

On Confluence of Parallel-Innermost Term Rewriting

Carsten Fuhs¹

joint work with [Thaïs Baudon](#)² and [Laure Gonnord](#)^{3,2}

¹ Birkbeck, University of London, UK

² LIP (UMR CNRS/ENS Lyon/UCB Lyon1/INRIA), Lyon, France

³ LCIS (UGA/Grenoble INP/Ésisar), Valence, France

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...or, how we tried to parallelise programs on term-shaped data structures,
then searched for complexity bounds of term rewrite systems,
and ended up building a (simple) confluence prover

Parallel-innermost rewriting: How it works

TRS \mathcal{R} :

$$d(\text{Zero}) \rightarrow \text{Zero}$$

$$d(S(x)) \rightarrow S(S(d(x)))$$

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Reduction with (sequential) innermost rewriting:

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4 steps.

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Must reduce **all** innermost redexes. Only 3 steps!

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Here: (max-)parallel-innermost rewriting – all innermost redexes **must** be reduced

Literature: also variants of parallel rewriting where one or more innermost redexes **may** be reduced

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Proving confluence of parallel-innermost rewriting (1/2)

Proving **confluence** of $\parallel \rightarrow_{\mathcal{R}}$:

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Attempt 1:

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Example (Full/innermost confluence does *not* imply **parallel**-innermost confluence)

$\mathcal{R} = \{ \quad a \rightarrow f(b, c), \quad a \rightarrow f(b, b), \quad b \rightarrow c, \quad c \rightarrow b \quad \}$. Confluent: $\overset{i}{\rightarrow}_{\mathcal{R}}$ and $\rightarrow_{\mathcal{R}}$ But...

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$f(b, b) \overset{\mathcal{R}}{\leftarrow} \overset{i}{\parallel} a \overset{i}{\parallel} \rightarrow_{\mathcal{R}} f(b, c) \overset{i}{\parallel} \rightarrow_{\mathcal{R}} f(c, b) \overset{i}{\parallel} \rightarrow_{\mathcal{R}} f(b, c) \overset{i}{\parallel} \rightarrow_{\mathcal{R}} \dots$

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Conjecture

Let \mathcal{R} be a TRS whose innermost rewrite relation $\xrightarrow{i} \mathcal{R}$ is terminating.

Then $\xrightarrow{i} \mathcal{R}$ is confluent iff $\parallel \xrightarrow{i} \mathcal{R}$ is confluent.

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Lemma (Baader, Nipkow, *Term Rewriting and All That*, 1998; Lemma 6.3.9)

If \mathcal{R} is non-overlapping, rewriting any redex will have a unique result.

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$\dashv\vdash^i \rightarrow_{\mathcal{R}}$ fixes the used redexes: all innermost redexes at the same time

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Corollary (Baudon, Fuhs, Gonnord, LOPSTR 2022)

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Only a simple criterion: if \mathcal{R} is non-overlapping, $\dashv\vdash^i \rightarrow_{\mathcal{R}}$ is deterministic!

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Applies also to **parallel-outermost** rewriting (and other multistep strategies with fixed redexes)

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 \Rightarrow proof of confluence of $\dashv\vdash^i \rightarrow_{\mathcal{R}}$ found for 447 TRSs \mathcal{R} : 67.4%

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Can we not do better than this?

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Conditions for confluence of innermost terminating term rewriting systems

Appl. Algebra Eng. Commun. Comput. 30(4): 349-360 (2019)

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Lemma (Ishizuki, Oyamaguchi, Sakai, AAECC, Lemma 5)

*If $\xrightarrow{i}\mathcal{R}$ is terminating and all critical pairs are innermost joinable for normalised instances, then $\xrightarrow{i}\mathcal{R}$ is **confluent**.*

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*If $\xrightarrow{i}\mathcal{R}$ is terminating and all critical pairs are innermost joinable for normalised instances, then $\xrightarrow{i}\mathcal{R}$ is **confluent**.*

If the conjecture (Attempt 2) holds, we have another criterion for confluence of $\dashv\vdash\xrightarrow{i}\mathcal{R}$!

Conclusion for “Proving confluence of parallel-innermost rewriting: how?”

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- Evaluation page with details of experiments:

https://www.dcs.bbk.ac.uk/~carsten/eval/parallel_confluence/

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What applications do confluence proofs for $\dashv\vdash^i \rightarrow_{\mathcal{R}}$ have?

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Multi-dimensional Rankings, Program Termination, and Complexity Bounds of Flowchart Programs
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- But: restricted to programs with integer arithmetic and arrays

Motivation: Complexity analysis for automated scheduling (2/2)

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Complexity bound for which rewrite relation?!

