

Functional Programming

WS 2007/08

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Overview

Week 4 - Trees

- Summary of Week 3

- Rooted Trees

- Binary Trees

- Huffman Coding

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Exercises

The first test has been moved to **November 30**


L-Strings

- ▶ `strings` not functional in OCaml
- ▶ therefore use module `Strng`

Strings as character lists

```
type t = char list
val center : int -> t -> t
val join : 'a list -> 'a list list -> 'a list
val left_justify : int -> t -> t
val of_int : int -> t
val of_string : string -> t
val print : t -> unit
val right_justify : int -> t -> t
val to_string : t -> string
val toplevel_printer : t -> unit
```

Setting Up the Interpreter

- ▶ `.ocamlinit` (searched in `.` and `~`)

- ▶ write modules for custom interpreter to `file.mltop`
- ▶ compile with `'ocamlbuild file.top'`
- ▶ start with `'./file.top'`

Example

```
Lst
Picture
Strng
```

```
w03.mltop
```

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What Are Trees?

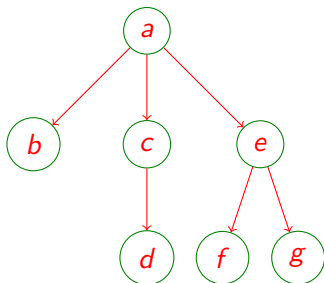
Definition (Tree)

(rooted) tree $T = (N, E)$

- ▶ set of **nodes** N
- ▶ set of **edges** $E \subseteq N \times N$
- ▶ unique **root of T**
($root(T) \in N$) without predecessor
- ▶ all **other nodes** have exactly one predecessor

Example

- ▶ $N = \{a, b, c, d, e, f, g\}$
- ▶ $E = \{(a, b), (a, c), (a, e), (c, d), (e, f), (e, g)\}$
- ▶ $root(T) = a$
- ▶ $T =$



Trees in OCaml

Type

`type 'a tree =` empty tree $\overbrace{\text{Empty}}$ `|` node with content $\underbrace{\text{Node of 'a * 'a tree list;}}$

Example

Empty

1
|
2

Node (1, [Node (2, [])])

1

1
/ \
2 3

Node (1, [])

Node (1, [Node (2, []); Node (3, [])])

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Restricting the Branching-Factor

Definition (Binary tree)

restrict number of successors (maximal 2)

Type

```
type 'a btree = Empty | Node of 'a btree * 'a * 'a btree;;
```

Functions on BinTrees

Definition (Size)

size of a tree equals
number of nodes

```

let rec size = function
  | Empty -> 0
  | Node (l, _, r) -> size l + size r + 1
  ;;
  
```

Definition (Height)

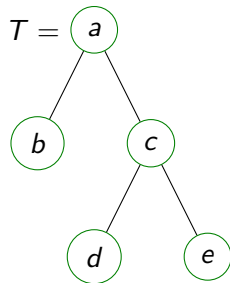
height of a tree equals
length of longest path
from root to some
leaf plus 1

```

let rec height = function
  | Empty -> 0
  | Node (l, _, r) -> max (height l) (height r) + 1
  ;;
  
```

Example

- ▶ size $T = 5$
- ▶ height $T = 3$



Creating Trees of Lists

The easy way

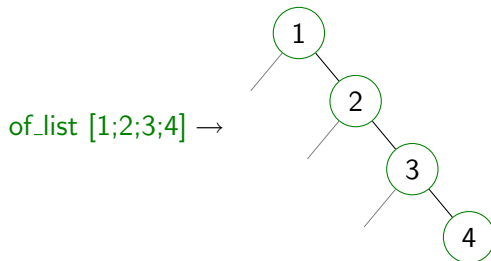
```
let rec of_list = function
```

```
| [] -> Empty
```

```
| x :: xs -> Node (Empty, x, of_list xs)
```

```
::
```

Example



Creating Trees of Lists (cont'd)

The fair way

```
let rec make = function
```

```
| [] -> Empty
```

```
| xs ->
```

