

Critical Peaks Redefined

$$\Phi \sqcup \Psi = \top$$

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Integrating confluence-by-critical-pair criteria

Theorem (Huet)

term rewrite system is locally confluent if all critical pairs joinable

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abstract rewrite systems: Newman's Lemma and diamond property

integration: decreasing diagrams

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abstract rewrite systems: Newman's Lemma and diamond property

integration: decreasing diagrams

this talk: left-linear first-order term rewrite systems

Critical peak lemma

Lemma (critical peak)

a *multi-multi* peak either

- is empty or critical; or
- can be *decomposed* into *smaller* such peaks

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Assumption

- P set of multi–multi peaks closed under *de*composition
- V set of valleys closed under (*re*)composition

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Theorem

if empty and critical peaks in P are in V , then all peaks in P are.

Proof.

by induction on *size*, using the assumption in the base case, and closure under decomposition and composition in the step case. \square

Derecomposition in action

TRS

$$\begin{array}{lll}
 a \rightarrow b & g(a) \rightarrow c & b \rightarrow d \\
 f(g(x), y) \rightarrow h(x, y, y) & f(c, y) \rightarrow h(b, y, y) &
 \end{array}$$

Example (types of rewriting)

rewriting from term $t = g(f(g(a), a))$

- **empty**: $t = t$;
- **one**⁼: $t \rightarrow g(f(g(b), a))$, $t \rightarrow g(f(c, a))$, $t \rightarrow g(h(a, a, a))$
- **parallel**: $t \dashrightarrow g(f(g(b), b))$, $t \dashrightarrow g(f(c, b))$
- **multi**: $t \dashrightarrow g(h(b, a, a))$, $t \dashrightarrow g(h(a, b, b))$
- **many**: $t \twoheadrightarrow g(f(g(d), a))$

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Example (de/recomposing peaks)

multi-parallel peak $g(h(b, a, a)) \leftarrow_{\ominus} g(f(g(a), a)) \rightsquigarrow g(f(c, b))$

- empty peak $g(z) = g(z) = g(z)$; empty joinable
- multi-parallel peak $h(b, a, a) \leftarrow_{\ominus} f(g(a), a) \rightsquigarrow f(c, b)$
 - empty-one peak $a = a \rightarrow b$; one-empty joinable
 - **critical** multi-one peak $h(b, u, u) \leftarrow_{\ominus} f(g(a), u) \rightarrow f(c, u)$;
empty-one joinable (by rule $f(c, y) \rightarrow h(b, y, y)$)

parallel-one joinable $h(b, a, a) \rightsquigarrow h(b, b, b) \leftarrow f(c, b)$

parallel-one joinable $g(h(b, a, a)) \rightsquigarrow g(h(b, b, b)) \leftarrow g(f(c, b))$

Corollaries to critical peak lemma

Corollary (Huet)

term rewrite system is locally confluent if all critical pairs joinable

Proof.

- P = set of all one⁼–one⁼ peaks
- V = set of all valleys

base case empty or ordinary (one–one) critical peak □

Corollaries to critical peak lemma

Corollary (Rosen)

left-linear term rewrite system is confluent if it has no critical pairs

Proof.

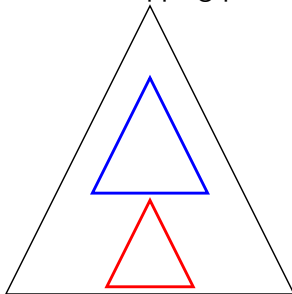
- P = set of all multi–multi peaks
- V = set of all multi–multi valleys

only empty base case by assumption



Pattern overlap intuition

non-overlapping peak

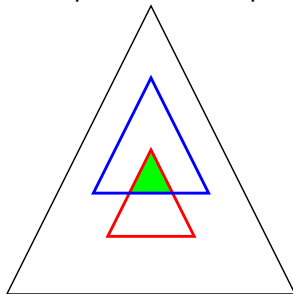


Example

$a \leftarrow f(g(g(b))) \rightarrow f(g(c))$ for $f(g(x)) \rightarrow a$ and $g(b) \rightarrow c$

Pattern overlap intuition

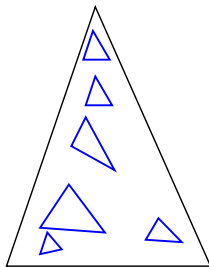
encompasses critical peak



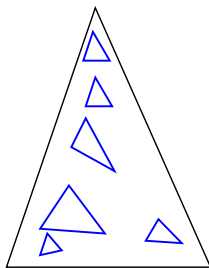
Example

$h(a) \leftarrow h(f(g(b))) \rightarrow h(f(c))$ for $f(g(x)) \rightarrow a$ and $g(b) \rightarrow c$

Multiple patterns



Multiple patterns

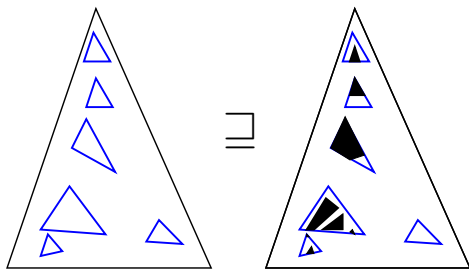


Definition (cluster)

term with multiple occurrences of patterns $t = M[\vec{X} := \vec{\ell}]$

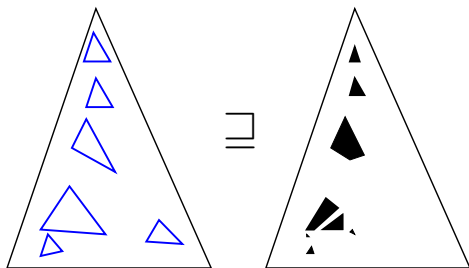
- M is the **skeleton**; term linear in \vec{X}
- \vec{X} is list of second-order variables; **gaps**
- $\vec{\ell}$ is list of **patterns**; non-var, linear first-order terms

