## The Replacement Theorem

## Logic LVA 703600 VU3

## http://cl-informatik.uibk.ac.at/teaching/ws05/logic/

Georg Moser (VU) ${ }^{1} \quad$ Christian Vogt (VU) ${ }^{2}$

> 1 georg.moser@uibk.ac.at office hours: Thursday 1 pm-3pm
> ${ }^{2}$ christian.vogt@uibk.ac.at office hours: Tuesday 9am-11am

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| Logic LVA 703600 | G. Moser | 1 |
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## Theorem

Let $F(P), X, Y$ be formulas, $v$ a valuation. If $v(X)=v(Y)$ then $v(F(X))=v(F(Y))$.
Proof
call $F(P)$ good if $v(X)=v(Y)$ implies $v(F(X))=v(F(Y))$ by structural induction we show that every formula is good:

- Base: trivial
$\Rightarrow$ Step: case $F(P)=G(P) \circ H(P)$; assume $v(X)=v(Y)$ :

$$
\begin{array}{rlrl}
v(F(X)) & =v(G(X) \circ H(X)) & & \text { definition of } F \\
& =v(G(X)) \circ v(H(X)) & & \text { definition of } v \\
& =v(G(Y)) \circ v(H(Y)) & & \mathbb{H} \\
& =v(G(Y) \circ H(Y))=v(F(Y)) &
\end{array}
$$

similar for case $F(P)=\neg G(P)$

Definition let $F\left(A_{1}, \ldots, A_{n}\right)$ be a formula; propositional letters are among $A_{1}, \ldots, A_{n}$

$$
F\left(X_{1}, \ldots, X_{n}\right): \Leftrightarrow F\left(A_{1}, \ldots, A_{n}\right) \frac{X_{1}, \ldots, X_{n}}{A_{1}, \ldots, A_{n}}
$$

## Example

suppose $F(P)$ is $(P \rightarrow Q) \vee \neg P ; X$ is $(P \wedge R)$ :

$$
F(X)=((P \wedge R) \rightarrow Q) \vee \neg(P \wedge R)
$$

Theorem If $X \equiv Y$ is a tautology, so is $F(X) \equiv F(Y)$.

## Proof

$X \equiv Y$ is a tautology; $v$ an arbitrary valuation:
$\Rightarrow X \equiv Y$ implies $v(X \equiv Y)=\mathbf{t} \quad$ by Exercise 2.4.4: $v(X)=v(Y)$
$\Rightarrow v(F(X))=v(F(Y)) \quad$ previous Theorem
$\Rightarrow v(F(X) \equiv F(Y))=\mathbf{t} \quad$ Exercise
suppose $F(A)=((\neg P \vee Q) \wedge(R \uparrow A))$; as $(\neg P \vee Q) \equiv(P \rightarrow Q)$ :

$$
((\neg P \vee Q) \wedge(R \uparrow(\neg P \vee Q))) \equiv((\neg P \vee Q) \wedge(R \uparrow(P \rightarrow Q)))
$$

## Definition

negation normal form (NNF)
$\Rightarrow$ all negation symbols occur in front of propositional letters
NB: every formula can be put into NNF

## Uniform Notation

$\Rightarrow$ basic binary connectives: all Primary Connectives
$\Rightarrow$ all Secondary Connectives are defined
$\Rightarrow \operatorname{group}(X \circ Y)$ and $\neg(X \circ Y)$ :
Conjunctive

| $\alpha$ | $\alpha_{1}$ | $\alpha_{2}$ |
| :---: | :---: | :---: |
| $X \wedge Y$ | $X$ | $Y$ |
| $\neg(X \vee Y)$ | $\neg X$ | $\neg Y$ |
| $\neg(X \rightarrow Y)$ | $X$ | $\neg Y$ |
| $\neg(X \leftarrow Y)$ | $\neg X$ | $Y$ |
| $\neg(X \uparrow Y)$ | $X$ | $Y$ |
| $X \downarrow Y$ | $\neg X$ | $\neg Y$ |
| $X \nleftarrow Y$ | $X$ | $\neg Y$ |
| $X \nleftarrow Y$ | $\neg X$ | $Y$ |


| Disjunctive |  |  |
| :--- | :---: | :---: |
| $\beta$ $\beta_{1}$ $\beta_{2}$ <br> $\neg(X \wedge Y)$ $\neg X$ $\neg Y$ <br> $X \vee Y$ $X$ $Y$ <br> $X \rightarrow Y$ $\neg X$ $Y$ <br> $X \leftarrow Y$ $X$ $\neg Y$ <br> $X \uparrow Y$ $\neg X$ $\neg Y$ <br> $\neg(X \downarrow Y)$ $X$ $Y$ <br> $\neg(X \nrightarrow Y)$ $\neg X$ $Y$ <br> $\neg(X \nvdash Y)$ $X$ $\neg Y$ |  |  |

Theorem
$\Rightarrow v(\alpha)=v\left(\alpha_{1}\right) \wedge v\left(\alpha_{2}\right)$
$\Rightarrow v(\beta)=v\left(\beta_{1}\right) \vee v\left(\beta_{2}\right)$

