

# Automated Theorem Proving

## Lecture 1

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# Administration

## Teacher

- Cezary Kaliszyk
- Consultation hours: This semester by email only

## VU

- Virtual Lectures: Notes for self-study in the given course slots
- Virtual Exercises: Tasks to be performed at the end of the lecture
- You need to submit the exercises to me by email, and I will comment about your solutions only if there is need.
- For simplicity, the deadlines for the exercises are next lecture dates.

## Grading

- (Remote) open-book exam
- Practical assignments
- Final grade: average of the two

# Covered Topics

## Basics

Why ATPs?

Tableaux in practice

leanCoP and variants

Resolution

Orderings

## Advanced

Paramodulation

Superposition

ATP in intuitionistic logic

ATP in higher-order logic

## Today

- What is automated theorem proving
- Common uses and differences to other systems
- Tableaux in practice

## Field of research since the fifties

- Computer used to reason in a logic
- Traditionally part of artificial intelligence
  - (not machine learning)
- Applications: program verification, mathematical deduction, ...
- Theorem proving logics, precision, automation, ... very varied.
- In this course we look at automated theorem proving, which is a subpart of this field

# Automated Theorem Proving: Main Question

## Given

A set of axioms and a conjecture in a formal language  
(e.g. first-order logic)

$$\{A_1, \dots, A_n\}, C$$

## Answer

Do the axioms logically imply the conjecture?

$$A_1, \dots, A_n \models C$$

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## Notes

- Only in very simple logics this is decidable, so the ATP will often not terminate
- Typically the system will try to prove  $A_1, \dots, A_n \models C$ , and soundness or even completeness will be shown meta-theoretically.

# Why is this interesting? (1/2)

Some higher math is slowly becoming hard for humans

Problems in some domains of algebra: quasigroup theory and loop theory

## Robbins Conjecture

- Open problem for many years: Mathematicians really tried
- Simple statement: Given an associative-commutative operator in an algebra is it true that:

$$n(n(x)+y)+n(n(x)+n(y)) = x \iff n(n(x+y)+n(x+n(y))) = x$$

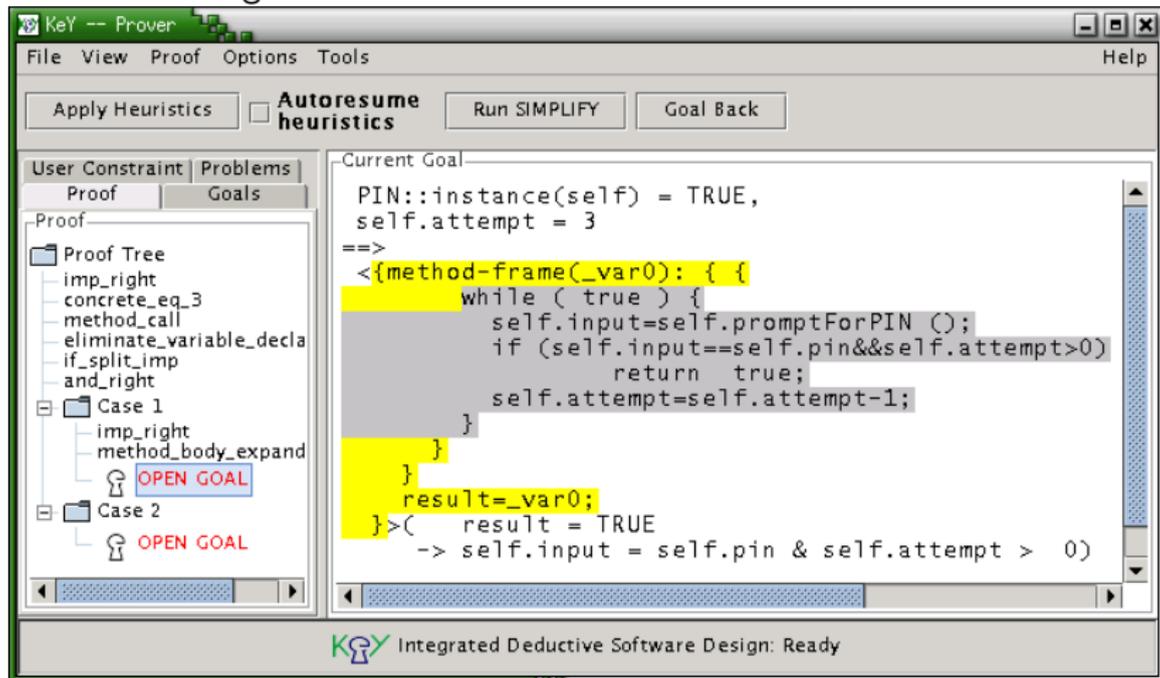
- Computer program (McCune's EQP) proved the fact in 1996.

