## universität innsbruck

## SAT and SMT Solving

## Sarah Winkler

Computational Logic Group
Department of Computer Science
University of Innsbruck
lecture 1
SS 2019

## Outline

- Introduction
- Organisation
- Why SAT and SMT?
- Contents
- Propositional Logic
- DPLL
- Transformations to CNF
- Using SAT Solvers


## Important Information <br> - LVA 703048 (PS 2)

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Time and Place
PS Friday 13:15-15:00 HSB9

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Time and Place

| VO | Friday | $13: 15-14: 00$ | HSB9 |
| :--- | :--- | :--- | :--- |
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## Grading

- $70 \%$ weekly exercises, $30 \%$ proseminar test on June 28
- attendence required


## Exercises

- 10 points per week
- indicate solved exercises before Friday 11:00 in OLAT, submit solutions


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## Consultation Hours

Sarah Winkler 3M03 Thursday 14:00-16:00

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## SAT Solving

input: propositional formula $\varphi$


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output:
propositional formula $\varphi$
SAT + valuation $v$ such that $v(\varphi)=T$ if $\varphi$ satisfiable


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## Terminology

- decision problem $P$ is problem with answer yes or no


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## Terminology

- decision problem $P$ is problem with answer yes or no
- SAT encoding of decision problem $P$ is propositional formula $\varphi_{P}$ such that answer to $P$ is yes $\Longleftrightarrow \varphi_{P}$ is satisfiable


## SMT Solving

input: $\quad$ formula $\varphi$ involving theory $T$


SMT solver

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SMT solver

## Example (Theories)

- arithmetic

$$
2 a+b \geqslant c \vee(a=0 \wedge p)
$$

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output: SAT + valuation $v$ such that $v(\varphi)=T \quad$ if $\varphi$ is $T$-satisfiable UNSAT otherwise


## Example (Theories)

- arithmetic
- uninterpreted functions

$$
\begin{array}{r}
2 a+b \geqslant c \vee(a=0 \wedge p) \\
\mathrm{f}(x, y) \neq \mathrm{f}(y, x) \wedge \mathrm{g}(\mathrm{f}(x, x))=\mathrm{g}(y)
\end{array}
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input: formula $\varphi$ involving theory $T$
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## Terminology

- SMT encoding over theory $T$ of decision problem $P$ is formula $\varphi_{P}$ such that answer to $P$ is yes $\Longleftrightarrow \varphi_{P}$ is satisfiable


## Application: Driving License Test

## Problem

Austrian driving license test consists of 80 questions out of 1500 such that the following conditions are satisfied:

- 30 questions "main questions" with 3 sub-questions each
- at least 12 main questions must be about crossroads
- at least 12 questions must have pictures
- at least 5 "hard", "medium", and "easy" main questions

Frape 160 3Aviste
Sie biegen nach dem Verkehrszeichen "Erlaubte Höchstgeschwindigkeit $70 \mathrm{~km} / \mathrm{h}^{*}$ im Ortsgebiet rechts ab. Wie schnell dürfen Sie dann höchstens fahren?


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Fape 150 3Anast
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## Result

easy generation of valid question sets (with some random preselection)

## Application: Pythagorean Triples

## Problem

Can one color all natural numbers with two colors such that whenever $x^{2}+y^{2}=z^{2}$ not all of $x, y$, and $z$ have same color?

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3^{2}+4^{2}=5^{2} \quad 5^{2}+12^{2}=13^{2}
$$

| (a) | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | $\ldots$ | $\checkmark$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| (b) | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | $\ldots$ | $x$ |

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- variables $x_{i}$ for $1 \leqslant i \leqslant n$ such that $x_{i}$ becomes true ff it is colored red


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## Result: No. Coloring exists only up to $\mathbf{7 , 8 2 5}$.

1000s of variables, solving time 2 days with 800 processors, 200 TB of proof

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Nachrichten＞Wissenschaft＞Mensch＞Mathematik＞Der länsste Mathe－Beweis der Welt umfasst 200 Terabyte

\section*{Zahlenrätsel}

\section*{Der längste Mathe－Beweis der Welt}

Drei Mathematiker haben ein Zahlenrätsel geknackt－mithilfe eines Supercomputers．Der Beweis umfasst 200 Terabyte．Sie wollen wissen，worum es geht？Okay，versuchen wir es．


Von Holger Dambeck \(\checkmark\)


Supercomputer als Mathematiker



Zahlen, bitte! Mit 800 CPU-Kernen zur Zahl 7825
14.06.2016 13:37 Uhr - Volker Zota

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Q日e Der längste Mathe-Beweis der Welt umfasst 200 Terabyte - SPIEGEL ONLINE - Mozilla Firefox



\section*{Application: Tournament Scheduling}

\section*{Problem: Round Robin Scheduling}

Schedule sports league tournament for \(n\) teams, \(p\) periods of \(n-1\) rounds each (+ venue restrictions, break restrictions, ...)

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\section*{Example (Österreichische Fußball-Bundesliga)}

10 teams play in 4 periods ( 9 rounds each), periods \(1 \& 2\) and \(3 \& 4\) mirrored

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- variable \(x_{i j p r}\) is true if team \(i\) plays team \(j\) at home in period \(p\), round \(r\)

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- variable \(x_{i j p r}\) is true if team \(i\) plays team \(j\) at home in period \(p\), round \(r\)
\[
\bigwedge_{i, p, r} \bigvee_{j \neq i}\left(x_{i j p r} \vee x_{j i p r}\right)
\]

