

Algorithm Theory

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Speed-up Theorems

Theorem Linear Speed-Up Theorem (Time)

- Assume \exists TM M deciding L within time-bound $f(n)$, and $f(n) = \omega(n)$
- Then $\forall d > 0, \exists n_0 \in \mathbb{N}, \exists$ TM M' deciding L in time-bound $d \cdot f(n)$ for all $n \geq n_0$

Theorem Linear Speed-Up Theorem (Space)

- Assume \exists TM M deciding L within space-bound $f(n)$, and $f(n) = \omega(1)$
- Then $\forall d > 0, \exists n_0 \in \mathbb{N}, \exists$ TM M' deciding L in space-bound $d \cdot f(n)$ for all $n \geq n_0$

Quantitative Church-Turing Thesis

Thesis ①

The class of effectively computable functions $f: \mathbb{N} \rightarrow \mathbb{N}$ coincides with the class of functions computable by a TM.
Church-Turing 1936

Thesis ② quantum computers may change that

All reasonable sequential models of computation have the same time complexity as (deterministic) TM upto a polynomial factor.
common knowledge ☺ 1976

Thesis ③ quantum computers may change that

Tractable problems are those that are in the class \mathbf{P} .
Cook-Karp 197?

Random Access Machines

- A random access machine (RAM) consists of an array of registers each holding an arbitrarily large integer; register 0 is the accumulator
- A RAM program $\Pi = (\pi_1, \pi_2, \dots, \pi_m)$ is a sequence of instructions, κ denotes the program counter

Instruction	Op	Semantics	
READ	$j \ (\uparrow j)$	$r_0 := i_j$	$(r_0 := i_j)$
STORE	$j \ (\uparrow j)$	$r_j := r_0$	$(r_j := r_0)$
LOAD	x	$r_0 := x$	$x \in \{j, \uparrow j, = j\}$
ADD	x	$r_0 := r_0 + x$	$x \in \{j, \uparrow j, = j\}$
SUB	x	$r_0 := r_0 - x$	$x \in \{j, \uparrow j, = j\}$
HALF		$r_0 := \lfloor \frac{r_0}{2} \rfloor$	

JUMP	j	$\kappa := j$
JPOS, JZERO, JNEG	j	if $r_0 > 0, r_0 = 0, r_0 < 0$
HALT		then $\kappa := j$ $\kappa := 0$

Definition

- A **configuration** of Π is (κ, R) , where

$$R = \{(j_1, r_{j_1}), \dots, (j_k, r_{j_k})\}$$

denotes a set of **register-value** pairs, changed so far

- \forall sets of finite sequences of integers D

\forall functions $\phi: D \rightarrow \text{int}$

Π **computes** ϕ if for any $I \in D$

$$(1, \emptyset) \xrightarrow{(\Pi, I)^*} (0, R)$$

where $(0, \phi(I)) \in R$

Example: Multiple two binary numbers

- | | |
|--|---|
| 1. READ 1 | 12. ADD 5 |
| 2. STORE 1 ($R_1 = i_1$) | 13. STORE 4 ($R_4 = i_1 \cdot (i_2 \bmod 2^k)$) |
| 3. STORE 5 ($R_5 = i_1 2^k$) | 14. LOAD 5 |
| 4. READ 2 | 15. ADD 5 |
| 5. STORE 2 (*) (loop starts) | 16. STORE 5 |
| 6. HALF | 17. LOAD 3 |
| 7. STORE 3 ($R_3 = \lfloor \frac{i_2}{2^k} \rfloor$) | 18. JZERO 20 (if $R_3 = 0$ done) |
| 8. ADD 3 | 19. JUMP 5 (else, repeat) |
| 9. SUB 2 | 20. LOAD 4 |
| 10. JZERO 14 | 21. HALT |
| 11. LOAD 4 | |

$$(*) \quad R_2 = i_2 \quad \text{if } k = 0, \quad R_2 = \lfloor \frac{i_2}{2^{k-1}} \rfloor \quad \text{if } k > 0$$