- **Parallel-innermost** rewriting evaluates all innermost redexes simultaneously
- Captures which redexes can be evaluated independently by call-by-value strategy
- Standard assumption in parallel computing: machine with unbounded parallelism
⇒ assess **potential** for parallelism

Runtime complexity of parallel-innermost rewriting

TRS \mathcal{R} :

$$d(\text{Zero}) \rightarrow \text{Zero}$$

$$d(S(x)) \rightarrow S(S(d(x)))$$

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Reduction with (sequential) innermost rewriting:

$$\begin{aligned} & \text{doubles}(S(\text{Zero})) \\ \xrightarrow{i} \mathcal{R} & \text{Cons}(d(S(\text{Zero})), \text{doubles}(\text{Zero})) \\ \xrightarrow{i} \mathcal{R} & \text{Cons}(S(S(d(\text{Zero}))), \text{doubles}(\text{Zero})) \\ \xrightarrow{i} \mathcal{R} & \text{Cons}(S(S(\text{Zero})), \text{doubles}(\text{Zero})) \\ \xrightarrow{i} \mathcal{R} & \text{Cons}(S(S(\text{Zero})), \text{Nil}) \end{aligned}$$

4 steps.

Reduction with **parallel**-innermost rewriting:

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Must reduce **all** innermost redexes. Only 3 steps!

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Runtime Complexity: How long can a reduction from a **basic term** of size $\leq n$ get? (worst case)

Basic term: $f(t_1, \dots, t_n)$ with f a **defined symbol**, t_i **constructor terms**

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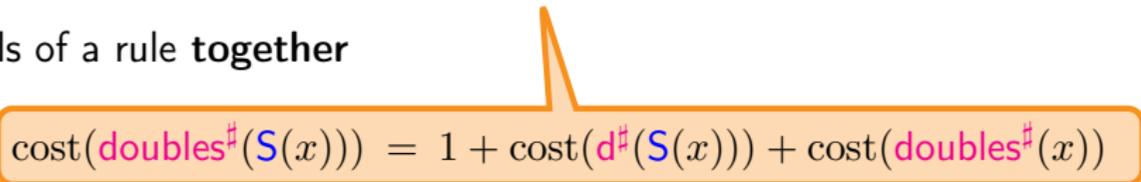
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\Rightarrow DT framework finds (sequential) $\mathbf{irc}_{\mathcal{R}}(n) \in \mathcal{O}(n^2)$ via polynomial interpretation \mathcal{Pol} of degree 2:

$$\mathcal{Pol}(\text{doubles}^\sharp(x)) = x^2 + 2x, \quad \mathcal{Pol}(d^\sharp(x)) = x, \quad \mathcal{Pol}(S(x)) = 1 + x, \quad \dots$$

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Theorem (Baudon, Fuhs, Gonnord, LOPSTR 2022)

Parallel-innermost complexity of \mathcal{R} ($\text{pirc}_{\mathcal{R}}$) \leq innermost complexity for DT problem for $PDT(\mathcal{R})$.

Sequential complexity: Example 2

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$$\text{plus}(\text{Zero}, y) \rightarrow y$$

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$$\text{size}(\text{Nil}) \rightarrow \text{Zero}$$

$$\text{size}(\text{Tree}(v, l, r)) \rightarrow \text{S}(\text{plus}(\text{size}(l), \text{size}(r)))$$

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$$\text{plus}^\#(\text{size}(l), \text{size}(r)))$$


$$\text{cost}(\text{size}^\#(\text{Tree}(v, l, r))) = 1 + \text{cost}(\text{size}^\#(l)) + \text{cost}(\text{size}^\#(r)) + \text{cost}(\text{plus}^\#(\text{size}(l) \downarrow, \text{size}(r) \downarrow))$$

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$$\text{plus}^\sharp(\text{Zero}) \rightarrow \text{Com}_0$$

$$\text{plus}^\sharp(\text{S}(x), y) \rightarrow \text{Com}_1(\text{plus}^\sharp(x, y))$$

$$\text{size}^\sharp(\text{Nil}) \rightarrow \text{Com}_0$$

$$\text{size}^\sharp(\text{Tree}(v, l, r)) \rightarrow \text{Com}_3(\text{size}^\sharp(l), \text{size}^\sharp(r), \text{plus}^\sharp(\text{size}(l), \text{size}(r)))$$

$$\text{cost}(\text{size}^\sharp(\text{Tree}(v, l, r))) = 1 + \text{cost}(\text{size}^\sharp(l)) + \text{cost}(\text{size}^\sharp(r)) + \text{cost}(\text{plus}^\sharp(\text{size}(l) \downarrow, \text{size}(r) \downarrow))$$

\Rightarrow sequential complexity $\text{irc}_{\mathcal{R}}(n) \in \mathcal{O}(n^2)$

Parallel-innermost complexity: Example 2

TRS \mathcal{R} :

$$\text{plus}(\text{Zero}, y) \rightarrow y$$

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... alas, a tight bound: consider $\text{size}(\text{Tree}(\text{Zero}, \text{Tree}(\text{Zero}, \dots \text{Tree}(\text{Zero}, \text{Nil}, \text{Nil}))), \dots \text{Nil}, \text{Nil})$

Parallel Dependency Tuples can detect TRSs without potential for parallelism:

Proving Absence of Parallelism

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Theorem (Baudon, Fuhs, Gonnord, LOPSTR 2022)

Let \mathcal{R} be a TRS where no rule $\ell \rightarrow r$ has defined symbols at **parallel positions** of r . Then:

- 1 $PDT(\mathcal{R}) = DT(\mathcal{R})$
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Proof Idea.

