

# Higher- Order Termination

Cynthia Kop

June 20, 2012

- 1 What is Higher-Order Termination?
- 2 What is so difficult about this?
- 3 What do we do about that?
- 4 How do we do that?
  - Polynomial Interpretations
  - Path Orderings
  - Dependency Pairs
- 5 Where does that bring us?

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# What is a Higher-Order Term Rewriting System?

## Some Examples

$$\begin{aligned}\text{map}(F, \text{nil}) &\Rightarrow \text{nil} \\ \text{map}(F, \text{cons}(h, t)) &\Rightarrow \text{cons}(F \cdot h, \text{map}(F, t))\end{aligned}$$
$$\begin{aligned}\text{rec}(0, y, F) &\Rightarrow y \\ \text{rec}(s(x), y, F) &\Rightarrow F \cdot x \cdot \text{rec}(x, y, F)\end{aligned}$$
$$\begin{aligned}\text{and}(P, \text{forall}(\lambda x. Q(x))) &\Rightarrow \text{forall}(\lambda x. \text{and}(P, Q(x))) \\ \text{or}(P, \text{forall}(\lambda x. Q(x))) &\Rightarrow \text{forall}(\lambda x. \text{or}(P, Q(x))) \\ \text{not}(\text{forall}(\lambda x. Q(x))) &\Rightarrow \text{exists}(\lambda x. \text{not}(Q(x)))\end{aligned}$$

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Not one clear definition!

In practice: first-order rewriting plus **application** and/or **abstraction**

- CS [Aczel, 1978]
- CRS [Klop, 1980]
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- HRS [Nipkow, 1991]
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- STRS [Kusakari, 2001]
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- applicative untyped TRSs
  
- Higher-Order Logic (Isabelle)
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- Combinatory Reduction Systems with eXtensions
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# What systems do I consider?

Systems with  $\lambda$ -abstraction and  $\beta$ -reduction.

(or meta-variables, which can easily encode  $\beta$ -reduction).

Otherwise typically convertible to first-order systems!

Also needed: type system.

(or possibility to derive types)

Otherwise typically non-terminating!

Still many possibilities:

- function symbols with or without arity
- matching with variables or meta-variables
- application present or absent
- simple / polymorphic / dependent types
- terms modulo some equivalence relations (such as  $\beta$ )

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Make my own formalism!

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## HOW STANDARDS PROLIFERATE:

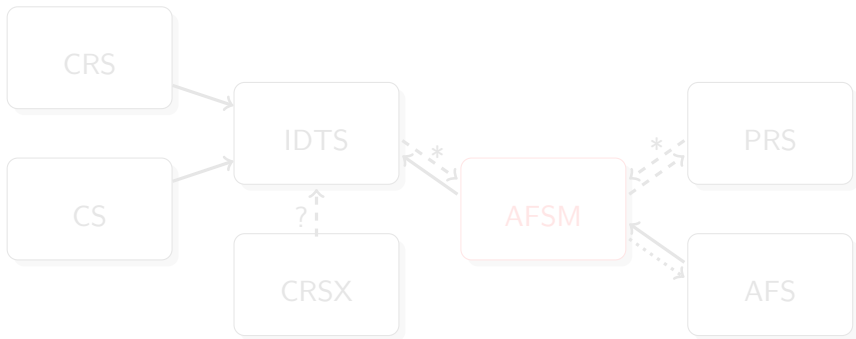
(SEE: A/C CHARGERS, CHARACTER ENCODINGS, INSTANT MESSAGING, ETC.)



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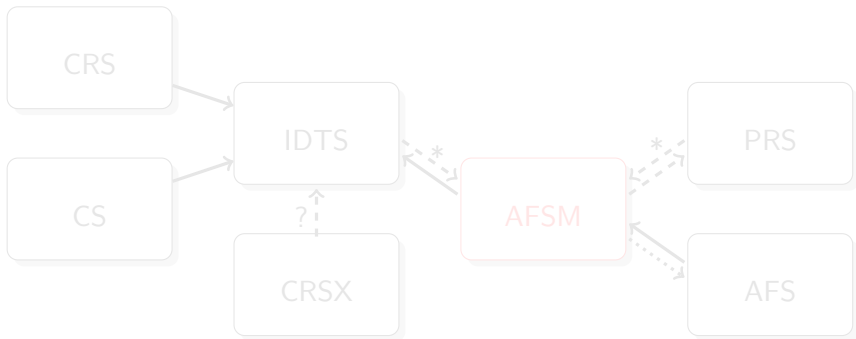
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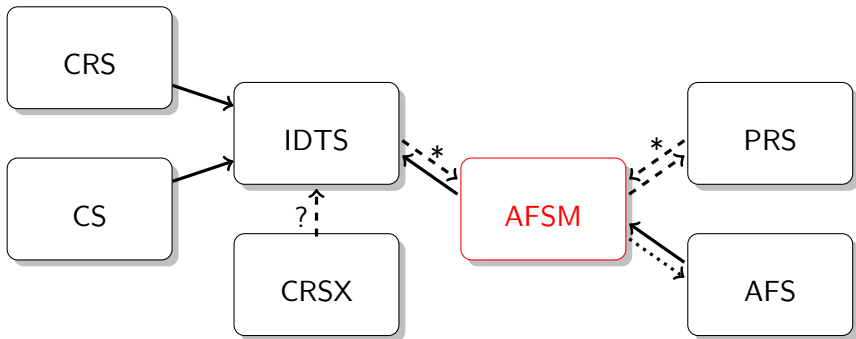
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# What is Termination?

