



# Constraint Solving

René Thiemann      and      Fabian Mitterwallner

based on a previous course by Aart Middeldorp

# Outline

## **1. Introduction**

Organisation

## **2. Propositional Logic – Review**

## **3. Tseitin's Transformation**

## **4. DPLL**

## **5. Further Reading**

## Important Information

- LVA 703304 (VO 2) + 703305 (PS 2)
- <http://cl-informatik.uibk.ac.at/teaching/ss24/cs>
- Please register for both VO and PS
- OLAT link for VO (contains recordings)

## Time and Place

VO	Tuesday	8:15 – 10:00	SR 13	RT
PS	Friday	8:30 – 10:00	3W04	FM

## Consultation Hours

René Thiemann	3M09	Tuesday 10:15–11:15
Fabian Mitterwallner	3M03	Thursday 10:30–12:00

## Schedule – one deviation: PS in week 11 on June 3, 17:15–18:45

week 1	05.03 & 08.03	week 6	23.04 & 26.04	week 11	28.05 & <b>03.06 at 17:15</b>
week 2	12.03 & 15.03	week 7	30.04 & 03.05	week 12	04.06 & 07.06
week 3	19.03 & 22.03	week 8	07.05 & 10.05	week 13	11.06 & 14.06
week 4	09.04 & 12.04	week 9	14.05 & 17.05	week 14	18.06 & 21.06 <b>Q &amp; A</b>
week 5	16.04 & 19.04	week 10	21.05 & 24.05	week 15	25.06 <b>first exam</b>

## Grading — Vorlesung

- first exam on June 25
- registration starts 5 weeks before exam and ends 1 week before exam
- de-registration is possible until 23:59 on June 23
- second and third exams in September and February (on demand)

## Grading — Proseminar

- solved exercises must be marked in OLAT before 8 am on Friday
- 10 points per PS
- attendance is compulsory; unexcused absence is allowed twice (email solutions to get some points in such cases)
- grading: 80 % for marked exercises, 20 % for presentation of solutions

## Literature



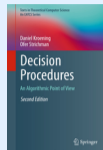
Daniel Kröning and Ofer Strichman

Decision Procedures – An Algorithmic Point of View (Second Edition)

Springer, 2016

[online version via Ebook Central](#)

<http://www.decision-procedures.org>



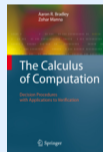
Aaron Bradley and Zohar Manna

The Calculus of Computation – Decision Procedures with Applications to Verification

Springer, 2007

[online version via Ebook Central](#)

<http://theory.stanford.edu/~arbrad/book.html>



## Online Material

slides and additional reading material are available from [uibk.ac.at](http://uibk.ac.at) domain

## Topics

- **propositional logic (SAT)**  
cardinality constraints, **DPLL**, maxSAT, NP-completeness, unsatisfiable cores
- **satisfiability modulo theories (SMT)**  
DPLL(T), Nelson–Oppen combination method
- **equality logic and uninterpreted functions (EUF)**  
congruence closure, graph-based reduction
- **linear arithmetic (LIA and LRA)**  
branch and bound, cubes and equalities, difference logic, simplex algorithm, small model property
- **bit vectors (BV) and floating points**  
bit-vector arithmetic, bounded model checking, fixed-point arithmetic, flattening
- **arrays and pointers**  
array properties, lazy encoding, pointer logic
- **quantified formulas**  
Cooper’s method, Ferrante–Rackoff’s method, PSPACE-completeness, quantified boolean formulas (QBF)

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- 2. Propositional Logic – Review**
3. Tseitin's Transformation
4. DPLL
5. Further Reading



## Concepts of Propositional Logic

- formula
- assignment
- satisfiability
- validity
- negation normal form (NNF)
- conjunctive normal form (CNF)
- disjunctive normal form (DNF)
- literal

