



## Logic

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# Outline

- 1. Summary of Previous Lecture**
- 2. CTL\***
- 3. Intermezzo**
- 4. SAT Solving**
- 5. Sorting Networks**
- 6. Further Reading**

## Definitions

- ▶ path  $s_1 \rightarrow s_2 \rightarrow \dots$  is **fair** with respect to set  $C$  of CTL formulas if for all  $\psi \in C$   $s_i \models \psi$  for infinitely many  $i$
- ▶  $A_C$  ( $E_C$ ) denotes  $A$  ( $E$ ) restricted to paths that are fair with respect to  $C$

## Lemma

$$E_C[\varphi U \psi] \equiv E[\varphi U (\psi \wedge E_C G T)]$$

$$E_C X \varphi \equiv EX(\varphi \wedge E_C G T)$$

## Theorem

set of temporal connectives is **adequate** for CTL  $\iff$

it contains  $\left\{ \begin{array}{l} \text{at least one of } \{AX, EX\} \\ \text{at least one of } \{EG, AF, AU\} \\ EU \end{array} \right.$

## Theorem

- ▶  $\{X, U\}$ ,  $\{X, W\}$  and  $\{X, R\}$  are **adequate** sets of temporal connectives for LTL
- ▶  $\{U, R\}$ ,  $\{U, W\}$ ,  $\{U, G\}$ ,  $\{F, W\}$  and  $\{F, R\}$  are **adequate** sets of temporal connectives for LTL fragment consisting of **negation-normal forms** without X

## LTL Model Checking

$\mathcal{M}, s \models \varphi$  ?

- ▶ construct **labelled Büchi automaton**  $A_{\neg\varphi}$  for  $\neg\varphi$
- ▶ combine  $A_{\neg\varphi}$  and  $\mathcal{M}$  into single automaton  $A_{\neg\varphi} \times \mathcal{M}$
- ▶ determine whether there exists accepting path  $\pi$  in  $A_{\neg\varphi} \times \mathcal{M}$  starting from  $s$

## Theorem

$\mathcal{M}, s \not\models \varphi \iff$  exists **accepting** path in  $A_{\neg\varphi} \times \mathcal{M}$  starting from state corresponding to  $s$

## Part I: Propositional Logic

algebraic normal forms, binary decision diagrams, conjunctive normal forms, DPLL, Horn formulas, natural deduction, Post's adequacy theorem, resolution, SAT, semantics, sorting networks, soundness and completeness, syntax, Tseitin's transformation

## Part II: Predicate Logic

natural deduction, quantifier equivalences, resolution, semantics, Skolemization, syntax, undecidability, unification

## Part III: Model Checking

adequacy, branching-time temporal logic, CTL\*, fairness, linear-time temporal logic, model checking algorithms, symbolic model checking

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## Definition

CTL\* formulas consist of

- ▶ state formulas, which are evaluated in states:

$$\varphi ::= \perp \mid \top \mid p \mid (\neg\varphi) \mid (\varphi \wedge \varphi) \mid (\varphi \vee \varphi) \mid (\varphi \rightarrow \varphi) \mid A[\alpha] \mid E[\alpha]$$



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- ▶ path formulas, which are evaluated along paths:

$$\alpha ::= \varphi \mid (\neg\alpha) \mid (\alpha \wedge \alpha) \mid (\alpha \vee \alpha) \mid (\alpha \rightarrow \alpha) \mid (\mathbf{X}\alpha) \mid (\mathbf{F}\alpha) \mid (\mathbf{G}\alpha) \mid (\alpha \mathbf{U}\alpha)$$

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## Examples

$$A[(pUr) \vee (qUr)]$$

$$A[(p \vee q)Ur]$$

$$A[Xp \vee XXp]$$

$$A[Xp] \vee A[XA[Xp]]$$

$$E[GFp]$$

$$E[GE[Fp]]$$

## Definition

**satisfaction** of CTL\* **state formula**  $\varphi$  in state  $s \in S$  of model  $\mathcal{M} = (S, \rightarrow, L)$

$$\mathcal{M}, s \not\models \perp$$

$$\mathcal{M}, s \models \top$$

$$\mathcal{M}, s \models p \iff p \in L(s)$$

$$\mathcal{M}, s \models \neg\varphi \iff \mathcal{M}, s \not\models \varphi$$

$$\mathcal{M}, s \models \varphi \wedge \psi \iff \mathcal{M}, s \models \varphi \text{ and } \mathcal{M}, s \models \psi$$

$$\mathcal{M}, s \models \varphi \vee \psi \iff \mathcal{M}, s \models \varphi \text{ or } \mathcal{M}, s \models \psi$$

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$$\mathcal{M}, s \models \mathbf{E}[\alpha] \iff \exists \text{ path } \pi = s \rightarrow s_2 \rightarrow \dots \quad \mathcal{M}, \pi \models \alpha$$

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$$\mathcal{M}, \pi \models \alpha U \beta \quad \iff \quad \exists i \geq 1 \mathcal{M}, \pi^i \models \beta \text{ and } \forall j < i \mathcal{M}, \pi^j \models \alpha$$

## Theorem

satisfaction of CTL\* formulas in finite models is **decidable**

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## Definition

CTL\* state (CTL, LTL) formulas  $\varphi$  and  $\psi$  are **semantically equivalent** if

$$\mathcal{M}, s \models \varphi \iff \mathcal{M}, s \models \psi$$

for all models  $\mathcal{M} = (S, \rightarrow, L)$  and states  $s \in S$

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## Remarks

- ▶ LTL formula  $\alpha$  is equivalent to CTL\* formula  $A[\alpha]$

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## Remarks

- ▶ LTL formula  $\alpha$  is equivalent to CTL\* formula  $A[\alpha]$
- ▶ CTL is fragment of CTL\* in which path formulas are "restricted" to

$$\alpha ::= \varphi \mid (\neg\alpha) \mid (\alpha \wedge \alpha) \mid (\alpha \vee \alpha) \mid (\alpha \rightarrow \alpha) \mid (\mathbf{X}\varphi) \mid (\mathbf{F}\varphi) \mid (\mathbf{G}\varphi) \mid (\varphi \mathbf{U}\varphi)$$

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AG EF  $p$  is not expressible in LTL

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## Proof

▶ suppose  $AG\ EF\ p \equiv A[\varphi]$  for LTL formula  $\varphi$

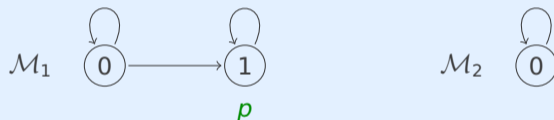


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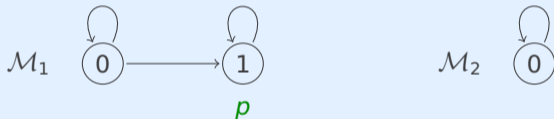