## Note

In mathematics the use of automated theorem proving is still rather limited, with many more users of computer algebra or related systems.

## Why is this interesting (2/2)

Verification of programs or security protocols is often translated to many small ATP obligations



The screenshot shows the KeY Prover interface. The window title is "KeY -- Prover". The menu bar includes "File", "View", "Proof", "Options", "Tools", and "Help". Below the menu bar are buttons for "Apply Heuristics", "Autoresume heuristics" (with a checkbox), "Run SIMPLIFY", and "Goal Back".

The interface is divided into two main panes:

- Left Pane (Proof Tree):** Shows a hierarchical view of the proof. The root is "Proof", which branches into "Proof Tree" and "Goals". Under "Proof Tree", there are nodes for "imp\_right", "concrete\_eq\_3", "method\_call", "eliminate\_variable\_decla", "if\_split\_imp", and "and\_right". Below these are two cases: "Case 1" and "Case 2". "Case 1" contains "imp\_right" and "method\_body\_expand", with a red "OPEN GOAL" icon next to it. "Case 2" also has a red "OPEN GOAL" icon.
- Right Pane (Current Goal):** Displays the current goal in a code editor. The goal is:

```
PIN::instance(self) = TRUE,  
self.attempt = 3  
==>  
<{method-frame(_var0): { {  
  while ( true ) {  
    self.input=self.promptForPIN ();  
    if (self.input==self.pin&&self.attempt>0)  
      return true;  
    self.attempt=self.attempt-1;  
  }  
}  
}  
result=_var0;  
}>( result = TRUE  
-> self.input = self.pin & self.attempt > 0)
```

At the bottom of the window, there is a status bar with the KeY logo and the text "Integrated Deductive Software Design: Ready".

# Most work in classical first-order logic

## Rationale for this

- Compromise between expressivity and automatizability

## Expressive

- Any computable problem can be encoded, many of them naturally
- Some complex logics can be well-translated to FOL

## Automation is possible

- Sound and complete calculi exist
- Reasonably efficient search

# History of reasoning in FOL

- Resolution [Robbins'65]
- Model Elimination [Loveland'68]
- Paramodulation [Robinson&Wos'69]
- Completion [Knuth&Bendix'70]
- Superposition [Bachmaier&Ganzinger'90]
- leanTAP [Beckert&Posegga'95]
- Vampire [Voronkov'95]
- First CASC Competition [Sutcliffe&Suttner'96]
- Eprover [Schulz'99]
- Efficient EUF in SMT [Nieuwenhuis'06]
- SAT in FOL [Voronkov'14,Schulz'19]

# Efficiency of Tableaux

Until the late nineties, tableaux based systems were dominating competitions. It will only be thanks to the handling of equality (presented in the second part of this course) that we will see an emergence resolution based systems.

We have seen Tableaux in the CL lecture. However there an abstract setting was presented without the focus on efficiency. First (reasonably) efficient, but also very concise Tableaux systems were constructed in Prolog. Later a number of improvements were added in the Setheo system. We will only look at the Prolog systems in this course.

leanTAP presentation mostly follows Otten.

Note: Today we will look at these in Prolog notation, which you may be unfamiliar with, next time we will switch to functional programming.