## Definition (Propositional Logic: Syntax)

- propositional **formulas** are built from

- atoms**  $p, q, r, p_1, p_2, \dots$
- top, bottom**  $\top, \perp$
- negation**  $\neg$   $\neg p$  “not  $p$ ”
- conjunction**  $\wedge$   $p \wedge q$  “ $p$  and  $q$ ”
- disjunction**  $\vee$   $p \vee q$  “ $p$  or  $q$ ”
- implication**  $\rightarrow$   $p \rightarrow q$  “if  $p$  then  $q$ ”
- equivalence**  $\leftrightarrow$   $p \leftrightarrow q$  “ $p$  if and only if  $q$ ”

according to **BNF grammar**  $\varphi ::= p \mid \perp \mid \top \mid (\neg\varphi) \mid (\varphi \wedge \varphi) \mid (\varphi \vee \varphi) \mid (\varphi \rightarrow \varphi) \mid (\varphi \leftrightarrow \varphi)$

- notational conventions:

- binding precedence**  $\neg > \wedge > \vee > \rightarrow, \leftrightarrow$  omit outer parentheses
- $\rightarrow, \wedge, \vee$  are **right-associative**:  $p \rightarrow q \rightarrow r$  denotes  $p \rightarrow (q \rightarrow r)$

## Definition (Propositional Logic: Semantics)

- **valuation** (truth **assignment**) is mapping  $v: \{p \mid p \text{ is atom}\} \rightarrow \{T, F\}$
- extension to formulas: truth values

- $v(\top) = T$

- $v(\perp) = F$

- $v(\neg\varphi) = \begin{cases} T & \text{if } v(\varphi) = F \\ F & \text{otherwise} \end{cases}$

- $v(\varphi \wedge \psi) = \begin{cases} T & \text{if } v(\varphi) = v(\psi) = T \\ F & \text{otherwise} \end{cases}$

- $v(\varphi \vee \psi) = \begin{cases} F & \text{if } v(\varphi) = v(\psi) = F \\ T & \text{otherwise} \end{cases}$

- $v(\varphi \rightarrow \psi) = \begin{cases} F & \text{if } v(\varphi) = T \text{ and } v(\psi) = F \\ T & \text{otherwise} \end{cases}$

- $v(\varphi \leftrightarrow \psi) = \begin{cases} T & \text{if } v(\varphi) = v(\psi) \\ F & \text{otherwise} \end{cases}$

## Definitions

- **semantic entailment**

$$\varphi_1, \varphi_2, \dots, \varphi_n \models \psi$$

if  $v(\psi) = \text{T}$  whenever  $v(\varphi_1) = v(\varphi_2) = \dots = v(\varphi_n) = \text{T}$ , for every valuation  $v$

- formula  $\varphi$  is **valid** if  $v(\varphi) = \text{T}$  for every valuation  $v$
- formula  $\varphi$  is **satisfiable** if  $v(\varphi) = \text{T}$  for some valuation  $v$
- formulas  $\varphi$  and  $\psi$  are **equivalent** ( $\varphi \equiv \psi$ ) if  $v(\varphi) = v(\psi)$  for every valuation  $v$
- formulas  $\varphi$  and  $\psi$  are **equisatisfiable** ( $\varphi \approx \psi$ ) if

$$\varphi \text{ is satisfiable} \iff \psi \text{ is satisfiable}$$

## Theorem

- formula  $\varphi$  is valid  $\iff \neg\varphi$  is unsatisfiable
- validity and satisfiability are **decidable**

## Definitions

- **negation normal form (NNF)** is formula without implication and equivalence, and with negation only applied to atoms
- **literal** is atom  $p$  or negation  $\neg p$  of atom
- **clause** is disjunction of literals
- **conjunctive normal form (CNF)** is conjunction of clauses
- **disjunctive normal form (DNF)** is disjunction of conjunctions of literals

## Theorem

$\forall$  formula  $\varphi \exists$  CNF  $\psi \exists$  DNF  $\chi$  such that  $\varphi \equiv \psi \equiv \chi$

## Satisfiability (SAT)

instance: (propositional) formula  $\varphi$

question: is  $\varphi$  satisfiable?

