

# Functional Programming

WS 2007/08

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## Overview

### Week 4 - Trees

Summary of Week 3  
Rooted Trees  
Binary Trees  
Huffman Coding

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## Exercises

The first test has been moved to **November 30**

## L-Strings

- ▶ strings not functional in OCaml
- ▶ therefore use module `Strng`

### Strings as character lists

```

type t = char list
val center : int -> t -> t
val join : 'a list -> 'a list list -> 'a list
val left_justify : int -> t -> t
val of_int : int -> t
val of_string : string -> t
val print : t -> unit
val right_justify : int -> t -> t
val to_string : t -> string
val toplevel_printer : t -> unit

```

## Setting Up the Interpreter

- home directory  
current directory
- ▶ `.ocamlinit` (searched in `.` and `~`)
  - ▶ write modules for custom interpreter to `file.mltop`
  - ▶ compile with `'ocamlbuild file.top'`
  - ▶ start with `'./file.top'`

### Example

```

Lst
Picture
Strng

```

w03.mltop

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## What Are Trees?

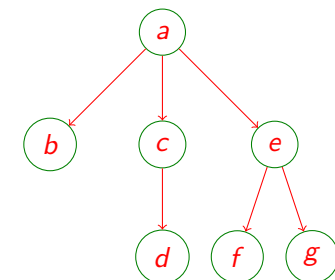
### Definition (Tree)

(rooted) tree  $T = (N, E)$

- ▶ set of nodes  $N$
- ▶ set of edges  $E \subseteq N \times N$
- ▶ unique root of  $T$   
( $root(T) \in N$ ) without predecessor
- ▶ all other nodes have exactly one predecessor

### Example

- ▶  $N = \{a, b, c, d, e, f, g\}$
- ▶  $E = \{(a, b), (a, c), (a, e), (c, d), (e, f), (e, g)\}$
- ▶  $root(T) = a$
- ▶  $T =$



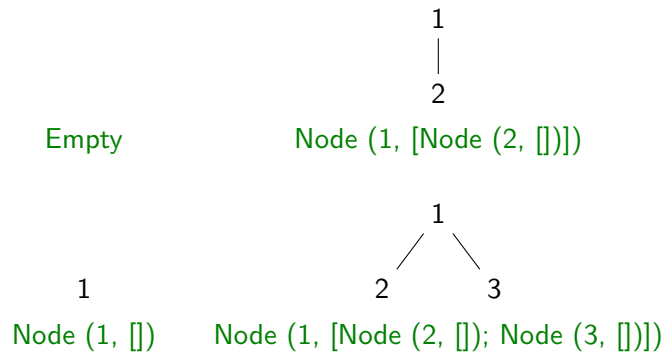
## Trees in OCaml

## Type

`type 'a tree = Empty | Node of 'a * 'a tree list;;`

empty tree  
node with content

## Example



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## Restricting the Branching-Factor

## Definition (Binary tree)

restrict number of successors (maximal 2)

## Type

`type 'a btree = Empty | Node of 'a btree * 'a * 'a btree;;`

## Functions on BinTrees

## Definition (Size)

size of a tree equals  
number of nodes

`let rec size = function`

| Empty -&gt; 0

| Node (l, r) -&gt; size l + size r + 1

;;

## Definition (Height)

height of a tree equals  
length of longest path  
from root to some  
leaf plus 1

`let rec height = function`

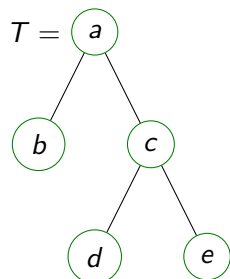
| Empty -&gt; 0

| Node (l, r) -&gt; max (height l) (height r) + 1

;;

