

# Algorithm Theory

Georg Moser Mircea Dan Hernest

Institute of Computer Science @ UIBK

Summer 2007

# Schedule

week 1	March 5	week 9	May 14
week 2	March 12	week 10	May 21
week 3	March 19	week 11	June 4
week 4	March 26	week 12	June 11
week 5	April 16	week 13	June 18
week 6	April 23	week 14	June 25
week 7	April 30	first exam	July 2
week 8	May 7		

# Literature & Online Material

## Literature

Papadimitriou, Christo, **Computational Complexity** (Addison-Wesley, 1994)



## Online Material

Transparencies and homework are available from IP starting with 138.232; solution to selected exercises will be available online after they have been discussed.

# Exams and Exercises

- ➔ lecture is a VU, i.e., "Vorlesung" and "Übung" are combined
- ➔ we offer 3 exercise groups
- ➔ mid-term test (45 min) on May 4 (covers the material of first 7 weeks)
- ➔ let  $E$  denote the exam result,  $T$  the test result; the final grade is computed as

$$\max\{E, \lceil \frac{2}{3} \cdot E + \frac{1}{3} \cdot T \rceil\}$$

## Exercise Groups

UE	Group 1	Friday 12.15-13.00, SR 12	Georg Moser
	Group 2	Friday 12.15-13.00, HS 10	Dan Hernest
	Group 3	Friday 13.15-14.00, HS 10	Dan Hernest

## Content

- W 1 Introduction, Problems and Algorithms
- W 2 Turing machines as algorithms, multiple-string TMs
- W 3 **Random access machines**, nondeterministic machines
- W 4 Complexity classes, **The Hierarchy Theorem**
- W 5 The reachability method, Savitch's Theorem
- W 6 **Reductions**, completeness, Cook's Theorem
- W 7 Logical characterisations, **Fagin's Theorem**
- W 8 **NP-complete problems**, Variants of SAT
- W 9 Graph-theoretical problems, Sets and numbers
- W 10 coNP, Pratt's Theorem
- W 11 Function problems
- W 12 **Randomised Computation**
- W 13 Circuit Complexity
- W 14 Approximability

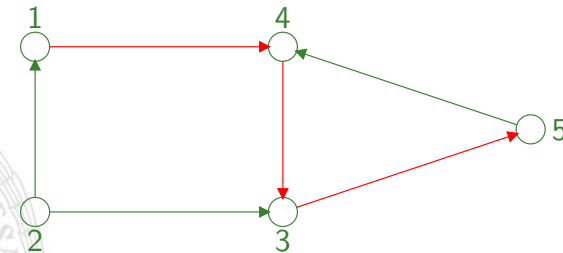
## Problem: REACHABILITY

A (directed) **graph**  $G = (V, E)$  is a finite set  $V$  of nodes and a set  $E$  of edges, which are pairs of nodes.

### Problem

Given a graph  $G$  and nodes  $1, n \in V$ , is there a path between 1 and  $n$ ? This problem is called **REACHABILITY**.

### Example



## Algorithm

- ➔ mark 1, set  $S := \{1\}$
- ➔ Choose  $i \in S$ , remove  $i$  from  $S$ 
  - ➔ For all  $(i, j) \in E$  and  $j$  unmarked, mark  $j$ , add  $j$  to  $S$
- ➔ Iterate till  $S$  is empty.
- ➔ Answer "yes" if  $n$  is marked, otherwise "no"

**Fact:** The (time) **complexity** of the search algorithm is  $\mathcal{O}(n^2)$ ; search can be **depth-first** or **breadth-first**.

### Definition

$f, g$  functions from  $\mathbb{N}$  to  $\mathbb{N}$ .

- ➔  $f(n) = \mathcal{O}(g(n))$ ,  $\exists c, n_0 \geq 1 \forall n \geq n_0 (f(n) \leq c \cdot g(n))$
- ➔  $f(n) = \Omega(g(n))$ , if  $g(n) = \mathcal{O}(f(n))$
- ➔  $f(n) = \Theta(g(n))$ , if  $f(n) = \mathcal{O}(g(n))$  and  $f(n) = \Omega(g(n))$

## Worst-Case Analysis

We deal with **growth rates only** and regard **polynomial growth rates** as acceptable, while exponential growth rates are **intractable**.

Only **worst-case** analysis; average case analysis would be better, but

- ➔ what is the **input distribution** of a problem?
- ➔ what happens if we are interested in the worst-case?

### Motto

*Adopting polynomial worst-case performance as our criterion of efficiency results in an elegant and useful theory that says something meaningful about practical computation, and would be impossible without this simplification.*

## Networks

**Fact:** The **space requirements** of the Dijkstra algorithm is  $\mathcal{O}(n)$ . Can be improved to  $\mathcal{O}((\log n)^2)$ .