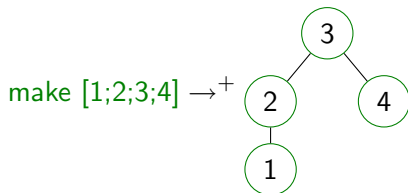
```
  let m = Lst.length xs / 2 in
```

```
  let (ys, zs) = Lst.split_at m xs in
```

```
  Node (make ys, Lst.hd zs, make (Lst.tl zs))
```

```
::
```

Example



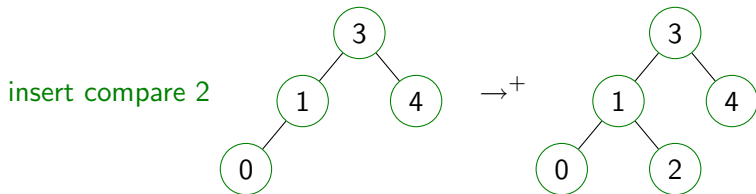
Creating Trees of Lists (cont'd)

Ordered insertion

```

let rec insert c v = function
  | Empty -> Node (Empty, v, Empty)
  | Node (l, w, r) ->
    if c v w <= 0 then Node (insert c v l, w, r) else Node (l, w, insert c v r)
  ;;
  
```

Example



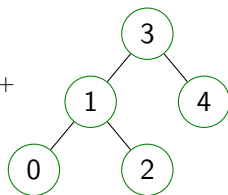
Creating Trees of Lists (cont'd)

Search trees

```
let search_tree c xs = Lst.fold_left (fun x y -> insert c y x) Empty xs;;
```

Example

```
search_tree compare [3; 1; 0; 4; 2] →+
```



Transforming Trees Into Lists

Flatten

let rec flatten = function

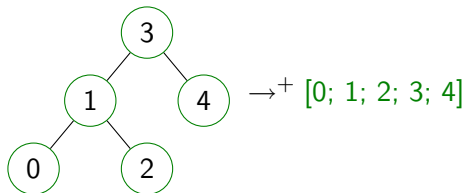
| Empty \rightarrow []

| Node (l, x, r) \rightarrow (flatten l) @ (x :: flatten r)

::

Example

flatten



A Sorting Algorithm for Lists

```
let sort c xs = BinTree.flatten (BinTree.search_tree c xs);;
```

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The Idea

Reduce storage size

- ▶ ASCII uses **1 byte** per character
- ▶ encode frequent characters '**short**'

Example

Text: 'text'

- ▶ 32 bits in ASCII (**01110100011001010111100001110100**)

- ▶ using

t ↦ 0
e ↦ 10
x ↦ 11

6 bits needed (**010110**)

Some More Useful List Functions

```
| x :: xs as ys -> if p x then drop_while p xs else ys
;;
let span p xs = (take_while p xs, drop_while p xs);;
let rev xs =
  let rec rev acc = function
    | [] -> acc
    | x :: xs -> rev (x :: acc) xs
  in
    rev [] xs
```

Some More Useful List Functions (cont'd)

```
;;  
let rec until p f x = if p x then x else until p f (f x);;  
let concat xs = fold append [] xs;;
```

Counting Symbol Frequency

Collate

```
let rec collate = function
| [] -> []
| w :: ws as xs ->
  let (ys, zs) = Lst.span (fun x -> x = w) xs in
  (w, Lst.length ys) :: collate zs
;;
```

Example

```
collate ['a'; 'a'; 'b'; 'c'; 'c'; 'c'] = [('a', 2); ('b', 1); ('c', 3)]
```


Generating a Symbol-Frequency List

Sample

```
let sample xs =  
  sort (fun (c, v) (d, w) ->  
    compare (v, c) (w, d)) (collate (sort compare) xs)  
;;
```

Example

```
sample ['t'; 'e'; 'x'; 't'] = [('e', 1); ('x', 1); ('t', 2)]
```

Huffman Trees

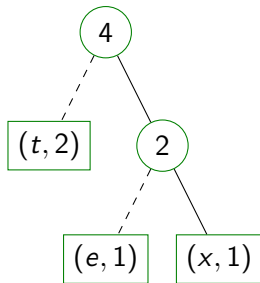
- ▶ **leaf nodes** contain character + weight (= frequency)
- ▶ **other nodes** store sum of weights of subtrees