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\begin{array}{lr}
\bigwedge_{i, p, r} \bigvee_{j \neq i}\left(x_{i j p r} \vee x_{j i p r}\right) & \text { each team plays in every round } \\
\bigwedge_{i} \bigwedge_{i \neq j}\left(x_{i j p r} \rightarrow \neg\left(x_{i k p r} \vee x_{k i p r}\right)\right) \quad \text { each team plays at most once in every round }
\end{array}
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& \bigwedge_{i, p, r} \bigwedge_{j \neq i} \bigwedge_{k \neq i \wedge k \neq j}\left(x_{i j p r}\right.
\end{aligned}
\]
\[
\bigwedge \bigwedge_{\bigwedge}\left(x_{i j p r} \rightarrow \neg\left(x_{i k p r} \vee x_{k i p r}\right)\right) \quad \text { each team plays at most once in every round }
\]
\[
\bigwedge_{i \cdot r}\left(x_{i j 1 r} \rightarrow x_{j i 2 r}\right) \wedge\left(x_{i j 3 r} \rightarrow x_{j i 4 r}\right) \quad \text { mirror rounds } 1 \& 2 \text { and } 3 \& 4
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\section*{Result}

SAT scheduling is 100x faster than previous industrial scheduling tools

\section*{Application: Hardware Verification}

\section*{Problem}
- errors in hardware chips are costly (Intel paid \(\$ 475\) million for FDIV bug )

\section*{Example (Formal Circuit Model)}


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- errors in hardware chips are costly (Intel paid \(\$ 475\) million for FDIV bug )
- testing is not enough to guarantee desired behavior

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- errors in hardware chips are costly (Intel paid \(\$ 475\) million for FDIV bug )
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\section*{Example (Formal Circuit Model)}


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- variables for input and output
- SAT formulas for implemented behavior and expected behavior (specification)
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\section*{Impact}
- ensured correctness, more reliable hardware components (formal verification)
- manufacturers rely on SAT-based verification since beginning of 2000s e.g., Intel Core i7 implements over 2700 distinct verified microinstructions

\section*{Outline}
- Introduction
- Organisation
- Why SAT and SMT?
- Contents
- Propositional Logic
- DPLL
- Transformations to CNF
- Using SAT Solvers

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\section*{Part 1: SAT}

DPLL, conflict analysis, CDCL, heuristics, unsatisfiable cores, maxSAT, symmetry breaking

\section*{Part 2: SMT}

DPLL(T), eager vs lazy, \(T\)-propagation, Nelson-Oppen combination, maxSMT

\section*{Part 3: Theory Solving}
- equality with uninterpreted functions (congruence closure, conflict analysis)
- linear real arithmetic (simplex algorithm)
- arrays (reduction to EUF, lemmas on demand)
- bit vectors (bit blasting, preprocessing)

\section*{Practice}

SAT solvers, SMT solvers, encoding, DIMACS, SMT-LIB, model checking

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- Propositional Logic
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\section*{Propositional Logic Revisited}

\section*{Concepts}
- literal
- formula
- assignment
- satisfiability and validity
- negation normal form (NNF)
- conjunctive normal form (CNF)
- disjunctive normal form (DNF)

\section*{Definition (Propositional Logic: Syntax)}
propositional formulas are built form
- atoms
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\(\triangleright \rightarrow, \wedge, \vee\) are right-associative: \(\quad p \rightarrow q \rightarrow r\) denotes \(p \rightarrow(q \rightarrow r)\)

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\section*{Theorem}
satisfiability and validity are decidable

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\section*{Remarks}
- translation from formula to CNF can result in exponential blowup
- Tseitin's transformation is linear and produces equisatisfiable formula

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- 1 million \$ prize money awarded for solution to \(\mathbf{P}=\) ? NP

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M\left\|F \quad \Longrightarrow \quad M^{\prime}\right\| F^{\prime} \quad \text { or } \quad \text { FailState }
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\section*{Example}
\[
\begin{aligned}
& \varphi=(\overline{1} \vee \overline{2}) \wedge(2 \vee 3) \wedge(\overline{1} \vee \overline{3} \vee 4) \wedge(2 \vee \overline{3} \vee \overline{4}) \wedge(1 \vee 4) \\
& \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4
\end{aligned}
\]

\section*{Example}
\[
\begin{aligned}
& \varphi=(\overline{1} \vee \overline{2}) \wedge(2 \vee 3) \wedge(\overline{1} \vee \overline{3} \vee 4) \wedge(2 \vee \overline{3} \vee \overline{4}) \wedge(1 \vee 4) \\
& \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4 \\
& \Longrightarrow \quad 1^{d} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4
\end{aligned}
\]

\section*{Example}
\[
\varphi=(\overline{1} \vee \overline{2}) \wedge(2 \vee 3) \wedge(\overline{1} \vee \overline{3} \vee 4) \wedge(2 \vee \overline{3} \vee \overline{4}) \wedge(1 \vee 4)
\]
\[
\| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4
\]
\[
1^{d} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4
\]
\[
\Longrightarrow \quad 1^{d} \overline{2} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4 \quad \text { unit propagate }
\]

\section*{Example}
\[
\varphi=(\overline{1} \vee \overline{2}) \wedge(2 \vee 3) \wedge(\overline{1} \vee \overline{3} \vee 4) \wedge(2 \vee \overline{3} \vee \overline{4}) \wedge(1 \vee 4)
\]
\[
\| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4
\]
\[
1^{d} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4
\]
\[
1^{d} \overline{2} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4 \quad \text { unit propagate }
\]
\[
\Longrightarrow \quad 1^{d} \overline{2} 3 \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4 \quad \text { unit propagate }
\]

\section*{Example}
\[
\begin{array}{rlrl}
\varphi= & (\overline{1} \vee \overline{2}) \wedge(2 \vee 3) \wedge(\overline{1} \vee \overline{3} \vee 4) \wedge(2 \vee \overline{3} \vee \overline{4}) \wedge(1 \vee 4) & \\
& & \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4 & \\
& \Longrightarrow \quad 1^{d} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4 & \text { decide } \\
& \Longrightarrow \quad 1^{d} \overline{2} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4 & \text { unit propagate } \\
& \Longrightarrow \quad 1^{d} \overline{2} 3 \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4 & \text { unit propagate }
\end{array}
\]

