

SAT and SMT Solving

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lecture 2
SS 2019

Outline

- Summary of Last Week
- Conflict Analysis
- Conflict Driven Clause Learning
- Application: Test Case Generation

Approach

- ▶ most state-of-the-art SAT solvers use variation of Davis - Putnam - Logemann - Loveland (DPLL) procedure (1962)
- ▶ DPLL is sound and complete backtracking-based search algorithm
- ▶ can be described abstractly by transition system (Nieuwenhuis, Oliveras, Tinelli 2006)

Definition (Abstract DPLL)

- ▶ **decision literal** is annotated literal l^d
- ▶ **state** is pair $M \parallel F$ for
 - ▶ list M of (decision) literals
 - ▶ formula F in CNF
- ▶ transition rules

$$M \parallel F \implies M' \parallel F' \text{ or } \text{FailState}$$

Definition (DPLL Transition Rules)

- ▶ **unit propagation** $M \parallel F, C \vee I \implies M I \parallel F, C \vee I$
if $M \models \neg C$ and I is undefined in M
- ▶ **pure literal** $M \parallel F \implies M I \parallel F$
if I occurs in F but I^c does not occur in F , and I is undefined in M
- ▶ **decide** $M \parallel F \implies M I^d \parallel F$
if I or I^c occurs in F , and I is undefined in M
- ▶ **backtrack** $M I^d N \parallel F, C \implies M I^c \parallel F, C$
if $M I^d N \models \neg C$ and N contains no decision literals
- ▶ **fail** $M \parallel F, C \implies \text{FailState}$
if $M \models \neg C$ and M contains no decision literals
- ▶ **backjump** $M I^d N \parallel F, C \implies M I' \parallel F, C$
if $M I^d N \models \neg C$ and \exists clause $C' \vee I'$ such that
 - ▶ $F, C \models C' \vee I'$ backjump clause
 - ▶ $M \models \neg C'$ and I' is undefined in M , and I' or I'^c occurs in F or in $M I^d N$

Definition

basic DPLL \mathcal{B} consists of unit propagation, decide, fail, and backjump

Theorem (Termination)

there are *no infinite derivations* $\parallel F \implies_{\mathcal{B}} S_1 \implies_{\mathcal{B}} S_2 \implies_{\mathcal{B}} \dots$

Theorem (Correctness)

for derivation with *final* state S_n :

$$\parallel F \implies_{\mathcal{B}} S_1 \implies_{\mathcal{B}} S_2 \implies_{\mathcal{B}} \dots \implies_{\mathcal{B}} S_n$$

- ▶ if $S_n = \text{FailState}$ then F is *unsatisfiable*
- ▶ if $S_n = M \parallel F'$ then F is *satisfiable* and $M \models F$

Definition

polarity of subformula φ in ψ is $+$ if number of negations above φ in ψ is even, and $-$ otherwise

Example (Efficient Transformations to CNF)

- ▶ $\varphi = \neg(p \vee q) \vee (p \wedge (p \vee q))$
- ▶ use fresh propositional variable for every connective

$$a_0: \neg(p \vee q) \vee (p \wedge (p \vee q)) \quad a_1: \neg(p \vee q)$$

$$a_2: p \vee q \quad a_3: p \wedge (p \vee q)$$

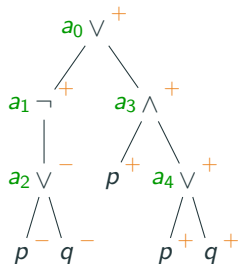
- ▶ Tseitin: add clause a_0 plus $(a_i \leftrightarrow \dots)$ for every subformula

$$\varphi \approx a_0 \wedge (a_0 \leftrightarrow a_1 \vee a_3) \wedge (a_1 \leftrightarrow \neg a_2) \wedge (a_2 \leftrightarrow p \vee q) \wedge (a_3 \leftrightarrow p \wedge a_2)$$

