

Complexity Analysis of Term Rewriting Based on Matrix and Context Dependent Interpretations

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Overview

- Introduction
- Automation
- Context Dependent Interpretations
- Triangular Matrix Interpretations
- Correspondence Result
- Picture



Term Rewriting

Signature

let signature \mathcal{F} consists of

app :: reverse shuffle s nil 0



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Rules

app(nil, y) \rightarrow y

reverse(nil) \rightarrow nil

shuffle(nil) \rightarrow nil

app(n :: x, y) \rightarrow n :: app(x, y)

reverse(n :: x) \rightarrow app(reverse(x), n :: nil)

shuffle(n :: x) \rightarrow n :: shuffle(reverse(x))



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$$\text{shuffle}(1 :: 2 :: 3 :: 4 :: 5 :: \text{nil}) \rightarrow_{\mathcal{R}} 1 :: \text{shuffle}(\text{reverse}(2 :: 3 :: 4 :: 5 :: \text{nil}))$$

(we abbreviate $s^m(0)$ as m)

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shuffle(1 :: 2 :: 3 :: 4 :: 5 :: nil) $\rightarrow_{\mathcal{R}}$ 1 :: shuffle(reverse(2 :: 3 :: 4 :: 5 :: nil))
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Complexity of Term Rewriting Systems

Definition

the **derivation length** of a term t with respect to a TRS \mathcal{R} and rewrite relation $\rightarrow_{\mathcal{R}}$ is defined as:

$$dl_{\mathcal{R}}(t) = \max\{n \mid \exists u \ t \rightarrow^n u\}$$



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$\xrightarrow{10}_{\mathcal{R}} 1 :: \text{shuffle}(5 :: 4 :: 3 :: 2 :: \text{nil}) \xrightarrow{25}_{\mathcal{R}} 1 :: 5 :: 2 :: 3 :: 4 :: \text{nil}$

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in general we obtain a cubic bound on

$$dl_{\mathcal{R}}(\text{shuffle}(1 :: 2 :: 3 :: 4 :: \dots :: n :: \text{nil}))$$

in n

Derivational Complexity Analysis

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the **derivational complexity** (with respect to \mathcal{R}) is defined as:

$$dc_{\mathcal{R}}(n) = \max\{dl_{\mathcal{R}}(t) \mid \underbrace{|t|}_{\text{size of } t} \leq n\}$$



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Known Results

- polynomial interpretations: double-exponential Hofbauer et al, 1991
- MPO: primitive recursive Hofbauer, 1992
- LPO: multiple recursive Weiermann, 1995
- match-bounds: **linear** Geser et al, 2007
- matrix interpretations: exponential Endrullis et al, 2008

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in this talk we consider linear and quadratic case

Automated Derivational Complexity Analysis

consider the TRS \mathcal{R}

$$(x \circ y) \circ z \rightarrow x \circ (y \circ z)$$

and polynomial interpretation \mathcal{A} on $\mathbb{N} - \{0\}$:

$$\circ_{\mathcal{A}}(n, m) = 2n + m$$

$$c_{\mathcal{A}} = 1$$



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TRS \mathcal{R} is compatible with \mathcal{A} and $dl_{\mathcal{R}}(t) \leq \underbrace{[\alpha]_{\mathcal{A}}(t)}$

evaluation function



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new ideas are necessary

First Idea

Observation

recall TRS $(x \circ y) \circ z \rightarrow x \circ (y \circ z)$ and consider terms: $(t_n)_{n \in \mathbb{N}}$

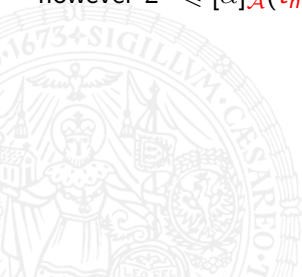
$$t_0 = c$$

$$t_{n+1} = t_n \circ c$$

it is not difficult to see that

$$dl_{\mathcal{R}}(t_n) \leq \frac{n \cdot (n-1)}{2}$$

however $2^n \leq [\alpha]_{\mathcal{A}}(t_n)$



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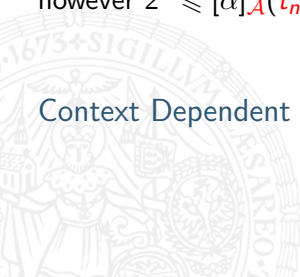
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Context Dependent Interpretations (CDI)