**Goal:** study **full** termination

- no reduction strategy
- arbitrary start terms  
*(in particular: start terms may contain  $\lambda$ -abstractions)*

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# Beta-reduction Causes Non-termination

$$A(L(x)) \Rightarrow x$$

**Non-terminating** if  $A : [o] \rightarrow o \rightarrow o$  and  $L : [o \rightarrow o] \rightarrow o$

Define:

$$\omega := L(\lambda x. (A(x) \cdot x))$$

Then:

$$\begin{aligned} A(\omega) \cdot \omega &= A(L(\lambda x. (A(x) \cdot x))) \cdot \omega \\ &\Rightarrow (\lambda x. (A(x) \cdot x)) \omega \\ &= A(\omega) \cdot \omega \end{aligned}$$

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- methods should be **type-aware**
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# Polynomial Interpretations

## Polynomial Interpretations for First-order Terms

$$\begin{aligned}\text{minus}(x, 0) &\Rightarrow x \\ \text{minus}(0, x) &\Rightarrow 0 \\ \text{minus}(s(x), s(y)) &\Rightarrow \text{minus}(x, y)\end{aligned}$$

Use  $\llbracket - \rrbracket$  with:

- $\llbracket 0 \rrbracket = 0$
- $\llbracket s \rrbracket = \lambda n. n + 1$
- $\llbracket \text{minus} \rrbracket = \lambda n m. n + m + 1$

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$$\begin{aligned}x+1 &= \llbracket \text{minus}(x, 0) \rrbracket > \llbracket x \rrbracket &= x \\x+1 &= \llbracket \text{minus}(0, x) \rrbracket > \llbracket 0 \rrbracket &= 0 \\x+y+3 &= \llbracket \text{minus}(s(x), s(y)) \rrbracket > \llbracket \text{minus}(x, y) \rrbracket &= x+y+1\end{aligned}$$

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Required:

$$\llbracket (\lambda x.s) \cdot t \rrbracket \geq \llbracket s[x := t] \rrbracket$$

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- $\llbracket \cdot^{\text{nat} \rightarrow \text{nat}} \rrbracket = \lambda fn. f(n) + n$

Constraints:

- $F(0) + 2 \cdot 1 + 1 \cdot F(1) > 1$
- $F(h + t + 1) + h \cdot f(h + t + 1) + t \cdot F(h + t + 1) + 2 \cdot h + 1 >$   
 $F(h) \qquad \qquad \qquad + t \cdot F(t) \qquad \qquad \qquad + 0$

Interpretations in **Weakly Monotonic Functionals** (Pol '96).

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## Definition

- variables are polynomials
- if  $p, q$  polynomials, then  $p + q$  is a polynomial
- if  $p, q$  polynomials, then  $p \cdot q$  is a polynomial
- if  $F$  is a variable of type  $\tau_1 \rightarrow \dots \rightarrow \tau_m \rightarrow \iota$  with  $\iota$  a base type, and  $p_1 \in Pol^{\tau_1}, \dots, p_m \in Pol^{\tau_m}$ , then  $F(p_1, \dots, p_m)$  is a higher-order polynomial
  - here,  $Pol^{\sigma_1 \rightarrow \dots \rightarrow \sigma_n}$  contains elements  $\lambda y_1 \in WM_{\sigma_1} \dots y_n \in WM_{\sigma_n}.q$ , with  $q$  a higher-order polynomial.

In particular, if  $p_1, \dots, p_n$  are higher-order polynomials, and  $F : \iota_1 \rightarrow \dots \rightarrow \iota_n \rightarrow \kappa$  a second-order variable, then  $F(p_1, \dots, p_n)$  is a higher-order polynomial.

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- if  $p, q$  polynomials, then  $p + q$  is a higher-order polynomial
- if  $p, q$  polynomials, then  $p \cdot q$  is a higher-order polynomial
- if  $F$  is a variable of type  $\tau_1 \rightarrow \dots \rightarrow \tau_m \rightarrow \iota$  with  $\iota$  a base type, and  $p_1 \in Pol^{\tau_1}, \dots, p_m \in Pol^{\tau_m}$ , then  $F(p_1, \dots, p_m)$  is a higher-order polynomial
  - here,  $Pol^{\sigma_1 \rightarrow \dots \rightarrow \sigma_n}$  contains elements  $\lambda y_1 \in WM_{\sigma_1} \dots y_n \in WM_{\sigma_n}.q$ , with  $q$  a higher-order polynomial.

In particular, if  $p_1, \dots, p_n$  are higher-order polynomials, and  $F : \iota_1 \rightarrow \dots \rightarrow \iota_n \rightarrow \kappa$  a second-order variable, then  $F(p_1, \dots, p_n)$  is a higher-order polynomial.