- We write $\ell(i) = |(i)_2|$ for the **binary length** of i
- We set $\ell(I) = \sum_{j=1}^n \ell(i_j) \quad I \in D$
- Suppose

$$(1, \emptyset) \xrightarrow{(\Pi, I)^t} (0, R) \quad \text{and} \quad t \leq f(\ell(I))$$

Then Π **computes** ϕ in time $f(n)$

Definition

- $\Sigma = \{\sigma_1, \dots, \sigma_k\}$ alphabet of a TM
- We set $D_\Sigma = \{(i_1, \dots, i_n, 0) \mid n \geq 0, i_j \in [1, k]\}$

- $\forall L \subseteq (\Sigma - \{\sqcup, \triangleright\})^*$

Define $\phi_L: D_\Sigma \rightarrow \{0, 1\}$:

$$\phi_L((i_1, \dots, i_n, 0)) = 1 \quad \text{iff} \quad \sigma_{i_1} \dots \sigma_{i_n} \in L$$

i.e., computing ϕ_L by a RAM is equivalent of deciding L

ϕ_L

TM and RAM

Theorem

Suppose $L \in \mathbf{TIME}(f(n))$, then there is a RAM program which computes ϕ_L in time $\mathcal{O}(f(n))$

Definition

- Let I be a sequence $\{i_1, \dots, i_n\}$ of integers
we write $b(I)$ to denote the string $(i_1)_2; \dots; (i_n)_2$
- We say a TM M **computes** $\phi: D \rightarrow \text{int}$
if for any sequence $I \in D$: $M(b(I)) = b(\phi(I))$

Theorem

If a RAM program Π computes a function ϕ in time $f(n)$, then there is a 7-string TM M which computes ϕ in time $\mathcal{O}(f(n)^3)$

Nondeterministic Time

- A nondeterministic Turing machine N is a quadruple (K, Σ, Δ, s) with

$$\Delta: K \times \Sigma \rightarrow \mathcal{P}((K \cup \{h, \text{yes}, \text{no}\}) \times \Sigma \times \{\leftarrow, \rightarrow, -\})$$

- N **decides** L if for any $x \in \Sigma^*$:

$$x \in L \quad \text{iff} \quad (s, \triangleright, x) \xrightarrow{N^*} (\text{yes}, w, u) \quad \text{for some } w \text{ and } u.$$

- N **decides** L in time $f(n)$ if

1 N decides L and

2 $\forall x \in \Sigma^*$:

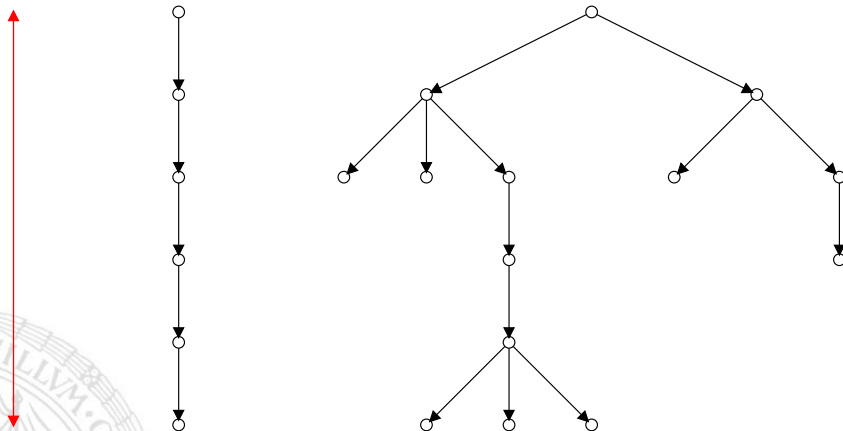
$$(s, \triangleright, x) \xrightarrow{N^t} (q, w, u) \quad \text{implies} \quad t \leq f(|x|)$$

