

# Algorithm Theory

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Speed-Up Theorem

Small  $\alpha$ -Notation

Space Bounds

## Theorem

- ➔ Let  $L \in \mathbf{TIME}(f(n))$
- ➔  $\exists C \geq 0, \forall c > 0$  such that
- ➔  $L \in \mathbf{TIME}(f'(n))$  with  $f'(n) = c \cdot f(n) + n + \lceil \frac{c}{6} \rceil \cdot n + C$

## Proof

Let  $M = (K, \Sigma, \delta, a)$  be a  $k$ -string TM that decides  $L$ ,  $m \in \mathbb{N}$

Define  $M' = (K', \Sigma', \delta', s)$  with

$$\Sigma' = \Sigma \cup \Sigma^m$$

 $M'$  $\Sigma'$ 

## Initialisation

- 1 Read blocks of input  $(a_1, \dots, a_m)$  of length  $m$
- 2 write them as **one** symbol on the second string
- 3 If necessary “padd” blocks

## Fact

We use extra states of form  $\{s\} \times \Sigma^i$  for all  $i \in [1, m-1]$

 $K'$ 

Initialisation needs  $|x| + 1$  steps

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# Time Complexity Revisited

## Reminder

- ➔  $L \in \mathbf{TIME}(f(n))$ ,  
if  $L$  is **decided** by a multi-string  $M$  operating in time  $f(n)$

## Fact

PALINDROMES  $\in \mathbf{TIME}(\mathcal{O}(n))$

## “Theorem”

## Linear Speed-Up Theorem

- ➔ Assume  $\exists$  TM  $M$  deciding  $L$  within time-bound  $f(n)$
- ➔ Then  $\forall d > 0, \exists n_0 \in \mathbb{N}, \exists$  TM  $M'$   
deciding  $L$  in time-bound  $d \cdot f(n)$  for all  $n \geq n_0$

## Corollary

PALINDROMES  $\in \mathbf{TIME}(n)$

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Speed-Up Theorem

Small  $\alpha$ -Notation

Space Bounds

## Simulation

- ➔  $M'$  simulates  $m$  steps of  $M$  by at most **six** steps

The simulation proceeds in stages

## Encoding of States in $k$ -string TM $M$

State of  $M$  in the current stage is described by

 $K'$ 

- 1  $(q, j_1, \dots, j_k)$
- 2  $j_i \leq m$  is the position of the cursor on the  $i^{\text{th}}$  tape  
**within** the scanned  $m$ -tuple

## Stage

- ➔  $M'$  checks the surroundings  $m$ -blocks 4 steps  
by moving to the **left** and twice to the **right**, **left** once
- ➔ Based on this  $M'$  simulates  $m$  moves of  $M$  2 steps

## Fact

We use extra states of form  $K \times \{1, \dots, m\}^k \times \Sigma^{3mk}$

 $K'$ 

Each stage of simulation needs **6** steps

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## Total Number of Steps

- ➔ Initial Phase  $|x| + 1$  steps
- ➔ Prepare Simulation  $\lceil \frac{|x|}{m} \rceil + 1$  steps  
moving the cursor to the left
- ➔ Simulation  $6 \lceil \frac{f(|x|)}{m} \rceil$
- ➔ Auxilliary Steps  $\cdot$

Hence in total:

$$6 \lceil \frac{f(|x|)}{m} \rceil + |x| + \lceil \frac{|x|}{m} \rceil + 8$$

Setting  $m = \frac{6}{c}$ ,  $n = |x|$ ,  $C = 8$  yields:

$$\begin{aligned} 6 \lceil \frac{f(n)}{m} \rceil + |x| + \lceil \frac{n}{m} \rceil + 8 &= cf(n) + n + \frac{cn}{6} + 8 \\ &\leq cf(n) + n + \lceil \frac{c}{6} \rceil n + C \\ &=: f'(n) \end{aligned}$$

□

## Linear Speed-Up Theorem

## Theorem

- ➔ Assume  $\exists$  TM  $M$  deciding  $L$  within time-bound  $f(n)$ , and  $f(n) = \omega(n)$
- ➔ Then  $\forall d > 0$ ,  $\exists n_0 \in \mathbb{N}$ ,  $\exists$  TM  $M'$  deciding  $L$  in time-bound  $d \cdot f(n)$  for all  $n \geq n_0$

Small  $o$ -Notation $f(n)$  is small- $o$  of  $g(n)$ 

- ➔  $\forall c > 0$ ,  $\exists n_c \in \mathbb{N}$  such that
- $\forall n \geq n_c: f(n) < c \cdot g(n)$

Then  $f(n) = o(g(n))$ 

- ➔ Equivalent to

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$$

- ➔  $f(n) = \omega(g(n))$ , if  $g(n) = o(f(n))$

Examples: Small  $o$ -Notation

## Example

We have  $k \cdot n = o(n \cdot \log n)$ , i.e.

