

Constraint Solving

SS 2024

#### EXAM 1

This exam consists of **5** exercises. The available points for each item are written in the margin. In total there are 90 points. You need 45 points to pass.

# 1 Algorithms in Linear Arithmetic

Consider the following formula:

 $\varphi := \exists x. \exists y. \ 2x + 3y < 2 \land -x + 4y \ge 1$ 

- (a) Remove the quantifier of y within  $\varphi$  using the method of Ferrante and Rackoff. You do not have to simplify the formula after the removal of the quantifier.
  - (b) Start to solve  $\varphi$  using the simplex method: apply all initial steps and *one* iteration of the main loop. Use Bland's selection rule with the variable order x < y < s < t where s and t are the introduced slack variables.

Is a second iteration of the main loop required? Just answer this question with a yes or no.

### 2 Understanding Linear Arithmetic

For some optimization problems it is required to consider *mixed* linear arithmetic problems, where the set of variables  $\mathcal{V}$  is partitioned into  $\mathcal{V}_{\mathbb{Z}} \uplus \mathcal{V}_{\mathbb{Q}}$ , i.e., some variables are restricted to represent integers whereas the others are for rational numbers. For instance  $\mathcal{V}_{\mathbb{Z}}$  might describe quantities which cannot be split, such as wheels, screws, etc., whereas  $\mathcal{V}_{\mathbb{Q}}$  is used for amounts of fluids, for costs, etc.

A mixed solution of a quantifier-free formula  $\varphi$  is an assignment  $v : \mathcal{V} \to \mathbb{Q}$  that satisfies  $\varphi$  and where additionally  $v(x) \in \mathbb{Z}$  for all  $x \in \mathcal{V}_{\mathbb{Z}}$ .

Recall the Diophantine equation solver of Griggio. It converts any conjunction of linear equations into an equi-satisfiable solved form or reports unsatisfiability (both in  $\mathbb{Z}$ ).

Here, a *solved form* is a list of equations where

- 1. each entry in the list is of the shape  $x = e_x$  where  $e_x$  is a linear expression with integer coefficients; and
- 2. there are no cyclic dependencies:  $e_x$  in list  $[\ldots, x = e_x, \ldots, y = e_y, \ldots]$  does neither contain x nor y.

Your task is to design an equation solver for the mixed setting.

- (a) Generalize the definition of solved form to the mixed setting, i.e., define the notion of a *mixed solved form*, such that equations in mixed solved form always have a mixed solution.
- (b) Design a mixed equation solver that takes as input a conjunction of linear equations. It either returns an equi-satisfiable mixed solved form or reports unsatisfiability (both in the mixed setting).

Of course, here you may invoke Griggio's solver as a sub-routine.

[10] (c) Apply your algorithm on the following equations where  $x \in \mathcal{V}_{\mathbb{Q}}$  and  $y, z \in \mathcal{V}_{\mathbb{Z}}$ .

$$2x + 3y = 5$$
$$3x + 2y + 5z = 4$$

Important: If you did not solve parts (a) and (b), then in part (c) you may assume that  $x \in \mathcal{V}_{\mathbb{Z}}$  and should just apply Griggio's algorithm on the example constraints.

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## **3** Algorithms for SMT

Consider a formula that combines difference logic over  $\mathbb{Q}$  with EUF.

- [3] (a) Is difference logic over  $\mathbb{Q}$  convex?
  - (b) Depending on the previous answer, choose the deterministic or the non-deterministic version of the Nelson–Oppen algorithm to determine satisfiability of the following formula.

$$f(f(x-5)) = f(a) \land y = f(z+2) \land x - z = 7 \land y \neq a$$

Provide intermediate steps of the Nelson–Oppen algorithm. Here, you should at least once illustrate the calculations of the congruence closure algorithm for EUF in detail, but you *do not* have to provide any details on how difference logic constraints are solved.

### 4 Encoding Problems

Assume that we are interested in satisfiability of quantifier-free formulas using non-linear arithmetic over N. An example formula looks as follows:

 $xy^2 \ge 5 + x \land y(x+z) \ge x^2 + 8 \lor 3x \ge 2y + z$ 

Although the problem is in general undecidable, we might consider to search for solutions in a finite domain, e.g., by restricting the range of each variable to  $0, 1 \dots, 2^b - 1$ .

Describe an encoding which transforms a formula  $\varphi$  as above into some other formula  $\psi$ (in some *decidable* logic), such that  $\varphi$  has a solution in  $\mathbb{N} \cap \{0, 1, \ldots, 2^b - 1\}$  iff  $\psi$  has a solution. The transformation should be computable in polynomial time, so just substituting all possible values  $\{0, 1, \ldots, 2^b - 1\}$  for each variable is not allowed.

After describing your approach, also apply it on the example formula for b = 3.

### 5 Multiple Choice

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There are five questions on the answer sheet.

Mark your answers by crossing the correct box, e.g., like this:  $\square$ .

- Each correct answer is worth 3 points.
- Each wrong answer is worth 0 points.
- Giving no answer to a question is worth 1 point.

[12]