arts and Giesl
Termination of Term Rewriting Using Dependency Pairs
TCS 236:133–178, 2000
- Hirokawa and Middeldorp
Automating the Dependency Pair Method
I&C 199(1,2), pp. 172–199, 2005
- Giesl, Thiemann, and Schneider-Kamp
The Dependency Pair Framework: Combining Techniques for Automated Termination Proofs, LPAR-11, LNAI 3452, 301–331, 2005

Dependency Pair Method

Definition

- $t^\# = f^\#(t_1, \dots, t_n)$ if $t = f(t_1, \dots, t_n)$, where $f^\#$ is fresh symbol
- $DP(\mathcal{R}) = \{\ell^\# \rightarrow t^\# \mid \ell \rightarrow r \in \mathcal{R}, r \triangleright t, \text{root}(t) \in \mathcal{D}_{\mathcal{R}}, \text{and } \ell \not\triangleright t\}$

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Example

$$\mathcal{R} = \left\{ \begin{array}{l} \text{ack}(0, y) \rightarrow y \\ \text{ack}(s(x), 0) \rightarrow \text{ack}(x, s(0)) \\ \text{ack}(s(x), s(y)) \rightarrow \text{ack}(x, \text{ack}(s(x), y)) \end{array} \right\}$$

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Example

$$\mathcal{R} = \left\{ \begin{array}{l} \text{ack}(0, y) \rightarrow y \\ \text{ack}(s(x), 0) \rightarrow \text{ack}(x, s(0)) \\ \text{ack}(s(x), s(y)) \rightarrow \text{ack}(x, \text{ack}(s(x), y)) \end{array} \right\}$$
$$DP(\mathcal{R}) = \left\{ \begin{array}{l} \text{ack}^\#(s(x), 0) \rightarrow \text{ack}^\#(x, s(0)) \\ \text{ack}^\#(s(x), s(y)) \rightarrow \text{ack}^\#(x, \text{ack}(s(x), y)) \\ \text{ack}^\#(s(x), s(y)) \rightarrow \text{ack}^\#(s(x), y) \end{array} \right\}$$

Finiteness

Definition

$(\mathcal{P}, \mathcal{R})$ is **finite** if it has no infinite sequence

$$s_1 \xrightarrow{\epsilon}_{\mathcal{P}} t_1 \rightarrow_{\mathcal{R}}^* s_2 \xrightarrow{\epsilon}_{\mathcal{P}} t_2 \rightarrow_{\mathcal{R}}^* \dots$$

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how to prove finiteness when $\mathcal{P} \neq \emptyset$?

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if $\pi(\text{ack}^\sharp) = 1$ then $\text{ack}^\sharp(\mathbf{s}(x), \mathbf{s}(y)) \triangleright^\pi \text{ack}^\sharp(x, \text{ack}(\mathbf{s}(x), y))$

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Theorem (Hirokawa and Middeldorp 2007)

if simple projection π satisfies $\mathcal{P} \subseteq \underline{\triangleright}^\pi$ then

$(\mathcal{P}, \mathcal{R})$ is finite $\iff (\mathcal{P} \setminus \triangleright^\pi, \mathcal{R})$ is finite

consider TRS \mathcal{R}

$$\text{ack}(0, y) \rightarrow y$$

$$\text{ack}(s(x), 0) \rightarrow \text{ack}(x, s(0))$$

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- 2** subterm criterion with $\pi(\text{ack}^\sharp) = 2$ removes **3**

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- 3 no dependency pair remains; hence \mathcal{R} is terminating

Limitation of Subterm Criterion

we show termination of TRS \mathcal{R}

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👉 revisit interpretation method

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Theorem

if $(\succsim, >)$ is reduction pair and $\mathcal{R} \subseteq \succsim$ then

$$(\mathcal{P}, \mathcal{R}) \text{ is finite} \iff (\mathcal{P} \setminus >, \mathcal{R}) \text{ is finite}$$

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1 well-founded weakly monotone algebra \mathcal{A} on \mathbb{N} removes **1**, **2**, and **3**

$$0_{\mathcal{A}} = 0 \quad s_{\mathcal{A}}(x) = x + 1 \quad x -_{\mathcal{A}} y = x -^{\#}_{\mathcal{A}} y = x \div_{\mathcal{A}} y = x \div^{\#}_{\mathcal{A}} y = x$$

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$$x \geq x$$

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Exercise: Prove Termination!