# Path Orderings

## The Recursive Path Ordering for First-order Terms

### Ingredients:

- well-founded precedence  $>$
- status function mapping each symbol  $f$  to *lex* or *mul*

### Definition:

- 1  $f(s_1, \dots, s_n) \succ t$  if  $s_i \succeq t$  (some  $i$ );
- 2  $f(s_1, \dots, s_n) \succ g(t_1, \dots, t_m)$  if  $f > g$ ,  $\forall i. f(\vec{s}) \succ t_i$ ;
- 3  $f(s_1, \dots, s_n) \succ f(t_1, \dots, t_m)$  if  
 $stat(f) = lex$ ,  $[s_1, \dots, s_n] \succ_{lex} [t_1, \dots, t_m]$ ,  $\forall i. f(\vec{s}) \succ t_i$ ;
- 4  $f(s_1, \dots, s_n) \succ f(t_1, \dots, t_m)$  if  
 $stat(f) = mul$ ,  $\{\{s_1, \dots, s_n\}\} \succ_{mul} \{\{t_1, \dots, t_m\}\}$ ;
- 5  $x \succeq x$ ;
- 6  $f(s_1, \dots, s_n) \succeq f(t_1, \dots, t_n)$  if  $stat(f) = lex$  and each  $s_i \succeq t_i$ ;
- 7  $f(s_1, \dots, s_n) \succeq f(t_1, \dots, t_n)$  if  $stat(f) = mul$  and  
 $\{\{s_1, \dots, s_n\}\} \succeq_{mul} \{\{t_1, \dots, t_n\}\}$ .
- 8  $f(s_1, \dots, s_n) \succeq t$  if  $f(s_1, \dots, s_n) \succ t$ .

# Path Orderings

## The Recursive Path Ordering for First-order Terms

### Ingredients:

- well-founded precedence  $>$
- status function mapping each symbol  $f$  to *lex* or *mul*

### Definition:

- 1  $f(s_1, \dots, s_n) \succ t$  if  $s_i \succeq t$  (some  $i$ );
- 2  $f(s_1, \dots, s_n) \succ g(t_1, \dots, t_m)$  if  $f > g$ ,  $\forall i. f(\vec{s}) \succ t_i$ ;
- 3  $f(s_1, \dots, s_n) \succ f(t_1, \dots, t_m)$  if  
 $stat(f) = lex$ ,  $[s_1, \dots, s_n] \succ_{lex} [t_1, \dots, t_m]$ ,  $\forall i. f(\vec{s}) \succ t_i$ ;
- 4  $f(s_1, \dots, s_n) \succ f(t_1, \dots, t_m)$  if  
 $stat(f) = mul$ ,  $\{\{s_1, \dots, s_n\}\} \succ_{mul} \{\{t_1, \dots, t_m\}\}$ ;
- 5  $x \succeq x$ ;
- 6  $f(s_1, \dots, s_n) \succeq f(t_1, \dots, t_n)$  if  $stat(f) = lex$  and each  $s_i \succeq t_i$ ;
- 7  $f(s_1, \dots, s_n) \succeq f(t_1, \dots, t_n)$  if  $stat(f) = mul$  and  
 $\{\{s_1, \dots, s_n\}\} \succeq_{mul} \{\{t_1, \dots, t_n\}\}$ .
- 8  $f(s_1, \dots, s_n) \succeq t$  if  $f(s_1, \dots, s_n) \succ t$ .

# Path Orderings

## The Recursive Path Ordering for First-order Terms

### Ingredients:

- well-founded precedence  $>$
- status function mapping each symbol  $f$  to *lex* or *mul*

### Definition:

- 1  $f(s_1, \dots, s_n) \succ t$  if  $s_i \succeq t$  (some  $i$ );
- 2  $f(s_1, \dots, s_n) \succ g(t_1, \dots, t_m)$  if  $f > g$ ,  $\forall i. f(\vec{s}) \succ t_i$ ;
- 3  $f(s_1, \dots, s_n) \succ f(t_1, \dots, t_m)$  if  
 $stat(f) = lex$ ,  $[s_1, \dots, s_n] \succ_{lex} [t_1, \dots, t_m]$ ,  $\forall i. f(\vec{s}) \succ t_i$ ;
- 4  $f(s_1, \dots, s_n) \succ f(t_1, \dots, t_m)$  if  
 $stat(f) = mul$ ,  $\{\{s_1, \dots, s_n\}\} \succ_{mul} \{\{t_1, \dots, t_m\}\}$ ;
- 5  $x \succeq x$ ;
- 6  $f(s_1, \dots, s_n) \succeq f(t_1, \dots, t_n)$  if  $stat(f) = lex$  and each  $s_i \succeq t_i$ ;
- 7  $f(s_1, \dots, s_n) \succeq f(t_1, \dots, t_n)$  if  $stat(f) = mul$  and  
 $\{\{s_1, \dots, s_n\}\} \succeq_{mul} \{\{t_1, \dots, t_n\}\}$ .
- 8  $f(s_1, \dots, s_n) \succeq t$  if  $f(s_1, \dots, s_n) \succ t$ .

# Path Orderings

## The Recursive Path Ordering – Alternative Formulation

### Ingredients:

- well-founded precedence  $>$
- status function mapping each symbol  $f$  to *lex* or *mul*