## Theorem

SAT is NP-complete, even for CNF formulas

## Remark

most SAT solvers require CNF as input

## DIMACS Input Format

```
c
c comments
c
p cnf 4 3           4 atoms and 3 clauses
1 -2 4 0            $x_1 \vee \neg x_2 \vee x_4$ 
-1 2 -3 -4 0        $\neg x_1 \vee x_2 \vee \neg x_3 \vee \neg x_4$ 
3 -2 0              $x_3 \vee \neg x_2$ 
```

## Applications of SAT

- Encoding games  
<http://cl-informatik.uibk.ac.at/software/puzzles/>
- Strategies and configurations
- Cryptanalysis
- Many graph problems
- Component of reasoning in more complex logics

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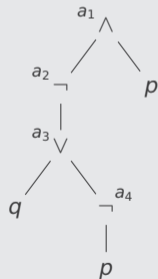


## Remarks

- translation from arbitrary formula to equivalent CNF is expensive
- **Tseitin's transformation** is linear-time translation to **equisatisfiable** CNF
- in lecture: only consider formulas without  $\rightarrow$  and  $\leftrightarrow$

## Example (Tseitin's Transformation)

- $\varphi = \neg(q \vee \neg p) \wedge p$
- introduce new variable for each propositional connective:  
$$\begin{array}{ll} a_1 & \neg(q \vee \neg p) \wedge p \\ a_2 & \neg(q \vee \neg p) \\ a_3 & q \vee \neg p \\ a_4 & \neg p \end{array}$$
- $\varphi \approx a_1 \wedge (a_1 \leftrightarrow a_2 \wedge p) \wedge (a_2 \leftrightarrow \neg a_3) \wedge (a_3 \leftrightarrow q \vee a_4) \wedge (a_4 \leftrightarrow \neg p)$



## Lemma

- 1  $(\varphi \leftrightarrow \neg\psi) \equiv (\varphi \vee \psi) \wedge (\neg\varphi \vee \neg\psi)$
- 2  $(\varphi \leftrightarrow \psi \wedge \chi) \equiv (\neg\varphi \vee \psi) \wedge (\neg\varphi \vee \chi) \wedge (\varphi \vee \neg\psi \vee \neg\chi)$
- 3  $(\varphi \leftrightarrow \psi \vee \chi) \equiv (\varphi \vee \neg\psi) \wedge (\varphi \vee \neg\chi) \wedge (\neg\varphi \vee \psi \vee \chi)$

## Example (cont'd)

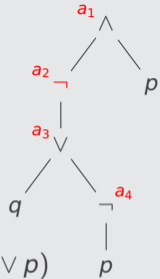
$$\begin{aligned}\varphi &\approx a_1 \wedge (a_1 \leftrightarrow a_2 \wedge p) \wedge (a_2 \leftrightarrow \neg a_3) \wedge (a_3 \leftrightarrow q \vee a_4) \wedge (a_4 \leftrightarrow \neg p) \\ &\equiv a_1 \wedge (\neg a_1 \vee a_2) \wedge (\neg a_1 \vee p) \wedge (a_1 \vee \neg a_2 \vee \neg p) \wedge (a_2 \vee a_3) \wedge (\neg a_2 \vee \neg a_3) \\ &\quad \wedge (a_3 \vee \neg q) \wedge (a_3 \vee \neg a_4) \wedge (\neg a_3 \vee q \vee a_4) \wedge (a_4 \vee p) \wedge (\neg a_4 \vee \neg p)\end{aligned}$$

## Improvement (Plaisted & Greenbaum 1986)

replace equivalence ( $\leftrightarrow$ ) by implication ( $\rightarrow$  or  $\leftarrow$ ) based on **polarity** of subformulas

## Example (cont'd)

- $\varphi = \neg(q \vee \neg p) \wedge p$
- $\varphi \approx a_1 \wedge (a_1 \rightarrow a_2 \wedge p) \wedge (a_2 \rightarrow \neg a_3) \wedge (a_3 \leftarrow q \vee a_4) \wedge (a_4 \leftarrow \neg p)$
- $a_1 \rightarrow a_2 \wedge p \equiv (\neg a_1 \vee a_2) \wedge (\neg a_1 \vee p) \wedge (a_1 \vee \neg a_2 \vee \neg p)$
- $a_2 \rightarrow \neg a_3 \equiv (a_2 \vee a_3) \wedge (\neg a_2 \vee \neg a_3)$
- $a_3 \leftarrow q \vee a_4 \equiv (a_3 \vee \neg q) \wedge (a_3 \vee \neg a_4) \wedge (\neg a_3 \vee q \vee a_4)$
- $a_4 \leftarrow \neg p \equiv (a_4 \vee p) \wedge (\neg a_4 \vee \neg p)$
- $\varphi \approx a_1 \wedge (\neg a_1 \vee a_2) \wedge (\neg a_1 \vee p) \wedge (\neg a_2 \vee \neg a_3) \wedge (a_3 \vee \neg q) \wedge (a_3 \vee \neg a_4) \wedge (a_4 \vee p)$



replace  $a \leftrightarrow \psi$  by  $a \rightarrow \psi$  if  $\psi$  occurs only positively, and by  $a \leftarrow \psi$  if  $\psi$  never occurs positively