# Negation Normal Form

- ▶ Is the following formula **valid** in **classical logic**?

$$(((\exists x Q(x) \vee \neg Q(c)) \Rightarrow P) \wedge (P \Rightarrow (\exists y Q(y) \wedge R))) \Rightarrow (P \wedge R)$$

- ▶ Removing equivalences/implications; moving negation inside:

$$((\exists x Q(x) \vee \neg Q(c)) \wedge \neg P) \vee (P \wedge (\forall y \neg Q(y) \vee \neg R)) \vee (P \wedge R)$$

- ▶ Removing universal quantifiers (using **Skolemization**):

$$((\exists x Q(x) \vee \neg Q(c)) \wedge \neg P) \vee (P \wedge (\neg Q(b) \vee \neg R)) \vee (P \wedge R)$$

- ▶ Negating formula (**unsatisfiable** iff original formula is **valid**):

$$((\forall x \neg Q(x) \wedge Q(c)) \vee P) \wedge (\neg P \vee (Q(b) \wedge R)) \wedge (\neg P \vee \neg R)$$

- ▶ Representation in **Prolog**:

$$((\text{all } X: \neg q(X)), q(c)); p) , (\neg p; (q(b), r)) , (\neg p; \neg r)$$

# Tableau Calculus (should be known from CL course)

The (analytic/semantic) **tableau calculus** for formulae in negation normal form consists of  $\alpha$ -,  $\beta$ -, and  $\gamma$ -rules (Smullyan '68).

▶  $\alpha$ -rule

$$\frac{F \wedge G}{F} \wedge$$
$$G$$

$\beta$ -rule

$$\frac{F \vee G}{F} \vee$$
$$G$$

$\gamma$ -rule

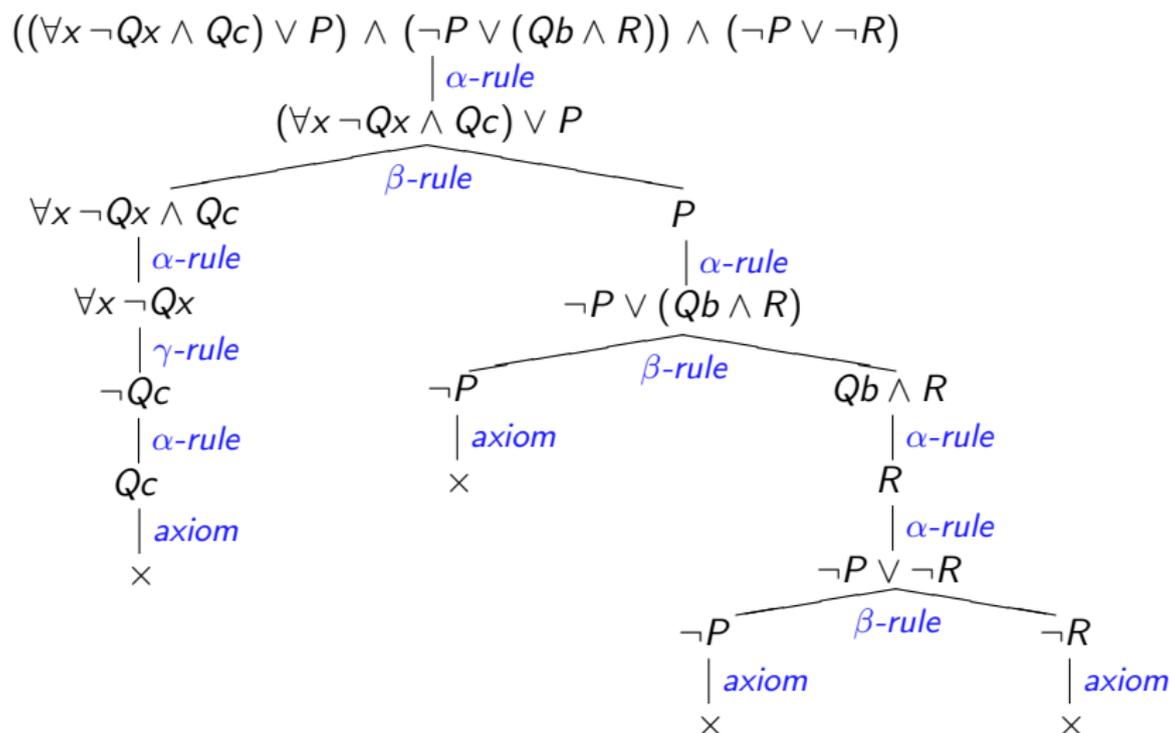
$$\frac{\forall x F}{F[x \setminus t]} \forall$$

- ▶ branch is **closed** iff it contains a set of **complementary literals**  $\{A, \neg A\}$
- ▶ a derivation in the tableau calculus is a **proof** iff all branches are closed

**Theorem:**  $F$  is **valid** iff there is a **proof** for  $\neg F$  in the tableau calculus.

- ▶ proof of Theorem: ...
- ▶ tableau calculus is **confluent**, i.e. **no backtracking** (over rules) necessary (but different terms  $t$  can be assigned to variable  $x$  in  $\gamma$ -rule)

# Tableau Calculus – Example



# What is Prolog and Why Prolog?

Prolog is a programming language that introduces “logic-like” foundations. Variables are first-order terms. Assignment of variables is performed using unification.