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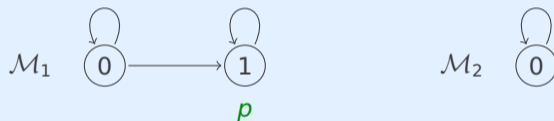
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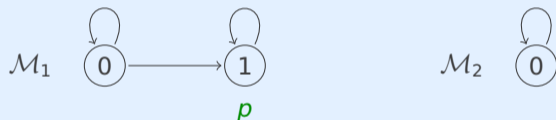
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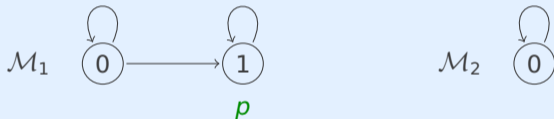
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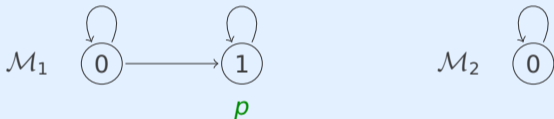
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- ▶  $\mathcal{M}_2, 0 \not\models \text{AG EF } p$
- ▶  $\mathcal{M}_2, 0 \models A[\varphi]$  because every path from 0 in  $\mathcal{M}_2$  is also path in  $\mathcal{M}_1$

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## Lemma

- ▶  $A[GF p \rightarrow F q]$  is not expressible in CTL

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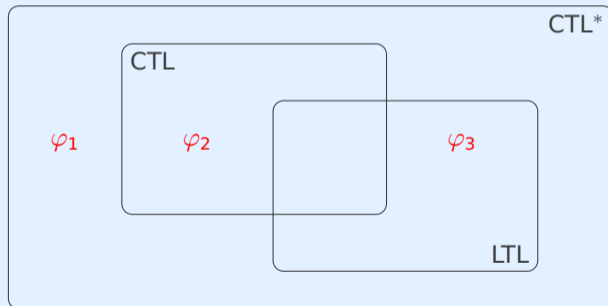
- ▶  $A[GF p \rightarrow F q]$  is not expressible in CTL
- ▶  $E[GF p]$  is expressible neither in CTL nor LTL



## Lemma

- ▶  $A[GF p \rightarrow F q]$  is not expressible in CTL
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## Expressive Power



$$\varphi_1 = E[GF p]$$

$$\varphi_2 = AG EF p$$

$$\varphi_3 = A[GF p \rightarrow F q]$$

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## Question

Which of the following statements are true ?

- A** A set of LTL connectives which contains  $G$  cannot be adequate.
- B** The CTL formulas  $AG \neg p \rightarrow EF q$  and  $EF(p \vee q)$  are equivalent.
- C** The CTL formula  $p \wedge AX AG p$  is equivalent to the LTL formula  $G p$ .
- D** The CTL\* formulas  $E[GE[FP]]$  and  $E[GF p]$  are equivalent.



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2. CTL\*

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**4. SAT Solving**

DPLL      Conflict Analysis

5. Sorting Networks

6. Further Reading

## Remarks

- ▶ most state-of-the-art SAT solvers are based on variations of **Davis – Putnam – Logemann – Loveland** (DPLL) procedure (1960, 1962)

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- ▶ **abstract version** of DPLL described in JACM paper of Nieuwenhuis, Oliveras, Tinelli (2006)

## Example

$$\varphi = (\neg 1 \vee \neg 2) \wedge (2 \vee 3) \wedge (\neg 1 \vee \neg 3 \vee 4) \wedge (2 \vee \neg 3 \vee \neg 4) \wedge (1 \vee 4)$$

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$$\| \neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$$

*initial state: empty assignment*



## Example

$$\varphi = (\neg 1 \vee \neg 2) \wedge (2 \vee 3) \wedge (\neg 1 \vee \neg 3 \vee 4) \wedge (2 \vee \neg 3 \vee \neg 4) \wedge (1 \vee 4)$$

$$\begin{aligned} & \parallel \neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4 \\ \Rightarrow & \overset{d}{1} \parallel \neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, \mathbf{1} \vee 4 \quad \text{decide} \end{aligned}$$

*decide (guess): atom 1 is assumed to be true*

## Example

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$$\Rightarrow \quad \parallel \neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$$

$$\Rightarrow \quad \overset{d}{1} \parallel \neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, \mathbf{1} \vee 4$$

decide

$$\Rightarrow \quad \overset{d}{1} \neg 2 \parallel \neg 1 \vee \neg \mathbf{2}, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, \mathbf{1} \vee 4$$

unit propagate

*unit propagation: atom 2 must be false*

## Example

$$\varphi = (\neg 1 \vee \neg 2) \wedge (2 \vee 3) \wedge (\neg 1 \vee \neg 3 \vee 4) \wedge (2 \vee \neg 3 \vee \neg 4) \wedge (1 \vee 4)$$

$$\Rightarrow \quad \quad \quad \parallel \neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$$

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decide

$$\Rightarrow \quad \overset{d}{1} \neg 2 \parallel \neg 1 \vee \neg \mathbf{2}, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, \mathbf{1} \vee 4$$

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$$\Rightarrow \quad \overset{d}{1} \neg 2 \mathbf{3} \parallel \neg 1 \vee \neg \mathbf{2}, 2 \vee \mathbf{3}, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, \mathbf{1} \vee 4$$

unit propagate

*unit propagation: atom 3 must be true*

## Example

$$\varphi = (\neg 1 \vee \neg 2) \wedge (2 \vee 3) \wedge (\neg 1 \vee \neg 3 \vee 4) \wedge (2 \vee \neg 3 \vee \neg 4) \wedge (1 \vee 4)$$

		$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	
$\implies$	$\overset{d}{1}$	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, \mathbf{1} \vee 4$	decide
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$\implies$	$\overset{d}{1} \neg 2 3$	$\parallel$	$\neg 1 \vee \neg \mathbf{2}, 2 \vee \mathbf{3}, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, \mathbf{1} \vee 4$	unit propagate
$\implies$	$\overset{d}{1} \neg 2 3 4$	$\parallel$	$\neg 1 \vee \neg \mathbf{2}, 2 \vee \mathbf{3}, \neg 1 \vee \neg 3 \vee \mathbf{4}, 2 \vee \neg 3 \vee \neg 4, \mathbf{1} \vee 4$	unit propagate