## Definition

subformula  $\psi$  occurs **positively** in formula  $\varphi$  if number of negations on path from root of  $\varphi$  to root of  $\psi$  in parse tree of  $\varphi$  is even

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## Remarks

- most state-of-the-art SAT solvers are based on variations of **Davis–Putnam–Logemann–Loveland** (DPLL) procedure (1960, 1962)
- **abstract version** of DPLL described in JACM paper of Nieuwenhuis, Oliveras, Tinelli (2006)

## Definition (Abstract DPLL)

- states  $M \parallel F$  consist of
  - list  $M$  of (possibly annotated) non-complementary literals
  - CNF  $F$
- transition rules

$$M \parallel F \implies M' \parallel F' \text{ or fail-state}$$

## Example

$$\varphi = (\neg 1 \vee \neg 2) \wedge (2 \vee 3) \wedge (\neg 1 \vee \neg 3 \vee 4) \wedge (2 \vee \neg 3 \vee \neg 4) \wedge (1 \vee 4)$$

		$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	
$\Rightarrow$	<sup>d</sup> 1	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, \mathbf{1} \vee 4$	decide
$\Rightarrow$	1 <sup>d</sup> ¬2	$\parallel$	$\neg 1 \vee \mathbf{\neg 2}, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, \mathbf{1} \vee 4$	unit propagate
$\Rightarrow$	1 <sup>d</sup> ¬2 3	$\parallel$	$\neg 1 \vee \mathbf{\neg 2}, 2 \vee \mathbf{3}, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, \mathbf{1} \vee 4$	unit propagate
$\Rightarrow$	1 <sup>d</sup> ¬2 3 4	$\parallel$	$\neg 1 \vee \mathbf{\neg 2}, 2 \vee \mathbf{3}, \neg 1 \vee \neg 3 \vee \mathbf{4}, 2 \vee \neg 3 \vee \neg 4, \mathbf{1} \vee \mathbf{4}$	unit propagate
$\Rightarrow$	¬1	$\parallel$	$\mathbf{\neg 1} \vee \neg 2, 2 \vee 3, \mathbf{\neg 1} \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	backtrack
$\Rightarrow$	¬1 4	$\parallel$	$\mathbf{\neg 1} \vee \neg 2, 2 \vee 3, \mathbf{\neg 1} \vee \neg 3 \vee \mathbf{4}, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	unit propagate
$\Rightarrow$	¬1 4 <sup>d</sup> ¬3	$\parallel$	$\mathbf{\neg 1} \vee \neg 2, 2 \vee 3, \mathbf{\neg 1} \vee \neg 3 \vee \mathbf{4}, 2 \vee \mathbf{\neg 3} \vee \neg 4, 1 \vee 4$	decide
$\Rightarrow$	¬1 4 <sup>d</sup> ¬3 2	$\parallel$	$\mathbf{\neg 1} \vee \neg 2, \mathbf{2} \vee 3, \mathbf{\neg 1} \vee \mathbf{\neg 3} \vee \mathbf{4}, \mathbf{2} \vee \mathbf{\neg 3} \vee \neg 4, 1 \vee 4$	unit propagate

## Definition (Transition Rules)

- **unit propagate**  
if  $M \models \neg C$  and  $I$  is undefined in  $M$   
$$M \parallel F, C \vee I \implies M I \parallel F, C \vee I$$

**unit clause**
- **pure literal**  
if  $I$  occurs in  $F$  and  $I^c$  (complement of  $I$ ) does not occur in  $F$  and  $I$  is undefined in  $M$   
$$M \parallel F \implies M I \parallel F$$
- **decide**  
if  $I$  or  $I^c$  occurs in  $F$  and  $I$  is undefined in  $M$   
$$M \parallel F \implies M \overset{d}{I} \parallel F$$
- **fail**  
if  $M \models \neg C$  and  $M$  contains no decision literals  
$$M \parallel F, C \implies \text{fail-state}$$
- **backtrack**  
if  $M \overset{d}{I} N \models \neg C$  and  $N$  contains no decision literals  
$$M \overset{d}{I} N \parallel F, C \implies M I^c \parallel F, C$$