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Context Dependent Interpretations (CDI)

- extend polynomial interpretations by an additional parameter that changes in the course of evaluation
- influence of the context in the evaluation of a term is limited

Definition

context dependent \mathcal{F} -algebra (CDA) \mathcal{C} assigns to each n -ary f :

$$1 \quad f_{\mathcal{C}}: \mathbb{R}^+ \times (\mathbb{R}_0^+)^n \rightarrow \mathbb{R}_0^+$$

interpretation function

$$2 \quad f_{\mathcal{C}}^i: \mathbb{R}^+ \rightarrow \mathbb{R}^+ \text{ for all } 1 \leq i \leq n$$

parameter function



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and

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- a Δ -assignment is a mapping: $\alpha: \mathbb{R}^+ \times \mathcal{V} \rightarrow \mathbb{R}_0^+$; extend to terms:

$$[\alpha, \Delta]_{\mathcal{C}}(t) = \begin{cases} \alpha(\Delta, t) & \text{if } t \in \mathcal{V} \\ f_{\mathcal{C}}(\Delta, [\alpha, f_{\mathcal{C}}^1(\Delta)]_{\mathcal{C}}(t_1), \dots, [\alpha, f_{\mathcal{C}}^n(\Delta)]_{\mathcal{C}}(t_n)) & \text{otherwise} \end{cases}$$

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- a Δ -assignment is **linear**, if $\forall x \in \mathcal{V}, \exists a, b \in \mathbb{N}$
 $\alpha(\Delta, x) = a + b\Delta$

Definition

a CDA \mathcal{C} is

- Δ -monotone if

$\forall \Delta \in \mathbb{R}^+, \forall a_1, \dots, a_n, b \in \mathbb{R}_0^+$ with $a_i >_{f_{\mathcal{C}}^i(\Delta)} b$:

$f_{\mathcal{C}}(\Delta, a_1, \dots, a_i, \dots, a_n) >_{\Delta} f_{\mathcal{C}}(\Delta, a_1, \dots, b, \dots, a_n)$



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 $f_{\mathcal{C}}(\Delta, a_1, \dots, a_i, \dots, a_n) >_{\Delta} f_{\mathcal{C}}(\Delta, a_1, \dots, b, \dots, a_n)$
- **compatible** with a TRS \mathcal{R} if
 $\forall l \rightarrow r \in \mathcal{R}, \forall \Delta \in \mathbb{R}^+, \forall \Delta$ -assignment α :
 $[\alpha, \Delta]_{\mathcal{C}}(l) >_{\Delta} [\alpha, \Delta]_{\mathcal{C}}(r)$



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Theorem

Hofbauer, 2001

let \mathcal{R} be a TRS and let \mathcal{C} denote a Δ -monotone and compatible CDA;
 then \mathcal{R} is terminating and \forall terms t

$$dl_{\mathcal{R}}(t) \leq \liminf_{\Delta \in \mathbb{R}^+} \frac{[\alpha, \Delta]_{\mathcal{C}}(t)}{\Delta}$$

Second Idea

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a TRS \mathcal{R} is terminating if

- \exists algebra \mathcal{A}
- \exists well-founded order $>_{\mathcal{A}}$ on \mathcal{A} such that

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consider the matrix interpretation \mathcal{A} with domain \mathbb{N}^2 :

$$\circ_{\mathcal{A}}(\vec{x}, \vec{y}) = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \cdot \vec{x} + \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \cdot \vec{y} + \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$



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we obtain

- \forall assignments α : $[\alpha]_{\mathcal{A}}((x \circ y) \circ z) >_{\mathcal{A}} [\alpha]_{\mathcal{A}}(x \circ (y \circ z))$
- \forall matrices M considered in $[\alpha]_{\mathcal{A}}(t)$:
coefficients of M are bounded **polynomially in $|t|$**