- ➔ let  $c > 0$  be arbitrary
- ➔  $\exists n_c$  and  $\forall n \geq n_c$ :

$$k \cdot n < c \cdot (n \cdot \log n)$$

## Example

But  $n \neq o(k \cdot n)$ 

Assume otherwise, i.e.,

- ➔  $\forall c > 0 \exists n_c$
- ➔  $\forall n \geq n_c$

$$n < c \cdot (kn)$$

## Proof

(of Linear Speed-Up Theorem)

Assume

- ➔  $L$  is decided by a  $k$ -string TM  $M$  in time  $f(n)$ , and
- ➔  $f(n) = \omega(n)$ , i.e.,  $n = o(f(n))$ .

Then

- ➔  $\exists k'$ -string TM  $M'$  ( $k' = k$  or  $k' = 2$ ) deciding  $L$  in time  $cf(n) + n + \lceil \frac{c}{6} \rceil n + 8$ , where  $c > 0$  arbitrary

$$cf(n) + n + \lceil \frac{c}{6} \rceil n + 8 = cf(n) + (1 + \lceil \frac{c}{6} \rceil)n + 8$$

$$\leq cf(n) + cf(n) + cf(n)$$

$$\leq 3cf(n) \quad (\text{almost everywhere})$$

- ➔ Set  $c = \frac{d}{3}$  to achieve the theorem

□

## Corollary

We can freely use the  $\mathcal{O}$ -notation in time bounds

## TM with input and output

### Definition

A  $k$ -string TM with **input** and **output** is a  $k$ -string TM but if

$$\delta(q, \sigma_1, \dots, \sigma_k) = (p, \rho_1, D_1, \dots, \rho_k, D_k)$$

Then

- 1  $\rho_1 = \sigma_1$  input read-only
- 2  $D_k \neq \leftarrow$  output write-only
- 3 if  $\sigma_1 = \sqcup$  then  $D_1 = \leftarrow$

### Theorem

- $\forall$   $k$ -string TM  $M$ ,  
operating within time bound  $f(n) \geq n$
- $\exists$   $(k+2)$ -string TM with input and output,  
operating in time bound  $\mathcal{O}(f(n))$

## Space-complexity Classes

### Definition

**SPACE**( $f(n)$ )

- $L \in \mathbf{SPACE}(f(n))$ ,  
if  $\exists$  TM with input and output that requires at most  
space-bound  $f(n)$ , and decides  $L$

### Example

PALINDROMES  $\in \mathbf{SPACE}(\log n)$

We construct a 3-string TM  $M'$  with input and output.  $M'$  uses the second string to count the number of iterations in binary

- 1 Move the first cursor to  $i$ -th position
- 2 Read the  $i$ -th symbol of input,  
**Accept**, if it is  $\sqcup$ , Store the symbol in a state, otherwise
- 3 Move the first cursor to the last  $i$ -th position
- 4 Compares the  $i$ -th symbol and the last  $i$ -th symbol,  
**Reject**, if they are different

## Space Bounds

Suppose

$$(s, \triangleright, x, \dots, \triangleright, \epsilon) \xrightarrow{M^*} (H, w_1, u_1, \dots, w_k, u_k) \quad H \in \{h, \text{yes}, \text{no}\}$$

- Space required by  $M$  on input  $x$  is

$$\sum_{i=1}^k |w_i u_i|$$

- If  $M$  is TM with input and output,  
then space required by  $M$  on input  $x$  is

$$\sum_{i=2}^{k-1} |w_i u_i|$$

- $M$  operates within space bound  $f(n)$  if,  
 $\forall$  input  $x$ ,  $M$  requires at most space  $f(|x|)$