*unit propagation: atom 4 must be true*

## Example

$$\varphi = (\neg 1 \vee \neg 2) \wedge (2 \vee 3) \wedge (\neg 1 \vee \neg 3 \vee 4) \wedge (2 \vee \neg 3 \vee \neg 4) \wedge (1 \vee 4)$$

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$\implies$	1 <sup>d</sup> $\neg 2$	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	unit propagate
$\implies$	1 <sup>d</sup> $\neg 2 3$	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	unit propagate
$\implies$	1 <sup>d</sup> $\neg 2 3 4$	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	unit propagate
$\implies$	$\neg 1$	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	backtrack

*backtrack (previous decision was wrong): atom 1 must be false*

## Example

$$\varphi = (\neg 1 \vee \neg 2) \wedge (2 \vee 3) \wedge (\neg 1 \vee \neg 3 \vee 4) \wedge (2 \vee \neg 3 \vee \neg 4) \wedge (1 \vee 4)$$

		$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	
$\Rightarrow$	<sup>d</sup> 1	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	decide
$\Rightarrow$	1 $\neg 2$	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	unit propagate
$\Rightarrow$	<sup>d</sup> 1 $\neg 2$ 3	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	unit propagate
$\Rightarrow$	<sup>d</sup> 1 $\neg 2$ 3 4	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	unit propagate
$\Rightarrow$	$\neg 1$	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	backtrack
$\Rightarrow$	$\neg 1$ 4	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	unit propagate

*unit propagation: atom 4 must be true*

## Example

$$\varphi = (\neg 1 \vee \neg 2) \wedge (2 \vee 3) \wedge (\neg 1 \vee \neg 3 \vee 4) \wedge (2 \vee \neg 3 \vee \neg 4) \wedge (1 \vee 4)$$

		$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	
$\Rightarrow$	<sup>d</sup> 1	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	decide
$\Rightarrow$	<sup>d</sup> 1 $\neg 2$	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	unit propagate
$\Rightarrow$	<sup>d</sup> 1 $\neg 2$ 3	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	unit propagate
$\Rightarrow$	<sup>d</sup> 1 $\neg 2$ 3 4	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	unit propagate
$\Rightarrow$	$\neg 1$	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	backtrack
$\Rightarrow$	$\neg 1$ 4	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	unit propagate
$\Rightarrow$	<sup>d</sup> $\neg 1$ 4 $\neg 3$	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	decide

decide (guess): atom 3 is assumed to be false

## Example

$$\varphi = (\neg 1 \vee \neg 2) \wedge (2 \vee 3) \wedge (\neg 1 \vee \neg 3 \vee 4) \wedge (2 \vee \neg 3 \vee \neg 4) \wedge (1 \vee 4)$$

		$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	
$\implies$	<sup>d</sup> 1	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	decide
$\implies$	<sup>d</sup> 1 $\neg 2$	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	unit propagate
$\implies$	<sup>d</sup> 1 $\neg 2$ 3	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	unit propagate
$\implies$	<sup>d</sup> 1 $\neg 2$ 3 4	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	unit propagate
$\implies$	$\neg 1$	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	backtrack
$\implies$	$\neg 1$ 4	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	unit propagate
$\implies$	$\neg 1$ 4 $\neg 3$	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	decide
$\implies$	$\neg 1$ 4 $\neg 3$ 2	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	unit propagate

unit propagation: atom 2 must be true



## Example

$$\varphi = (\neg 1 \vee \neg 2) \wedge (2 \vee 3) \wedge (\neg 1 \vee \neg 3 \vee 4) \wedge (2 \vee \neg 3 \vee \neg 4) \wedge (1 \vee 4)$$

		$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	
$\implies$	<sup>d</sup> 1	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	decide
$\implies$	1 <sup>d</sup> $\neg 2$	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	unit propagate
$\implies$	1 <sup>d</sup> $\neg 2 3$	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	unit propagate
$\implies$	1 <sup>d</sup> $\neg 2 3 4$	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	unit propagate
$\implies$	$\neg 1$	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	backtrack
$\implies$	$\neg 1 4$	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	unit propagate
$\implies$	$\neg 1 4$ <sup>d</sup> $\neg 3$	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	decide
$\implies$	$\neg 1 4$ <sup>d</sup> $\neg 3 2$	$\parallel$	$\neg 1 \vee \neg 2, 2 \vee 3, \neg 1 \vee \neg 3 \vee 4, 2 \vee \neg 3 \vee \neg 4, 1 \vee 4$	unit propagate

satisfying assignment:  $\neg 1 2 \neg 3 4$

## Remarks

- ▶ most state-of-the-art SAT solvers are based on variations of Davis–Putnam–Logemann–Loveland (DPLL) procedure (1960, 1962)
- ▶ abstract version of DPLL described in JACM paper of Nieuwenhuis, Oliveras, Tinelli (2006)

## Definition (Abstract DPLL)

- ▶ states  $M \parallel F$  consist of
  - ▶ list  $M$  of (possibly annotated) non-complementary literals
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  - ▶ CNF  $F$
- ▶ transition rules

$$M \parallel F \implies M' \parallel F' \text{ or fail-state} \quad (\text{this lecture: } F = F')$$

## Definition (Transition Rules)

► unit propagate

$$M \parallel F, C \vee \ell \implies M \ell \parallel F, C \vee \ell$$

if  $M \models \neg C$  and  $\ell$  is undefined in  $M$

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unit clause

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► **pure literal**

$$M \parallel F \implies M \ell \parallel F$$

if  $\ell$  occurs in  $F$  and  $\ell^c$  does not occur in  $F$  and  $\ell$  is undefined in  $M$



## Definition (Transition Rules)

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▶ **decide**

$$M \parallel F \implies M \overset{d}{\ell} \parallel F$$

if  $\ell$  or  $\ell^c$  occurs in  $F$  and  $\ell$  is undefined in  $M$

## Definition (Transition Rules)

- ▶ **unit propagate**  $M \parallel F, C \vee \ell \implies M \ell \parallel F, C \vee \ell$   
if  $M \models \neg C$  and  $\ell$  is undefined in  $M$       unit clause
- ▶ **pure literal**  $M \parallel F \implies M \ell \parallel F$   
if  $\ell$  occurs in  $F$  and  $\ell^c$  does not occur in  $F$  and  $\ell$  is undefined in  $M$
- ▶ **decide**  $M \parallel F \implies M \overset{d}{\ell} \parallel F$   
if  $\ell$  or  $\ell^c$  occurs in  $F$  and  $\ell$  is undefined in  $M$
- ▶ **fail**  $M \parallel F, C \implies \text{fail-state}$   
if  $M \models \neg C$  and  $M$  contains no decision literals

