

Computational Logic

Vincent van Oostrom
Course/slides by Aart Middeldorp

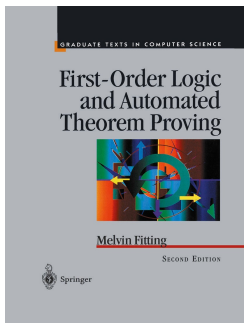
Department of Computer Science
University of Innsbruck

SS 2020



Organisation

- LVA 703824
- Tuesday 9:15–12:00 and Thursday 13:15–15:00 in 3W03
- <http://cl-informatik.uibk.ac.at/teaching/ss20/cl/>
- consultation hours: Monday 15:00–16:30 in 3M12



Literature

Melvin Fitting

First-Order Logic and Automated Theorem Proving, 2nd edition, Springer-Verlag, 1996

Online Material

slides are available from uibk.ac.at domain

Schedule

week 1 March 3

week 2 March 10

week 3 March 17

week 4 March 24

week 5 March 31

week 6 April 21

week 7 April 28

week 8 May 5

week 9 May 12 (exam)

Outline

- Organisation
- **Content**
- Propositional Logic
- Semantic Tableaux
- Further Reading

Part I: Propositional Logic

compactness, completeness, **Hilbert** systems, **Hintikka**'s lemma, interpolation, logical consequence, model existence theorem, propositional semantic tableaux, soundness

Part II: First-Order Logic

compactness, completeness, **Craig**'s interpolation theorem, cut elimination, first-order semantic tableaux, **Herbrand** models, **Hilbert** systems, **Hintikka**'s lemma, **Löwenheim–Skolem**, logical consequence, model existence theorem, prenex form, skolemization, soundness

Part III: Limitations and Extensions of First-Order Logic

Curry–Howard isomorphism, intuitionistic logic, **Kripke** models, second-order logic, simply-typed λ -calculus



Outline

- Organisation
- Content
- Propositional Logic
 - Syntax
 - Semantics
 - Replacement Theorem
 - Uniform Notation
 - Normal Forms
- Semantic Tableaux
- Further Reading

Definition

(propositional) atomic formula is propositional letter, \top or \perp

Definition

set of propositional formulas is smallest set \mathbf{P} such that

- if A is atomic formula then $A \in \mathbf{P}$
- if $X \in \mathbf{P}$ then $\neg X \in \mathbf{P}$
- if \circ is binary symbol and $X, Y \in \mathbf{P}$ then $(X \circ Y) \in \mathbf{P}$

Theorem (Principle of Structural Induction)

every formula of propositional formula has property Q provided

- *basis step*
every atomic formula has property Q
- *induction steps*
if X has property Q then $\neg X$ has property Q
if X and Y have property Q then $X \circ Y$ has property Q

Theorem (Principle of Structural Recursion)

there exists unique function f defined on \mathbf{P} such that

- *basis step*
value of f is specified explicitly on atomic formulas
- *induction steps*
value of f on $\neg X$ is specified in terms of value of f on X
value of f on $X \circ Y$ is specified in terms of values of f on X and on Y

Definition

immediate subformulas are defined as follows:

- atomic formula has no immediate subformulas
- only immediate subformula of $\neg X$ is X
- immediate subformulas of $(X \circ Y)$ are X and Y

Definitions

- set of **subformulas** of formula X is smallest set S that contains X and, for every member Y of S , all immediate subformulas of Y
- X is **improper** subformula of X

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Definitions

- 2 **truth values**: **t** and **f**
- 16 different two-place functions from $\{t, f\}$ to $\{t, f\}$
- 8 **primary** connectives and 2 **secondary** connectives

		\wedge	\vee	\supset	\subset	\uparrow	\downarrow	$\not\supset$	$\not\subset$			\equiv	\neq
t	t	t	t	t	t	f	f	f	f	t	t	t	f
t	f	f	t	f	t	t	f	t	f	t	f	f	t
f	t	f	t	t	f	t	f	f	t	f	t	f	t
f	f	f	f	t	t	t	t	f	f	f	f	t	f

Definition

propositional formula X is **tautology** if $v(X) = t$ for every valuation v

Definition

set S of propositional formulas is **satisfiable** if some valuation maps every member of S to t

Definition

for binary operations \circ and \bullet on $\{t, f\}$: \circ is **dual** of \bullet if $\neg(x \circ y) = (\neg x \bullet \neg y)$

Examples

\wedge is dual of \vee \downarrow is dual of \uparrow $\not\leftrightarrow$ is dual of \supset

Definition

for propositional formula X we write X^d for result of replacing

- every occurrence of \top with occurrence of \perp
- every occurrence of \perp with occurrence of \top
- every occurrence of binary symbol with occurrence of its dual