- ➔ a network  $N = (V, E, s, t, c)$  is a graph with **source**  $s$  and **sink**  $t$
- ➔ if  $(i, j) \in E$ , then  $c(i, j) > 0$  is the **capacity**
- ➔ a **flow**  $f$  assigns non-negative integers to edges, s.t.  $f(i, j) \leq c(i, j)$
- ➔ for each node  $j$  (except  $s, t$ )

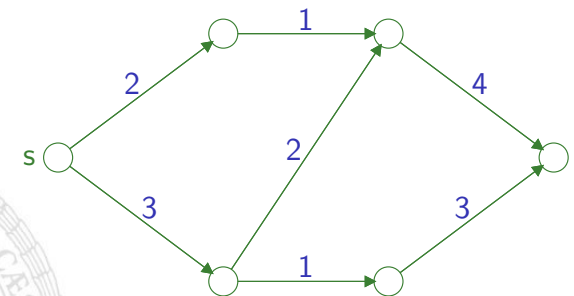
$$\sum_{(i,j) \in E} f(i, j) = \sum_{(j,k) \in E} f(j, k).$$

➔ the **value** of the network is  $\sum_{(s,s') \in E} f(s, s')$

## Problem: MAX FLOW

- ➔ **MAX FLOW** is the problem to find the flow with the largest value
- ➔ **MAX FLOW(D)** is the related **decision problem**
- ➔ MAX FLOW and MAX FLOW(D) are polynomial equivalent

### Example

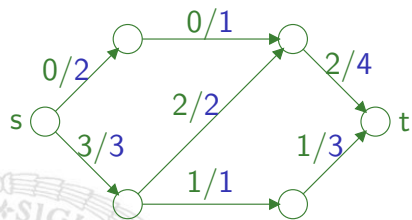


we define the **derived network**  $N(f) = (V, E', s, t, c')$

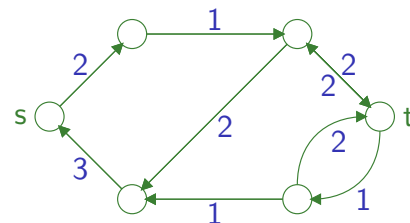
$$E' := E - \{(i, j) \mid f(i, j) = c(i, j)\} \cup \{(j, i) \mid (i, j) \in E \text{ and } f(i, j) > 0\}$$

$$c'(i, j) := c(i, j) - f(i, j) \text{ for old edges}$$

$$c'(i, j) := f(j, i) \text{ for new edges}$$



network  $N$



derived network  $N(f)$

➔ assume there exists  $f'$  with  $f'$  greater than  $f$ :  $\Delta f = f' - f$  is **positive flow** in  $N(f)$

## Algorithm

- 1 start with zero-flow in  $N$ .
- 2 construct  $N(f)$ 
  - ➔ if there is a path from  $s$  to  $t$ : find the **smallest capacity**  $c'$  on the path and add it to the flow
  - ➔ employ algorithm for **REACHABILITY**
  - ➔ Repeat, until no path can be found

### Complexity

- ➔ at most  $n \cdot C$  iterations, where  $C$  is the maximal capacity
- ➔ implies time complexity:  $\mathcal{O}(n^2 \cdot nC) = \mathcal{O}(n^3 C)$
- ➔ **DANGER:**  $C$  depends **exponential** on any succinct representation of the input
- ➔ more thought (i.e. using the **shortest path**) yields  $\mathcal{O}(n^5)$
- ➔ reducible to  $\mathcal{O}(n^3)$

# TSP

- ➔ given  $n$  cities, with positive distance  $d_{ij}$ , s.t.  $d_{ij} = d_{ji}$
- ➔ what is the **fastest tour** of the cities, i.e. minimise

$$\sum_{i=1}^n d_{\pi(i), \pi(i+1)} \quad \text{for permutation } \pi \text{ with } \pi(n+1) = \pi(1)$$

- ➔ the problem is called **TSP**
- ➔ the (polynomially) related decision problem: **TSP(D)**

## Naive Algorithm

- ➔ enumerate all possible solutions; compute the costs; pick the best

**Fact:** Time bound:  $\mathcal{O}(n!)$ , Space bound:  $\mathcal{O}(n)$

This bound can be improved slightly, but remains **exponential**

# P vs NP

## Definition (informal)

- 1 the **complexity class P** contains all feasible problems
- 2 **NP** contains all problems that are feasible on a machine that can **guess**

we will see that TSP can be solved in **polynomial time** if we allow a **non-deterministic** algorithm

- ➔ no clever way of removing non-determinism is known
- ➔ in fact if you find a polynomial-time algorithm you can win \$1 million:

*The Board of Directors of CMI [Clay Mathematics Institute] designated a \$1 million prize fund for the solution to this problem.*

- ➔ latest conjecture: **P**  $\neq$  **NP** proven in 2050 (**Natarajan Shankar**)