

# Algorithm Theory

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Summer 2007

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LVA 703608 (week 5)

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Complexity Classes

Time Constructible

Space Constructible

Complements

Hierarchy Theorems

## Complexity Classes

model of computation	multi-string TM
mode	deterministic nondeterministic
resource	time space
bound	$f: \mathbb{N} \rightarrow \mathbb{N}$

### Definition

### Complexity class

A **complexity class** is the **set of all languages**, decided by a **multi-string TM**, operating in a **mode**, so that the TM, on input  $x$  uses at most  $f(|x|)$  of the **resource**.

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## Time Constructible Functions

A function  $f: \mathbb{N} \rightarrow \mathbb{N}$ , where  $f(n) \geq n \log n$  is called **time constructible** if the function that maps

$1^n$  to the **binary** representation of  $f(n)$

is computable in **time**  $\mathcal{O}(f(n))$ .

**Example** Consider the following functions:

- 1  $f(n) = n \log n$  is time constructible

**Proof Idea:** First represent  $n$  in binary; second binary multiplication of  $n$  and  $\log n$ ; the latter is (grossly) bounded by  $\mathcal{O}(n \cdot \log n)$  steps

- 2  $f(n) = n\sqrt{n}$  is time constructible

- 3  $f(n) = c, f(n) = n$  are **not** time constructible

## Space Constructible Functions

A function  $f: \mathbb{N} \rightarrow \mathbb{N}$ , where  $f(n) \geq \log n$  is called **space constructible** if the function that maps

$1^n$  to the **binary** representation of  $f(n)$

is computable in **space**  $\mathcal{O}(f(n))$ .

**Example** Consider the following functions:

- 1  $f(n) = \log n$  is space constructible

**Proof Idea:** First represent  $n$  in binary; then count the number of bits in  $(n)_2$ ; needs at most  $\mathcal{O}(\log n)$

- 2  $f(n) = n^2$  is space constructible

- 3  $f(n) = c, f(n) = \log \log n$  are **not** space constructible

# Complements of Complexity Classes

## Definition

$\text{co}\mathcal{C}$

Let  $L \subseteq \Sigma^*$  be a language

Define  $\bar{L} = \Sigma^* - L$ , its **complement**

For any class  $\mathcal{C}$ ,  $\text{co}\mathcal{C} = \{\bar{L} : L \in \mathcal{C}\}$

## Facts

- ➔ deterministic space and time classes are closed under complement
- ➔ nondeterministic space is closed under complement
- ➔ open problem whether nondeterministic time classes are closed.

# Hierarchy Theorems

## Theorem

## The time hierarchy theorem

If  $f(n)$  is a time constructible function, then the class **TIME**( $f(n)$ ) is strictly contained in **TIME**( $f(2n + 1)^3$ ).

**Corollary:**  $\mathbf{P} \subsetneq \mathbf{EXP}$

## Theorem

## The space hierarchy theorem

If  $f(n)$  is a space constructible function, then the class **SPACE**( $f(n)$ ) is strictly contained in **SPACE**( $f(n) \log f(n)$ )

**Corollary:**  $\mathbf{L} \subsetneq \mathbf{PSPACE}$

# Time Hierarchy Theorem

Let  $f(n)$  be a time-constructible function, define

$$H_f := \{M; x : M \text{ accepts } x \text{ after at most } f(|x|) \text{ steps}\}$$

**Lemma**  $H_f \in \mathbf{TIME}(f(n)^3)$ .

**Proof sketch**

➔ construct a suitable TM

**Lemma**  $H_f \notin \mathbf{TIME}(f(\lfloor \frac{n}{2} \rfloor))$ .

**Proof sketch**

➔ use diagonalisation

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Complexity Classes

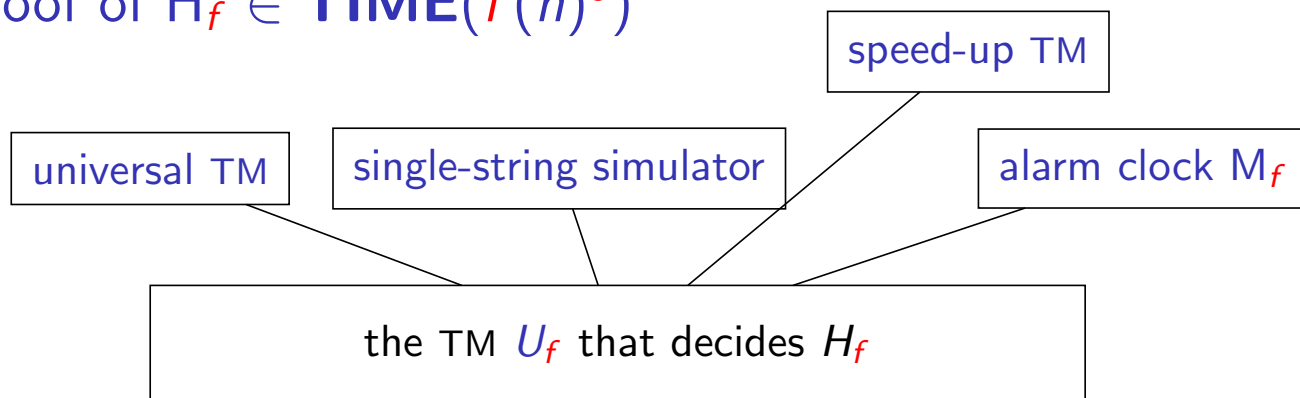
Time Constructible

Space Constructible

Complements

Hierarchy Theorems

## Proof of $H_f \in \mathbf{TIME}(f(n)^3)$



➔ a **universal TM**  $U$  interprets the **first part** of its input as the code of another TM and the **second** as the code of the input; we obtain

$$U(M; x) = M(x)$$

➔ states, symbols, special symbols, etc. are encoded as **natural numbers** in binary

➔  $\delta$  is encoded as list of pairs

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## Initial Phase

$U_f$  has 4 strings.