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- ▶ **pure literal**  $M \parallel F \implies M \ell \parallel F$   
if  $\ell$  occurs in  $F$  and  $\ell^c$  does not occur in  $F$  and  $\ell$  is undefined in  $M$
- ▶ **decide**  $M \parallel F \implies M \overset{d}{\ell} \parallel F$   
if  $\ell$  or  $\ell^c$  occurs in  $F$  and  $\ell$  is undefined in  $M$
- ▶ **fail**  $M \parallel F, C \implies \text{fail-state}$   
if  $M \models \neg C$  and  $M$  contains no decision literals
- ▶ **backtrack**  $M \overset{d}{\ell} N \parallel F, C \implies M \ell^c \parallel F, C$   
if  $M \overset{d}{\ell} N \models \neg C$  and  $N$  contains no decision literals

# Outline

1. Summary of Previous Lecture

2. CTL\*

3. Intermezzo

**4. SAT Solving**

DPLL      Conflict Analysis

5. Sorting Networks

6. Further Reading

## Example

$$\varphi = (\neg 1 \vee 2) \wedge (\neg 3 \vee 4) \wedge (\neg 5 \vee \neg 6) \wedge (6 \vee \neg 5 \vee \neg 2)$$

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$$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$$

## Example

$$\varphi = (\neg 1 \vee 2) \wedge (\neg 3 \vee 4) \wedge (\neg 5 \vee \neg 6) \wedge (6 \vee \neg 5 \vee \neg 2)$$

$$\begin{aligned} & \parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2 \\ \Rightarrow & \stackrel{d}{\mathbf{1}} \parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2 \quad \text{decide} \end{aligned}$$

## Example

$$\varphi = (\neg 1 \vee 2) \wedge (\neg 3 \vee 4) \wedge (\neg 5 \vee \neg 6) \wedge (6 \vee \neg 5 \vee \neg 2)$$

$$\begin{aligned} & \parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2 \\ \Rightarrow & \overset{d}{1} \parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2 && \text{decide} \\ \Rightarrow & \overset{d}{1} 2 \parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2 && \text{unit propagate} \end{aligned}$$



## Example

$$\varphi = (\neg 1 \vee 2) \wedge (\neg 3 \vee 4) \wedge (\neg 5 \vee \neg 6) \wedge (6 \vee \neg 5 \vee \neg 2)$$

		$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	
$\Rightarrow$	$\overset{d}{1}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	decide
$\Rightarrow$	$\overset{d}{1} \overset{d}{2}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	unit propagate
$\Rightarrow$	$\overset{d}{1} \overset{d}{2} \overset{d}{3}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	decide

## Example

$$\varphi = (\neg 1 \vee 2) \wedge (\neg 3 \vee 4) \wedge (\neg 5 \vee \neg 6) \wedge (6 \vee \neg 5 \vee \neg 2)$$

		$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	
$\Rightarrow$	$\overset{d}{1}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	decide
$\Rightarrow$	$\overset{d}{1} \overset{d}{2}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	unit propagate
$\Rightarrow$	$\overset{d}{1} \overset{d}{2} \overset{d}{3}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	decide
$\Rightarrow$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	unit propagate

## Example

$$\varphi = (\neg 1 \vee 2) \wedge (\neg 3 \vee 4) \wedge (\neg 5 \vee \neg 6) \wedge (6 \vee \neg 5 \vee \neg 2)$$

		$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	
$\Rightarrow$	$\overset{d}{1}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	decide
$\Rightarrow$	$\overset{d}{1} \overset{d}{2}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	unit propagate
$\Rightarrow$	$\overset{d}{1} \overset{d}{2} \overset{d}{3}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	decide
$\Rightarrow$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	unit propagate
$\Rightarrow$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4} \overset{d}{5}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	decide

## Example

$$\varphi = (\neg 1 \vee 2) \wedge (\neg 3 \vee 4) \wedge (\neg 5 \vee \neg 6) \wedge (6 \vee \neg 5 \vee \neg 2)$$

		$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	
$\Rightarrow$	$\overset{d}{1}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	decide
$\Rightarrow$	$\overset{d}{1} \overset{d}{2}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	unit propagate
$\Rightarrow$	$\overset{d}{1} \overset{d}{2} \overset{d}{3}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	decide
$\Rightarrow$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	unit propagate
$\Rightarrow$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4} \overset{d}{5}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	decide
$\Rightarrow$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4} \overset{d}{5} \overset{d}{6}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	unit propagate

## Example

$$\varphi = (\neg 1 \vee 2) \wedge (\neg 3 \vee 4) \wedge (\neg 5 \vee \neg 6) \wedge (6 \vee \neg 5 \vee \neg 2)$$

		$\parallel$	$\neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	
$\Rightarrow$	<sup>d</sup> 1	$\parallel$	$\neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	decide
$\Rightarrow$	<sup>d</sup> 1 2	$\parallel$	$\neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	unit propagate
$\Rightarrow$	<sup>d</sup> 1 <sup>d</sup> 2 3	$\parallel$	$\neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	decide
$\Rightarrow$	<sup>d</sup> 1 <sup>d</sup> 2 <sup>d</sup> 3 4	$\parallel$	$\neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	unit propagate
$\Rightarrow$	<sup>d</sup> 1 <sup>d</sup> 2 <sup>d</sup> 3 <sup>d</sup> 4 5	$\parallel$	$\neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	decide
$\Rightarrow$	<sup>d</sup> 1 <sup>d</sup> 2 <sup>d</sup> 3 <sup>d</sup> 4 5 $\neg 6$	$\parallel$	$\neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	unit propagate
$\Rightarrow$	<sup>d</sup> 1 <sup>d</sup> 2 <sup>d</sup> 3 4 $\neg 5$	$\parallel$	$\neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	backtrack

## Example

$$\varphi = (\neg 1 \vee 2) \wedge (\neg 3 \vee 4) \wedge (\neg 5 \vee \neg 6) \wedge (6 \vee \neg 5 \vee \neg 2)$$

		$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	
$\Rightarrow$	$\overset{d}{1}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	decide
$\Rightarrow$	$\overset{d}{1} \overset{d}{2}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	unit propagate
$\Rightarrow$	$\overset{d}{1} \overset{d}{2} \overset{d}{3}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	decide
$\Rightarrow$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	unit propagate
$\Rightarrow$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4} \overset{d}{5}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	decide
$\Rightarrow$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4} \overset{d}{5} \overset{d}{\neg 6}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	unit propagate

conflict is due to  $\overset{d}{1} \overset{d}{2}$  and  $\overset{d}{5} \overset{d}{\neg 6}$