- ▶ Plaisted & Greenbaum: $(a_i \rightarrow \dots)$ if polarity of a_i is $+$ and $(a_i \leftarrow \dots)$ if $-$

$$\varphi \approx a_0 \wedge (a_0 \rightarrow a_1 \vee a_3) \wedge (a_1 \rightarrow \neg a_2) \wedge (a_2 \leftarrow p \vee q) \wedge (a_3 \rightarrow p \wedge a_2) \wedge (a_4 \rightarrow p \vee q)$$

- ▶ replace \leftrightarrow and \rightarrow by 2 or 3 clauses each



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Backjump: Idea

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- ▶ prefix M of current literal list entails $\neg C'$

(magically detected)

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Backjump to Definition

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 - ▶ $F, C \models C' \vee I'$ backjump clause
 - ▶ $M \models \neg C'$ and I' is undefined in M , and I' or I'^c occurs in F or in $M I^d N$

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Example

$1^d 2 \quad 3^d \quad 4^d \bar{5} \parallel \bar{1} \vee 2, \bar{1} \vee \bar{3} \vee 4 \vee 5, \bar{2} \vee \bar{4} \vee \bar{5}, 4 \vee \bar{5}, \bar{4} \vee 5, \bar{1} \vee \bar{5} \vee 6, \bar{2} \vee \bar{5} \vee \bar{6}$

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$$M = 1^d 2 \quad I = 3 \quad N = 4^d \bar{5}$$

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$$M = 1^d 2 \quad I = 3 \quad N = 4^d \bar{5} \quad C = \bar{4} \vee 5$$

- ▶ $1^d 2 3^d 4^d \bar{5} \models \neg(\bar{4} \vee 5)$

Backjump: Idea

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$$M = 1^d 2 \quad I = 3 \quad N = 4^d \bar{5} \quad C = \bar{4} \vee 5 \quad C' = \bar{1} \quad I' = \bar{5}$$

- ▶ $1^d 2 3^d 4^d \bar{5} \models \neg(\bar{4} \vee 5)$
- ▶ backjump clause $C' \vee I' = \bar{1} \vee \bar{5}$ satisfies $F, C \models C' \vee I'$

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- ▶ backjump clause $C' \vee I' = \bar{1} \vee \bar{5}$ satisfies $F, C \models C' \vee I'$
- ▶ $1^d 2 \models 1$

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- ▶ $1^d 2 \models 1$, and 5 is undefined in $1^d 2$ but occurs in F

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$$\implies 1^d 2 \bar{5} \parallel \bar{1} \vee 2, \bar{1} \vee \bar{3} \vee 4 \vee 5, \bar{2} \vee \bar{4} \vee \bar{5}, 4 \vee \bar{5}, \bar{4} \vee 5, \bar{1} \vee \bar{5} \vee 6, \bar{2} \vee \bar{5} \vee \bar{6}$$

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Desirable Properties of Backjump Clauses

- ▶ small
- ▶ should trigger progress

How to Determine Backjump Clauses?

- ▶ implication graph
- ▶ resolution

Example: Implication Graph

$$\varphi = (\bar{1} \vee \bar{2}) \wedge (\bar{1} \vee 2 \vee \bar{3}) \wedge (\bar{1} \vee 3 \vee 4) \wedge (\bar{4} \vee \bar{5} \vee \bar{6}) \wedge (\bar{5} \vee 6 \vee 7) \wedge \\ (\bar{7} \vee 8 \vee \bar{9} \vee 10) \wedge (\bar{10} \vee \bar{11}) \wedge (\bar{10} \vee 12) \wedge (\bar{12} \vee \bar{13}) \wedge (6 \vee 11 \vee 13)$$