Definition

a **matrix interpretation** \mathcal{A} for **dimension** d assigns to each n -ary f :

$$\mathbf{1} \quad f_{\mathcal{A}} : \mathbb{N}^d \times \dots \times \mathbb{N}^d \rightarrow \mathbb{N}^d \\ (\vec{v}_1, \dots, \vec{v}_n) \mapsto F_1 \vec{v}_1 + \dots + F_n \vec{v}_n + \vec{f}$$



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$$\mathbf{2} \quad (x_1, x_2, \dots, x_d) >_{\mathcal{A}} (y_1, y_2, \dots, y_d) \text{ is defined as}$$

$$x_1 > y_1 \text{ and } \forall i \geq 2: x_i \geq y_i$$



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Definition

- an **upper triangular matrix** is a matrix M such that $\forall i > j: M_{i,j} = 0$ and $\forall i: M_{i,i} \leq 1$
- a **triangular matrix interpretation (TMI)** is a monotone matrix interpretation using only upper triangular matrices

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Theorem

if TRS \mathcal{R} admits a TMI of dimension d : $dc_{\mathcal{R}}$ bounded by a **polynomial of degree d**

Definition

a linear Δ assignment $\alpha: \mathbb{R}^+ \times \mathcal{V} \rightarrow \mathbb{R}_0^+$ and an assignment $\alpha': \mathcal{V} \rightarrow \mathbb{N}^2$ are **corresponding** if $\alpha(\Delta, x) = a + b\Delta \iff \alpha'(x) = \begin{pmatrix} b \\ a \end{pmatrix}$



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Lemma

let \mathcal{C} a CDA:

$$f_{\mathcal{C}}(\Delta, z_1, \dots, z_n) = \sum_{i=1}^n a_i z_i + \sum_{i=1}^n b_i z_i \Delta + g + h\Delta$$

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a linear Δ assignment $\alpha: \mathbb{R}^+ \times \mathcal{V} \rightarrow \mathbb{R}_0^+$ and an assignment $\alpha': \mathcal{V} \rightarrow \mathbb{N}^2$ are **corresponding** if $\alpha(\Delta, x) = a + b\Delta \iff \alpha'(x) = \begin{pmatrix} b \\ a \end{pmatrix}$

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then, if α and α' are corresponding:

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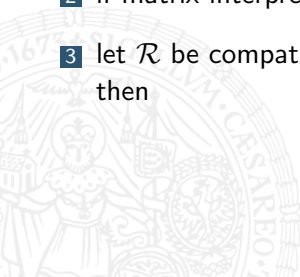
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no zero column must occur in any matrix

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Picture

exponential

polynomial

quadratic



Picture

matrix

exponential

polynomial

Δ -restricted

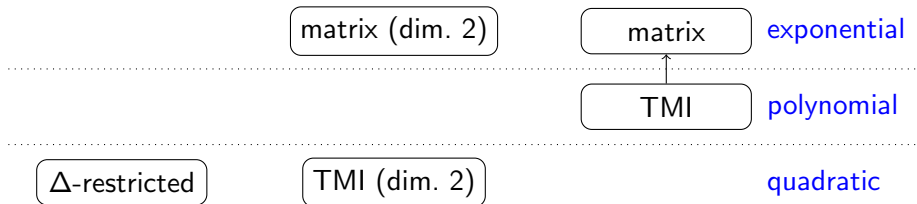
quadratic



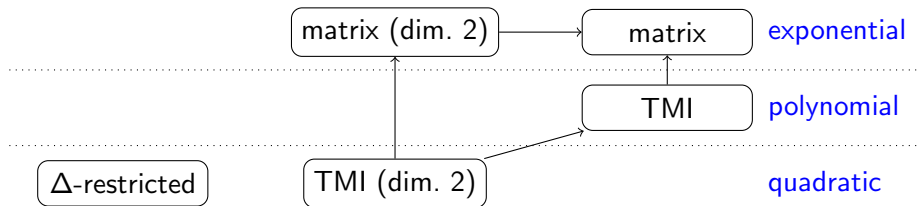
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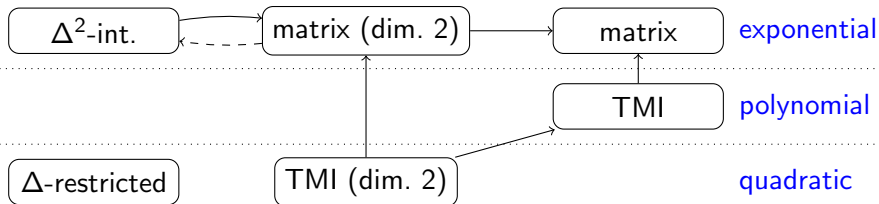
Picture