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Theorem

*given propositional formulas $F(P)$, X and Y , valuation v
if $v(X) = v(Y)$ then $v(F(X)) = v(F(Y))$*

Theorem

if $X \equiv Y$ is tautology then so is $F(X) \equiv F(Y)$

Definition

propositional formula X is in **negation normal form** if negation symbols \neg occur only in front of propositional letters

Lemma

every propositional formula can be put into negation normal form

Example

$$\begin{aligned}
\neg[(P \supset Q) \wedge (R \uparrow (\neg P \wedge Q))] &\equiv \neg(P \supset Q) \vee \neg(R \uparrow (\neg P \wedge Q)) \\
&\equiv (\neg P \not\subset \neg Q) \vee \neg(R \uparrow (\neg P \wedge Q)) \\
&\equiv (\neg P \not\subset \neg Q) \vee (\neg R \downarrow \neg(\neg P \wedge Q)) \\
&\equiv (\neg P \not\subset \neg Q) \vee (\neg R \downarrow (\neg\neg P \vee \neg Q)) \\
&\equiv (\neg P \not\subset \neg Q) \vee (\neg R \downarrow (P \vee \neg Q))
\end{aligned}$$

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Definition

	conjunctive		disjunctive		
α	α_1	α_2	β	β_1	β_2
$X \wedge Y$	X	Y	$\neg(X \wedge Y)$	$\neg X$	$\neg Y$
$\neg(X \vee Y)$	$\neg X$	$\neg Y$	$X \vee Y$	X	Y
$\neg(X \supset Y)$	X	$\neg Y$	$X \supset Y$	$\neg X$	Y
$\neg(X \subset Y)$	$\neg X$	Y	$X \subset Y$	X	$\neg Y$
$\neg(X \uparrow Y)$	X	Y	$X \uparrow Y$	$\neg X$	$\neg Y$
$X \downarrow Y$	$\neg X$	$\neg Y$	$\neg(X \downarrow Y)$	X	Y
$X \not\supset Y$	X	$\neg Y$	$\neg(X \not\supset Y)$	$\neg X$	Y
$X \not\subset Y$	$\neg X$	Y	$\neg(X \not\subset Y)$	X	$\neg Y$

Lemma

for every valuation v and all α - and β -formulas

$$v(\alpha) = v(\alpha_1) \wedge v(\alpha_2) \quad v(\beta) = v(\beta_1) \vee v(\beta_2)$$

Corollary

for every α and β : $\alpha \equiv (\alpha_1 \wedge \alpha_2)$ and $\beta \equiv (\beta_1 \vee \beta_2)$ are tautologies

Theorem (Principle of Structural Induction)

every formula of propositional logic has property Q provided

- *basis step*
every atomic formula and its negation has property Q
- *induction steps*
if X has property Q then $\neg\neg X$ has property Q
if α_1 and α_2 have property Q then α has property Q
if β_1 and β_2 have property Q then β has property Q

Definition

rank $r(X)$ of propositional formula is defined as follows:

- $r(A) = r(\neg A) = r(\top) = r(\perp) = 0$
- $r(\neg\top) = r(\neg\perp) = 1$
- $r(\neg\neg Z) = r(Z) + 1$
- $r(\alpha) = r(\alpha_1) + r(\alpha_2) + 1$
- $r(\beta) = r(\beta_1) + r(\beta_2) + 1$

Example

$$\begin{aligned}
 & r(\neg[(P \supset Q) \wedge (R \uparrow (\neg P \wedge Q))]) \\
 &= r(\neg(P \supset Q)) + r(\neg(R \uparrow (\neg P \wedge Q))) + 1 \\
 &= r(P) + r(\neg Q) + 1 + r(R) + r(\neg P \wedge Q) + 1 + 1 \\
 &= r(P) + r(\neg Q) + 1 + r(R) + r(\neg P) + r(Q) + 1 + 1 + 1 \\
 &= 4
 \end{aligned}$$

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Definitions

given list X_1, \dots, X_n of propositional formulas

- $[X_1, \dots, X_n]$ is **generalized disjunction** of X_1, \dots, X_n
- $\langle X_1, \dots, X_n \rangle$ is **generalized conjunction** of X_1, \dots, X_n
- $v([X_1, \dots, X_n]) = \begin{cases} \text{t} & \text{if } v(X_i) = \text{t} \text{ for some } i \in \{1, \dots, n\} \\ \text{f} & \text{otherwise} \end{cases}$
- $v(\langle X_1, \dots, X_n \rangle) = \begin{cases} \text{t} & \text{if } v(X_i) = \text{t} \text{ for all } i \in \{1, \dots, n\} \\ \text{f} & \text{otherwise} \end{cases}$