### Definition:

- 1  $f(s_1, \dots, s_n) \succ t$  if  $f^*(s_1, \dots, s_n) \succeq t$ ;
- 2  $f^*(s_1, \dots, s_n) \succeq t$  if  $s_i \succeq t$  (some  $i$ );
- 3  $f^*(s_1, \dots, s_n) \succeq g(t_1, \dots, t_m)$  if  $f > g$ ,  $\forall i. f^*(s_i) \succeq t_i$ ;
- 4  $f^*(s_1, \dots, s_n) \succeq f(t_1, \dots, t_m)$  if  
 $stat(f) = lex$ ,  $[s_1, \dots, s_n] \succ_{lex} [t_1, \dots, t_m]$ ,  $\forall i. f^*(s_i) \succeq t_i$ ;
- 5  $f^*(s_1, \dots, s_n) \succeq f(t_1, \dots, t_m)$  if  
 $stat(f) = mul$ ,  $\{\{s_1, \dots, s_n\}\} \succ_{mul} \{\{t_1, \dots, t_m\}\}$ ;
- 6  $x \succeq x$ ;
- 7  $f(s_1, \dots, s_n) \succeq f(t_1, \dots, t_n)$  if  $stat(f) = lex$  and each  $s_i \succeq t_i$ ;
- 8  $f(s_1, \dots, s_n) \succeq f(t_1, \dots, t_n)$  if  $stat(f) = mul$  and  
 $\{\{s_1, \dots, s_n\}\} \succeq_{mul} \{\{t_1, \dots, t_n\}\}$ .
- 9  $f(s_1, \dots, s_n) \succeq t$  if  $f^*(s_1, \dots, s_n) \succeq t$ .

# Path Orderings

## A Higher-order Recursive Path Ordering

### Ingredients:

- application becomes a function symbol
- well-founded precedence  $>$
- status function mapping each symbol  $f$  to *lex* or *mul*

So

$$\text{map}(F, \text{cons}(h, t)) \Rightarrow \text{cons}(F \cdot h, \text{map}(F, t))$$

becomes

$$\text{map}(F, \text{cons}(h, t)) \Rightarrow \text{cons}(@^{\text{nat} \rightarrow \text{nat}}(F, h), \text{map}(F, t))$$

# Path Orderings

## A Higher-order Recursive Path Ordering

### Ingredients:

- application becomes a function symbol
- well-founded precedence  $>$
- status function mapping each symbol  $f$  to *lex* or *mul*

So

$$\text{map}(F, \text{cons}(h, t)) \Rightarrow \text{cons}(F \cdot h, \text{map}(F, t))$$

becomes

$$\text{map}(F, \text{cons}(h, t)) \Rightarrow \text{cons}(@^{\text{nat} \rightarrow \text{nat}}(F, h), \text{map}(F, t))$$



# Path Orderings

## A Higher-order Recursive Path Ordering

- 1  $\lambda \vec{x}. f(s_1, \dots, s_n) \succ t$  if  $\lambda \vec{x}. f^*(s_1, \dots, s_n) \succeq t$ ;
- 2  $f^*(s_1, \dots, s_n) \succeq t$  if  $s_i \langle f^*(\vec{s}), \dots, f^*(\vec{s}) \rangle \succeq t$  (some  $i$ );
- 3  $f^*(s_1, \dots, s_n) \succeq g(t_1, \dots, t_m)$  if  $f > g$ ,  $\forall i: f_{\tau_i}^*(\vec{s}) \succeq t_1, \dots, t_n$ ;
- 4  $f^*(s_1, \dots, s_n) \succeq f(t_1, \dots, t_m)$  if  $stat(f) = lex$ ,  $[s_1, \dots, s_n] \succ_{lex} [t_1, \dots, t_m]$ ,  $\forall i. f_{\tau_i}^*(\vec{s}) \succeq t_1, \dots, t_m$ ;
- 5  $f^*(s_1, \dots, s_n) \succeq f(t_1, \dots, t_m)$  if  $stat(f) = mul$ ,  $\{\{s_1, \dots, s_n\}\} \succ_{mul} \{\{t_1, \dots, t_m\}\}$ ;
- 6  $f^*(s_1, \dots, s_n) \succeq \lambda x. t$  if  $f^*(s_1, \dots, s_n, x) \succeq t$ ;
- 7  $x \succeq x$ ;
- 8  $\lambda x. s \succeq \lambda x. t$  if  $s \succeq t$ ;
- 9  $F(s_1, \dots, s_n) \succeq F(t_1, \dots, t_n)$  if  $\forall i. s_i \succeq t_i$ ;
- 10  $f(s_1, \dots, s_n) \succeq f(t_1, \dots, t_n)$  if  $stat(f) = lex$  and each  $s_i \succeq t_i$ ;
- 11  $f(s_1, \dots, s_n) \succeq f(t_1, \dots, t_n)$  if  $stat(f) = mul$  and  $\{\{s_1, \dots, s_n\}\} \succeq_{mul} \{\{t_1, \dots, t_n\}\}$ .
- 12  $f(s_1, \dots, s_n) \succeq t$  if  $f^*(s_1, \dots, s_n) \succeq t$ .

# Path Orderings

## A Higher-order Recursive Path Ordering

$\text{nil} : \circ$   
 $\text{cons} : [\circ \times \circ] \rightarrow \circ$   
 $\text{map} : [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ$   
 $@^{\circ \rightarrow \circ} : [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ$

$\text{map}(F, \text{nil}) \Rightarrow \text{nil}$   
 $\text{map}(F, \text{cons}(h, t)) \Rightarrow \text{cons}(@^{\circ \rightarrow \circ}(F, h), \text{map}(F, t))$

Choose  $\text{map} > \text{cons}, @^{\circ \rightarrow \circ}$ , all statuses *mul*.

# Path Orderings

## A Higher-order Recursive Path Ordering

$\text{nil} : o$   
 $\text{cons} : [o \times o] \rightarrow o$   
 $\text{map} : [(o \rightarrow o) \times o] \rightarrow o$   
 $@^{o \rightarrow o} : [(o \rightarrow o) \times o] \rightarrow o$

$\text{map}(F, \text{nil}) \Rightarrow \text{nil}$   
 $\text{map}(F, \text{cons}(h, t)) \Rightarrow \text{cons}(@^{o \rightarrow o}(F, h), \text{map}(F, t))$

Choose  $\text{map} > \text{cons}, @^{o \rightarrow o}$ , all statuses *mul*.