## Example

$$\varphi = (\neg 1 \vee 2) \wedge (\neg 3 \vee 4) \wedge (\neg 5 \vee \neg 6) \wedge (6 \vee \neg 5 \vee \neg 2)$$

		$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	
$\Rightarrow$	$\overset{d}{1}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	decide
$\Rightarrow$	$\overset{d}{1} \overset{d}{2}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	unit propagate
$\Rightarrow$	$\overset{d}{1} \overset{d}{2} \overset{d}{3}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	decide
$\Rightarrow$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	unit propagate
$\Rightarrow$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4} \overset{d}{5}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	decide
$\Rightarrow$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4} \overset{d}{5} \overset{d}{6}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	unit propagate

conflict is due to  $\overset{d}{1} \overset{d}{2}$  and  $\overset{d}{5} \overset{d}{6}$  hence  $\overset{d}{1}$  is incompatible with  $\overset{d}{5}$

## Example

$$\varphi = (\neg 1 \vee 2) \wedge (\neg 3 \vee 4) \wedge (\neg 5 \vee \neg 6) \wedge (6 \vee \neg 5 \vee \neg 2)$$

		$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	
$\Rightarrow$	$\overset{d}{1}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	decide
$\Rightarrow$	$\overset{d}{1} \overset{d}{2}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	unit propagate
$\Rightarrow$	$\overset{d}{1} \overset{d}{2} \overset{d}{3}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	decide
$\Rightarrow$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	unit propagate
$\Rightarrow$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4} \overset{d}{5}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	decide
$\Rightarrow$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4} \overset{d}{5} \overset{d}{6}$	$\parallel \neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	unit propagate

conflict is due to  $\overset{d}{1} \overset{d}{2}$  and  $\overset{d}{5} \overset{d}{6}$  hence  $\neg 1 \vee \neg 5$  can be inferred



## Example

$$\varphi = (\neg 1 \vee 2) \wedge (\neg 3 \vee 4) \wedge (\neg 5 \vee \neg 6) \wedge (6 \vee \neg 5 \vee \neg 2)$$

		$\parallel$	$\neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	
$\Rightarrow$	<sup>d</sup> 1	$\parallel$	$\neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	decide
$\Rightarrow$	<sup>d</sup> 1 2	$\parallel$	$\neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	unit propagate
$\Rightarrow$	<sup>d</sup> 1 2 <sup>d</sup> 3	$\parallel$	$\neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	decide
$\Rightarrow$	<sup>d</sup> 1 2 <sup>d</sup> 3 <sup>d</sup> 4	$\parallel$	$\neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	unit propagate
$\Rightarrow$	<sup>d</sup> 1 2 <sup>d</sup> 3 <sup>d</sup> 4 <sup>d</sup> 5	$\parallel$	$\neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	decide
$\Rightarrow$	<sup>d</sup> 1 2 <sup>d</sup> 3 <sup>d</sup> 4 <sup>d</sup> 5 $\neg 6$	$\parallel$	$\neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	unit propagate
$\Rightarrow$	<sup>d</sup> 1 2 $\neg 5$	$\parallel$	$\neg 1 \vee 2, \neg 3 \vee 4, \neg 5 \vee \neg 6, 6 \vee \neg 5 \vee \neg 2$	backjump

conflict is due to <sup>d</sup>1 2 and <sup>d</sup>5  $\neg 6$  hence  $\neg 1 \vee \neg 5$  can be inferred

► backtrack

$$M \stackrel{d}{\ell} N \parallel F, C \implies M \ell^c \parallel F, C$$

if  $M \stackrel{d}{\ell} N \models \neg C$  and  $N$  contains no decision literals

## Definitions

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▶ **backjump**

$$M \stackrel{d}{\ell} N \parallel F, C \implies M \ell' \parallel F, C$$

if  $M \stackrel{d}{\ell} N \models \neg C$  and there exists clause  $C' \vee \ell'$  such that

▶  $F, C \models C' \vee \ell'$

▶  $M \models \neg C'$

▶  $\ell'$  is undefined in  $M$

▶  $\ell'$  or  $\ell'^c$  occurs in  $F$  or in  $M \stackrel{d}{\ell} N$

## Definitions

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**backjump clause**

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## Definitions

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## Example (cont'd)

$\neg 1 \vee \neg 5$  and  $\neg 2 \vee \neg 5$  are backjump clauses with respect to  $\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4} \overset{d}{5} \neg 6 \parallel \varphi$

## Definition

**basic** DPLL  $\mathcal{B}$  consists of transition rules

▶ **unit propagate**  $M \parallel F, C \vee \ell \implies M \ell \parallel F, C \vee \ell$

if  $M \models \neg C$  and  $\ell$  is undefined in  $M$

▶ **decide**  $M \parallel F \implies M \overset{d}{\ell} \parallel F$

if  $\ell$  or  $\ell^c$  occurs in  $F$  and  $\ell$  is undefined in  $M$

▶ **fail**  $M \parallel F, C \implies \text{fail-state}$

if  $M \models \neg C$  and  $M$  contains no decision literals

▶ **backjump**  $M \overset{d}{\ell} N \parallel F, C \implies M \ell' \parallel F, C$

if  $M \overset{d}{\ell} N \models \neg C$  and there exists clause  $C' \vee \ell'$  such that

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▶  $\ell'$  is undefined in  $M$  and  $\ell'$  or  $\ell'^c$  occurs in  $F$  or in  $M \overset{d}{\ell} N$

## Theorem

there are no infinite derivations  $\parallel F \Rightarrow_{\mathcal{B}} S_1 \Rightarrow_{\mathcal{B}} S_2 \Rightarrow_{\mathcal{B}} \dots$

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- ▶ for list of distinct literals  $M$ ,  $|M|$  is length of  $M$



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- ▶ measure state  $M_0 \overset{d}{\ell_1} M_1 \overset{d}{\ell_2} M_2 \dots \overset{d}{\ell_k} M_k \parallel F$  where  $M_0, \dots, M_k$  contain no decision literals by tuple  $(|M_0|, |M_1|, \dots, |M_k|)$

## Theorem

there are no infinite derivations  $\parallel F \Longrightarrow_{\mathcal{B}} S_1 \Longrightarrow_{\mathcal{B}} S_2 \Longrightarrow_{\mathcal{B}} \dots$

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- ▶ compare tuples **lexicographically** using standard order on  $\mathbb{N}$

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- ▶ every transition step **strictly increases** measure