Definitions

- **literal** is propositional letter or negation of propositional letter or \top or \perp
- **clause** is disjunction $[X_1, \dots, X_n]$ consisting of literals X_1, \dots, X_n
- **dual clause** is conjunction $\langle X_1, \dots, X_n \rangle$ consisting of literals X_1, \dots, X_n

Definitions

- propositional formula is in **conjunctive normal form** or in **clause form** if it is conjunction $\langle C_1, \dots, C_n \rangle$ of clauses
- propositional formula is in **disjunctive normal form** or in **dual clause form** if it is disjunction $[D_1, \dots, D_n]$ of dual clauses

Theorem (Normal Form)

there are algorithms for converting propositional formula into clause form and into dual clause form

Proof (clause form)

- step 1

start with $\langle [X] \rangle$

...

if $\langle D_1, \dots, D_k \rangle$ is not yet conjunctive normal form continue with

- step $n + 1$

select D_i which contains non-literal N

- if $N = \neg\top$ replace N with \perp
- if $N = \neg\perp$ replace N with \top
- if $N = \neg\neg Z$ replace N with Z
- if N is β -formula replace N with β_1 and β_2
- if N is α -formula replace disjunction D_i with two disjunctions:
 - one with α replaced by α_1
 - one with α replaced by α_2

Clause Set Reduction Rules

$$\frac{\neg \top}{\perp}$$

$$\frac{\neg \perp}{\top}$$

$$\frac{\neg \neg Z}{Z}$$

$$\frac{\beta}{\beta_1 \wedge \beta_2}$$

$$\frac{\alpha}{\alpha_1 \vee \alpha_2}$$

Lemma

if S is conjunction of disjunctions and S' is obtained from S by applying one clause set reduction rule then $S \equiv S'$ is tautology

Clause Form Algorithm

let S be $\langle [X] \rangle$

while some member of S contains non-literal **do**

 select member D of S containing non-literal

 select non-literal N of D

 apply appropriate clause set reduction rule to N in D , producing new S

Theorem

Clause Form Algorithm terminates and produces clause form S such that $S \equiv X$ is tautology

Example

$$\begin{aligned}
& \langle [(P \supset (Q \supset R)) \supset ((P \supset Q) \supset (P \supset R))] \rangle \\
& \equiv \langle [\neg(P \supset (Q \supset R)), (P \supset Q) \supset (P \supset R)] \rangle \\
& \equiv \langle [\neg(P \supset (Q \supset R)), \neg(P \supset Q), P \supset R] \rangle \\
& \equiv \langle [\neg(P \supset (Q \supset R)), \neg(P \supset Q), \neg P, R] \rangle \\
& \equiv \langle [P, \neg(P \supset Q), \neg P, R], [\neg(Q \supset R), \neg(P \supset Q), \neg P, R] \rangle \\
& \equiv \langle [P, P, \neg P, R], [P, \neg Q, \neg P, R], [\neg(Q \supset R), \neg(P \supset Q), \neg P, R] \rangle \\
& \equiv \langle [P, P, \neg P, R], [P, \neg Q, \neg P, R], [Q, \neg(P \supset Q), \neg P, R], \\
& \quad [\neg R, \neg(P \supset Q), \neg P, R] \rangle \\
& \equiv \langle [P, P, \neg P, R], [P, \neg Q, \neg P, R], [Q, P, \neg P, R], [Q, \neg Q, \neg P, R], \\
& \quad [\neg R, \neg(P \supset Q), \neg P, R] \rangle \\
& \equiv \langle [P, P, \neg P, R], [P, \neg Q, \neg P, R], [Q, P, \neg P, R], [Q, \neg Q, \neg P, R], \\
& \quad [\neg R, P, \neg P, R], [\neg R, \neg Q, \neg P, R] \rangle
\end{aligned}$$

Theorem

Clause Form Algorithm *terminates* and produces clause form S such that $S \equiv X$ is tautology

Proof Sketch

rank of generalized disjunction $[X_1, \dots, X_n]$ is $r(X_1) + \dots + r(X_n)$

incrementally build tree whose leaves correspond to ranks of generalized disjunctions in current S :

- root node with label $r([X])$
- employed clause set reduction rule determines tree expansion
- conclude by König's Lemma