decisions



Example: Implication Graph

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decisions

1^d

level	literal	reason
1	1	decision

Example: Implication Graph

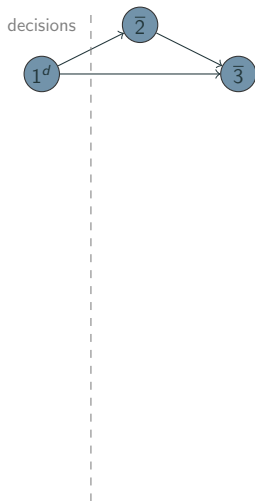
$$\varphi = (\bar{1} \vee \bar{2}) \wedge (\bar{1} \vee 2 \vee \bar{3}) \wedge (\bar{1} \vee 3 \vee 4) \wedge (\bar{4} \vee \bar{5} \vee \bar{6}) \wedge (\bar{5} \vee 6 \vee 7) \wedge (\bar{7} \vee 8 \vee \bar{9} \vee 10) \wedge (\bar{10} \vee \bar{11}) \wedge (\bar{10} \vee 12) \wedge (\bar{12} \vee \bar{13}) \wedge (6 \vee 11 \vee 13)$$



level	literal	reason
1	1	decision
	$\bar{2}$	$\bar{1} \vee \bar{2}$

Example: Implication Graph

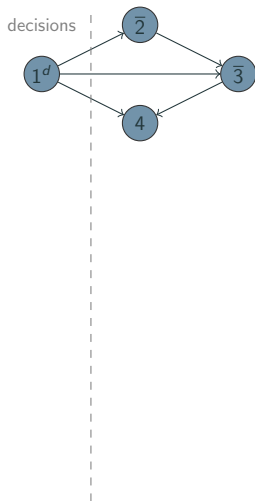
$$\varphi = (\bar{1} \vee \bar{2}) \wedge (\bar{1} \vee 2 \vee \bar{3}) \wedge (\bar{1} \vee 3 \vee 4) \wedge (\bar{4} \vee \bar{5} \vee \bar{6}) \wedge (\bar{5} \vee 6 \vee 7) \wedge (\bar{7} \vee 8 \vee \bar{9} \vee 10) \wedge (\bar{10} \vee \bar{11}) \wedge (\bar{10} \vee 12) \wedge (\bar{12} \vee \bar{13}) \wedge (6 \vee 11 \vee 13)$$



level	literal	reason
1	1	decision
	$\bar{2}$	$\bar{1} \vee \bar{2}$
	$\bar{3}$	$\bar{1} \vee 2 \vee \bar{3}$

Example: Implication Graph

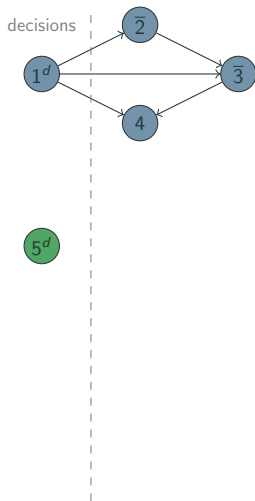
$$\varphi = (\bar{1} \vee \bar{2}) \wedge (\bar{1} \vee 2 \vee \bar{3}) \wedge (\bar{1} \vee 3 \vee 4) \wedge (\bar{4} \vee \bar{5} \vee \bar{6}) \wedge (\bar{5} \vee 6 \vee 7) \wedge (\bar{7} \vee 8 \vee \bar{9} \vee 10) \wedge (\bar{10} \vee \bar{11}) \wedge (\bar{10} \vee 12) \wedge (\bar{12} \vee \bar{13}) \wedge (6 \vee 11 \vee 13)$$



level	literal	reason
1	1	decision
	$\bar{2}$	$\bar{1} \vee \bar{2}$
	$\bar{3}$	$\bar{1} \vee 2 \vee \bar{3}$
	4	$\bar{1} \vee 3 \vee 4$

Example: Implication Graph

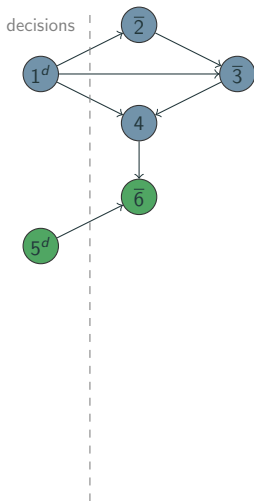
$$\varphi = (\bar{1} \vee \bar{2}) \wedge (\bar{1} \vee 2 \vee \bar{3}) \wedge (\bar{1} \vee 3 \vee 4) \wedge (\bar{4} \vee \bar{5} \vee \bar{6}) \wedge (\bar{5} \vee 6 \vee 7) \wedge (\bar{7} \vee 8 \vee \bar{9} \vee 10) \wedge (\bar{10} \vee \bar{11}) \wedge (\bar{10} \vee 12) \wedge (\bar{12} \vee \bar{13}) \wedge (6 \vee 11 \vee 13)$$



level	literal	reason
1	1	decision
	$\bar{2}$	$\bar{1} \vee \bar{2}$
	$\bar{3}$	$\bar{1} \vee 2 \vee \bar{3}$
	4	$\bar{1} \vee 3 \vee 4$
2	5	decision