# Path Orderings

## A Higher-order Recursive Path Ordering

$\text{nil} : \circ$   
 $\text{cons} : [\circ \times \circ] \rightarrow \circ$   
 $\text{map} : [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ$   
 $@^{\circ \rightarrow \circ} : [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ$

$\text{map}(F, \text{nil}) \Rightarrow \text{nil}$   
 $\text{map}(F, \text{cons}(h, t)) \Rightarrow \text{cons}(@^{\circ \rightarrow \circ}(F, h), \text{map}(F, t))$

Choose  $\text{map} > \text{cons}, @^{\circ \rightarrow \circ}$ , all statuses *mul*.

**Goal:**  $\text{map}(F, \text{cons}(h, t)) \succ \text{cons}(@^{\circ \rightarrow \circ}(F, h), \text{map}(F, t))$

# Path Orderings

## A Higher-order Recursive Path Ordering

$$\begin{aligned} \text{nil} &: \circ \\ \text{cons} &: [\circ \times \circ] \rightarrow \circ \\ \text{map} &: [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ \\ @^{\circ \rightarrow \circ} &: [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ \end{aligned}$$
$$\begin{aligned} \text{map}(F, \text{nil}) &\Rightarrow \text{nil} \\ \text{map}(F, \text{cons}(h, t)) &\Rightarrow \text{cons}(@^{\circ \rightarrow \circ}(F, h), \text{map}(F, t)) \end{aligned}$$

Choose  $\text{map} > \text{cons}, @^{\circ \rightarrow \circ}$ , all statuses *mul*.

**Goal:**  $\text{map}(F, \text{cons}(h, t)) \succ \text{cons}(@^{\circ \rightarrow \circ}(F, h), \text{map}(F, t))$

**Because** (1)

- $\text{map}^*(F, \text{cons}(h, t)) \succeq \text{cons}(@^{\circ \rightarrow \circ}(F, h), \text{map}(F, t))$

# Path Orderings

## A Higher-order Recursive Path Ordering

$\text{nil} : \circ$   
 $\text{cons} : [\circ \times \circ] \rightarrow \circ$   
 $\text{map} : [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ$   
 $@^{\circ \rightarrow \circ} : [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ$

$\text{map}(F, \text{nil}) \Rightarrow \text{nil}$   
 $\text{map}(F, \text{cons}(h, t)) \Rightarrow \text{cons}(@^{\circ \rightarrow \circ}(F, h), \text{map}(F, t))$

Choose  $\text{map} > \text{cons}, @^{\circ \rightarrow \circ}$ , all statuses *mul*.

**Goal:**  $\text{map}^*(F, \text{cons}(h, t)) \succeq \text{cons}(@^{\circ \rightarrow \circ}(F, h), \text{map}(F, t))$

# Path Orderings

## A Higher-order Recursive Path Ordering

$$\begin{aligned}\text{nil} &: \circ \\ \text{cons} &: [\circ \times \circ] \rightarrow \circ \\ \text{map} &: [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ \\ @^{\circ \rightarrow \circ} &: [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ\end{aligned}$$
$$\begin{aligned}\text{map}(F, \text{nil}) &\Rightarrow \text{nil} \\ \text{map}(F, \text{cons}(h, t)) &\Rightarrow \text{cons}(@^{\circ \rightarrow \circ}(F, h), \text{map}(F, t))\end{aligned}$$

Choose  $\text{map} > \text{cons}, @^{\circ \rightarrow \circ}$ , all statuses *mul*.

**Goal:**  $\text{map}^*(F, \text{cons}(h, t)) \succeq \text{cons}(@^{\circ \rightarrow \circ}(F, h), \text{map}(F, t))$

**Because** (3)

- $\text{map} > \text{cons}$
- $\text{map}^*(F, \text{cons}(h, t)) \succeq @^{\circ \rightarrow \circ}(F, h)$
- $\text{map}^*(F, \text{cons}(h, t)) \succeq \text{map}(F, t)$

# Path Orderings

## A Higher-order Recursive Path Ordering

$$\begin{aligned} \text{nil} &: \circ \\ \text{cons} &: [\circ \times \circ] \rightarrow \circ \\ \text{map} &: [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ \\ @^{\circ \rightarrow \circ} &: [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ \end{aligned}$$
$$\begin{aligned} \text{map}(F, \text{nil}) &\Rightarrow \text{nil} \\ \text{map}(F, \text{cons}(h, t)) &\Rightarrow \text{cons}(@^{\circ \rightarrow \circ}(F, h), \text{map}(F, t)) \end{aligned}$$

Choose  $\text{map} > \text{cons}$ ,  $@^{\circ \rightarrow \circ}$ , all statuses *mul*.

**Goal 1:**  $\text{map}^*(F, \text{cons}(h, t)) \succeq @^{\circ \rightarrow \circ}(F, h)$

**Goal 2:**  $\text{map}^*(F, \text{cons}(h, t)) \succeq \text{map}(F, t)$



# Path Orderings

## A Higher-order Recursive Path Ordering

$$\begin{aligned}\text{nil} &: \circ \\ \text{cons} &: [\circ \times \circ] \rightarrow \circ \\ \text{map} &: [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ \\ @^{\circ \rightarrow \circ} &: [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ\end{aligned}$$
$$\begin{aligned}\text{map}(F, \text{nil}) &\Rightarrow \text{nil} \\ \text{map}(F, \text{cons}(h, t)) &\Rightarrow \text{cons}(@^{\circ \rightarrow \circ}(F, h), \text{map}(F, t))\end{aligned}$$

Choose  $\text{map} \succ \text{cons}, @^{\circ \rightarrow \circ}$ , all statuses *mul*.