Isn't there some problem? One might think that:

*We first use logic to do a programming language and then use this language to do logic*

This is partially correct. Only terms and their basic operations are done. Logical formulas that use these terms and all the reasoning done with them still need to be programmed. In particular we need to figure out a way to represent conjunctions, disjunctions, implications, ... and figure out any ways we will want to interact with them.

Finally, we need to add the algorithm for the automated reasoning on top of this.

# Prolog-mini primer (1/2)

This is only used to give a minimal understanding to the next slide. We will not use Prolog in the rest of the course and I just want to show that a minimal automated prover is a rather simple program. It will be the more complex tasks that we will focus on more and we will use lower level programming for these.

A Prolog program consists of rules, for example “to do **a** do **b** and do **c**” could be written with three predicates as:

```
1      a :- b, c
```

Prolog also has variables, and these are implicitly universally quantified, that is “do(X)” means “do anything”. If you are powerful you can do anything.

```
1      do(X) :- powerful
```

Lists starting with A and continuing with B will be represented as “[A|B]”

## Prolog-mini primer (2/2)

Prolog programs can have multiple rules for a given task, e.g. if a can be obtained both from a b or from a c we could write:

```
1     a :- b
2     a :- c
```

The symbol ! is used for efficiency: This only means “You are on the right path, do not try the other rules to get this”.

The symbol \_ is used for variables that can be ignored: It is still assigned but will not be used afterwards.

Finally negation and implication are written as \+ and ->.

This should allow you to roughly read the next slide. The minimal Prolog prover is only given as a curiosity. We will look at the same tasks trying to do them more efficiently and functional style next time.

# lean<sup>TA</sup>P: Lean Tableau-based Deduction\*

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## Abstract.

```
“prove((E,F),A,B,C,D) :- !, prove(E,[F|A],B,C,D).
prove((E;F),A,B,C,D) :- !, prove(E,A,B,C,D), prove(F,A,B,C,D).
prove(all(H,I),A,B,C,D) :- !,
  \+length(C,D), copy_term((H,I,C),(G,F,C)),
  append(A,[all(H,I)],E), prove(F,E,B,[G|C],D).
prove(A,_,[C|D],_,_) :-
  ((A=-(B); -(A)=B)) -> (unify(B,C); prove(A,[],D,_,_)).
prove(A,[E|F],B,C,D) :- prove(E,F,[A|B],C,D).”
```

implements a first-order theorem prover based on free-variable semantic tableaux. It is complete, sound, and efficient.

## 1 Introduction

The Prolog program listed in the abstract implements a complete and sound theorem prover for first-order logic: it is based on free-variable semantic tableaux

# Lean Theorem Proving

What is “lean theorem proving”?

- ▶ compact source code
- ▶ elegant implementation techniques
- ▶ basic calculus + some essential search heuristics
- ▶ considerable performance by using extremely compact code
- ▶ in general implemented in Prolog
- ▶ important: “lean”  $\neq$  “simple”

First popular lean prover: leanTAP (Beckert/Posegga '95).

- ▶ based on tableau calculus with free variables
- ▶ but performance on more difficult problems rather poor

# Tableau Prover LEANTAP

```
prove((E,F),A,B,C,D) :- !,prove(E,[F|A],B,C,D).
prove((E;F),A,B,C,D) :- !,prove(E,A,B,C,D),prove(F,A,B,C,D).
prove(all(I,J),A,B,C,D) :- !,
  \+length(C,D),copy_term((I,J,C),(G,F,C)),
  append(A,[all(I,J)],E),prove(F,E,B,[G|C],D).
prove(A,_,[C|D],_,_) :-
  ((A= -(B);-(A)=B) -> (unify(B,C);prove(A,[],D,_,_))).
prove(A,[E|F],B,C,D) :- prove(E,F,[A|B],C,D).
```

- ▶ based on [tableau calculus](#) with free variables
- ▶ source code size of minimal version only [360 bytes](#)
- ▶ requires (only) [negation normal form](#)
- ▶ `prove(Fml, [], [], [], VarLim)` succeeds iff there is a tableau for the formula `Fml` with at most `VarLim` free variables on each branch

# LEAN TAP implementation

The main predicate is `prove(Fml,UnExp,Lits,FreeV,VarLim)`.