Example: Implication Graph

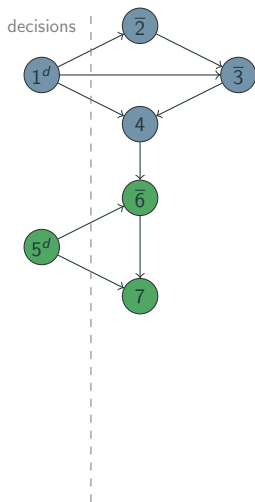
$$\varphi = (\bar{1} \vee \bar{2}) \wedge (\bar{1} \vee 2 \vee \bar{3}) \wedge (\bar{1} \vee 3 \vee 4) \wedge (\bar{4} \vee \bar{5} \vee \bar{6}) \wedge (\bar{5} \vee 6 \vee 7) \wedge (\bar{7} \vee 8 \vee \bar{9} \vee 10) \wedge (\bar{10} \vee \bar{11}) \wedge (\bar{10} \vee 12) \wedge (\bar{12} \vee \bar{13}) \wedge (6 \vee 11 \vee 13)$$



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	4	$\bar{1} \vee 3 \vee 4$
2	5	decision
	$\bar{6}$	$\bar{4} \vee \bar{5} \vee \bar{6}$

Example: Implication Graph

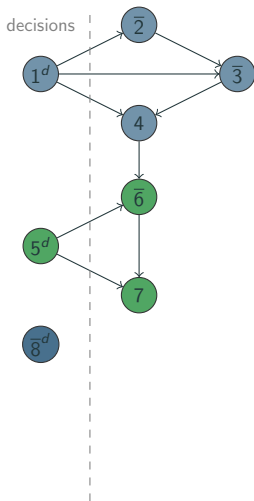
$$\varphi = (\bar{1} \vee \bar{2}) \wedge (\bar{1} \vee 2 \vee \bar{3}) \wedge (\bar{1} \vee 3 \vee 4) \wedge (\bar{4} \vee \bar{5} \vee \bar{6}) \wedge (\bar{5} \vee 6 \vee 7) \wedge (\bar{7} \vee 8 \vee \bar{9} \vee 10) \wedge (\bar{10} \vee \bar{11}) \wedge (\bar{10} \vee 12) \wedge (\bar{12} \vee \bar{13}) \wedge (6 \vee 11 \vee 13)$$



level	literal	reason
1	1	decision
	$\bar{2}$	$\bar{1} \vee \bar{2}$
	$\bar{3}$	$\bar{1} \vee 2 \vee \bar{3}$
	4	$\bar{1} \vee 3 \vee 4$
2	5	decision
	$\bar{6}$	$\bar{4} \vee \bar{5} \vee \bar{6}$
	7	$\bar{5} \vee 6 \vee 7$

Example: Implication Graph

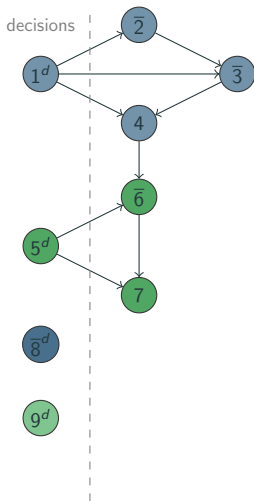
$$\varphi = (\bar{1} \vee \bar{2}) \wedge (\bar{1} \vee 2 \vee \bar{3}) \wedge (\bar{1} \vee 3 \vee 4) \wedge (\bar{4} \vee \bar{5} \vee \bar{6}) \wedge (\bar{5} \vee 6 \vee 7) \wedge (\bar{7} \vee 8 \vee \bar{9} \vee 10) \wedge (\bar{10} \vee \bar{11}) \wedge (\bar{10} \vee 12) \wedge (\bar{12} \vee \bar{13}) \wedge (6 \vee 11 \vee 13)$$