To get parallelism from basic term (1 function call), some rule $\ell \rightarrow r$ must make 2+ function calls at **parallel positions** in r . Each rule has only 1 **PDT** \Rightarrow no parallel calls! \square

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\Rightarrow Quick check to refute that parallel evaluation is feasible.

Lower bounds on worst-case parallel complexity? (1/2)

Back to the motivation – which function calls are worth parallelising?

Want: **lower bounds** on $\text{pirc}_{\mathcal{R}}$

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- Transform DT problem back to TRS
- Use existing lower bound inference for (sequential) innermost rewriting on TRS level¹

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Ad 2: Done! (...with a lot of room for improvement)

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Thanks a lot for your attention!

Bonus slides:

More on complexity analysis for
parallel-innermost term rewriting

Complexity: Related Work

- Literature on parallel computing / program analysis:
 - sequential complexity, $\text{irc}_{\mathcal{R}}$: **work**
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 - async/finish programs²³
 - RaML: functional programs with list and pair constructors⁴
 - logic programs⁵
 - pi calculus⁶⁷

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- **Massively parallel** implementation of innermost rewriting on GPUs⁸

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⁸J. van Eerd, J.F. Groote, P. Hijma, J. Martens, A. Wijs: *Term Rewriting on GPUs*, FSEN 2021

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- Implementation in program analysis tool AProVE
- Building on existing framework for sequential innermost runtime complexity

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Does (unbounded) parallelism lead to asymptotically more efficient innermost rewriting?

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Termination Problem DataBase (TPDB), v11.2, category Innermost_Runtime_Complexity
⇒ 663 TRSs

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Remove TRSs \mathcal{R} without parallel function calls!
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Timeout per TRS: 300 seconds

Complexity: Implementation and Experiments (2/3)

No other tools for **parallel**-innermost complexity so far.

But: $\mathbf{pirc}_{\mathcal{R}}(n) \leq \mathbf{irc}_{\mathcal{R}}(n)$

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Upper bounds	$\mathcal{O}(1)$	$\leq \mathcal{O}(n)$	$\leq \mathcal{O}(n^2)$	$\leq \mathcal{O}(n^3)$	$\leq \mathcal{O}(n^{\geq 4})$
TcT $\mathbf{irc}_{\mathcal{R}}$	4	28	39	44	44
AProVE $\mathbf{irc}_{\mathcal{R}}$	5	50	110	123	127
AProVE $\mathbf{pirc}_{\mathcal{R}}$: DT problem only	5	65	125	140	142
AProVE $\mathbf{pirc}_{\mathcal{R}}$: DT problem + rel. TRS	5	69	125	139	141

- improved linear complexity by 38%
- TCT_12/recursion_10 improves from $\mathcal{O}(n^{10})$ to $\mathcal{O}(n^1)$

Lower bounds via relative TRS $\text{PDT}(\mathcal{R})/\mathcal{R}$:

Lower bounds	benchmark set	confluent	$\geq \Omega(n)$	$\geq \Omega(n^2)$	$\geq \Omega(n^3)$	$\geq \Omega(n^{\geq 4})$
AProVE $\text{pirc}_{\mathcal{R}}$	294	186	133	23	5	1

- **Challenge:** better confluence analysis for **parallel**-innermost rewriting!
- Non-trivial lower bounds for 133 of 186 provably confluent TRSs

Complexity: Implementation and Experiments (3/3)

Lower bounds via relative TRS $\text{PDT}(\mathcal{R})/\mathcal{R}$:

Lower bounds	benchmark set	confluent	$\geq \Omega(n)$	$\geq \Omega(n^2)$	$\geq \Omega(n^3)$	$\geq \Omega(n^{\geq 4})$
AProVE $\text{pirc}_{\mathcal{R}}$	294	186	133	23	5	1

- **Challenge:** better confluence analysis for **parallel**-innermost rewriting!
- Non-trivial lower bounds for 133 of 186 provably confluent TRSs

Together:

Tight bounds	$\Theta(1)$	$\Theta(n)$	$\Theta(n^2)$	$\Theta(n^3)$	Total
AProVE $\text{pirc}_{\mathcal{R}}$	5	32	1	3	41

- First approach to finding bounds on **parallel**-innermost runtime complexity of TRSs

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Thanks a lot for your attention! (take 2)