**Goal 1:**  $\text{map}^*(F, \text{cons}(h, t)) \succeq @^{\circ \rightarrow \circ}(F, h)$

**Goal 2:**  $\text{map}^*(F, \text{cons}(h, t)) \succeq \text{map}(F, t)$

**Because** (5)

- $F \succeq F$  (by 9)
- $\text{cons}(h, t) \succ t$

# Path Orderings

## A Higher-order Recursive Path Ordering

$$\begin{aligned} \text{nil} & : \circ \\ \text{cons} & : [\circ \times \circ] \rightarrow \circ \\ \text{map} & : [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ \\ @^{\circ \rightarrow \circ} & : [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ \end{aligned}$$
$$\begin{aligned} \text{map}(F, \text{nil}) & \Rightarrow \text{nil} \\ \text{map}(F, \text{cons}(h, t)) & \Rightarrow \text{cons}(@^{\circ \rightarrow \circ}(F, h), \text{map}(F, t)) \end{aligned}$$

Choose  $\text{map} > \text{cons}$ ,  $@^{\circ \rightarrow \circ}$ , all statuses *mul*.

**Goal 1:**  $\text{map}^*(F, \text{cons}(h, t)) \succeq @^{\circ \rightarrow \circ}(F, h)$

**Goal 2:**  $\text{cons}(h, t) \succ t$

# Path Orderings

## A Higher-order Recursive Path Ordering

$$\begin{aligned} \text{nil} &: \circ \\ \text{cons} &: [\circ \times \circ] \rightarrow \circ \\ \text{map} &: [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ \\ @^{\circ \rightarrow \circ} &: [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ \end{aligned}$$
$$\begin{aligned} \text{map}(F, \text{nil}) &\Rightarrow \text{nil} \\ \text{map}(F, \text{cons}(h, t)) &\Rightarrow \text{cons}(@^{\circ \rightarrow \circ}(F, h), \text{map}(F, t)) \end{aligned}$$

Choose  $\text{map} \succ \text{cons}, @^{\circ \rightarrow \circ}$ , all statuses *mul*.

**Goal 1:**  $\text{map}^*(F, \text{cons}(h, t)) \succeq @^{\circ \rightarrow \circ}(F, h)$

**Goal 2:**  $\text{cons}(h, t) \succ t$

**Because (1)**

- $\text{cons}^*(h, t) \succeq t$

# Path Orderings

## A Higher-order Recursive Path Ordering

$$\begin{aligned} \text{nil} &: \circ \\ \text{cons} &: [\circ \times \circ] \rightarrow \circ \\ \text{map} &: [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ \\ @^{\circ \rightarrow \circ} &: [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ \end{aligned}$$
$$\begin{aligned} \text{map}(F, \text{nil}) &\Rightarrow \text{nil} \\ \text{map}(F, \text{cons}(h, t)) &\Rightarrow \text{cons}(@^{\circ \rightarrow \circ}(F, h), \text{map}(F, t)) \end{aligned}$$

Choose  $\text{map} > \text{cons}$ ,  $@^{\circ \rightarrow \circ}$ , all statuses *mul*.

**Goal 1:**  $\text{map}^*(F, \text{cons}(h, t)) \succeq @^{\circ \rightarrow \circ}(F, h)$

**Goal 2:**  $\text{cons}^*(h, t) \succeq t$

# Path Orderings

## A Higher-order Recursive Path Ordering

$$\begin{aligned} \text{nil} &: \circ \\ \text{cons} &: [\circ \times \circ] \rightarrow \circ \\ \text{map} &: [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ \\ @^{\circ \rightarrow \circ} &: [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ \end{aligned}$$
$$\begin{aligned} \text{map}(F, \text{nil}) &\Rightarrow \text{nil} \\ \text{map}(F, \text{cons}(h, t)) &\Rightarrow \text{cons}(@^{\circ \rightarrow \circ}(F, h), \text{map}(F, t)) \end{aligned}$$

Choose  $\text{map} > \text{cons}, @^{\circ \rightarrow \circ}$ , all statuses *mul*.

**Goal 1:**  $\text{map}^*(F, \text{cons}(h, t)) \succeq @^{\circ \rightarrow \circ}(F, h)$

**Goal 2:**  $\text{cons}^*(h, t) \succeq t$

**Because** (2)

- $t \succeq t$  (by 9)

# Path Orderings

## A Higher-order Recursive Path Ordering

$\text{nil} : \circ$   
 $\text{cons} : [\circ \times \circ] \rightarrow \circ$   
 $\text{map} : [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ$   
 $@^{\circ \rightarrow \circ} : [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ$

$\text{map}(F, \text{nil}) \Rightarrow \text{nil}$   
 $\text{map}(F, \text{cons}(h, t)) \Rightarrow \text{cons}(@^{\circ \rightarrow \circ}(F, h), \text{map}(F, t))$

Choose  $\text{map} > \text{cons}, @^{\circ \rightarrow \circ}$ , all statuses *mul*.