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- ▶ for list of distinct literals  $M$ ,  $|M|$  is length of  $M$
- ▶ measure state  $M_0 \overset{d}{\ell_1} M_1 \overset{d}{\ell_2} M_2 \dots \overset{d}{\ell_k} M_k \parallel F$  where  $M_0, \dots, M_k$  contain no decision literals by tuple  $(|M_0|, |M_1|, \dots, |M_k|)$
- ▶ compare tuples lexicographically using standard order on  $\mathbb{N}$
- ▶ every transition step strictly increases measure
- ▶ measure is **bounded** by  $(n + 1)$ -tuple  $(n, \dots, n)$  where  $n$  is total number of atoms

## Example

$$\| \varphi = (\neg 1 \vee 2) \wedge (\neg 3 \vee 4) \wedge (\neg 5 \vee \neg 6) \wedge (6 \vee \neg 5 \vee \neg 2)$$

- $\Rightarrow$   $\overset{d}{1} \parallel \varphi$  decide
- $\Rightarrow$   $\overset{d}{1} \overset{d}{2} \parallel \varphi$  unit propagate
- $\Rightarrow$   $\overset{d}{1} \overset{d}{2} \overset{d}{3} \parallel \varphi$  decide
- $\Rightarrow$   $\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4} \parallel \varphi$  unit propagate
- $\Rightarrow$   $\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4} \overset{d}{5} \parallel \varphi$  decide
- $\Rightarrow$   $\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4} \overset{d}{5} \neg 6 \parallel \varphi$  unit propagate
- $\Rightarrow$   $\overset{d}{1} \overset{d}{2} \neg 5 \parallel \varphi$  backjump

## Example

$$\| \varphi = (\neg 1 \vee 2) \wedge (\neg 3 \vee 4) \wedge (\neg 5 \vee \neg 6) \wedge (6 \vee \neg 5 \vee \neg 2) \quad (0)$$

$$\begin{aligned} \Rightarrow & \quad \overset{d}{1} \parallel \varphi && \text{decide} \\ \Rightarrow & \quad \overset{d}{1} \overset{d}{2} \parallel \varphi && \text{unit propagate} \\ \Rightarrow & \quad \overset{d}{1} \overset{d}{2} \overset{d}{3} \parallel \varphi && \text{decide} \\ \Rightarrow & \quad \overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4} \parallel \varphi && \text{unit propagate} \\ \Rightarrow & \quad \overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4} \overset{d}{5} \parallel \varphi && \text{decide} \\ \Rightarrow & \quad \overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4} \overset{d}{5} \neg 6 \parallel \varphi && \text{unit propagate} \\ \Rightarrow & \quad \overset{d}{1} \overset{d}{2} \neg 5 \parallel \varphi && \text{backjump} \end{aligned}$$

## Example

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## Example

$$\| \varphi = (\neg 1 \vee 2) \wedge (\neg 3 \vee 4) \wedge (\neg 5 \vee \neg 6) \wedge (6 \vee \neg 5 \vee \neg 2) \quad (0)$$

$$\Rightarrow \quad \begin{array}{c} d \\ 1 \end{array} \| \varphi \quad \text{decide} \quad (0, 0)$$

$$\Rightarrow \quad \begin{array}{c} d \\ 1 \ 2 \end{array} \| \varphi \quad \text{unit propagate} \quad (0, 1)$$

$$\Rightarrow \quad \begin{array}{c} d \quad d \\ 1 \ 2 \ 3 \end{array} \| \varphi \quad \text{decide} \quad (0, 1, 0)$$

$$\Rightarrow \quad \begin{array}{c} d \quad d \\ 1 \ 2 \ 3 \ 4 \end{array} \| \varphi \quad \text{unit propagate} \quad (0, 1, 1)$$

$$\Rightarrow \quad \begin{array}{c} d \quad d \quad d \\ 1 \ 2 \ 3 \ 4 \ 5 \end{array} \| \varphi \quad \text{decide} \quad (0, 1, 1, 0)$$

$$\Rightarrow \quad \begin{array}{c} d \quad d \quad d \\ 1 \ 2 \ 3 \ 4 \ 5 \ \neg 6 \end{array} \| \varphi \quad \text{unit propagate} \quad (0, 1, 1, 1)$$

$$\Rightarrow \quad \begin{array}{c} d \\ 1 \ 2 \ \neg 5 \end{array} \| \varphi \quad \text{backjump} \quad (0, 2)$$

## Example

$\parallel \varphi = (\neg 1 \vee 2) \wedge (\neg 3 \vee 4) \wedge (\neg 5 \vee \neg 6) \wedge (6 \vee \neg 5 \vee \neg 2)$				(0)
$\implies$	$\overset{d}{1}$	$\parallel \varphi$	decide	(0, 0)
$\implies$	$\overset{d}{1} \overset{d}{2}$	$\parallel \varphi$	unit propagate	(0, 1)
$\implies$	$\overset{d}{1} \overset{d}{2} \overset{d}{3}$	$\parallel \varphi$	decide	(0, 1, 0)
$\implies$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4}$	$\parallel \varphi$	unit propagate	(0, 1, 1)
$\implies$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4} \overset{d}{5}$	$\parallel \varphi$	decide	(0, 1, 1, 0)
$\implies$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4} \overset{d}{5} \neg 6$	$\parallel \varphi$	unit propagate	(0, 1, 1, 1)
$\implies$	$\overset{d}{1} \overset{d}{2} \neg 5$	$\parallel \varphi$	backjump	(0, 2)

► decide  $(m_0, \dots, m_i) <_{\text{lex}} (m_0, \dots, m_i, 0)$

## Example

$\parallel \varphi = (\neg 1 \vee 2) \wedge (\neg 3 \vee 4) \wedge (\neg 5 \vee \neg 6) \wedge (6 \vee \neg 5 \vee \neg 2)$				(0)
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$\implies$	$\overset{d}{1} \overset{d}{2}$	$\parallel \varphi$	unit propagate	(0, 1)
$\implies$	$\overset{d}{1} \overset{d}{2} \overset{d}{3}$	$\parallel \varphi$	decide	(0, 1, 0)
$\implies$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4}$	$\parallel \varphi$	unit propagate	(0, 1, 1)
$\implies$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4} \overset{d}{5}$	$\parallel \varphi$	decide	(0, 1, 1, 0)
$\implies$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4} \overset{d}{5} \neg 6$	$\parallel \varphi$	unit propagate	(0, 1, 1, 1)
$\implies$	$\overset{d}{1} \overset{d}{2} \neg 5$	$\parallel \varphi$	backjump	(0, 2)

- ▶ **decide**  $(m_0, \dots, m_i) <_{\text{lex}} (m_0, \dots, m_i, 0)$
- ▶ **unit propagate**  $(m_0, \dots, m_i) <_{\text{lex}} (m_0, \dots, m_i + 1)$