We write $L \in \mathbf{NTIME}(f(n))$

Measuring Time

$f(n)$ Deterministic

Nondeterministic



Define a nondeterministic Turing machine (NTM) M that decides the language L of binary strings ending in the string 01 :



$p \in K$	$\sigma \in \Sigma$	$\delta(p, \sigma)$
s	\triangleright	$\{(s, \triangleright, \rightarrow)\}$
s	\sqcup	\emptyset
s	0	$\{(s, 0, \rightarrow), (q_1, 0, \rightarrow)\}$
s	1	$\{(s, 1, \rightarrow)\}$
q_1	\sqcup	\emptyset
q_1	0	\emptyset
q_1	1	$\{(q_2, 1, \rightarrow)\}$
q_2	\sqcup	$\{\text{yes}\}$
q_2	$-$	\emptyset

Nondeterministic Space

Definition

N **decides** L within space $f(n)$ if

1 N decides L and

2 $\forall x \in (\Sigma - \{\triangleright, \sqcup\})^*$:

$$(s, \triangleright, x, \dots, \triangleright, \epsilon) \xrightarrow{N^*} (q, w_1, u_1, \dots, w_k, u_k) \\ \text{implies } \sum_{j=2}^{k-1} |w_j u_j| \leq f(|x|)$$

We write $L \in \mathbf{NSPACE}(f(n))$

Example REACHABILITY $\in \mathbf{NSPACE}(\mathcal{O}(\log n))$

- 1 use 2 strings beside the input
- 2 on the 2nd string write the currently checked node i
- 3 on the 3rd string we write a guess j
- 4 check whether (i, j) is in the graph; repeat

Complexity Classes (continued)

Definition

TIME($f(n)$) **SPACE**($f(n)$) **NTIME**($f(n)$) **NSPACE**($f(n)$)

We may replace f by a family of functions, parameterised by k

$$\mathbf{TIME}(n^k) = \bigcup_{i>0} \mathbf{TIME}(n^i) = \mathbf{P}$$

$$\mathbf{NTIME}(n^k) = \bigcup_{i>0} \mathbf{NTIME}(n^i) = \mathbf{NP}$$

Other classes:

$$\mathbf{PSPACE} = \mathbf{SPACE}(n^k) \quad \mathbf{NPSPACE} = \mathbf{NSPACE}(n^k)$$

$$\mathbf{EXP} = \mathbf{TIME}(2^{n^k})$$

$$\mathbf{L} = \mathbf{SPACE}(\log n) \quad \mathbf{NL} = \mathbf{NSPACE}(\log n)$$

Alternative Definition of NP

Definition

➔ A **verifier** of a language L is an algorithm P such that:

$$L = \{w \mid \text{there exists a string } c \text{ so that } P \text{ accepts } \langle w, c \rangle\}$$

➔ A **polynomial verifier** is one that runs in time polynomial in $|w|$

Theorem

NP is the class of all languages that have polynomial verifiers

Proof

A polynomial verifier P runs in polynomial time:

Hence it can have only polynomial bounded certificates c

Then

➔ Transform a **NTM into a verifier**, by reading the certificate as a choice sequence

➔ Transform a **verifier into a NTM** by guessing the certificate \square

Determinism vs Nondeterminism

Example

$\mathbf{TSP}(D) \in \mathbf{NP}$ as $\mathbf{TSP}(D) \in \mathbf{NTIME}(n^2)$:

- 1 Use 2 strings
- 2 Guess a tour on the first string
- 3 Check the tour on the second string.

$\mathbf{TSP}(D)$

Theorem

Suppose $L \in \mathbf{NTIME}(f(n))$

Then L is also decided by a 3-string deterministic TM M in time $\mathcal{O}(d^{f(n)})$

$d > 1$ depends on the NTM N deciding L , i.e.,

$$\mathbf{NTIME}(f(n)) \subseteq \bigcup_{d>1} \mathbf{TIME}(d^{f(n)})$$