# Algorithm Theory 

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## Reductions

## Definition

$\mathrm{L}_{1}$ is reducible to $\mathrm{L}_{2}$ if
1 exists a function $R$ from strings to strings
2 computable by a deterministic TM in space $\mathcal{O}(\log n)$ such that
3 for all $x$ :

$$
x \in \mathrm{~L}_{1} \quad \text { iff } \quad R(x) \in \mathrm{L}_{2} .
$$

$\Rightarrow$ REACHABILITY reduces to CIRCUIT VALUE

- CIRCUIT SAT reduces to SAT
- CIRCUIT VALUE reduces to CIRCUIT SAT

CIRCUIT VALUE is a special case of CIRCUIT SAT

- identity as reduction suffices

Theorem
$\Rightarrow R_{1}$ be a reduction from language $L_{1}$ to $L_{2}$
$\Rightarrow R_{2}$ be a reduction from language $L_{2}$ to $L_{3}$
Then: $R_{1} \circ R_{2}$ is a reduction from language $L_{1}$ to $L_{3}$
Proof
$\Rightarrow \mathrm{M}_{R_{1}}$ computes $R_{1} ; \mathrm{M}_{R_{2}}$ computes $R_{2}$
define $R(x)=R_{2}\left(R_{1}(x)\right)$ :
11 start $\mathrm{M}_{R_{2}}$ on $x$
if $M_{R_{2}}$ moves the input cursor freeze $M_{R_{2}}$ and store the cursor position $i$
2 start $M_{R_{1}}$ on $x$ on a separate set of strings
13 output of $M_{R_{1}}$ is written on a work tape we only compute the symbol referenced by $i$
4 resume $M_{R_{2}}$

## Completeness

Definition
completeness
$\mathcal{C}$ a complexity class, L is $\mathcal{C}$-complete if
■ $L \in \mathcal{C}$
2 any language $L^{\prime} \in \mathcal{C}$ is (logspace) reducible to L
Example
for the language

$$
H_{f}=\{M ; x \mid M \text { accepts input } x \text { after at most } f(|x|) \text { steps }\}
$$

11 any $\mathrm{L} \in \operatorname{TIME}(f(n))$ reduces to $\mathrm{H}_{f}$
[ but $\mathrm{H}_{f} \notin \operatorname{TIME}(f(n))$

- $\mathrm{H}_{f}$ is not $\operatorname{TIME}(f(n))$-complete


## Definition

a complexity class $\mathcal{C}$ is closed under reductions
if, whenever L is reducible to $\mathrm{L}^{\prime}$ and $\mathrm{L}^{\prime} \in \mathcal{C}$, then $\mathrm{L} \in \mathcal{C}$.

## Theorem

P, NP, coNP, L, NL, PSPACE and EXP are closed under reductions.

## Theorem

If $\mathcal{C}, \mathcal{C}^{\prime}$ are closed under reductions and $\exists \mathrm{L}$ complete for $\mathcal{C}$ and $\mathcal{C}^{\prime}$, then $\mathcal{C}=\mathcal{C}^{\prime}$

## Proof

we show $\mathcal{C} \subseteq \mathcal{C}^{\prime}$ :
$\Rightarrow$ let $\mathrm{L}^{\prime} \in \mathcal{C}$

- as L is complete for $\mathcal{C}, \mathrm{L}^{\prime}$ reduces to L
$\Rightarrow$ as $\mathrm{L} \in \mathcal{C}^{\prime}, \mathrm{L}^{\prime} \in \mathcal{C}^{\prime}$, as $\mathcal{C}^{\prime}$ closed under reductions
$\mathcal{C}^{\prime} \subseteq \mathcal{C}$ : symmetric


## Definition

consider a 1-string polynomial-time $\mathrm{TM} \mathrm{M}=(K, \Sigma, \delta, s)$ deciding $L$ for fixed $x$, assume $M$ operates in time-bound $|x|^{k}$ Represent the computation as a $|x|^{k} \times|x|^{k}$ table:

## entry $(i, j)$ contents of position $j$ on the string at time $i$

## Assumptions

11 M halts after at most $|x|^{k}-2$ steps (we ignore $|x|=1$ )
12 pad strings if necessary
13 let $\sigma \in \Sigma$, write $\sigma_{q}$, if M reads $\sigma$ and is in state $q$
4 cursor starts to the right of $\triangleright$ and never visits $\triangleright$
5 M moves completely to the left before accepting
$\sigma$ insert identical rows if $M$ halts before $|x|^{k}-2$ has expired