Coarsening/refining clusters



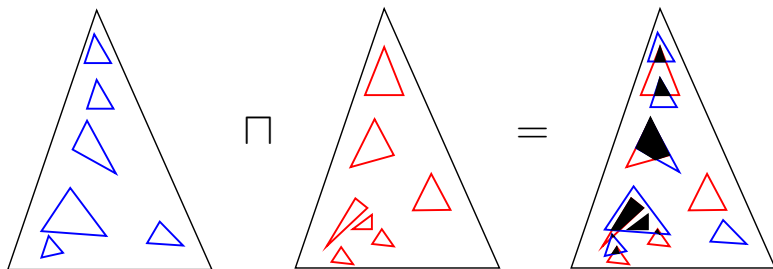
coarser than order \sqsupseteq (finer than \sqsubseteq) intuition: split and forget

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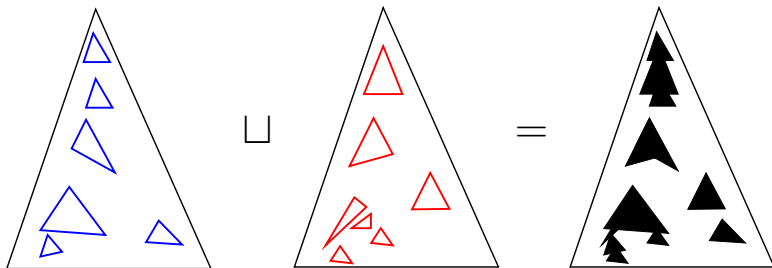
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Meet of clusters



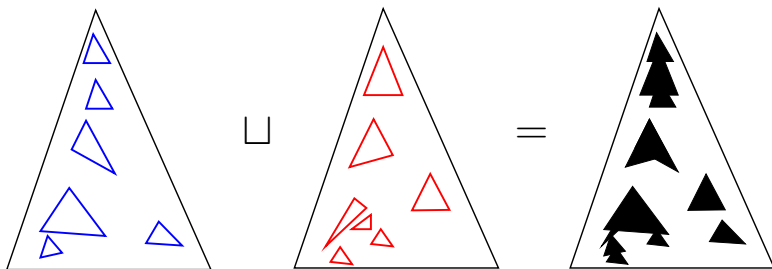
refinement order: $\varsigma \sqsubseteq \zeta$ iff $\varsigma = \varsigma \sqcap \zeta$

Join of clusters



refinement order: $\varsigma \sqsubseteq \zeta$ iff $\varsigma \sqcup \zeta = \zeta$

Join of clusters



refinement order: $\varsigma \sqsubseteq \zeta$ iff $\varsigma \sqcup \zeta = \zeta$

\perp : term without patterns

\top : term one big pattern (except for root-edge, vars)

Definition

$(N, \beta) \sqsupseteq (M, \alpha)$ if $N^\gamma = M$ and $\beta = \alpha \circ \gamma$ for meta-substitution γ

Coarsening finite distributive lattice

Birkhoff's Fundamental Theorem for Distributive Lattices

a finite distributive lattice \underline{L} is isomorphic to the \subseteq -lattice of downward closed sets of its join-irreducible elements

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- two adjacent symbols; $f(\vec{v}_1, g(\vec{v}_2), \vec{v}_3)$;

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node and **edge** positions are join-irreducible w.r.t. \sqsubseteq

Theorem

*clusters are sets of positions that are downward-closed
(edge is larger than its endpoints/nodes)*

\sqsubseteq is finite distributive lattice isomorphic to \subseteq (on sets of positions)

Redefining critical peaks via refinement

Lemma (Multisteps as clusters)

$t \dashrightarrow s$ iff $t = M[\vec{X} := \vec{\ell}]$ and $M[\vec{X} := \vec{r}] = s$, for rules $\vec{\ell} \rightarrow \vec{r}$

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refinement extended to multisteps via left-hand side (t)

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Critical peak lemma

if $s \Phi \leftarrow t \twoheadrightarrow \Psi u$ then

- $\Phi \sqcup \Psi = \top$: empty or variable-instance of critical peak; or
- $\Phi \sqcup \Psi \neq \top$: $\Phi = \Phi_0^{[x := \Phi_1]}$ and $\Psi = \Psi_0^{[x := \Psi_1]}$, both smaller

More consequences of critical peak lemma

Corollary (Okui)

if multi-one critical peaks are many-multi joinable then confluent

Proof.

- P = set of all multi-one[≡] peaks
- V = set of all many-multi valleys



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Corollary (Gramlich, Toyama, Felgenhauer)

confluent if parallel-one critical peaks are many-parallel joinable

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parallel **not** composition closed; [Toyama, Gramlich] conditions \square

More consequences of critical peak lemma

Corollary (Huet, Toyama, vO)

confluent if every inner-outer critical peak multi-empty joinable

Proof.

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multi-multi critical peaks split into such with **less** overlap
 induction on **amount** of overlap; based on **distributive** lattice

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- positions synthesised (via Birkhoff) as join-irreducible clusters
- critical peak redefinition as $\Phi \sqcup \Psi = \top$
- critical peak definitions in literature covered
 - one–one: Knuth–Bendix, Huet
 - parallel–one: Toyama, Gramlich
 - multi–one: Okui
 - multi–multi: Felgenhauer

Current and future work

- integrate with decreasing diagrams into **HOT**-criterion
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- investigate closure under (re)composition of decreasingness