\section*{Example}
\[
\varphi=(\overline{1} \vee \overline{2}) \wedge(2 \vee 3) \wedge(\overline{1} \vee \overline{3} \vee 4) \wedge(2 \vee \overline{3} \vee \overline{4}) \wedge(1 \vee 4)
\]
\[
\| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4
\]
\[
1^{d} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4
\]
\[
\Longrightarrow \quad 1^{d} \overline{2} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4
\]
unit propagate
\[
\Longrightarrow \quad 1^{d} \overline{2} 3 \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4 \quad \text { unit propagate }
\]
\[
\Longrightarrow \quad 1^{d} \overline{2} 34 \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4 \quad \text { unit propagate }
\]
\[
\Longrightarrow \quad \overline{1} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4 \quad \text { backtrack }
\]

\section*{Example}
\[
\varphi=(\overline{1} \vee \overline{2}) \wedge(2 \vee 3) \wedge(\overline{1} \vee \overline{3} \vee 4) \wedge(2 \vee \overline{3} \vee \overline{4}) \wedge(1 \vee 4)
\]
\[
\| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4
\]
\[
1^{d} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4
\]
decide \(\Longrightarrow \quad 1^{d} \overline{2} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4 \quad\) unit propagate \(\Longrightarrow \quad 1^{d} \overline{2} 3 \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4 \quad\) unit propagate
\(\Longrightarrow \quad 1^{d} \overline{2} 34 \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4 \quad\) unit propagate
\(\Longrightarrow \quad \overline{1} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4 \quad\) backtrack
\(\Longrightarrow \quad \overline{1} 4 \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4\) unit propagate

\section*{Example}
\[
\varphi=(\overline{1} \vee \overline{2}) \wedge(2 \vee 3) \wedge(\overline{1} \vee \overline{3} \vee 4) \wedge(2 \vee \overline{3} \vee \overline{4}) \wedge(1 \vee 4)
\]
\[
\| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4
\]
\[
1^{d} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4
\]
decide \(\Longrightarrow \quad 1^{d} \overline{2} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4 \quad\) unit propagate \(\Longrightarrow \quad 1^{d} \overline{2} 3 \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4 \quad\) unit propagate \(\Longrightarrow \quad 1^{d} \overline{2} 34 \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4 \quad\) unit propagate \(\Longrightarrow \quad \overline{1} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4 \quad\) backtrack \(\overline{1} 4 \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4 \quad u n i t ~ p r o p a g a t e\)
\(\Longrightarrow \quad \overline{1} 43^{d} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4\) decide

\section*{Example}
\[
\varphi=(\overline{1} \vee \overline{2}) \wedge(2 \vee 3) \wedge(\overline{1} \vee \overline{3} \vee 4) \wedge(2 \vee \overline{3} \vee \overline{4}) \wedge(1 \vee 4)
\]
\[
\| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4
\]
\[
1^{d} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4
\]
decide
\(\qquad\)
\(\Longrightarrow\)
\(\Longrightarrow \quad 1^{d} \overline{2} 34 \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4 \quad\) unit propagate
\(\Longrightarrow \quad \overline{1} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4 \quad\) backtrack
\(\overline{1} 4 \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4 \quad u n i t ~ p r o p a g a t e\)
\(\Longrightarrow \quad \overline{1} 43^{d} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4\)
decide
\(\Longrightarrow \quad \overline{1} 43^{d} 2 \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4,2 \vee \overline{3} \vee \overline{4}, 1 \vee 4\) unit propagate

\section*{Definition (DPLL Transition Rules)}
- unit propagation \(M\|F, C \vee I \Longrightarrow M I\| F, C \vee I\)
if \(M \vDash \neg C\) and \(I\) is undefined in \(M\)

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\[
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\]
if \(I\) occurs in \(F\) but \(I^{c}\) does not occur in \(F\), and \(I\) is undefined in \(M\)
- decide
\[
M\left\|F \quad \Longrightarrow \quad M I^{d}\right\| F
\]
if \(I\) or \(I^{c}\) occurs in \(F\), and \(I\) is undefined in \(M\)

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\[
M\left\|F \quad \Longrightarrow \quad M I^{d}\right\| F
\]
if \(I\) or \(I^{c}\) occurs in \(F\), and \(I\) is undefined in \(M\)
- backtrack \(\quad M I^{d} N\left\|F, C \Longrightarrow M I^{c}\right\| F, C\) if \(M I^{d} N \vDash \neg C\) and \(N\) contains no decision literals

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- decide
\[
M\left\|F \quad \Longrightarrow \quad M I^{d}\right\| F
\]
if \(I\) or \(I^{c}\) occurs in \(F\), and \(I\) is undefined in \(M\)
- backtrack
\[
M I^{d} N\left\|F, C \quad \Longrightarrow \quad M I^{c}\right\| F, C
\]
if \(M I^{d} N \vDash \neg C\) and \(N\) contains no decision literals
- fail
\[
M \| F, C \quad \Longrightarrow \quad \text { FailState }
\]
if \(M \vDash \neg C\) and \(M\) contains no decision literals

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\]
if \(I\) or \(I^{c}\) occurs in \(F\), and \(I\) is undefined in \(M\)
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\[
M I^{d} N\left\|F, C \quad \Longrightarrow \quad M I^{c}\right\| F, C
\]
if \(M I^{d} N \vDash \neg C\) and \(N\) contains no decision literals
- fail
\[
M \| F, C \quad \Longrightarrow \quad \text { FailState }
\]
if \(M \vDash \neg C\) and \(M\) contains no decision literals
- backjump
\[
M I^{d} N\left\|F, C \quad \Longrightarrow \quad M I^{\prime}\right\| F, C
\]