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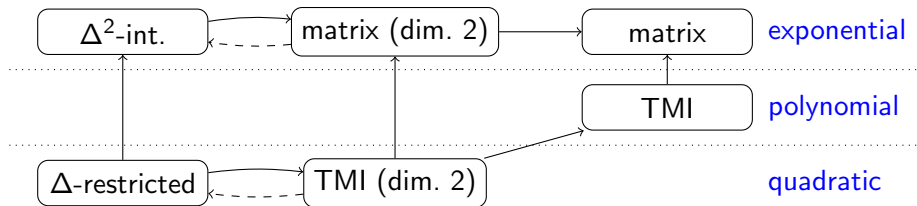
NB

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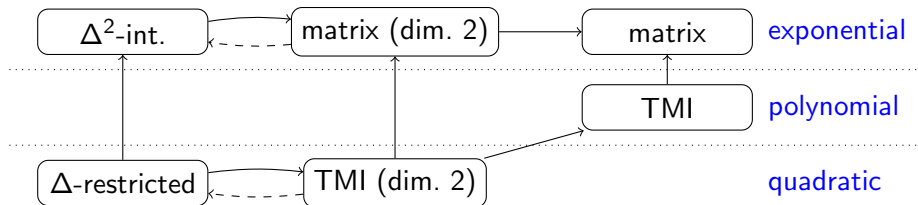
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Automated Derivational Complexity Analysis



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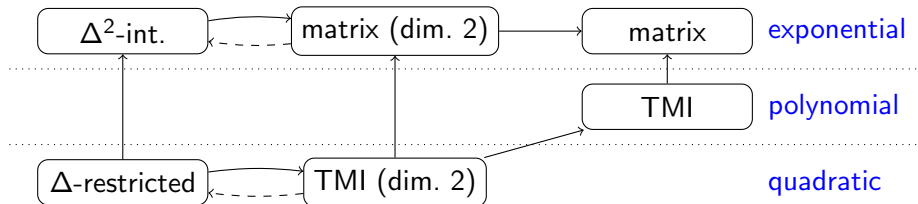
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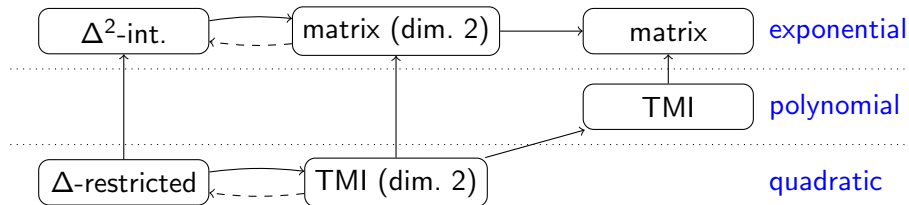
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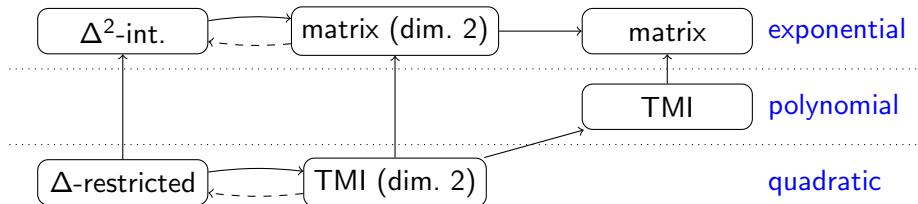
Automated Derivational Complexity Analysis