Dual Clause Set Reduction Rules

$$\frac{\neg \perp}{\top}$$

$$\frac{\neg \top}{\perp}$$

$$\frac{\neg \neg Z}{Z}$$

$$\frac{\alpha}{\alpha_1 \alpha_2}$$

$$\frac{\beta}{\beta_1 \mid \beta_2}$$

Example

$$\begin{aligned} [\langle (P \downarrow Q) \supset (Q \vee \neg(P \vee \neg Q)) \rangle] &\equiv [\langle \neg(P \downarrow Q) \rangle, \langle Q \vee \neg(P \vee \neg Q) \rangle] \\ &\equiv [\langle P \rangle, \langle Q \rangle, \langle Q \vee \neg(P \vee \neg Q) \rangle] \\ &\equiv [\langle P \rangle, \langle Q \rangle, \langle Q \rangle, \langle \neg(P \vee \neg Q) \rangle] \\ &\equiv [\langle P \rangle, \langle Q \rangle, \langle Q \rangle, \langle \neg P, \neg \neg Q \rangle] \\ &\equiv [\langle P \rangle, \langle Q \rangle, \langle Q \rangle, \langle \neg P, Q \rangle] \end{aligned}$$

Dual Clause Form Algorithm

let S be $\{\langle X \rangle\}$

while some member of S contains non-literal do

 select member C of S containing non-literal

 select non-literal N of C

 apply appropriate dual clause set reduction rule to N in C , producing new S

Lemma

if S is disjunction of conjunctions and S' is obtained from S by applying one dual clause set reduction rule then $S \equiv S'$ is tautology

Theorem

Dual Clause Form Algorithm terminates and produces dual clause form S such that $S \equiv X$ is tautology

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- Organisation
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- Propositional Logic
- **Semantic Tableaux**
 - Definitions
- Further Reading

Tableau Expansion Rules

$$\frac{\neg\neg Z}{Z} \quad \frac{\neg\perp}{\top} \quad \frac{\neg\top}{\perp} \quad \frac{\alpha}{\alpha_1} \quad \frac{\beta}{\beta_1 \mid \beta_2}$$

$$\alpha_2$$

Definition

finite set $\{A_1, \dots, A_n\}$ of propositional formulas

- 1 following one-branch tree is **tableau** for $\{A_1, \dots, A_n\}$:

$$\begin{array}{c} A_1 \\ A_2 \\ \vdots \\ A_n \end{array}$$

- 2 if T is tableau for $\{A_1, \dots, A_n\}$ and T^* results from T by application of tableau expansion rule then T^* is **tableau** for $\{A_1, \dots, A_n\}$

Outline

- Organisation
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Fitting

- Chapter 1
- Chapter 2 (except for Section 2.9)
- Section 3.1 !

Computational Logic

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Course/slides by Aart Middeldorp

Department of Computer Science
University of Innsbruck

SS 2020



Outline

- Summary of Previous Lecture
- Semantic Tableaux
- Hintikka's Lemma
- Model Existence Theorem
- Exercises
- Further Reading

Definition

8 **primary** connectives and 2 **secondary** connectives

		\wedge	\vee	\supset	\subset	\uparrow	\downarrow	$\not\supset$	$\not\subset$			\equiv	\neq
t	t	t	t	t	t	f	f	f	f	t	t	t	f
t	f	f	t	f	t	t	f	t	f	t	f	f	t
f	t	f	t	t	f	t	f	f	t	f	t	f	t
f	f	f	f	t	t	t	t	f	f	f	f	t	f

Definition

propositional formula X is **tautology** if $v(X) = t$ for every valuation v

Definition

set S of propositional formulas is **satisfiable** if some valuation maps every member of S to t

Definition

for binary operations \circ and \bullet on $\{t, f\}$: \circ is **dual** of \bullet if $\neg(x \circ y) = (\neg x \bullet \neg y)$

Definition (Uniform Notation)

	conjunctive		disjunctive		
α	α_1	α_2	β	β_1	β_2
$X \wedge Y$	X	Y	$\neg(X \wedge Y)$	$\neg X$	$\neg Y$
$\neg(X \vee Y)$	$\neg X$	$\neg Y$	$X \vee Y$	X	Y
$\neg(X \supset Y)$	X	$\neg Y$	$X \supset Y$	$\neg X$	Y
$\neg(X \subset Y)$	$\neg X$	Y	$X \subset Y$	X	$\neg Y$
$\neg(X \uparrow Y)$	X	Y	$X \uparrow Y$	$\neg X$	$\neg Y$
$X \downarrow Y$	$\neg X$	$\neg Y$	$\neg(X \downarrow Y)$	X	Y
$X \not\supset Y$	X	$\neg Y$	$\neg(X \not\supset Y)$	$\neg X$	Y
$X \not\subset Y$	$\neg X$	Y	$\neg(X \not\subset Y)$	X	$\neg Y$