## Example

$\parallel \varphi = (\neg 1 \vee 2) \wedge (\neg 3 \vee 4) \wedge (\neg 5 \vee \neg 6) \wedge (6 \vee \neg 5 \vee \neg 2)$			(0)
$\implies$	$\overset{d}{1} \parallel \varphi$	decide	(0, 0)
$\implies$	$\overset{d}{1} \overset{d}{2} \parallel \varphi$	unit propagate	(0, 1)
$\implies$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \parallel \varphi$	decide	(0, 1, 0)
$\implies$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4} \parallel \varphi$	unit propagate	(0, 1, 1)
$\implies$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4} \overset{d}{5} \parallel \varphi$	decide	(0, 1, 1, 0)
$\implies$	$\overset{d}{1} \overset{d}{2} \overset{d}{3} \overset{d}{4} \overset{d}{5} \neg 6 \parallel \varphi$	unit propagate	(0, 1, 1, 1)
$\implies$	$\overset{d}{1} \overset{d}{2} \neg 5 \parallel \varphi$	backjump	(0, 2)

- ▶ **decide**  $(m_0, \dots, m_i) <_{\text{lex}} (m_0, \dots, m_i, 0)$
- ▶ **unit propagate**  $(m_0, \dots, m_i) <_{\text{lex}} (m_0, \dots, m_i + 1)$
- ▶ **backjump**  $(m_0, \dots, m_i) <_{\text{lex}} (m_0, \dots, m_j + 1)$  with  $j < i$

## Lemma

- 1 if  $\| F \xRightarrow{*}_B M \| F'$  then
  - ▶  $F = F'$

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## Lemma

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  - ▶  $F = F'$
  - ▶  $M$  does not contain complementary literals
  - ▶  $M$  consists of distinct literals
- 2 if  $\| F \Longrightarrow_B^* M_0 \overset{d}{\ell_1} M_1 \overset{d}{\ell_2} M_2 \cdots \overset{d}{\ell_k} M_k \| F$  with no decision literals in  $M_0, \dots, M_k$  then  $F, \ell_1, \dots, \ell_i \models M_i$  for all  $0 \leq i \leq k$



## Theorem

if  $\| F \implies_{\mathcal{B}} S_1 \implies_{\mathcal{B}} \dots \implies_{\mathcal{B}} S_n \not\implies_{\mathcal{B}}$  then

①  $S_n = \text{fail-state}$  if and only if  $F$  is unsatisfiable

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②  $S_n = M \parallel F'$  only if  $F$  is satisfiable and  $M \models F$

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## Proof

① (only if)  $\| F \Rightarrow_{\mathcal{B}}^* M \parallel F \Rightarrow_{\text{fail}}$  fail-state

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① (only if)  $\| F \Rightarrow_{\mathcal{B}}^* M \parallel F \Rightarrow_{\text{fail}}$  fail-state

▶  $M$  contains no decision literals and  $M \models \neg C$  for some  $C$  in  $F$

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- ▶  $F \models C$

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## Terminology

non-chronological backtracking or conflict-driven backtracking

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## Question

how to find good backjump clauses ?

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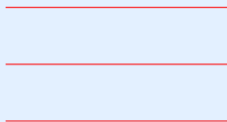
how to find good backjump clauses ?

## Answer

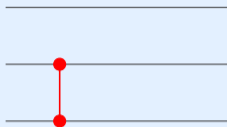
use **conflict graph** (lecture 13)

# Outline

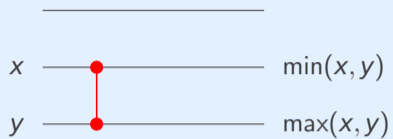
1. Summary of Previous Lecture
2. CTL\*
3. Intermezzo
4. SAT Solving
- 5. Sorting Networks**
6. Further Reading



# Comparator

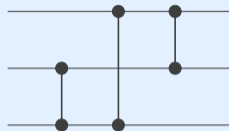


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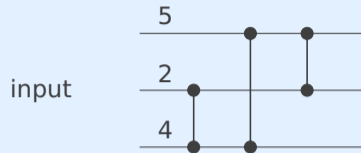




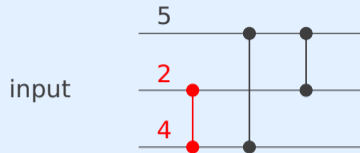
# Comparator Network



## Comparator Network



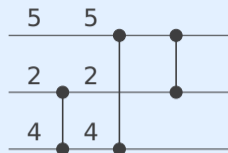
## Comparator Network



$4 > 2$

## Comparator Network

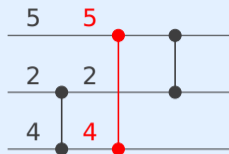
input



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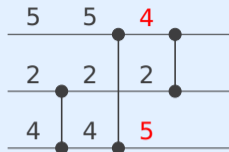


$$4 > 2$$

$$4 \neq 5$$

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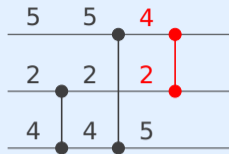


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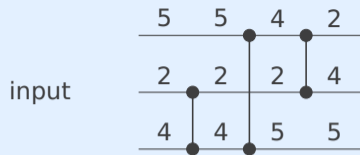


$4 > 2$

$4 \neq 5$

$2 \neq 4$

## Comparator Network



output

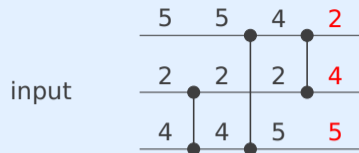
$4 > 2$

$4 \neq 5$

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# Sorting Network



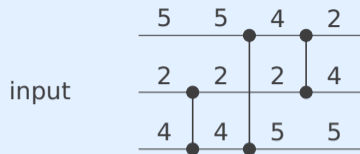
output

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## Sorting Network



output

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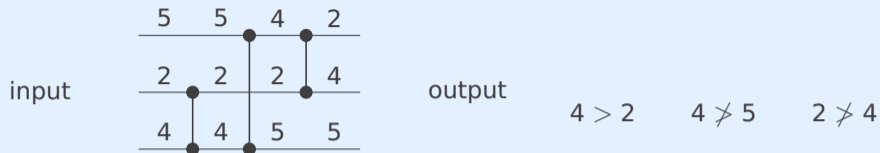
$2 \neq 4$