**Goal 1:**  $\text{map}^*(F, \text{cons}(h, t)) \succeq @^{\circ \rightarrow \circ}(F, h)$

# Path Orderings

## A Higher-order Recursive Path Ordering

$$\begin{aligned}\text{nil} &: \circ \\ \text{cons} &: [\circ \times \circ] \rightarrow \circ \\ \text{map} &: [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ \\ @^{\circ \rightarrow \circ} &: [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ\end{aligned}$$
$$\begin{aligned}\text{map}(F, \text{nil}) &\Rightarrow \text{nil} \\ \text{map}(F, \text{cons}(h, t)) &\Rightarrow \text{cons}(@^{\circ \rightarrow \circ}(F, h), \text{map}(F, t))\end{aligned}$$

Choose  $\text{map} > \text{cons}, @^{\circ \rightarrow \circ}$ , all statuses *mul*.

**Goal 1:**  $\text{map}^*(F, \text{cons}(h, t)) \succeq @^{\circ \rightarrow \circ}(F, h)$

**Because** (3)

- $\text{map} > @^{\circ \rightarrow \circ}$
- $\text{map}_{\circ \rightarrow \circ}^*(F, \text{cons}(h, t)) \succeq F$
- $\text{map}^*(F, \text{cons}(h, t)) \succeq t$

# Path Orderings

## A Higher-order Recursive Path Ordering

$$\begin{aligned} \text{nil} &: \circ \\ \text{cons} &: [\circ \times \circ] \rightarrow \circ \\ \text{map} &: [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ \\ @^{\circ \rightarrow \circ} &: [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ \end{aligned}$$
$$\begin{aligned} \text{map}(F, \text{nil}) &\Rightarrow \text{nil} \\ \text{map}(F, \text{cons}(h, t)) &\Rightarrow \text{cons}(@^{\circ \rightarrow \circ}(F, h), \text{map}(F, t)) \end{aligned}$$

Choose  $\text{map} > \text{cons}, @^{\circ \rightarrow \circ}$ , all statuses *mul*.

**Goal 1:**  $\text{map}_{\circ \rightarrow \circ}^*(F, \text{cons}(h, t)) \succeq F$

**Goal 2:**  $\text{map}^*(F, \text{cons}(h, t)) \succeq h$



# Path Orderings

## A Higher-order Recursive Path Ordering

$$\begin{aligned} \text{nil} &: \circ \\ \text{cons} &: [\circ \times \circ] \rightarrow \circ \\ \text{map} &: [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ \\ @^{\circ \rightarrow \circ} &: [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ \end{aligned}$$
$$\begin{aligned} \text{map}(F, \text{nil}) &\Rightarrow \text{nil} \\ \text{map}(F, \text{cons}(h, t)) &\Rightarrow \text{cons}(@^{\circ \rightarrow \circ}(F, h), \text{map}(F, t)) \end{aligned}$$

Choose  $\text{map} > \text{cons}, @^{\circ \rightarrow \circ}$ , all statuses *mul*.

**Goal 1:**  $\text{map}_{\circ \rightarrow \circ}^*(F, \text{cons}(h, t)) \succeq F$

**Goal 2:**  $\text{map}^*(F, \text{cons}(h, t)) \succeq h$

**Because** (2)

- $\text{cons}(h, t) \succeq h$

# Path Orderings

## A Higher-order Recursive Path Ordering

$$\begin{aligned} \text{nil} &: \circ \\ \text{cons} &: [\circ \times \circ] \rightarrow \circ \\ \text{map} &: [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ \\ @^{\circ \rightarrow \circ} &: [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ \end{aligned}$$
$$\begin{aligned} \text{map}(F, \text{nil}) &\Rightarrow \text{nil} \\ \text{map}(F, \text{cons}(h, t)) &\Rightarrow \text{cons}(@^{\circ \rightarrow \circ}(F, h), \text{map}(F, t)) \end{aligned}$$

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**Because** (12)

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**Because** (2)

- $h \succeq h$  (by 9)

# Path Orderings

## A Higher-order Recursive Path Ordering

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 $\text{cons} : [\circ \times \circ] \rightarrow \circ$   
 $\text{map} : [(\circ \rightarrow \circ) \times \circ] \rightarrow \circ$   
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$\text{map}(F, \text{nil}) \Rightarrow \text{nil}$   
 $\text{map}(F, \text{cons}(h, t)) \Rightarrow \text{cons}(@^{\circ \rightarrow \circ}(F, h), \text{map}(F, t))$

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# Path Orderings

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$$\begin{aligned}\text{nil} &: \mathbf{o} \\ \text{cons} &: [\mathbf{o} \times \mathbf{o}] \rightarrow \mathbf{o} \\ \text{map} &: [(\mathbf{o} \rightarrow \mathbf{o}) \times \mathbf{o}] \rightarrow \mathbf{o} \\ @^{\mathbf{o} \rightarrow \mathbf{o}} &: [(\mathbf{o} \rightarrow \mathbf{o}) \times \mathbf{o}] \rightarrow \mathbf{o}\end{aligned}$$
$$\begin{aligned}\text{map}(F, \text{nil}) &\Rightarrow \text{nil} \\ \text{map}(F, \text{cons}(h, t)) &\Rightarrow \text{cons}(@^{\mathbf{o} \rightarrow \mathbf{o}}(F, h), \text{map}(F, t))\end{aligned}$$

Choose  $\text{map} > \text{cons}, @^{\mathbf{o} \rightarrow \mathbf{o}}$ , all statuses *mul*.

