

Algorithm Theory

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Summer 2007

GM

LVA 703608 (week 1)

1/14

Organisation

Content

Algorithm and Problems

Worst-Case Analysis

Networks

Problem: TSP

Schedule

week 1	March 5	week 9	May 14
week 2	March 12	week 10	May 21
week 3	March 19	week 11	June 4
week 4	March 26	week 12	June 11
week 5	April 16	week 13	June 18
week 6	April 23	week 14	June 25
week 7	April 30	first exam	July 2
week 8	May 7		

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Literature & Online Material

Literature

Papadimitriou, Christos, **Computational Complexity** (Addison-Wesley, 1994)



Online Material

Transparencies and **homework** are available from **IP** starting with **138.232**; solution to **selected** exercises will be available online after they have been discussed.

Exams and Exercises

- ➔ **lecture** is a VU, i.e., "Vorlesung" and "Übung" are combined
- ➔ we offer 3 **exercise groups**
- ➔ mid-term test (45 min) on **May 4** (covers the material of first 7 weeks)
- ➔ let E denote the exam result, T the test result; the final grade is computed as

$$\max\{E, \lceil \frac{2}{3} \cdot E + \frac{1}{3} \cdot T \rceil\}$$

Exercise Groups

UE	Group 1	Friday 12.15-13.00, SR 12	Georg Moser
	Group 2	Friday 12.15-13.00, HS 10	Dan Hernest
	Group 3	Friday 13.15-14.00, HS 10	Dan Hernest

Content

- W 1 Introduction, Problems and Algorithms
- W 2 Turing machines as algorithms, multiple-string TMs
- W 3 **Random access machines**, nondeterministic machines
- W 4 Complexity classes, **The Hierarchy Theorem**
- W 5 The reachability method, Savitch's Theorem
- W 6 **Reductions**, completeness, Cook's Theorem
- W 7 Logical characterisations, **Fagin's Theorem**
- W 8 **NP-complete problems**, Variants of SAT
- W 9 Graph-theoretical problems, Sets and numbers
- W 10 coNP, Pratt's Theorem
- W 11 Function problems
- W 12 **Randomised Computation**
- W 13 Circuit Complexity
- W 14 Approximability

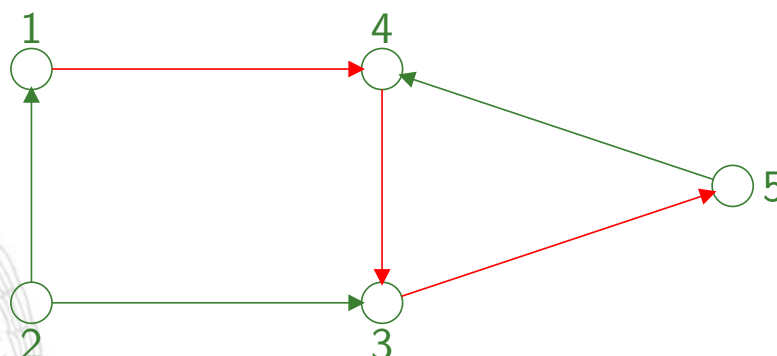
Problem: REACHABILITY

A (directed) **graph** $G = (V, E)$ is a finite set V of nodes and a set E of edges, which are pairs of nodes.

Problem

Given a graph G and nodes $1, n \in V$, is there a path between 1 and n ?
This problem is called **REACHABILITY**.

Example



Algorithm

- ➔ mark 1, set $S := \{1\}$
- ➔ Choose $i \in S$, remove i from S
 - ➔ For all $(i, j) \in E$ and j unmarked, mark j , add j to S
- ➔ Iterate till S is empty.
- ➔ Answer “yes” if n is marked, otherwise “no”

Fact: The (time) **complexity** of the search algorithm is $\mathcal{O}(n^2)$; search can be **depth-first** or **breadth-first**.

Definition

f, g functions from \mathbb{N} to \mathbb{N} .

- ➔ $f(n) = \mathcal{O}(g(n))$, $\exists c, n_0 \geq 1 \forall n \geq n_0 (f(n) \leq c \cdot g(n))$
- ➔ $f(n) = \Omega(g(n))$, if $g(n) = \mathcal{O}(f(n))$
- ➔ $f(n) = \Theta(g(n))$, if $f(n) = \mathcal{O}(g(n))$ and $f(n) = \Omega(g(n))$

Worst-Case Analysis

We deal with **growth rates only** and regard **polynomial growth rates** as acceptable, while exponential growth rates are **intractable**.

Only **worst-case** analysis; average case analysis would be better, but

- ➔ what is the **input distribution** of a problem?
- ➔ what happens if we are interested in the worst-case?

Motto

Adopting polynomial worst-case performance as our criterion of efficiency results in an elegant and useful theory that says something meaningful about practical computation, and would be impossible without this simplification.

Networks

Fact: The **space requirements** of the Dijkstra algorithm is $\mathcal{O}(n)$. Can be improved to $\mathcal{O}((\log n)^2)$.