NB

	CDI	TMI				TMI \cup BOUNDS
• dimension		2	3	4	5	3
# successes						

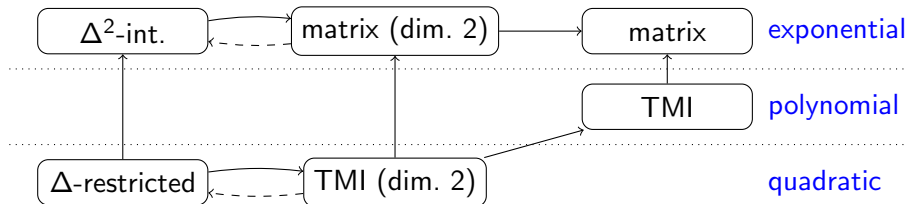
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NB

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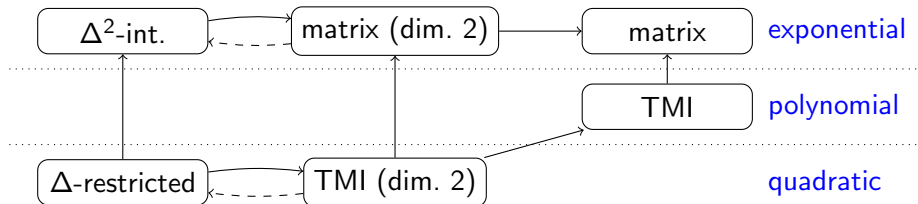
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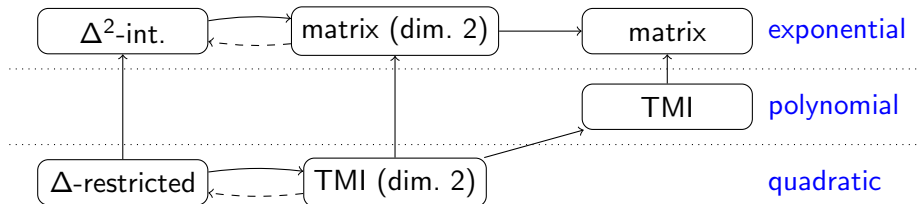
Automated Derivational Complexity Analysis



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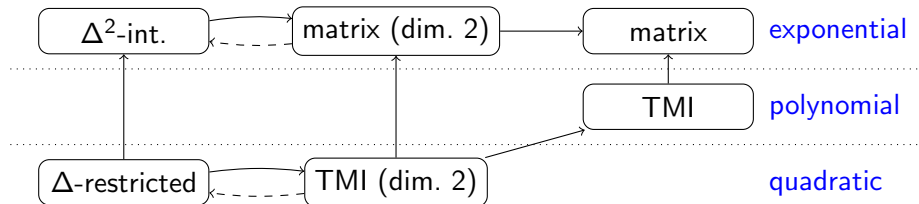
Automated Derivational Complexity Analysis



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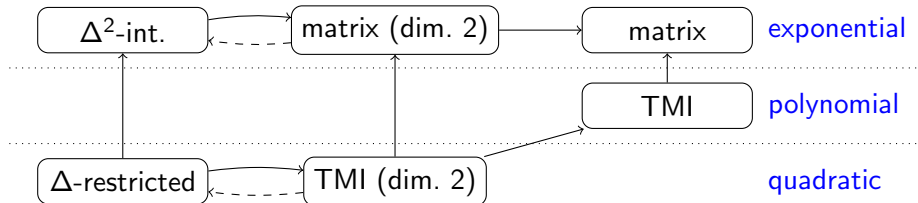
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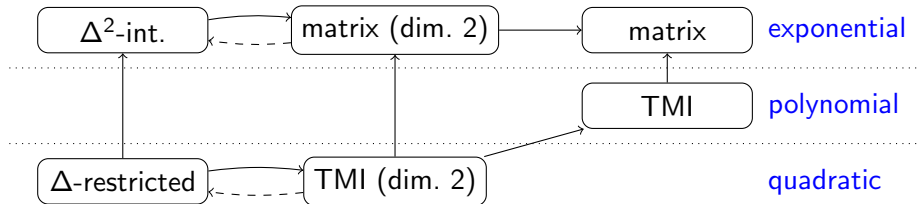


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