## Example



► **size** (= number of comparators): 15

## Sorting Network

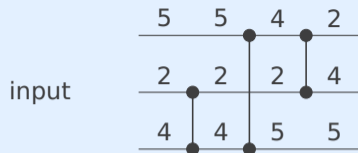


## Example



- ▶ size (= number of comparators): 15
- ▶ depth

## Sorting Network



output

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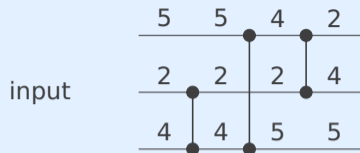
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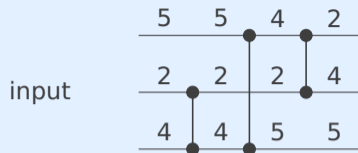
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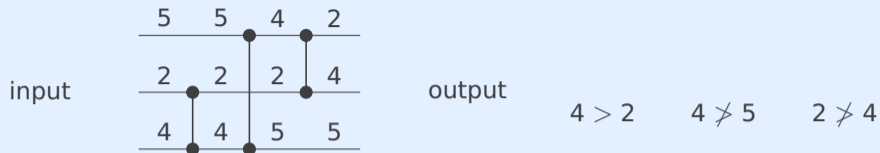
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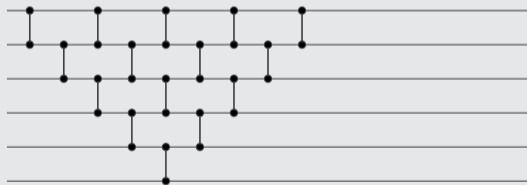


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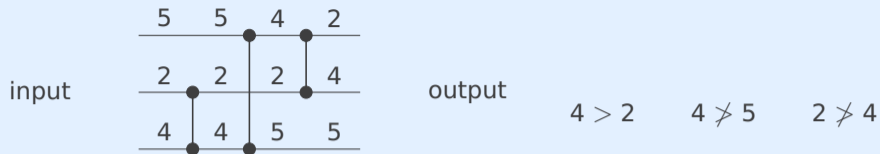


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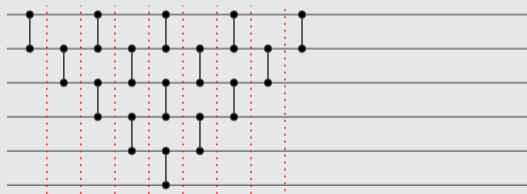


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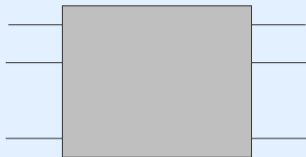


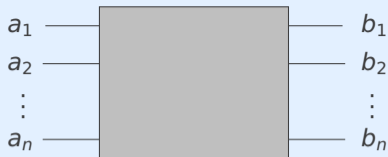
## Example



- ▶ size (= number of comparators): 15
- ▶ depth: 9

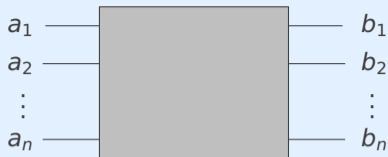






## Definition

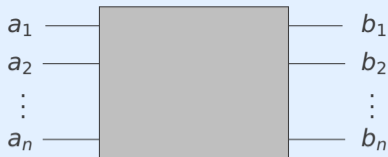
**sorting network** is comparator network that transforms any input sequence  $a = (a_1, \dots, a_n)$  of natural numbers into **sorted** output sequence  $b = (b_1, \dots, b_n)$



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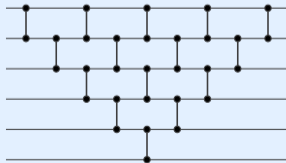
$b$  is permutation of  $a$  and  $b_1 \leq \dots \leq b_n$

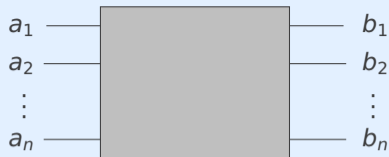


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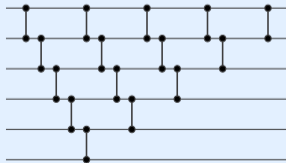




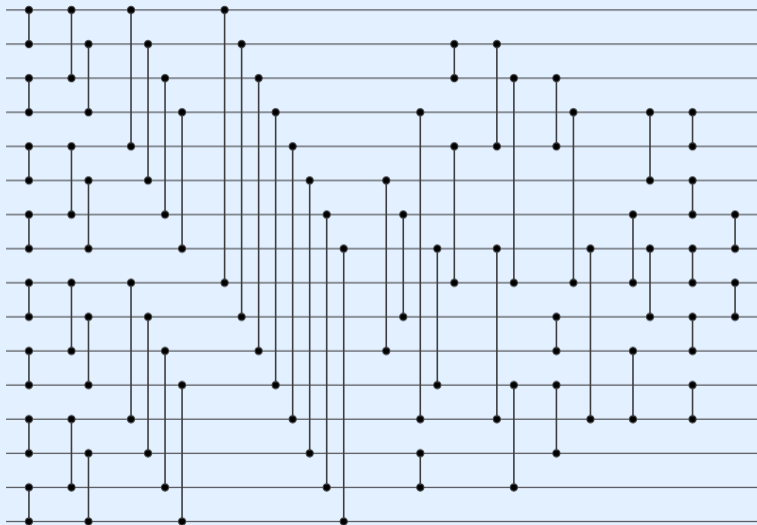
## Definition

sorting network is comparator network that transforms any input sequence  $a = (a_1, \dots, a_n)$  of natural numbers into sorted output sequence  $b = (b_1, \dots, b_n)$ :

$b$  is permutation of  $a$  and  $b_1 \leq \dots \leq b_n$



# Sorting Network ?



## Questions

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- ② very difficult problem ...

# Outline

1. Summary of Previous Lecture
2. CTL\*
3. Intermezzo
4. SAT Solving
5. Sorting Networks
- 6. Further Reading**

- ▶ Section 3.5

## DPLL

- ▶ Section 2 of Solving SAT and SAT Modulo Theories: From an Abstract Davis–Putnam–Logemann–Loveland Procedure to DPLL(T)  
Robert Nieuwenhuis, Albert Oliveras, and Cesare Tinelli  
Journal of the ACM 53(6), pp. 937–977, 2006  
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## Sorting Networks

- ▶ Wikipedia [accessed December 14, 2022]
- ▶ Section 5.3.4 of The Art of Computer Programming  
Donald Knuth

## Important Concepts

- ▶ abstract DPLL
- ▶ basic DPLL
- ▶ backjump
- ▶ backtrack
- ▶ comparator network
- ▶ CTL\*
- ▶ decide
- ▶ depth
- ▶ fail-state
- ▶ path formula
- ▶ pure literal
- ▶ size
- ▶ sorting network
- ▶ state formula
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homework for June 13



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evaluation SS 2024