Lemma

for every valuation v and all α - and β -formulas

$$v(\alpha) = v(\alpha_1) \wedge v(\alpha_2) \quad v(\beta) = v(\beta_1) \vee v(\beta_2)$$

Corollary

for every α and β : $\alpha \equiv (\alpha_1 \wedge \alpha_2)$ and $\beta \equiv (\beta_1 \vee \beta_2)$ are tautologies

Definition

rank $r(X)$ of propositional formula is defined as follows:

- $r(A) = r(\neg A) = r(\top) = r(\perp) = 0$
- $r(\neg\top) = r(\neg\perp) = 1$
- $r(\neg\neg Z) = r(Z) + 1$
- $r(\alpha) = r(\alpha_1) + r(\alpha_2) + 1$
- $r(\beta) = r(\beta_1) + r(\beta_2) + 1$

Definitions

given list X_1, \dots, X_n of propositional formulas

- $[X_1, \dots, X_n]$ is **generalized disjunction** of X_1, \dots, X_n
- $\langle X_1, \dots, X_n \rangle$ is **generalized conjunction** of X_1, \dots, X_n

Definitions

- **literal** is propositional letter or negation of propositional letter or \top or \perp
- **clause** is disjunction $[X_1, \dots, X_n]$ consisting of literals X_1, \dots, X_n
- **dual clause** is conjunction $\langle X_1, \dots, X_n \rangle$ consisting of literals X_1, \dots, X_n
- propositional formula is in **conjunctive normal form** or in **clause form** if it is conjunction $\langle C_1, \dots, C_n \rangle$ of clauses
- propositional formula is in **disjunctive normal form** or in **dual clause form** if it is disjunction $[D_1, \dots, D_n]$ of dual clauses

Clause Set Reduction Rules

$$\frac{\neg T}{\perp}$$

$$\frac{\neg \perp}{T}$$

$$\frac{\neg\neg Z}{Z}$$

$$\frac{\beta}{\beta_1 \mid \beta_2}$$

$$\frac{\alpha}{\alpha_1 \mid \alpha_2}$$

Clause Form Algorithm

let S be $\langle [X] \rangle$

while some member of S contains non-literal **do**

 select member D of S containing non-literal

 select non-literal N of D

 apply appropriate clause set reduction rule to N in D , producing new S

Theorem

Clause Form Algorithm terminates and produces clause form S such that $S \equiv X$ is tautology

Dual Clause Set Reduction Rules

$$\frac{\neg \perp}{\top}$$

$$\frac{\neg \top}{\perp}$$

$$\frac{\neg \neg Z}{Z}$$

$$\frac{\alpha}{\alpha_1}$$

$$\alpha_2$$

$$\frac{\beta}{\beta_1 \mid \beta_2}$$

Dual Clause Form Algorithm

let S be $\langle X \rangle$

while some member of S contains non-literal **do**

 select member C of S containing non-literal

 select non-literal N of C

 apply appropriate dual clause set reduction rule to N in C , producing new S

Theorem

Dual Clause Form Algorithm terminates and produces dual clause form S such that $S \equiv X$ is tautology

Tableau Expansion Rules

$$\frac{\neg\neg Z}{Z} \quad \frac{\neg\perp}{\top} \quad \frac{\neg\top}{\perp} \quad \frac{\alpha}{\alpha_1} \quad \frac{\beta}{\beta_1 \mid \beta_2}$$

$$\alpha_2$$

Definition

finite set $\{A_1, \dots, A_n\}$ of propositional formulas

- 1 following one-branch tree is **tableau** for $\{A_1, \dots, A_n\}$:

$$\begin{array}{c} A_1 \\ A_2 \\ \vdots \\ A_n \end{array}$$

- 2 if T is tableau for $\{A_1, \dots, A_n\}$ and T^* results from T by application of tableau expansion rule then T^* is **tableau** for $\{A_1, \dots, A_n\}$

Part I: Propositional Logic

compactness, completeness, Hilbert systems, **Hintikka's lemma**, interpolation, **logical consequence**, **model existence theorem**, propositional semantic tableaux, **soundness**

Part II: First-Order Logic

compactness, completeness, Craig's interpolation theorem, cut elimination, first-order semantic tableaux, Herbrand models, Herbrand's theorem, Hilbert systems, Hintikka's lemma, Löwenheim-Skolem, logical consequence, model existence theorem, prenex form, skolemization, soundness

Part III: Limitations and Extensions of First-Order Logic

Curry-Howard isomorphism, intuitionistic logic, Kripke models, second-order logic, simply-typed λ -calculus

Outline

- Summary of Previous Lecture
- **Semantic Tableaux**
 - Definitions
 - Soundness
- Hintikka's Lemma
- Model Existence Theorem
- Exercises
- Further Reading