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2	5	decision
	$\bar{6}$	$\bar{4} \vee \bar{5} \vee \bar{6}$
	7	$\bar{5} \vee 6 \vee 7$
3	$\bar{8}$	decision

Example: Implication Graph

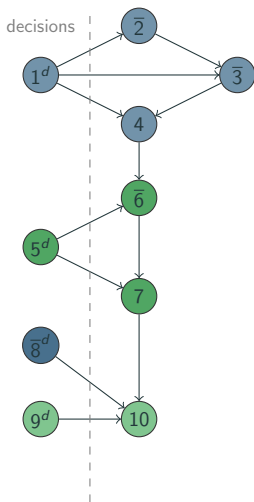
$$\varphi = (\bar{1} \vee \bar{2}) \wedge (\bar{1} \vee 2 \vee \bar{3}) \wedge (\bar{1} \vee 3 \vee 4) \wedge (\bar{4} \vee \bar{5} \vee \bar{6}) \wedge (\bar{5} \vee 6 \vee 7) \wedge (\bar{7} \vee 8 \vee \bar{9} \vee 10) \wedge (\bar{10} \vee \bar{11}) \wedge (\bar{10} \vee 12) \wedge (\bar{12} \vee \bar{13}) \wedge (6 \vee 11 \vee 13)$$



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	7	$\bar{5} \vee 6 \vee 7$
3	$\bar{8}$	decision
4	9	decision