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- unit propagation \(M\|F, C \vee I \Longrightarrow M I\| F, C \vee I\) if \(M \vDash \neg C\) and \(I\) is undefined in \(M\)
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\[
M\|F \quad \Longrightarrow \quad M I\| F
\]
if \(I\) occurs in \(F\) but \(I^{c}\) does not occur in \(F\), and \(I\) is undefined in \(M\)
- decide
\[
M\left\|F \quad \Longrightarrow \quad M I^{d}\right\| F
\]
if \(I\) or \(I^{c}\) occurs in \(F\), and \(I\) is undefined in \(M\)
- backtrack
\[
M I^{d} N\left\|F, C \quad \Longrightarrow \quad M I^{c}\right\| F, C
\]
if \(M I^{d} N \vDash \neg C\) and \(N\) contains no decision literals
- fail \(M \| F, C \quad \Longrightarrow \quad\) FailState if \(M \vDash \neg C\) and \(M\) contains no decision literals
- backjump \(M I^{d} N\left\|F, C \quad \Longrightarrow \quad M I^{\prime}\right\| F, C\) if \(M I^{d} N \vDash \neg C\) and \(\exists\) clause \(C^{\prime} \vee I^{\prime}\) such that

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if \(M \vDash \neg C\) and \(I\) is undefined in \(M\)
- pure literal
\[
M\|F \quad \Longrightarrow \quad M I\| F
\]
if \(I\) occurs in \(F\) but \(I^{c}\) does not occur in \(F\), and \(I\) is undefined in \(M\)
- decide
\[
M\left\|F \quad \Longrightarrow \quad M I^{d}\right\| F
\]
if \(I\) or \(I^{c}\) occurs in \(F\), and \(I\) is undefined in \(M\)
- backtrack
\[
M I^{d} N\left\|F, C \quad \Longrightarrow \quad M I^{c}\right\| F, C
\]
if \(M I^{d} N \vDash \neg C\) and \(N\) contains no decision literals
- fail
\[
M \| F, C \quad \Longrightarrow \quad \text { FailState }
\]
if \(M \vDash \neg C\) and \(M\) contains no decision literals
- backjump
\[
M I^{d} N\left\|F, C \quad \Longrightarrow \quad M I^{\prime}\right\| F, C
\]
if \(M I^{d} N \vDash \neg C\) and \(\exists\) clause \(C^{\prime} \vee I^{\prime}\) such that
- \(F, C \vDash C^{\prime} \vee I^{\prime}\)

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M\|F, C \vee I \quad \Longrightarrow \quad M I\| F, C \vee I
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if \(M \vDash \neg C\) and \(I\) is undefined in \(M\)
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M\|F \quad \Longrightarrow \quad M I\| F
\]
if \(I\) occurs in \(F\) but \(I^{c}\) does not occur in \(F\), and \(I\) is undefined in \(M\)
- decide
\[
M\left\|F \quad \Longrightarrow \quad M I^{d}\right\| F
\]
if \(I\) or \(I^{c}\) occurs in \(F\), and \(I\) is undefined in \(M\)
- backtrack
\[
M I^{d} N\left\|F, C \quad \Longrightarrow \quad M I^{c}\right\| F, C
\]
if \(M I^{d} N \vDash \neg C\) and \(N\) contains no decision literals
- fail
\[
M \| F, C \quad \Longrightarrow \quad \text { FailState }
\]
if \(M \vDash \neg C\) and \(M\) contains no decision literals
- backjump
\[
M I^{d} N\left\|F, C \quad \Longrightarrow \quad M I^{\prime}\right\| F, C
\]
if \(M I^{d} N \vDash \neg C\) and \(\exists\) clause \(C^{\prime} \vee I^{\prime}\) such that
- \(F, C \vDash C^{\prime} \vee I^{\prime}\)
backjump clause
- \(M \vDash \neg C^{\prime}\) and \(I^{\prime}\) is undefined in \(M\), and \(I^{\prime}\) or \(I^{\prime c}\) occurs in \(F\) or in \(M I^{d} \underset{23}{N}\)

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\[
M\|F \quad \Longrightarrow \quad M I\| F
\]
if \(I\) occurs in \(F\) but \(I^{c}\) does not occur in \(F\), and \(I\) is undefined in \(M\)
- decide
\[
M\left\|F \quad \Longrightarrow \quad M I^{d}\right\| F
\]
if \(I\) or \(I^{c}\) occurs in \(F\), and \(I\) is undefined in \(M\)
- backtrack
\[
M I^{d} N\left\|F, C \quad \Longrightarrow \quad M I^{c}\right\| F, C
\]
if \(M I^{d} N \vDash \neg C\) and \(N\) contains no decision literals
- fail
\[
M \| F, C \quad \Longrightarrow \quad \text { FailState }
\]
if \(M \vDash \neg C\) and \(M\) contains no decision literals
- backjump \(\quad M I^{d} N\left\|F, C \Longrightarrow M I^{\prime}\right\| F, C\) if \(M I^{d} N \vDash \neg C\) and \(\exists\) clause \(C^{\prime} \vee I^{\prime}\) such that
- \(F, C \vDash C^{\prime} \vee I^{\prime}\)
backjump clause
- \(M \vDash \neg C^{\prime}\) and \(I^{\prime}\) is undefined in \(M\), and \(I^{\prime}\) or \(I^{\prime c}\) occurs in \(F\) or in \(M I^{d} \underset{23}{N}\)

\section*{Example (Backjump)}
\(\varphi=(\overline{1} \vee 2) \wedge(\overline{1} \vee \overline{3} \vee 4 \vee 5) \wedge(\overline{2} \vee \overline{4} \vee \overline{5}) \wedge(4 \vee \overline{5}) \wedge(\overline{4} \vee 5)\)
\(\| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5\)

\section*{Example (Backjump)}
\[
\begin{aligned}
& \varphi=(\overline{1} \vee 2) \wedge(\overline{1} \vee \overline{3} \vee 4 \vee 5) \wedge(\overline{2} \vee \overline{4} \vee \overline{5}) \wedge(4 \vee \overline{5}) \wedge(\overline{4} \vee 5) \\
& \Longrightarrow \quad \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 \\
& \Longrightarrow \quad 1^{d} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5
\end{aligned}
\]

\section*{Example (Backjump)}
\[
\varphi=(\overline{1} \vee 2) \wedge(\overline{1} \vee \overline{3} \vee 4 \vee 5) \wedge(\overline{2} \vee \overline{4} \vee \overline{5}) \wedge(4 \vee \overline{5}) \wedge(\overline{4} \vee 5)
\]
\[
\begin{array}{r}
\| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 \\
1^{d} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 \\
1^{d} 2 \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 \quad \text { unit propagate }
\end{array}
\]