**Goal 1:**  $\text{map}_{\mathbf{o} \rightarrow \mathbf{o}}^*(F, \text{cons}(h, t)) \succeq F$

**Because** (2)

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Choose  $\text{map} > \text{cons}, @^{\circ \rightarrow \circ}$ , all statuses *mul*.

**Termination proved!**



# Path Orderings

## A Higher-order Recursive Path Ordering

$$\mathbf{A}^{\sigma, \tau} : [(\sigma \rightarrow \tau) \times \sigma] \rightarrow \tau$$

$$\mathbf{L}^{\sigma, \tau} : [\sigma \rightarrow \tau] \rightarrow \sigma \rightarrow \tau$$

$$\mathbf{A}^{\sigma, \tau}(\mathbf{L}^{\sigma, \tau}(\lambda x.F(x)), Y) \Rightarrow F(Y)$$

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**Goal:**  $\mathbf{A}(\mathbf{L}(\lambda x. F(x)), Y) \succ F(Y)$

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$$A^{\sigma, \tau}(L^{\sigma, \tau}(\lambda x.F(x)), Y) \Rightarrow F(Y)$$

**Goal:**  $A(L(\lambda x.F(x)), Y) \succ F(Y)$

**Because:** (1)

- $A^*(L(\lambda x.F(x)), Y) \succeq F(Y)$

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$$\mathbf{A}^{\sigma, \tau}(\mathbf{L}^{\sigma, \tau}(\lambda x.F(x)), Y) \Rightarrow F(Y)$$

**Goal:**  $\mathbf{A}^*(\mathbf{L}(\lambda x.F(x)), Y) \succeq F(Y)$

**Because:** (2)

- $\mathbf{L}(\lambda x.F(x)) : \sigma \rightarrow \tau$  and  $F(Y) : \tau$
- $\mathbf{L}^*(\lambda x.F(x), \mathbf{A}_\sigma^*(\mathbf{L}(\lambda x.F(x)), Y)) \succeq F(Y)$

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$$A^{\sigma, \tau} : [(\sigma \rightarrow \tau) \times \sigma] \rightarrow \tau$$

$$L^{\sigma, \tau} : [\sigma \rightarrow \tau] \rightarrow \sigma \rightarrow \tau$$

$$A^{\sigma, \tau}(L^{\sigma, \tau}(\lambda x.F(x)), Y) \Rightarrow F(Y)$$

**Goal:**  $L^*(\lambda x.F(x), A^*_\sigma(L(\lambda x.F(x)), Y)) \succeq F(Y)$

**Because:** (2)

- $\lambda x.F(x) : \sigma \rightarrow \tau$  and  $F(Y) : \tau$
- $F(L^*_\sigma(\lambda x.F(x), A^*_\sigma(L(\lambda x.F(x)), Y))) \succeq F(Y)$

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**Because:** (9)

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**Goal:**  $A_{\sigma}^*(L(\lambda x. F(x)), Y) \succeq Y$

**Because:** (2)

- $Y \succeq Y$  (by 9)

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**Termination proved!**

# First-order Dependency Pairs

[Arts and Giesl 1997]

rewrite rules:

$$\begin{aligned}A(0, n) &\Rightarrow s(n) \\A(s(m), 0) &\Rightarrow A(m, s(0)) \\A(s(m), s(n)) &\Rightarrow A(m, A(s(m), n))\end{aligned}$$

dependency pairs:

1.  $A^\sharp(s(m), 0) \Rightarrow A^\sharp(m, s(0))$
2.  $A^\sharp(s(m), s(n)) \Rightarrow A^\sharp(m, A(s(m), n))$
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# Higher-order Dependency Pairs

$$\begin{aligned} I(0) &\Rightarrow 0 \\ I(s(n)) &\Rightarrow s(\text{twice}(\lambda x. I(x), n)) \\ \text{twice}(F, n) &\Rightarrow F \cdot (F \cdot n) \end{aligned}$$

Two styles of Dependency Pairs!

Dynamic Dependency Pairs:

$$\begin{aligned} I^\sharp(s(n)) &\Rightarrow \text{twice}^\sharp(\lambda x. I(x), n) \\ I^\sharp(s(n)) &\Rightarrow I^\sharp(c_x) \\ \text{twice}^\sharp(F, n) &\Rightarrow F \cdot (F \cdot n) \\ \text{twice}^\sharp(F, n) &\Rightarrow F \cdot n \end{aligned}$$

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# Higher-order Dependency Pairs

**argument filterings** remain powerful (assuming some restrictions)

dependency graph relatively weak in the presence of collapsing DPs (but can still be used, and extended with bound-variable occurrence checks)

usable rules relatively weak in the presence of collapsing rules (but can be useful in particular with static dependency pairs, and extended with type information)

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first-order removal if the first-order part is terminating, its dependency pairs need not be considered (given certain constraints)

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- 1 What is Higher-Order Termination?
- 2 What is so difficult about this?
- 3 What do we do about that?
- 4 How do we do that?
  - Polynomial Interpretations
  - Path Orderings
  - Dependency Pairs
- 5 Where does that bring us?

- first-order termination techniques can often be generalised  
often even in more than one way!
- specific methods for higher-order rewriting can also be designed  
in particular: transformations
- automatability: similar to the first-order case  
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- further extension of first-order techniques
  - narrowing (non-termination)
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  - max-interpretations
- extension to more complicated type systems
  - polymorphism
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  - narrowing (non-termination)
  - usable and formative rules wrt an argument filtering
  - max-interpretations
- extension to more complicated type systems
  - polymorphism
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