TRS \mathcal{R}

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DP(\mathcal{R}) consists of

$$\begin{array}{lll} x +^{\#} s(y) \rightarrow x +^{\#} y & 0 \geq 0 & s(y) \triangleright y \\ x \times^{\#} s(y) \rightarrow (x \times y) +^{\#} x & 1 > 0 & \\ x \times^{\#} s(y) \rightarrow x \times^{\#} y & 1 \geq 1 & s(y) \triangleright y \end{array}$$

Recommendable Interpretations

- 0, 1-coefficient linear interpretation \mathcal{A} on \mathbb{N} :

$$f_{\mathcal{A}}(x_1, \dots, x_n) = f_0 + f_1x_1 + \dots + f_nx_n$$

with $f_0 \in \mathbb{N}$ and $f_1, \dots, f_n \in \{0, 1\}$

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- max/plus interpretation \mathcal{A} on \mathbb{N} :

$$f_{\mathcal{A}}(x_1, \dots, x_n) = \max\{f_0, f'_1(f_1 + x_1), \dots, f'_n(f_n + x_n)\}$$

with $f_0 \in \mathbb{N}$, $f_1, \dots, f_n \in \mathbb{Z}$, and $f'_1, \dots, f'_n \in \{0, 1\}$

Contents: Proving (Non-)Termination Automatically

TRS category in termCOMP 2024:

tool/method	YES	NO
AProVE	1030	282
NaTT	876	169
MU-TERM	717	135
NTI	293	275
autonon	—	233
1 linear interpretations	145	—
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Termination of Imperative Programs

From C to Conditional TRS (CTRS)

see e.g. Fuhs, Kop, and Nishida 2017

```
int sum(x)
{
  int y = 0;
  while (x > 0)
  {
    y = x + y;
    x = x - 1;
  }
  return y;
}
```