\section*{Example (Backjump)}
\[
\varphi=(\overline{1} \vee 2) \wedge(\overline{1} \vee \overline{3} \vee 4 \vee 5) \wedge(\overline{2} \vee \overline{4} \vee \overline{5}) \wedge(4 \vee \overline{5}) \wedge(\overline{4} \vee 5)
\]
\begin{tabular}{rrr}
\(\| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5\) & \\
\(1^{d} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5\) & decide \\
\(1^{d} 2 \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5\) & unit propagate \\
\(1^{d} 23^{d} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5\) & decide
\end{tabular}

\section*{Example (Backjump)}
\[
\varphi=(\overline{1} \vee 2) \wedge(\overline{1} \vee \overline{3} \vee 4 \vee 5) \wedge(\overline{2} \vee \overline{4} \vee \overline{5}) \wedge(4 \vee \overline{5}) \wedge(\overline{4} \vee 5)
\]
\begin{tabular}{lrrr} 
& \(\| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5\) & \\
& \(1^{d} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5\) & decide \\
\(\Longrightarrow\) & \(1^{d} 2 \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5\) & unit propagate \\
\(\Longrightarrow\) & \(1^{d} 23^{d} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5\) & decide \\
\(\Longrightarrow\) & \(1^{d} 23^{d} 4^{d} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5\) & decide
\end{tabular}

\section*{Example (Backjump)}
\[
\begin{aligned}
& \varphi=(\overline{1} \vee 2) \wedge(\overline{1} \vee \overline{3} \vee 4 \vee 5) \wedge(\overline{2} \vee \overline{4} \vee \overline{5}) \wedge(4 \vee \overline{5}) \wedge(\overline{4} \vee 5) \\
& \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 \\
& 1^{d} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 \\
& \text { decide } \\
& 1^{d} 2 \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 \text { unit propagate } \\
& 1^{d} 23^{d} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 \\
& 1^{d} 23^{d} 4^{d} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 \\
& \text { decide } \\
& \text { decide } \\
& \Longrightarrow \quad 1^{d} 23^{d} 4^{d} \overline{5} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 \text { unit propagate }
\end{aligned}
\]

\section*{Example (Backjump)}
\[
\varphi=(\overline{1} \vee 2) \wedge(\overline{1} \vee \overline{3} \vee 4 \vee 5) \wedge(\overline{2} \vee \overline{4} \vee \overline{5}) \wedge(4 \vee \overline{5}) \wedge(\overline{4} \vee 5)
\]
\begin{tabular}{lrrr} 
& \(\| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5\) & \\
\(\Longrightarrow\) & \(1^{d} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5\) & decide \\
\(\Longrightarrow\) & \(1^{d} 2 \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5\) & unit propagate \\
\(\Longrightarrow\) & \(1^{d} 23^{d} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5\) & decide \\
\(\Longrightarrow\) & \(1^{d} 23^{d} 4^{d} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5\) & decide \\
\(\Longrightarrow\) & \(1^{d} 23^{d} 4^{d} \overline{5} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5\) & unit propagate \\
\(\Longrightarrow\) & \(1^{d} 23^{d} \overline{4} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5\) & backtrack
\end{tabular}

\section*{Example (Backjump)}
\[
\varphi=(\overline{1} \vee 2) \wedge(\overline{1} \vee \overline{3} \vee 4 \vee 5) \wedge(\overline{2} \vee \overline{4} \vee \overline{5}) \wedge(4 \vee \overline{5}) \wedge(\overline{4} \vee 5)
\]
\[
\begin{array}{lrrr} 
& \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 & \\
\Longrightarrow & 1^{d} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 & \text { decide } \\
\Longrightarrow & 1^{d} 2 \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 & \text { unit propagate } \\
\Longrightarrow & 1^{d} 23^{d} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 & \text { decide } \\
\Longrightarrow & 1^{d} 23^{d} 4^{d} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 & \text { decide } \\
\Longrightarrow & 1^{d} 23^{d} 4^{d} \overline{5} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 & \text { unit propagate } \\
\Longrightarrow & 1^{d} 23^{d} \overline{4} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 & \text { backtrack } \\
\Longrightarrow & 1^{d} 23^{d} \overline{4} 5 \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 & \text { unit propagate }
\end{array}
\]

\section*{Example (Backjump)}
\[
\varphi=(\overline{1} \vee 2) \wedge(\overline{1} \vee \overline{3} \vee 4 \vee 5) \wedge(\overline{2} \vee \overline{4} \vee \overline{5}) \wedge(4 \vee \overline{5}) \wedge(\overline{4} \vee 5)
\]
\[
\begin{array}{lrrr} 
& \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 & \\
\Longrightarrow & 1^{d} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 & \text { decide } \\
\Longrightarrow & 1^{d} 2 \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 & \text { unit propagate } \\
\Longrightarrow & 1^{d} 23^{d} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 & \text { decide } \\
\Longrightarrow & 1^{d} 23^{d} 4^{d} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 & \text { decide } \\
\Longrightarrow & 1^{d} 23^{d} 4^{d} \overline{5} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 & \text { unit propagate } \\
\Longrightarrow & 1^{d} 23^{d} \overline{4} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 & \text { backtrack } \\
\Longrightarrow & 1^{d} 23^{d} \overline{4} 5 \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 & \text { unit propagate }
\end{array}
\]

\section*{Example (Backjump)}
\[
\varphi=(\overline{1} \vee 2) \wedge(\overline{1} \vee \overline{3} \vee 4 \vee 5) \wedge(\overline{2} \vee \overline{4} \vee \overline{5}) \wedge(4 \vee \overline{5}) \wedge(\overline{4} \vee 5)
\]
\[
\begin{array}{lrr} 
& \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 & \\
\Longrightarrow & 1^{d} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 & \text { decide } \\
\Longrightarrow & 1^{d} 2 \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 & \text { unit propagate } \\
\Longrightarrow & 1^{d} 23^{d} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 & \text { decide } \\
\Longrightarrow & 1^{d} 23^{d} 4^{d} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 & \text { decide } \\
\Longrightarrow & 1^{d} 23^{d} 4^{d} \overline{5} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 & \text { unit propagate } \\
\Longrightarrow & 1^{d} 23^{d} \overline{4} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 & \text { backtrack } \\
\Longrightarrow & 1^{d} 23^{d} \overline{4} 5 \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 & \text { unit propagate }
\end{array}
\]