- ➔ a network $N = (V, E, s, t, c)$ is a graph with **source** s and **sink** t
- ➔ if $(i, j) \in E$, then $c(i, j) > 0$ is the **capacity**
- ➔ a **flow** f assigns non-negative integers to edges, s.t. $f(i, j) \leq c(i, j)$
- ➔ for each node j (except s, t)

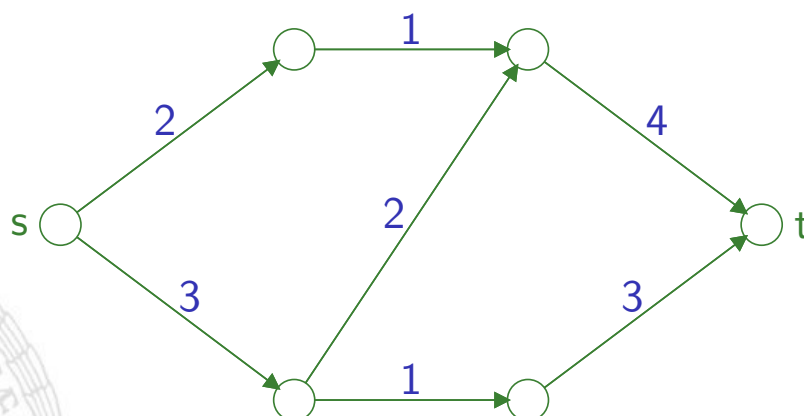
$$\sum_{(i,j) \in E} f(i,j) = \sum_{(j,k) \in E} f(j,k).$$

- ➔ the **value** of the network is $\sum_{(s,s') \in E} f(s, s')$

Problem: MAX FLOW

- ➔ **MAX FLOW** is the problem to find the flow with the largest value
- ➔ **MAX FLOW(D)** is the related **decision problem**
- ➔ MAX FLOW and MAX FLOW(D) are polynomial equivalent

Example

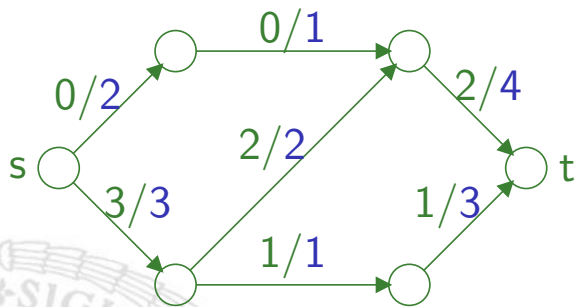


we define the **derived network** $N(f) = (V, E', s, t, c')$

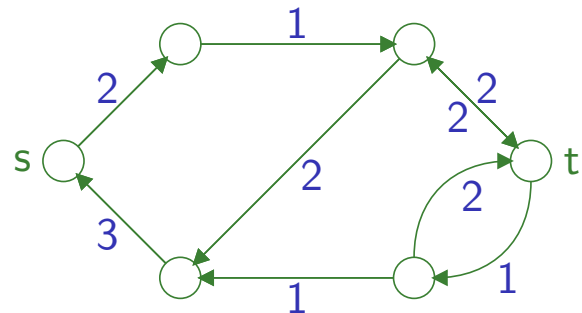
$$E' := E - \{(i, j) \mid f(i, j) = c(i, j)\} \cup \{(j, i) \mid (i, j) \in E \text{ and } f(i, j) > 0\}$$

$$c'(i, j) := c(i, j) - f(i, j) \text{ for old edges}$$

$$c'(i, j) := f(j, i) \text{ for new edges}$$



network N



derived network $N(f)$

➔ assume there exists f' with f' greater than f : $\Delta f = f' - f$ is **positive flow** in $N(f)$

Algorithm

- 1 start with zero-flow in N .
- 2 construct $N(f)$
 - ➔ if there is a path from s to t :
 - find the **smallest capacity** c' on the path and add it to the flow
 - ➔ employ algorithm for REACHABILITY
 - ➔ Repeat, until no path can be found

Complexity

- ➔ at most $n \cdot C$ iterations, where C is the maximal capacity
- ➔ implies time complexity: $\mathcal{O}(n^2 \cdot nC) = \mathcal{O}(n^3 C)$
- ➔ **DANGER**: C depends **exponential** on any succinct representation of the input
- ➔ more thought (i.e. using the **shortest path**) yields $\mathcal{O}(n^5)$
- ➔ reducible to $\mathcal{O}(n^3)$

TSP

- ➔ given n cities, with positive distance d_{ij} , s.t. $d_{ij} = d_{ji}$
- ➔ what is the **fastest tour** of the cities, i.e. minimise

$$\sum_{i=1}^n d_{\pi(i), \pi(i+1)} \quad \text{for permutation } \pi \text{ with } \pi(n+1) = \pi(1)$$

- ➔ the problem is called **TSP**
- ➔ the (polynomially) related decision problem: **TSP(D)**

Naive Algorithm

- ➔ enumerate all possible solutions; compute the costs; pick the best

Fact: Time bound: $\mathcal{O}(n!)$, Space bound: $\mathcal{O}(n)$

This bound can be improved slightly, but remains **exponential**

P vs NP

Definition (informal)

- 1 the **complexity class P** contains all feasible problems
- 2 **NP** contains all problems that are feasible on a machine that can **guess**

we will see that TSP can be solved in **polynomial time** if we allow a **non-deterministic** algorithm

- ➔ no clever way of removing non-determinism is known
- ➔ in fact if you find a polynomial-time algorithm you can win \$1 million:

The Board of Directors of CMI [Clay Mathematics Institute] designated a \$1 million prize fund for the solution to this problem.

- ➔ latest conjecture: **P** \neq **NP** proven in 2050 (**Natarajan Shankar**)