Tableau Expansion Rules

$$\frac{\neg\neg Z}{Z} \quad \frac{\neg\perp}{\top} \quad \frac{\neg\top}{\perp} \quad \frac{\alpha}{\alpha_1} \quad \frac{\beta}{\beta_1 \mid \beta_2}$$

$$\alpha_2$$

Definition

finite set $\{A_1, \dots, A_n\}$ of propositional formulas

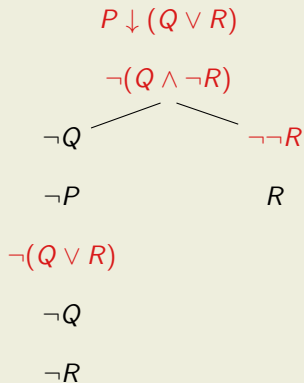
- 1 following one-branch tree is **tableau** for $\{A_1, \dots, A_n\}$:

$$\begin{array}{c} A_1 \\ A_2 \\ \vdots \\ A_n \end{array}$$

- 2 if T is tableau for $\{A_1, \dots, A_n\}$ and T^* results from T by application of tableau expansion rule then T^* is **tableau** for $\{A_1, \dots, A_n\}$

Example

tableau for $\{P \downarrow (Q \vee R), \neg(Q \wedge \neg R)\}$:



Definitions

- branch θ of tableau is **closed** if both X and $\neg X$ occur on θ for some propositional formula X , or if \perp occurs on θ
- tableau is closed if every branch is closed

Definitions

- **tableau proof** of X is closed tableau for $\{\neg X\}$
- X is **theorem** if X has tableau proof, denoted by $\vdash_{pt} X$

Definitions

- branch θ of tableau is **atomically closed** if both A and $\neg A$ occur on θ for some propositional letter A , or if \perp occurs on θ
- tableau is atomically closed if every branch is atomically closed

Example

tableau proof of $(P \supset (Q \supset R)) \supset ((P \vee S) \supset ((Q \supset R) \vee S))$:

$$\neg[(P \supset (Q \supset R)) \supset ((P \vee S) \supset ((Q \supset R) \vee S))]$$

$$P \supset (Q \supset R)$$

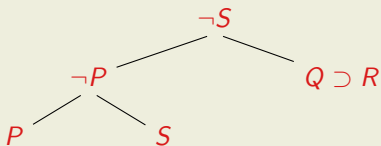
$$\neg((P \vee S) \supset ((Q \supset R) \vee S))$$

$$P \vee S$$

$$\neg((Q \supset R) \vee S)$$

$$\neg(Q \supset R)$$

(non-atomically) closed

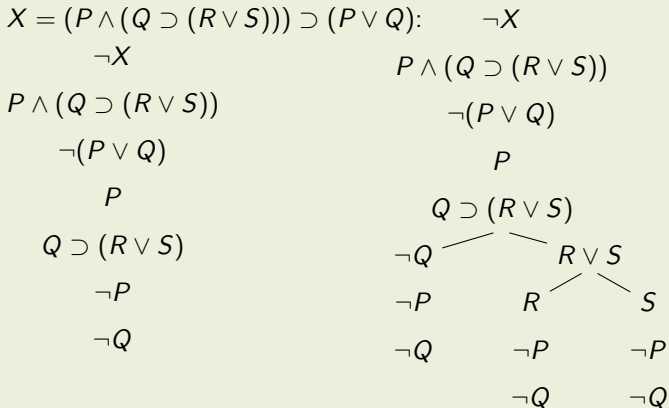


Definition

tableau is **strict** if no formula has had Tableau Expansion Rule applied to it twice on same branch

Example

two tableau proofs of $X = (P \wedge (Q \supset (R \vee S))) \supset (P \vee Q)$:



Outline

- Summary of Previous Lecture
- **Semantic Tableaux**
 - Definitions
 - **Soundness**
- Hintikka's Lemma
- Model Existence Theorem
- Exercises
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Definitions

- set S of propositional formulas is **satisfiable** if some valuation maps every member of S to t
- tableau **branch** θ is satisfiable if set of propositional formulas on it is satisfiable
- **tableau** T is satisfiable if at least one branch of T is satisfiable

Lemma

any application of Tableau Expansion Rule to satisfiable tableau yields another satisfiable tableau

Proof

suppose T is satisfiable tableau and let T^* be obtained by applying Tableau Expansion Rule to formula occurrence X on branch θ

let τ be satisfiable branch of T

- if $\tau \neq \theta$ then τ is (satisfiable) branch of T^*
- if $\tau = \theta$ then case distinction on Tableau Expansion Rule applied to X