\section*{Example (Backjump)}
\[
\begin{gathered}
\varphi=(\overline{1} \vee 2) \wedge(\overline{1} \vee \overline{3} \vee 4 \vee 5) \wedge(\overline{2} \vee \overline{4} \vee \overline{5}) \wedge(4 \vee \overline{5}) \wedge(\overline{4} \vee 5) \\
\\
\Longrightarrow \quad \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 \\
\Longrightarrow \quad 1^{d} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5
\end{gathered} \quad \text { decide }
\]

\section*{Example (Backjump)}
\[
\begin{aligned}
& \varphi=(\overline{1} \vee 2) \wedge(\overline{1} \vee \overline{3} \vee 4 \vee 5) \wedge(\overline{2} \vee \overline{4} \vee \overline{5}) \wedge(4 \vee \overline{5}) \wedge(\overline{4} \vee 5) \\
& \begin{array}{l}
\Rightarrow \\
\Rightarrow \\
\Rightarrow \\
\Rightarrow
\end{array} \\
& \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 \\
& 1^{d} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 \\
& \text { decide } \\
& 1^{d} 2 \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 \text { unit propagate } \\
& 1^{d} 23^{d} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 \\
& 1^{d} 23^{d} 4^{d} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 \\
& \text { decide } \\
& \text { decide } \\
& \Longrightarrow \quad 1^{d} 23^{d} 4^{d} \overline{5} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 \text { unit propagate } \\
& 1^{d} 2 \overline{3} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5 \\
& \Longrightarrow 1^{+} \quad 2 \overline{3} \overline{4} \overline{5} \| \overline{1} \vee 2, \overline{1} \vee \overline{3} \vee 4 \vee 5, \overline{2} \vee \overline{4} \vee \overline{5}, 4 \vee \overline{5}, \overline{4} \vee 5
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basic DPLL \(\mathcal{B}\) consists of unit propagation, decide, fail, and backjump

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\section*{Lemma (Model Entailment)}

Suppose \(\left\|F \Longrightarrow{ }_{B}^{*} M\right\| F\) such that
- \(M=\left.\left.\left.M_{0}\right|_{1} ^{d} M_{1}\right|_{2} ^{d} M_{2} \ldots\right|_{k} ^{d} M_{k}\) and
- there are no decision literals in \(M_{i}\).

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Then \(F, l_{1}, \ldots, l_{i} \vDash M_{i}\) for all \(0 \leqslant i \leqslant k\).

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\]

\section*{Proof.}
- for list of distinct literals \(M\), define \(a(M)=n-|M|\) where
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\end{aligned}
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- for list of distinct literals \(M\), define \(a(M)=n-|M|\) where
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- measure state \(M_{0} I_{1}^{d} M_{1} I_{2}^{d} M_{2} \ldots I_{k}^{d} M_{k} \| F\) by tuple
\[
(a\left(M_{0}\right), a\left(M_{1}\right), \ldots, a\left(M_{k}\right), \underbrace{\infty, \ldots, \infty}_{n-k})
\]

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- compare tuples lexicographically by extension of \(>_{\mathbb{N}}\) with \(\infty\) maximal

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\end{array}
\]

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- for list of distinct literals \(M\), define \(a(M)=n-|M|\) where
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- \(|M|\) is length of \(M\)
- measure state \(M_{0} I_{1}^{d} M_{1} I_{2}^{d} M_{2} \ldots I_{k}^{d} M_{k} \| F\) by tuple
\[
(a\left(M_{0}\right), a\left(M_{1}\right), \ldots, a\left(M_{k}\right), \underbrace{\infty, \ldots, \infty}_{n-k})
\]
- compare tuples lexicographically by extension of \(>_{\mathbb{N}}\) with \(\infty\) maximal
- every transition step decreases measure

\section*{Example (Revisited for Termination)}
\(\varphi=(\overline{1} \vee \overline{2}) \wedge(2 \vee 3) \wedge(\overline{1} \vee \overline{3} \vee 4) \wedge(2 \vee \overline{3} \vee \overline{4}) \wedge(1 \vee 4)\)
\(\| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4, \ldots\)
\((n, \infty, \ldots)\)

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\(\varphi=(\overline{1} \vee \overline{2}) \wedge(2 \vee 3) \wedge(\overline{1} \vee \overline{3} \vee 4) \wedge(2 \vee \overline{3} \vee \overline{4}) \wedge(1 \vee 4)\)
\[
\begin{aligned}
& \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4, \ldots(n, \infty, \ldots) \\
& 1^{d} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4, \ldots \text { decide } \\
&(n, n, \infty, \ldots)
\end{aligned}
\]

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\(\varphi=(\overline{1} \vee \overline{2}) \wedge(2 \vee 3) \wedge(\overline{1} \vee \overline{3} \vee 4) \wedge(2 \vee \overline{3} \vee \overline{4}) \wedge(1 \vee 4)\)
\[
\begin{array}{rll}
\| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4, \ldots & (n, \infty, \ldots) \\
1^{d} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4, \ldots & \text { decide } & (n, n, \infty, \ldots) \\
1^{d} \overline{2} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4, \ldots & \text { unit propagate } & (n, n-1, \infty, \ldots)
\end{array}
\]