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Backjumping

5. Further Reading



## Example

$$\varphi = (\neg 1 \vee 2) \wedge (\neg 3 \vee 4) \wedge (\neg 5 \vee \neg 6) \wedge (6 \vee \neg 5 \vee \neg 2)$$

$\Rightarrow$		$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	
$\Rightarrow$	$\overset{d}{1}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	decide
$\Rightarrow$	$\overset{d}{1} \overset{d}{2}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	unit propagate
$\Rightarrow$	$\overset{d}{1} \overset{d}{2} \overset{d}{3}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	decide
$\Rightarrow$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	unit propagate
$\Rightarrow$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4} \overset{d}{5}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	decide
$\Rightarrow$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4} \overset{d}{5} \neg 6$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	unit propagate
$\Rightarrow$	$\overset{d}{1} \overset{d}{2} \neg 5$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	backjump

conflict is due to  $\overset{d}{1} \overset{d}{2}$  and  $\overset{d}{5} \neg 6$  hence  $\neg 1 \vee \neg 5$  can be inferred

## Definitions

- **backtrack**  $M \overset{d}{/} N \parallel F, C \implies M I^c \parallel F, C$   
if  $M \overset{d}{/} N \models \neg C$  and  $N$  contains no decision literals
- **backjump**  $M \overset{d}{/} N \parallel F, C \implies M I' \parallel F, C$   
if  $M \overset{d}{/} N \models \neg C$  and  $\exists$  clause  $C' \vee I'$  such that
  - $F, C \models C' \vee I'$  **backjump clause**
  - $M \models \neg C'$
  - $I'$  is undefined in  $M$
  - $I'$  or  $I'^c$  occurs in  $F$  or in  $M \overset{d}{/} N$

## Example (cont'd)

$\neg 1 \vee \neg 5$  and  $\neg 2 \vee \neg 5$  are backjump clauses with respect to  $1 \overset{d}{/} 2 \overset{d}{/} 3 \overset{d}{/} 4 \overset{d}{/} 5 \neg 6 \parallel \varphi$

## Definition

basic DPLL  $\mathcal{B}$  consists of transition rules

- **unit propagate**  $M \parallel F, C \vee I \implies M I \parallel F, C \vee I$   
if  $M \models \neg C$  and  $I$  is undefined in  $M$
- **decide**  $M \parallel F \implies M \overset{d}{I} \parallel F$   
if  $I$  or  $I^c$  occurs in  $F$  and  $I$  is undefined in  $M$
- **fail**  $M \parallel F, C \implies \text{fail-state}$   
if  $M \models \neg C$  and  $M$  contains no decision literals
- **backjump**  $M \overset{d}{I} N \parallel F, C \implies M I' \parallel F, C$   
if  $M \overset{d}{I} N \models \neg C$  and  $\exists$  clause  $C' \vee I'$  such that
  - $F, C \models C' \vee I'$  and  $M \models \neg C'$
  - $I'$  is undefined in  $M$  and  $I'$  or  $I'^c$  occurs in  $F$  or in  $M \overset{d}{I} N$

## Theorem

there are no infinite derivations  $\parallel F \Longrightarrow_{\mathcal{B}} S_1 \Longrightarrow_{\mathcal{B}} S_2 \Longrightarrow_{\mathcal{B}} \dots$

## Proof

- for list of distinct literals  $M$ ,  $|M|$  is length of  $M$
- measure state  $M_0 \overset{d}{l}_1 M_1 \overset{d}{l}_2 M_2 \dots \overset{d}{l}_k M_k \parallel F$  where  $M_0, \dots, M_k$  contain no decision literals by tuple  $(|M_0|, |M_1|, \dots, |M_k|)$
- compare tuples **lexicographically** using standard order on  $\mathbb{N}$
- every transition step **strictly increases** measure
- measure is **bounded** by  $(n + 1)$ -tuple  $(n, \dots, n)$  where  $n$  is total number of atoms