1 $X = \neg\neg Z$ or $X = \neg\perp$ or $X = \neg\top$: easy

2 $X = \alpha$: θ is extended with α_1 and α_2 to produce T^*

$$v(\alpha) = t \implies v(\alpha_1) = v(\alpha_2) = t$$

hence extended branch in T^* is satisfiable

3 $X = \beta$: left and right children were added to last node of θ , one labeled β_1 and one labeled β_2 , to produce T^*

$$v(\beta) = t \implies v(\beta_1) = t \text{ or } v(\beta_2) = t$$

hence one of new branches in T^* is satisfiable

Lemma

if S admits closed tableau then S is not satisfiable

Proof (by contradiction)

if S is satisfiable then initial tableau is satisfiable

every subsequent tableau is satisfiable (by previous lemma)

final closed tableau is satisfiable



Theorem (Propositional Tableau Soundness)

if X has tableau proof then X is tautology

Proof

closed tableau for $\{\neg X\}$

$\{\neg X\}$ is not satisfiable (by previous lemma)

X is tautology

Outline

- Summary of Previous Lecture
- Semantic Tableaux
- **Hintikka's Lemma**
- Model Existence Theorem
- Exercises
- Further Reading

Definition

set \mathbf{H} of propositional formulas is **propositional Hintikka set** provided

- 1 for any propositional letter A , not both $A \in \mathbf{H}$ and $\neg A \in \mathbf{H}$
- 2 $\perp \notin \mathbf{H}$, $\neg \top \notin \mathbf{H}$
- 3 if $\neg\neg Z \in \mathbf{H}$ then $Z \in \mathbf{H}$
- 4 if $\alpha \in \mathbf{H}$ then $\alpha_1 \in \mathbf{H}$ and $\alpha_2 \in \mathbf{H}$
- 5 if $\beta \in \mathbf{H}$ then $\beta_1 \in \mathbf{H}$ or $\beta_2 \in \mathbf{H}$

Examples

- \emptyset is Hintikka set
- set of all propositional variables is Hintikka set
- $\{P \wedge (\neg Q \supset R), P, (\neg Q \supset R), \neg\neg Q, Q\}$ is Hintikka set

Lemma (Hintikka's Lemma)

every propositional Hintikka set is satisfiable

Proof

define valuation f for propositional Hintikka set \mathbf{H} as follows:

$$f(A) = \begin{cases} t & \text{if } A \in \mathbf{H} \\ f & \text{if } \neg A \in \mathbf{H} \\ f & \text{otherwise} \end{cases}$$

easy induction proof shows that valuation f maps every member of \mathbf{H} to t



Jaakko Hintikka
(1929–2015)



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Definition

collection \mathcal{C} of sets of propositional formulas is **propositional consistency property** if, for each $S \in \mathcal{C}$:

- 1 for any propositional letter A , not both $A \in S$ and $\neg A \in S$
- 2 $\perp \notin S$, $\neg\top \notin S$
- 3 if $\neg\neg Z \in S$ then $S \cup \{Z\} \in \mathcal{C}$
- 4 if $\alpha \in S$ then $S \cup \{\alpha_1, \alpha_2\} \in \mathcal{C}$
- 5 if $\beta \in S$ then $S \cup \{\beta_1\} \in \mathcal{C}$ or $S \cup \{\beta_2\} \in \mathcal{C}$

if \mathcal{C} is propositional consistency property then $S \in \mathcal{C}$ is called **\mathcal{C} -consistent**

Theorem (Propositional Model Existence)

if \mathcal{C} is propositional consistency property and $S \in \mathcal{C}$ then S is satisfiable

Proof (easy case: S is finite)

- enlarge S to member of \mathcal{C} that is Hintikka set:
 - if $\neg\neg Z \in S$ then add Z to S
 - if $\alpha \in S$ then add both α_1 and α_2 to S
 - if $\beta \in S$ then add
 - β_1 to S if $S \cup \{\beta_1\} \in \mathcal{C}$
 - β_2 to S if $S \cup \{\beta_2\} \in \mathcal{C}$
- saturation process terminates because S is finite
- resulting set is Hintikka set and thus satisfiable
- hence subset S is also satisfiable

Definition

propositional consistency property \mathcal{C} is **subset closed** if, for every $S \in \mathcal{C}$, all subsets of S belong to \mathcal{C}

Definition

propositional consistency property \mathcal{C} is of **finite character** provided $S \in \mathcal{C}$ if and only if every finite subset of S belongs to \mathcal{C}