\section*{Example (Revisited for Termination)}
\(\varphi=(\overline{1} \vee \overline{2}) \wedge(2 \vee 3) \wedge(\overline{1} \vee \overline{3} \vee 4) \wedge(2 \vee \overline{3} \vee \overline{4}) \wedge(1 \vee 4)\)
\begin{tabular}{ccl} 
& \(\| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4, \ldots\) & \((n, \infty, \ldots)\) \\
& \(1^{d} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4, \ldots\) & decide \\
\hline & \((n, n, \infty, \ldots)\) \\
\(\Longrightarrow \quad\) & \(1^{d} \overline{2} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4, \ldots\) & unit propagate \\
\hline & \(\left.1^{d} \overline{2} 3 \| \overline{1} \vee \overline{2}, n-1, \infty, \ldots\right)\) \\
& &
\end{tabular}

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\(\Longrightarrow\) & \(1^{d} \overline{2} 3 \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4, \ldots\) & unit propagate & \((n, n-2, \infty, \ldots)\) \\
\(\Longrightarrow\) & \(1^{d} \overline{2} 34 \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4, \ldots\) & unit propagate & \((n, n-3, \infty, \ldots)\)
\end{tabular}

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\(\Longrightarrow \quad\) & \(1^{d} \overline{2} 3 \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4, \ldots\) & unit propagate & \((n, n-2, \infty, \ldots)\) \\
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\(\Longrightarrow \quad\) & \(\overline{1} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4, \ldots\) & backtrack & \((n-1, \infty, \ldots)\)
\end{tabular}

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\varphi=(\overline{1} \vee \overline{2}) \wedge(2 \vee 3) \wedge(\overline{1} \vee \overline{3} \vee 4) \wedge(2 \vee \overline{3} \vee \overline{4}) \wedge(1 \vee 4)
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\(\Longrightarrow\) & \(1^{d} \overline{2} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4, \ldots\) & unit propagate & \((n, n-1, \infty, \ldots)\) \\
\(\Longrightarrow\) & \(1^{d} \overline{2} 3 \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4, \ldots\) & unit propagate & \((n, n-2, \infty, \ldots)\) \\
\(\Longrightarrow\) & \(1^{d} \overline{2} 34 \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4, \ldots\) & unit propagate & \((n, n-3, \infty, \ldots)\) \\
\(\Longrightarrow\) & \(\overline{1} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4, \ldots\) & backtrack & \((n-1, \infty, \ldots)\) \\
\(\Longrightarrow\) & \(\overline{1} 4 \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4, \ldots\) & unit propagate & \((n-2, \infty, \ldots)\)
\end{tabular}

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\(\Longrightarrow\) & \(1^{d} \overline{2} 34 \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4, \ldots\) & unit propagate & \((n, n-3, \infty, \ldots)\) \\
\(\Longrightarrow\) & \(\overline{1} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4, \ldots\) & backtrack & \((n-1, \infty, \ldots)\) \\
\(\Longrightarrow \quad\) & \(\overline{1} 4 \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4, \ldots\) & unit propagate & \((n-2, \infty, \ldots)\) \\
\(\Longrightarrow \quad\) & \(\overline{1} 43^{d} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4, \ldots\) & decide & \((n-2, n, \infty, \ldots)\)
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\varphi=(\overline{1} \vee \overline{2}) \wedge(2 \vee 3) \wedge(\overline{1} \vee \overline{3} \vee 4) \wedge(2 \vee \overline{3} \vee \overline{4}) \wedge(1 \vee 4)
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\(\Longrightarrow \quad\) & \(\overline{1} 43^{d} 2 \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4, \ldots\) & unit propagate & \((n-2, n-1, \infty, \ldots)\)
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\begin{array}{ccll}
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& \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4, \ldots & \\
& (n, \infty, \ldots) \\
\Longrightarrow \quad & 1^{d} \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4, \ldots & \text { decide } & (n, n, \infty, \ldots) \\
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\Longrightarrow \quad & 1^{d} \overline{2} 3 \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4, \ldots & \text { unit propagate } & (n, n-2, \infty, \ldots) \\
\Longrightarrow \quad & 1^{d} \overline{2} 34 \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4, \ldots & \text { unit propagate } & (n, n-3, \infty, \ldots) \\
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\Longrightarrow & \overline{1} 43^{d} 2 \| \overline{1} \vee \overline{2}, 2 \vee 3, \overline{1} \vee \overline{3} \vee 4, \ldots & \text { unit propagate } & (n-2, n-1, \infty, \ldots)
\end{array}
\]

\section*{Observation}
- decide replaces \(\infty\) by \(n\)
- unit propagate, backtrack, and backjump replace \(m\) by \(m-1\)

Consider maximal derivation with final state \(S_{n}\) :
\(\| F \quad \Longrightarrow_{\mathcal{B}} \quad S_{1} \quad \Longrightarrow_{\mathcal{B}} \quad S_{2} \quad \Longrightarrow_{\mathcal{B}} \quad \cdots \quad \Longrightarrow_{\mathcal{B}} \quad S_{n}\)

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\]

\section*{Theorem}
if \(S_{n}=\) FailState then \(F\) is unsatisfiable

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if \(S_{n}=\) FailState then \(F\) is unsatisfiable

\section*{Proof.}
- must have \(\left\|F \Longrightarrow{ }_{\mathcal{B}}^{*} M\right\| F \Longrightarrow_{\text {fail }}\) FailState such that \(M\) contains no decision literals and \(M \vDash \neg C\) for some \(C\) in \(F\)

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if \(S_{n}=M \| F^{\prime}\) then \(F\) is satisfiable and \(M \vDash F\)

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- so \(M\) satisfies \(F(M \vDash F)\)

\section*{DPLL}

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\section*{Application Examples}

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\section*{Outline}

\section*{- Introduction}

\section*{- Propositional Logic}
- DPLL
- Transformations to CNF
- Using SAT Solvers

\section*{Fact}
most SAT solvers require input to be in CNF

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formulas \(\varphi\) and \(\psi\) are equisatisfiable ( \(\varphi \approx \psi\) ) if
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\section*{Example}
\[
p \vee q \approx \top \quad p \wedge \neg p \approx q \wedge \neg q \quad p \wedge \neg p \nsim p \wedge \neg q
\]

\section*{Example (Tseitin's Transformation)}
- \(\varphi=\neg(p \vee q) \vee(p \wedge(p \vee q))\)