Type

```
type node = int * char option;;
```

```
type htree = node BinTree.t;;
```

Example



Building the Huffman Tree

Step 1

- ▶ transform the symbol-frequency list into a list of Huffman trees

```
let mknode (c, w) = Node (Empty, (w, Some c), Empty);;
```

Example

```
Lst.map mknode [('e', 1); ('x', 1); ('t', 2)] = [(e, 1); (x, 1); (t, 2)]
```

Building the Huffman Tree (cont'd)

Step 2

- ▶ combine first two trees until only one left

```

let insert vt wts =
  let (xts, yts) = Lst.span (fun x -> weight x <= weight vt) wts in
  Lst.append xts (vt :: yts)
;;
let combine = function
  | xt :: yt :: xts ->
    let w = weight xt + weight yt in insert (Node (xt, (w, None), yt)) xts
  | _ -> failwith "Huffman.combine: length has to be greater than 1"
;;

```

Building the Huffman Tree (cont'd)

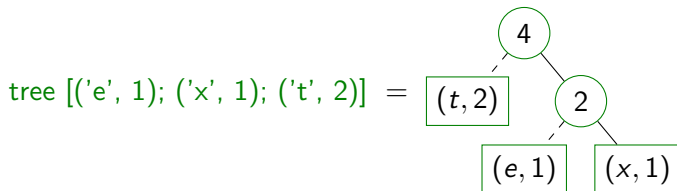
Step 2 (cont'd)

- ▶ combine first two trees until only one left

```
let singleton xs = Lst.length xs = 1;;
```

```
let tree xs = Lst.hd (Lst.until singleton combine (Lst.map mknode xs));;
```

Example



Generating a Code-Table

Encoding

- ▶ Which code corresponds to a given character?

```
let rec table = function
```

```
| Node (Empty, (_, Some c), Empty) -> [(c, [])]
```

```
| Node (l, _, r) ->
```

```
  Lst.append
```

```
    (Lst.map (fun (c, code) -> (c, 0 :: code)) (table l))
```

```
    (Lst.map (fun (c, code) -> (c, 1 :: code)) (table r))
```

```
| _ -> failwith "Huffman.table: the Huffman tree is empty"
```

```
::
```

```
let rec lookup xbs v = match xbs with
```

```
| ((x, bs) :: xbs) -> if x = v then bs else lookup xbs v
```

```
| _ -> failwith "Huffman.lookup: not found"
```

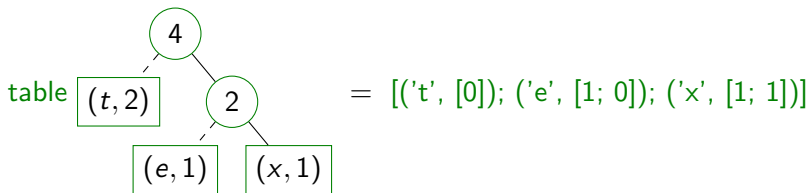
```
::
```

Generating a Code-Table (cont'd)

Encoding

- ▶ Which code corresponds to a given character?

Example



Encoding

- ▶ use code-table for compression

```
let encode t text = Lst.concat (Lst.map (lookup t) text);;
```

Example

```
encode [('t', [0]); ('e', [1; 0]); ('x', [1; 1])] ['t'; 'e'; 'x'; t] = [0; 1; 0; 1; 1; 0]
```


Decoding

- ▶ use Huffman tree for decompression

```
let rec decode_char = function
```

```
| (Node (Empty, (_, Some c), Empty), cs) -> (c, cs)
```

```
| (Node (xt, _, _), 0 :: cs) -> decode_char (xt, cs)
```

```
| (Node (_, _, xt), 1 :: cs) -> decode_char (xt, cs)
```

```
| _ -> failwith "Huffman.decode: empty tree"
```

```
::
```

```
let rec decode t = function
```

```
| [] -> []
```

```
| xs -> let (c, xs) = decode_char (t, xs) in c :: decode t xs
```

```
::
```