- ➔  $U_f$  uses  $M_f$  on the 4th string to compute binary representation of  $f(|x|)$

Then

- ➔ transform input  $x$  to the **encoding** of  $\triangleright x$
- ➔ on the **second string** the code of the **initial state**  $s$  of  $M$  is written
- ➔ on the **third string** the description of  $M$  is written

### Complexity

- ➔  $\mathcal{O}(f(|x|)) + \mathcal{O}(n) = \mathcal{O}(f(n))$ , where  $n = |M; x|$   
(constants **independent** on  $M$ )

## Main simulation

$U_f$  simulates one-by-one the steps of  $M$  on  $x$

### repeat

- ➔  $U_f$  uses the **single-string simulation** to represent all strings of  $M$  on its first string
- ➔ the second string is used to keep track of the **current state** of  $M$
- ➔ gather all information from the first string, update state according to third string, rewrite first string
- ➔  $U_f$  advances the alarm-clock, then repeats.

The simulation **halts** if  $M$  halts or the alarm goes off

### Complexity

- ➔ each step needs  $\mathcal{O}(f(n)^2)$  (constants **independent** on  $M$ )
- ➔ total time is  $\mathcal{O}(f(n)^3)$  use speed-up machine to obtain  $f(n)^3$

## Reminder: Diagonalisation

$$H := \{M; x : M \text{ halts on } x\}$$

	...	100	101	110	...
...	...	...	...	...	...
100	...	⌚	⌚	×	...
101	...	⌚	⌚	⌚	...
110	...	⌚	×	×	...
...	...	...	...	...	...

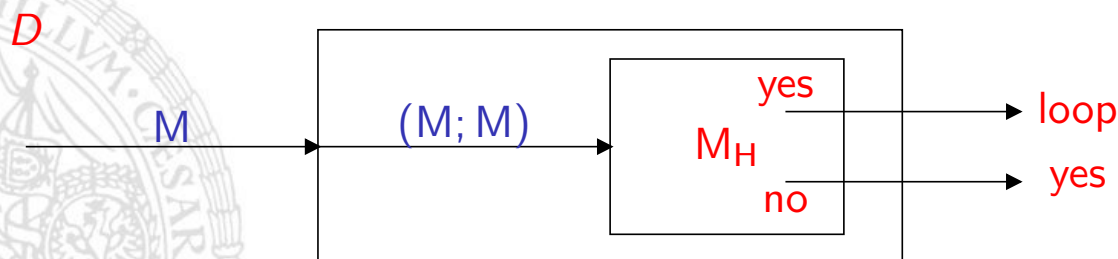
× : halting

⌚ : looping

### Definition

 $M_H$ 

suppose  $M_H$  decides  $H$ :



□

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Complexity Classes

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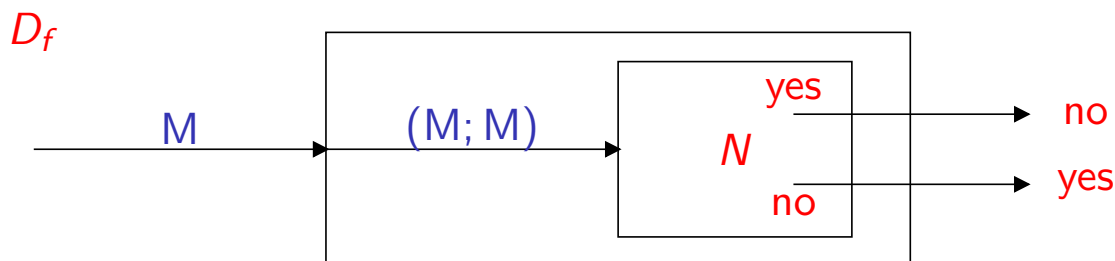
Complements

Hierarchy Theorems

## Proof of $H_f \notin \mathbf{TIME}(f(\lfloor \frac{n}{2} \rfloor))$

suppose  $N$  decides  $H_f$  in time  $f(\lfloor \frac{n}{2} \rfloor)$ . Define

$D_f(M)$ : if  $N(M; M) = \text{yes}$  then  $\text{no}$ , else  $\text{yes}$



Hence, as  $D_f$  runs in time  $f(n)$ :

$D_f(D_f) = \text{yes} \Rightarrow N(D_f; D_f) = \text{no} \Rightarrow D_f; D_f \notin H_f \Rightarrow D_f(D_f) = \text{no}$

$D_f(D_f) = \text{no} \Rightarrow N(D_f; D_f) = \text{yes} \Rightarrow D_f; D_f \in H_f \Rightarrow D_f(D_f) = \text{yes}$

Contradiction

□

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