- ▶ `Fml` is the **formula** on the (current) branch that will be considered next
- `UnExp` is a list of **formulae** on the (current) branch **not expanded** so far
- `Lits` is a list of **literals** on the (current) branch
- `FreeV` is a list of **free variables** on the (current) branch
- `VarLim` specifies the **maximum** number of **free variables** on the branch

The following `code` implements the tableau calculus in `Prolog`.

- ▶  $\alpha$ -rule

```
prove((A,B),UnExp,Lits,FreeV,VarLim) :- !,  
prove(A,[B|UnExp],Lits,FreeV,VarLim).
```

- ▶  $\beta$ -rule

```
prove((A;B),UnExp,Lits,FreeV,VarLim) :- !,  
prove(A,UnExp,Lits,FreeV,VarLim),  
prove(B,UnExp,Lits,FreeV,VarLim).
```

# LEAN TAP implementation

- ▶  $\gamma$ -rule

```
prove((all X:Fml),UnExp,Lits,FreeV,VarLim) :- !,  
  \+ length(FreeV,VarLim),  
  copy_term((X,Fml,FreeV),(X1,Fml1,FreeV)),  
  append(UnExp,[(all X:Fml)],UnExp1),  
  prove(Fml1,UnExp1,Lits,[X1|FreeV],VarLim).
```

- ▶ axiom (closes a branch)

```
prove(Lit,_,[L|Lits],_,_) :-  
  (Lit = -Neg; -Lit = Neg) ->  
  (unify(Neg,L); prove(Lit,[],Lits,_,_)).
```

- ▶ (select next unexpanded formula on branch)

```
prove(Lit,[Next|UnExp],Lits,FreeV,VarLim) :-  
  prove(Next,UnExp,[Lit|Lits],FreeV,VarLim).
```

# Unification with Occurs-check

- ▶ `unify(A,B)/unify1(A,B)` succeed iff terms/lists A and B are unifiable  
`unify(A,B) :- unify1([A],[B]).`
- ▶ lists are `empty`  
`unify1([],[]).`
- ▶ if A and B are `identical terms` (e.g. identical variables)  
`unify1([A|A1],[B|B1]) :- A==B, !, unify1(A1,B1).`
- ▶ if A/B are `variables` and A/B do not occur in B/A: `assign B/A to A/B`  
`unify1([A|A1],[B|B1]) :- var(A), !, not_in(A,[B]), A=B, unify1(A1,B1).`  
`unify1([A|A1],[B|B1]) :- var(B), !, not_in(B,[A]), A=B, unify1(A1,B1).`
- ▶ `otherwise`, if  $A = f(S_1, \dots, S_n)$  and  $B = f(T_1, \dots, T_n)$ , unify  $S_i$  and  $T_i$   
`unify1([A|A1],[B|B1]) :- A=..[F|ArgA], B=..[F|ArgB], length(ArgA,N),`  
`length(ArgB,N), unify1(ArgA,ArgB), unify1(A1,B1).`

Prolog is a reasonably efficient high-level language, but we will see how doing things more directly can be better

Working in CNF will be much more efficient

But most importantly a better search strategy and strategy combinations will allow getting most of Tableaux

## Additional Literature (not required)

## This Lecture

- What is automated theorem proving
- Common uses and differences to other systems
- First Tableaux

## Next

- Full details of Tableaux in functional style
- leanCoP
- Optimizations possible in lean tableaux
- Variants for other logics

## To be submitted before May 26

- Install Eprover <http://eprover.org/>
- Understand the TPTP format
- State the Robbins conjecture in TPTP
- Run Eprover on the problem

Send the input problem, options, and E-prover output to [cezary.kaliszyk@uibk.ac.at](mailto:cezary.kaliszyk@uibk.ac.at)