## Example

$\parallel \varphi = (\neg 1 \vee 2) \wedge (\neg 3 \vee 4) \wedge (\neg 5 \vee \neg 6) \wedge (6 \vee \neg 5 \vee \neg 2)$			(0)
$\implies$	$\overset{d}{1} \parallel \varphi$	decide	(0, 0)
$\implies$	$\overset{d}{1} \overset{d}{2} \parallel \varphi$	unit propagate	(0, 1)
$\implies$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \parallel \varphi$	decide	(0, 1, 0)
$\implies$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4} \parallel \varphi$	unit propagate	(0, 1, 1)
$\implies$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4} \overset{d}{5} \parallel \varphi$	decide	(0, 1, 1, 0)
$\implies$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4} \overset{d}{5} \neg 6 \parallel \varphi$	unit propagate	(0, 1, 1, 1)
$\implies$	$\overset{d}{1} \overset{d}{2} \neg 5 \parallel \varphi$	backjump	(0, 2)

- **decide**  $(m_0, \dots, m_i) <_{\text{lex}} (m_0, \dots, m_i, 0)$
- **unit propagate**  $(m_0, \dots, m_i) <_{\text{lex}} (m_0, \dots, m_i + 1)$
- **backjump**  $(m_0, \dots, m_i) <_{\text{lex}} (m_0, \dots, m_j + 1)$  with  $j < i$

## Lemma

- 1 if  $\| F \implies_{\mathcal{B}}^* M \| F'$  then
  - $F = F'$
  - $M$  does not contain complementary literals
  - $M$  consists of distinct literals
- 2 if  $\| F \implies_{\mathcal{B}}^* M_0 \overset{d}{l}_1 M_1 \overset{d}{l}_2 M_2 \cdots \overset{d}{l}_k M_k \| F$  with no decision literals in  $M_0, \dots, M_k$  then  $F, l_1, \dots, l_i \models M_j$  for all  $0 \leq i \leq k$

## Theorem

if  $\| F \Rightarrow_{\mathcal{B}} S_1 \Rightarrow_{\mathcal{B}} \cdots \Rightarrow_{\mathcal{B}} S_n \not\Rightarrow_{\mathcal{B}}$  then

- 1  $S_n = \text{fail-state}$  if and only if  $F$  is unsatisfiable
- 2  $S_n = M \parallel F'$  only if  $F$  is satisfiable and  $M \models F$

## Proof

- 1 (only if)  $\| F \Rightarrow_{\mathcal{B}}^* M \parallel F \Rightarrow_{\text{fail}}$  fail-state
  - $M$  contains no decision literals and  $M \models \neg C$  for some  $C$  in  $F$
  - $F \models C$  and  $F \models M$  and thus  $F \models \neg C$  and thus  $F$  is unsatisfiable
- 2  $\| F \Rightarrow_{\mathcal{B}}^* M \parallel F' \not\Rightarrow_{\mathcal{B}}$ 
  - $F = F'$  and all literals in  $F$  are defined in  $M$ , otherwise **decide** is applicable
  - $F$  contains no clause  $C$  such that  $M \models \neg C$ , otherwise **backjump** or **fail** is applicable
  - $M \models F$  and thus  $F$  is satisfiable

## Remarks

- finding backjump clauses is done via **conflict graphs**
- extension of DPLL: Conflict Driven Clause Learning (CDCL)
  - backjump clauses can be learned and are added to formula
  - restart rule erases current list  $M$ , but keeps learned formulas
- restarts do not compromise completeness if number of steps between consecutive restarts strictly increases
- modern SAT solvers additionally incorporate
  - heuristics for selecting next decision literal
  - special data structures that allow for efficient unit propagation



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## Kröning and Strichmann

- Chapter 1
- Chapter 2

## Further Reading

- David A. Plaisted and Steven Greenbaum  
A Structure-Preserving Clause Form Translation  
Journal of Symbolic Computation 2(3), pp. 293–304, 1986
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## Important Concepts

- abstract DPLL
- atom
- basic DPLL
- backjump
- backtrack
- bottom
- clause
- complementary literals
- conflict graph
- conjunction
- conjunctive normal form
- decide
- disjunction
- disjunctive normal form
- equisatisfiability
- fail-state
- implication
- literal
- negation
- polarity
- pure literal
- restart
- right-associativity
- satisfiability
- semantic entailment
- semantic equivalence
- Tseitin's transformation
- tautology
- top
- truth table
- truth values
- unit propagation
- validity
- valuation