## Theorem

Principle of Structural Induction
Every propositional formula has property $\mathbf{Q}$, if
$\Rightarrow$ Basis: for every atomic formula $A$ : $A$ and $\neg A$ have property $\mathbf{Q}$
$\Rightarrow$ Step:
$\Rightarrow X$ has property $\mathbf{Q} \Longrightarrow \neg \neg X$ has property $\mathbf{Q}$
$\Rightarrow \alpha_{1}, \alpha_{2}$ have property $\mathbf{Q} \Longrightarrow \alpha$ has property $\mathbf{Q}$
$\Rightarrow \beta_{1}, \beta_{2}$ have property $\mathbf{Q} \Longrightarrow \beta$ has property $\mathbf{Q}$
Proof
by (original) principle of structural induction
G. Moser

## König's Lemma

A tree that is finitely branching (i.e., each node has a finite number of children) must have an infinite branch.

$\Rightarrow\left[X_{1}, \ldots, X_{n}\right]$ is the generalised disjunction of $X_{1}, \ldots, X_{n}$
$\Rightarrow$ for valuation $v$, we require $v\left(\left[X_{1}, \ldots, X_{n}\right]\right)=\mathbf{t}$ iff there exists $i$ with $v\left(X_{i}\right)=\mathbf{t}$
$\Rightarrow\left\langle X_{1}, \ldots, X_{n}\right\rangle$ is the generalised conjunction of $X_{1}, \ldots, X_{n}$
$\Rightarrow$ for valuation $v$, we require $v\left(\left\langle X_{1}, \ldots, X_{n}\right\rangle\right)=\mathbf{t}$ iff for all $i$ : $v\left(X_{i}\right)=\mathbf{t}$
note: $v([])=\mathbf{f} ; v(\langle \rangle)=\mathbf{t}$

## Definition

clause set
$\Rightarrow$ a literal is $P_{i}, \neg P_{i}, \top, \perp$
$\Rightarrow$ a clause is $\left[X_{1}, \ldots, X_{n}\right]$ such that each $X_{i}$ is a literal
$\Rightarrow$ a clause set (or formula in CNF, or in clause form) is $\left\langle C_{1}, \ldots, C_{n}\right\rangle$ such that each $C_{i}$ is a clause
loop
suppose $S=\left\langle D_{1}, \ldots, D_{k}\right\rangle$; select $D_{i}$ not a clause
$\Rightarrow$ select $N$ in $D_{i} ; N$ non-literal
$\Rightarrow N=\neg \top \Rightarrow$ replace $N$ by $\perp$
$\Rightarrow N=\neg \perp \Rightarrow$ replace $N$ by $\top$
$\Rightarrow N=\neg \neg Z \Rightarrow$ replace $N$ by $Z$
$\Rightarrow N$ is $\beta$-formula replace $N$ by $\beta_{1}, \beta_{2}$
$\Rightarrow N$ is $\alpha$-formula replace $D_{i}$ by $D_{i}\left(\alpha_{1}\right)$ and $D_{i}\left(\alpha_{2}\right)$ $D_{i}\left(\alpha_{i}\right)$ is $D_{i}$ with $N$ replaced by $\alpha_{i}$

## Definition

clause set reduction rules

$$
\frac{\neg \neg Z}{Z} \quad \frac{\neg \top}{\perp} \quad \frac{\neg \perp}{\top} \quad \frac{\beta}{\beta_{1}} \quad \frac{\alpha}{\alpha_{1} \mid \alpha_{2}}
$$

## Lemma

If $S$ is a conjunction of disjunctions, and one of the clause set reduction rules is applied to $S$, producing $S^{*}$, then $S \equiv S^{*}$ is a tautology.

## Proof

- Exercise 2.8.1
$\Rightarrow$ Replacement Theorem (extended for generalised conjunctions and disjunctions)


## Theorem

$\Rightarrow$ The Normal Form Algorithm is correct.
$\Rightarrow$ The Normal Form Algorithm is strongly normalising, i.e., no matter what choice is made, it terminates.

## Proof

(of strong normalisation)
$\Rightarrow$ define the rank function $r$ for generalised disjunctions
$\Rightarrow$ fix a choice-sequence, associate with produced disjunctions their rank
$\Rightarrow$ order the set of ranks in a (finitely branching) tree
$\Rightarrow$ use König's Lemma

## Definition

## dual clause set

$\Rightarrow$ a dual clause is $\left\langle X_{1}, \ldots, X_{n}\right\rangle$ such that each $X_{i}$ is a literal
$\Rightarrow$ a dual clause set (or formula in DNF, or in dual clause form) is $\left[D_{1}, \ldots, D_{n}\right.$ ] such that each $D_{i}$ is a dual clause

## Definition

dual clause set reduction rules

$$
\frac{\neg \neg Z}{Z} \quad \frac{\neg \top}{\perp} \quad \frac{\neg \perp}{\top} \quad \frac{\alpha}{\alpha_{1}} \quad \frac{\beta}{\beta_{1} \mid \beta_{2}}
$$

## Summary

$\Rightarrow$ the Replacement Theorem
$\Rightarrow$ uniform notation
= König's Lemma

- normal forms: clause form \& dual clause form
$\Rightarrow$ normal form implementations