Lemmata

- *every propositional consistency property can be extended to subset closed one*
- *every propositional consistency property of finite character is subset closed*
- *every subset closed propositional consistency property can be extended to one of finite character*

Lemma

every propositional consistency property \mathcal{C} can be extended to subset closed one

Proof

- $\mathcal{C}^+ = \{T \mid T \subseteq S \in \mathcal{C}\}$ is subset closed
- let $T \in \mathcal{C}^+$ so $T \subseteq S$ for some $S \in \mathcal{C}$
 - 1 if $A \in T$ and $\neg A \in T$ then $A \in S$ and $\neg A \in S$
 - 2 if $\perp \in T$ or $\neg T \in T$ then $\perp \in S$ or $\neg T \in S$
 - 3 if $\neg\neg Z \in T$ then $\neg\neg Z \in S$ and thus $S \cup \{Z\} \in \mathcal{C}$
hence $T \cup \{Z\} \in \mathcal{C}^+$
 - 4 if $\alpha \in T$ then $\alpha \in S$ and thus $S \cup \{\alpha_1, \alpha_2\} \in \mathcal{C}$
hence $T \cup \{\alpha_1, \alpha_2\} \in \mathcal{C}^+$
 - 5 if $\beta \in T$ then $\beta \in S$ and thus $S \cup \{\beta_1\} \in \mathcal{C}$ or $S \cup \{\beta_2\} \in \mathcal{C}$
hence $T \cup \{\beta_1\} \in \mathcal{C}^+$ or $T \cup \{\beta_2\} \in \mathcal{C}^+$

Lemma

every propositional consistency property \mathcal{C} of finite character is subset closed

Proof

- let $T \subseteq S \in \mathcal{C}$
- all finite subsets of S belong to \mathcal{C}
- all finite subsets of T belong to \mathcal{C}
- $T \in \mathcal{C}$ because \mathcal{C} is of finite character

Lemma

every subset closed propositional consistency property can be extended to one of finite character

Proof

... exercise ...

Lemma

if \mathcal{C} is propositional consistency property of *finite character* and $S_1, S_2, S_3, \dots \in \mathcal{C}$ such that $S_1 \subseteq S_2 \subseteq S_3 \subseteq \dots$ then $\bigcup_i S_i \in \mathcal{C}$

Proof

it suffices to show that every finite subset $\{A_1, \dots, A_k\}$ of $\bigcup_i S_i$ belongs to \mathcal{C} :


- $\forall 1 \leq i \leq k \exists n_i$ such that $A_i \in S_{n_i}$
- let $N = \max \{n_1, \dots, n_k\}$
- $\{A_1, \dots, A_k\} \subseteq S_N$ and $S_N \in \mathcal{C}$
- $\{A_1, \dots, A_k\} \in \mathcal{C}$ because \mathcal{C} is of finite character

Proof (of Propositional Model Existence Theorem)

given propositional consistency property \mathcal{C} and $S \in \mathcal{C}$

- we may assume that \mathcal{C} is of **finite character**
- let X_1, X_2, X_3, \dots be enumeration of all propositional formulas
- define sequence S_1, S_2, S_3, \dots of members of \mathcal{C} :

$$S_1 = S \qquad S_{n+1} = \begin{cases} S_n \cup \{X_n\} & \text{if } S_n \cup \{X_n\} \in \mathcal{C} \\ S_n & \text{otherwise} \end{cases}$$

- $S_1 \subseteq S_2 \subseteq S_3 \subseteq \dots$ and hence $\mathbf{H} = \bigcup_i S_i$ belongs to \mathcal{C} by previous lemma
- \mathbf{H} is maximal in \mathcal{C} :
 - suppose $\mathbf{H} \subsetneq K \in \mathcal{C}$ let $X_n \in K \setminus \mathbf{H}$
 - $X_n \notin \mathbf{H}$ and hence $S_n \cup \{X_n\} \notin \mathcal{C}$
 - $S_n \cup \{X_n\} \subseteq K$ 
- \mathbf{H} is Hintikka set and hence $S \subseteq \mathbf{H}$ is satisfiable

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Fitting

- Exercise 2.3.2
- Exercise 2.4.3
- Exercise 2.4.12
- Exercise 2.6.1 (imp)
- Bonus: give a translation t of formulas into ones only using conjunction, disjunction and negation, and adapt d to a notion d' , such that $r(X) = d'(t(X))$ for all formulas X .
- Exercise 2.6.2
- Bonus: Exercise 2.8.4 (imp)
- Bonus: Exercise 2.8.6 (imp)
- Exercise 2.8.7
- Exercise 3.1.1 !
- Exercise 3.6.3 !

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Fitting

- Section 3.4
- Section 3.5
- Section 3.6 !