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int sum(x)
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sum:  int y = 0;
  f1: while (x > 0)
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  f2:   y = x + y;
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    }
  f4:  return y;
}
```

From C to Conditional TRS (CTRS)

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```
int sum(x)
{
sum:  int y = 0;           sum(x) → f1(x, 0)
f1:  while (x > 0)
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$\text{sum}(x) \rightarrow f_1(x, 0)$
 $f_1(x, y) \rightarrow f_2(x, y) \quad \text{if } x > 0 \rightarrow^* \text{true}$

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<pre>int sum(<i>x</i>) { sum: int <i>y</i> = 0; f₁: while (<i>x</i> > 0) { f₂: <i>y</i> = <i>x</i> + <i>y</i>; f₃: <i>x</i> = <i>x</i> - 1; } f₄: return <i>y</i>; }</pre>	<pre>sum(<i>x</i>) → f₁(<i>x</i>, 0) f₁(<i>x</i>, <i>y</i>) → f₂(<i>x</i>, <i>y</i>) if <i>x</i> > 0 →* true f₁(<i>x</i>, <i>y</i>) → f₄(<i>x</i>, <i>y</i>) if <i>x</i> > 0 →* false f₂(<i>x</i>, <i>y</i>) → f₃(<i>x</i>, <i>x</i> + <i>y</i>)</pre>
--	---

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<code>int sum(<i>x</i>)</code>	
<code>{</code>	
<code>sum: int <i>y</i> = 0;</code>	$\text{sum}(x) \rightarrow f_1(x, 0)$
<code> f₁: while (<i>x</i> > 0)</code>	$f_1(x, y) \rightarrow f_2(x, y) \quad \text{if } x > 0 \rightarrow^* \text{true}$
<code>{</code>	$f_1(x, y) \rightarrow f_4(x, y) \quad \text{if } x > 0 \rightarrow^* \text{false}$
<code>f₂: <i>y</i> = <i>x</i> + <i>y</i>;</code>	$f_2(x, y) \rightarrow f_3(x, x + y)$
<code>f₃: <i>x</i> = <i>x</i> - 1;</code>	$f_3(x, y) \rightarrow f_1(x - s(0), y)$
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<code> f₃: <i>x</i> = <i>x</i> - 1;</code>	$f_3(x, y) \rightarrow f_1(x - s(0), y)$
<code> }</code>	
<code> f₄: return <i>y</i>;</code>	$f_4(x, y) \rightarrow y$
<code>}</code>	

Unraveling CTRS into TRS

Example

$$x + 0 \rightarrow x$$

$$x + s(y) \rightarrow s(x + y)$$

$$x - 0 \rightarrow x$$

$$s(x) - s(y) \rightarrow x - y$$

$$0 > x \rightarrow \text{false}$$

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Fact (Ohlebusch 2001)

deterministic 3-CTRS is operationally terminating if unraveled TRS is terminating

Can Termination Tools Prove Termination?

$$\begin{array}{ll} x + 0 \rightarrow x & \text{sum}(x) \rightarrow f_1(x, 0) \\ x + s(y) \rightarrow s(x + y) & f_1(x, y) \rightarrow g_1(x > 0, x, y) \\ x - 0 \rightarrow x & g_1(\text{true}, x, y) \rightarrow f_2(x, y) \\ s(x) - s(y) \rightarrow x - y & g_1(\text{false}, x, y) \rightarrow f_4(x, y) \\ 0 > x \rightarrow \text{false} & f_2(x, y) \rightarrow f_3(x, x + y) \\ s(x) > 0 \rightarrow \text{true} & f_3(x, y) \rightarrow f_1(x - s(0), y) \\ s(x) > s(y) \rightarrow x > y & f_4(x, y) \rightarrow y \end{array}$$

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AProVE
YES

NaTT
YES

TTT2
MAYBE

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tool/method	YES	NO
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3 termination of imperative programs	—	—
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Non-Termination

Looping

Fact

TRS \mathcal{R} is non-terminating if it admits **loop** $t \rightarrow_{\mathcal{R}}^+ C[t\sigma]$

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$$f(a, b, g(a, b)) \rightarrow_{\mathcal{R}}^3 f(a, b, g(a, b))$$

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how to find such loop?  semi-unification

Loop Detection by Semi-Unifiability

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(s, t) is **semi-unifiable** if $s\sigma\tau = t\sigma$ for some σ and τ

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Note

semi-unification algorithm exists

see Aoto and Iwami 2013

Example for Semi-Unification

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 \iff & \quad \frac{\frac{y}{z}}{s(z)} = \frac{\frac{y}{z}}{s(z)} \quad \wedge \quad \frac{\frac{x\sigma}{s(z)}}{\frac{y}{z}} = \frac{s(y\sigma\tau)}{s(z)}
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$$f(s(z), s(y)) \rightarrow_{\mathcal{R}} f(s(s(z)), s(z))$$

Loop Consisting of Many-Steps

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how to find such loop?  narrowing

Forward Narrowing

Definition

$l_1\sigma \rightarrow r_1[r_2]\sigma$ is **narrowed rule** of \mathcal{R} if

- $l_1 \rightarrow r_1$ and $l_2 \rightarrow r_2$ are variants of \mathcal{R} -rules
- $p \in \text{Pos}(r_1)$, and $\sigma = \text{mgu}(r_1|_p, l_2)$

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Theorem

\mathcal{R} and $\mathcal{R} \cup \{\ell \rightarrow r\}$ are equi-terminating if $\ell \rightarrow r$ is narrowed rule of \mathcal{R}

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Proof.

immediate from $\ell \rightarrow_{\mathcal{R}} r$ or $\ell \xrightarrow{2}_{\mathcal{R}} r$



Example for Narrowing

consider TRS

$$abx \rightarrow bbaax$$

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since it admits loop, TRS is non-terminating

Non-termination Proof of Toyama's Example

TRS \mathcal{R}

$$f(a, b, x) \rightarrow f(\underline{x}, x, x)$$

$g(x, y) \rightarrow x$

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semi-unifiable

thus, \mathcal{R} is non-terminating due to loop $f(a, b, g(a, b)) \rightarrow_{\mathcal{R}}^+ f(a, b, g(a, b))$

Automation of Non-Termination Analysis

for instance,

- 1 perform narrowing twice

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for instance,

- 1 perform narrowing twice
- 2 rewrite right-hand sides of rules (e.g.) 5 times
- 3 check if rule admits loop by using semi-unification

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Summary

- linear interpretations
- dependency pairs
- termination of imperative programs (still challenging)
- loop detection

thanks for your attention!