## Example

| $\triangleright$ | $0_{s}$ | 1 | 1 | 0 | $\sqcup$ | $\sqcup$ | $\sqcup$ | $\sqcup$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $\triangleright$ | $\vdash$ | $1_{q_{0}}$ | 1 | 0 | $\sqcup$ | $\sqcup$ | $\sqcup$ | $\sqcup$ |
| $\triangleright$ | $\vdash$ | 1 | $1_{q_{0}}$ | 0 | $\sqcup$ | $\sqcup$ | $\sqcup$ | $\sqcup$ |
| $\triangleright$ | $\vdash$ | 1 | 1 | $0_{q_{0}}$ | $\sqcup$ | $\sqcup$ | $\sqcup$ | $\sqcup$ |
| $\triangleright$ | $\vdash$ | 1 | 1 | 0 | $\sqcup q_{0}$ | $\sqcup$ | $\sqcup$ | $\sqcup$ |
| $\triangleright$ | $\vdash$ | 1 | 1 | $0_{q_{0}^{\prime}}$ | $\sqcup$ | $\sqcup$ | $\sqcup$ | $\sqcup$ |
| $\triangleright$ | $\vdash$ | 1 | $1_{q}$ | $\sqcup$ | $\sqcup$ | $\sqcup$ | $\sqcup$ | $\sqcup$ |
| $\triangleright$ | $\vdash$ | $1_{q}$ | 1 | $\sqcup$ | $\sqcup$ | $\sqcup$ | $\sqcup$ | $\sqcup$ |
| $\triangleright$ | $\vdash q$ | 1 | 1 | $\sqcup$ | $\sqcup$ | $\sqcup$ | $\sqcup$ | $\sqcup$ |
| $\triangleright$ |  |  |  |  |  |  |  |  |
| $\triangleright$ | $\vdash$ | $1_{s}$ | 1 | $\sqcup$ | $\sqcup$ | $\sqcup$ | $\sqcup$ | $\sqcup$ |

and so on
$\triangleright$ yes

Definition
accepting
computation table $T$ is accepting
if $T_{|x|^{k}-1,1}=y e s$
Theorem
M as above
$M$ accepts $x$ iff the computation table of $M$ on input $x$ is accepting
Theorem
CIRCUIT VALUE is $\mathbf{P}$-complete
Proof
we show that for any $\mathrm{L} \in \mathbf{P}$, there is a (log space) reduction $R$ to CIRCUIT VALUE

- suppose $L=L(M)$
- $M$ operates within time-bound $|x|^{k}-2$
- $T$ denotes $n^{k} \times n^{k}$-computation table $n=|x|$


## Observations

1 changes in the table from line to the next are local
$\boxed{2}$ the local changes can be simulated by a circuit $C$
3 the table is representable by connecting copies of $C$

## Locality

1 let $i=0, j=0$, or $j=n^{k}-1$ the value of $T_{i j}$ is independent of $M$ and $x$
2 consider $T_{i j}$ equals the symbol under the cursor at position $j$ read at time i $T_{i j}$ depends only on $T_{i-1, j-1}, T_{i-1, j}, T_{i-1, j+1}$

$\Gamma$ denotes all symbols occurring in $T$
$\Rightarrow$ encode symbols in $\Gamma$ in binary
$\Rightarrow$ define a table $S$ of binary entries

$$
S_{i j l}
$$

$$
i \in\left[0, n^{k}-1\right] \quad j \in\left[0, n^{k}-1\right] \quad I \in[1, m]
$$

\[

\]

$\Rightarrow$ define $m$ Boolean functions with $3 m$ inputs for all $i, j$ $S_{i j l}=F_{l}\left(S_{i-1, j-1,1}, \ldots, S_{i-1, j-1, m}, \ldots, S_{i-1, j+1,1}, \ldots, S_{i-1, j-1, m}\right)$

- Boolean circuit $C$ with $3 m$ inputs and $m$ outputs computes

$$
\begin{array}{lll}
F_{1} & \ldots & F_{m}
\end{array}
$$

- given the binary encoding of $T_{i-1, j-1}, T_{i-1, j}, T_{i-1, j+1}$ $C$ computes $T_{i j}$


## Facts

1 C depends only on M
2. $C$ has a fixed size independent of $x$

## Definition

Final Construction

construct $D_{x}$ :
$1\left(n^{k}-1\right) \cdot\left(n^{k}-2\right)$ copies of $C$
2 the input gates of $C_{i j}$ are identified with the output gates of $C_{i-1, j-1}, C_{i-1, j}, C_{i-1, j+1}$
3 the input gates of $D_{x}$ correspond to the first row
44 the single output gate of $D_{x}$ is the first output of $C_{n^{k}-1,1}$

## Definition

for every $x$, set $R(x)=D_{x}$
construction of the circuit $R(x)$ possible in space $\mathcal{O}(\log n)$

## Theorem

SAT is NP-complete

## Fact

CIRCUIT SAT reduces to SAT

## Proof

SAT $\in \mathbf{N P}$, the certificate of a polynomial verifier is the assignment we show that all $\mathrm{L} \in \mathbf{N P}$ reduce to CIRCUIT SAT:
$\Rightarrow$ NTM $N$ decides $L$ in time $|x|^{k}-2$
$\Rightarrow$ assume N has at each step two nondeterministic choices
$\Rightarrow$ the first is called 0 , the second 1
$\Rightarrow$ thus a choice sequence is a string:

$$
\vec{c}=\left(c_{0}, \ldots, c_{n^{k}-2}\right) \in\{0,1\}^{n^{k}-1}
$$

1 construct a computation table replace $S_{i j l}$ by $S_{i, j, l, c_{i}}$ :

2 recall that $m$ denotes the bit-length of the symbols in the computation table

3 circuit $C$ has $3 m+1$ inputs
4 the extra arguments from $\vec{c}$ become input gates
5 construction of the circuit $R(x)$ possible in space $\mathcal{O}(\log n)$