Example: Implication Graph

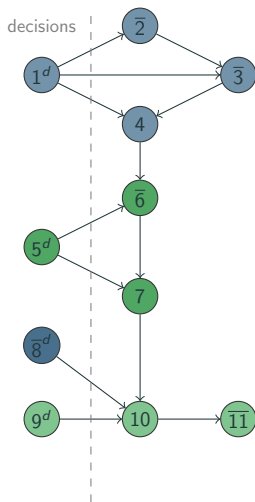
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	7	$\bar{5} \vee 6 \vee 7$
3	$\bar{8}$	decision
4	9	decision
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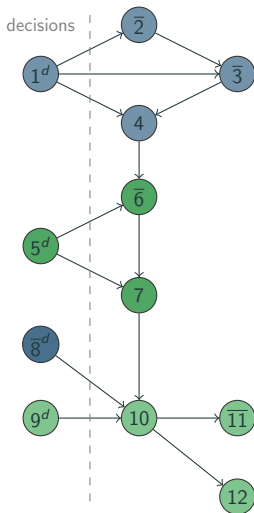
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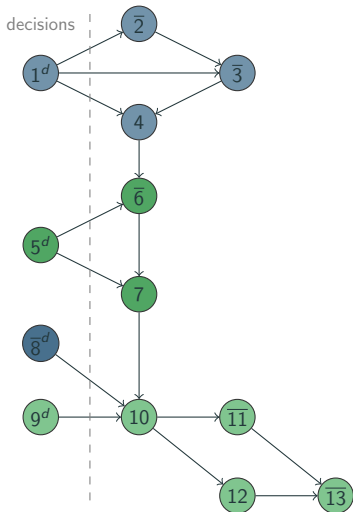
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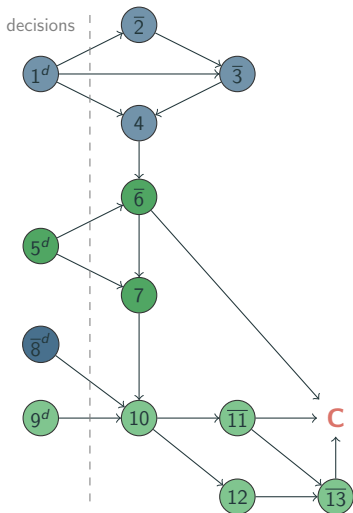
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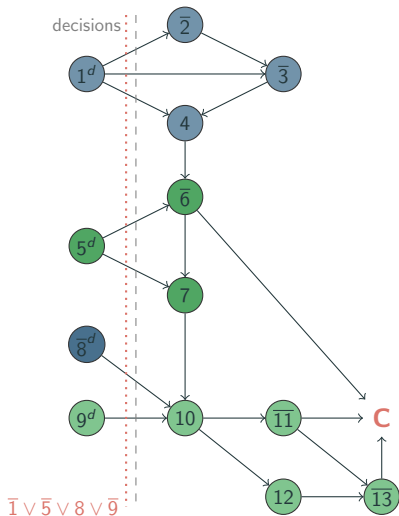
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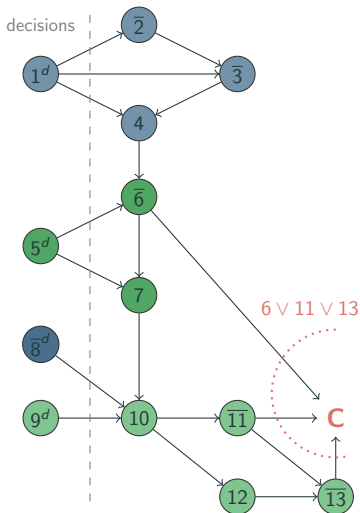
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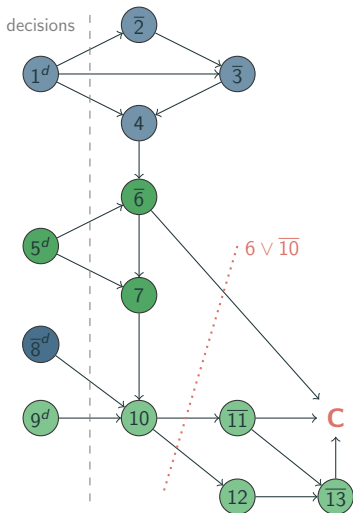
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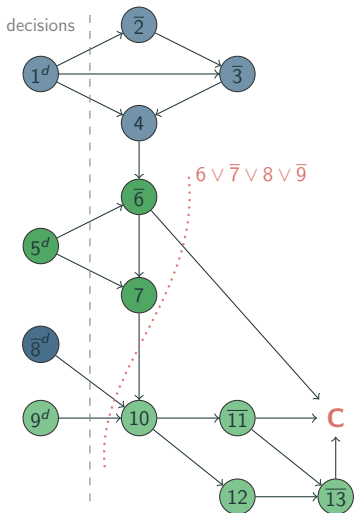
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potential backjump clause

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every C_i corresponds to cut in implication graph

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- ▶ restart $M \parallel F \implies \parallel F$

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any derivation $\parallel F \Longrightarrow_{\mathcal{R}} S_1 \Longrightarrow_{\mathcal{R}} S_2 \Longrightarrow_{\mathcal{R}} \dots$ is finite if

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- ▶ if $S_n = M \parallel F'$ then F is *satisfiable* and $M \models F'$

Outline

- Summary of Last Week
- Conflict Analysis
- Conflict Driven Clause Learning
- Application: Test Case Generation

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given software system with n parameters, generate set of test cases which covers all problematic situations while being as small as possible

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Example (Testing on Mobile Phones)

property	values
storage	32GB, 64GB, 128GB
cores	2, 4, 8
camera	8MP, 12MP, 16MP
SIM	single, dual
OS	Android, iOS

(a) testing model for mobile phones

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cores	2, 4, 8	2	32GB	2	8MP	single	Android
camera	8MP, 12MP, 16MP	3	64GB	2	12MP	dual	iOS
SIM	single, dual	4	32GB	4	16MP	dual	iOS
OS	Android, iOS	5	64GB	8	16MP	single	Android
		6	128GB	8	8MP	dual	iOS
		7	128GB	2	12MP	dual	Android
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some combinations may be infeasible

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- ▶ Minimal test set can be found by repeating approach with smaller m