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- \(\varphi=\neg(p \vee q) \vee(p \wedge(p \vee q))\)
- use fresh propositional variable for every connective
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\begin{array}{ll}
a_{0}: \neg(p \vee q) \vee(p \wedge(p \vee q)) & a_{1}: \neg(p \vee q) \\
a_{2}: p \vee q & a_{3}: p \wedge(p \vee q)
\end{array}
\]
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- \(\varphi \approx a_{0} \wedge\left(a_{0} \leftrightarrow a_{1} \vee a_{3}\right) \wedge\left(a_{1} \leftrightarrow \neg a_{2}\right) \wedge\left(a_{2} \leftrightarrow p \vee q\right) \wedge\)
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\(a_{4}: p \vee q\)
- \(\varphi \approx a_{0} \wedge\left(a_{0} \leftrightarrow a_{1} \vee a_{3}\right) \wedge\left(a_{1} \leftrightarrow \neg a_{2}\right) \wedge\left(a_{2} \leftrightarrow p \vee q\right) \wedge\)
\[
\left(a_{3} \leftrightarrow p \wedge a_{4}\right) \wedge\left(a_{4} \leftrightarrow p \vee q\right)
\]
- every \(\leftrightarrow\) subexpression can be replaced by at most three clauses:
\[
\begin{aligned}
a \leftrightarrow b \wedge c & \equiv(\neg a \vee b) \wedge(\neg a \vee c) \wedge(a \vee \neg b \vee \neg c) \\
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\section*{Example (Tseitin's Transformation)}
- \(\varphi=\neg(p \vee q) \vee(p \wedge(p \vee q))\)
- use fresh propositional variable for every connective
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a_{0}: \neg(p \vee q) \vee(p \wedge(p \vee q)) & a_{1}: \neg(p \vee q) \\
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\section*{Minisat}
- minimalistic open source solver (http://minisat.se/ or apt, yum,...)
\$ minisat test.sat result.txt
- web interface

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formula \(\left(x_{1} \vee \neg x_{3}\right) \wedge\left(x_{2} \vee x_{3} \vee \neg x_{1}\right) \wedge\left(\neg x_{1} \vee x_{2} \vee x_{4}\right)\) can be expressed by
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c a very simple example

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\(1-30\)
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Z3
common open source SAT/SMT solver

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- Implies \((a, b)\) implication
- Xor \((a, b) \quad\) exclusive or

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\section*{Moreover}
- simplify \((\varphi)\)
simplifies formula \(\varphi\)
- Solver.statistics() is map of solving statistics

\section*{Example}
```

from z3 import *
p = Bool('p') \# create variable named 'p'
foo1 = FreshBool('foo') \# create fresh variables prefixed 'foo'
foo2 = FreshBool('foo')
phi = Or(p, p, And(foo2, Xor(foo1, Not(foo1)), True), False)
print(phi) \# Or(p, p, And(foo!1, Xor(foo!0, Not(foo!0)), True), False)
psi = simplify(phi)
print(psi) \# Or(p, foo!1)
solver = Solver()
solver.add(psi) \# assert that psi should be true
solver.add(Implies(foo1,p), Or(foo1, foo2)) \# assert something else
print solver \# [Or(p, foo!1), Implies(foo!0, p), Or(foo!0, foo!1)]
result = solver.check() \# check for satisfiability
if result:
model = solver.model() \# get valuation
print model[p], model[foo1], model[foo2] \# False False True

```

\section*{Example (Minesweeper)}


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\begin{tabular}{|l|l|l|l|}
\hline\(x_{1}\) & & \(x_{2}\) & \\
\hline\(x_{3}\) & \(x_{4}\) & \(x_{5}\) & \(x_{6}\) \\
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\hline
\end{tabular}

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- variable \(x_{i}\) for each unknown cell \(i, v\left(x_{i}\right)=\mathrm{T}\) iff cell \(i\) has mine
- constraints for every hint (number in grid)

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1 \quad\left(x_{2} \vee x_{5} \vee x_{6}\right) \wedge\left(\left(\neg x_{2} \wedge \neg x_{5}\right) \vee\left(\neg x_{2} \wedge \neg x_{6}\right) \vee\left(\neg x_{5} \wedge \neg x_{6}\right)\right)
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& \hline \hline \mathbf{8}
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$8 x_{3} \wedge x_{4} \wedge x_{5} \wedge x_{7} \wedge x_{8} \wedge x_{9} \wedge x_{10} \wedge x_{11}$
$3\left(\left(x_{5} \wedge x_{6} \wedge x_{8}\right) \vee\left(x_{5} \wedge x_{6} \wedge x_{11}\right) \vee\left(x_{5} \wedge x_{8} \wedge x_{11}\right) \vee\left(x_{6} \wedge x_{8} \wedge x_{11}\right)\right) \wedge\left(\neg x_{5} \vee \neg x_{6} \vee \neg x_{8} \vee \neg x_{11}\right)$

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$\left.\begin{array}{l}\mathbf{1}\left(x_{2} \vee x_{5} \vee x_{6}\right) \wedge\left(\left(\neg x_{2} \wedge \neg x_{5}\right) \vee\left(\neg x_{2} \wedge \neg x_{6}\right) \vee\left(\neg x_{5} \wedge \neg x_{6}\right)\right) \\ \hline \hline \mathbf{8} \\ \hline \hline 3\end{array} x_{3} \wedge x_{4} \wedge x_{5} \wedge x_{7} \wedge x_{8} \wedge x_{9} \wedge x_{10} \wedge x_{11} .\left(x_{5} \wedge x_{6} \wedge x_{8}\right) \vee\left(x_{5} \wedge x_{6} \wedge x_{11}\right) \vee\left(x_{5} \wedge x_{8} \wedge x_{11}\right) \vee\left(x_{6} \wedge x_{8} \wedge x_{11}\right)\right) \wedge\left(\neg x_{5} \vee \neg x_{6} \vee \neg x_{8} \vee \neg x_{11}\right)$

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