## Example

- ▶ size  $T = 5$
- ▶ height  $T = 3$



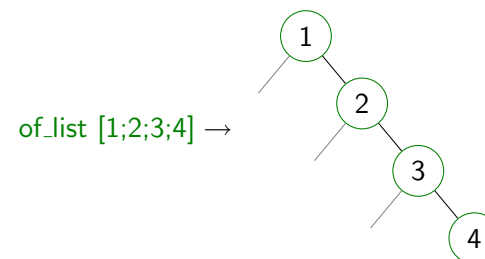
## Creating Trees of Lists

The easy way

```

let rec of_list = function
  | [] -> Empty
  | x :: xs -> Node (Empty, x, of_list xs)
;;
    
```

Example



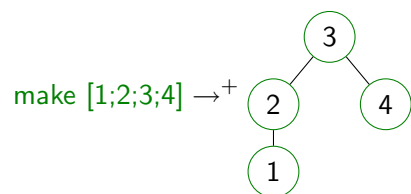
## Creating Trees of Lists (cont'd)

The fair way

```

let rec make = function
  | [] -> Empty
  | xs ->
    let m = Lst.length xs / 2 in
    let (ys, zs) = Lst.split_at m xs in
    Node (make ys, Lst.hd zs, make (Lst.tl zs))
;;
    
```

Example



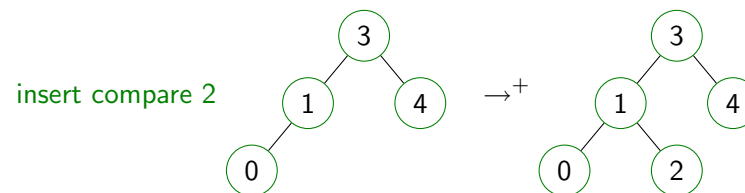
## Creating Trees of Lists (cont'd)

Ordered insertion

```

let rec insert c v = function
  | Empty -> Node (Empty, v, Empty)
  | Node (l, w, r) ->
    if c v w <= 0 then Node (insert c v l, w, r) else Node (l, w, insert c v r)
;;
    
```

Example



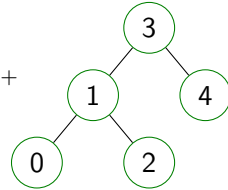
## Creating Trees of Lists (cont'd)

### Search trees

```
let search_tree c xs = Lst.fold_left (fun x y -> insert c y x) Empty xs;;
```

### Example

```
search_tree compare [3; 1; 0; 4; 2] ->+
```



## A Sorting Algorithm for Lists

```
let sort c xs = BinTree.flatten (BinTree.search_tree c xs);;
```

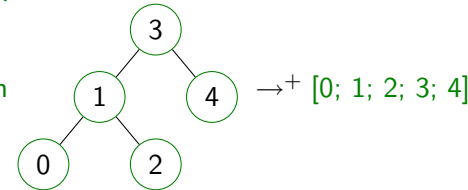
## Transforming Trees Into Lists

### Flatten

```
let rec flatten = function
| Empty -> []
| Node (l, x, r) -> (flatten l) @ (x :: flatten r)
;;
```

### Example

```
flatten
```



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## The Idea

### Reduce storage size

- ▶ ASCII uses **1 byte** per character
- ▶ encode frequent characters '**short**'

### Example

**Text:** 'text'

- ▶ 32 bits in ASCII (01110100011001010111100001110100)

- ▶ using 

|   |   |    |
|---|---|----|
| t | ↦ | 0  |
| e | ↦ | 10 |
| x | ↦ | 11 |

 6 bits needed (010110)

## Some More Useful List Functions

```
| x :: xs as ys -> if p x then drop_while p xs else ys
;;
let span p xs = (take_while p xs, drop_while p xs);;
let rev xs =
  let rec rev acc = function
    | [] -> acc
    | x :: xs -> rev (x :: acc) xs
  in
  rev [] xs
```

## Some More Useful List Functions (cont'd)

```
;;
let rec until p f x = if p x then x else until p f (f x);;
let concat xs = fold append [] xs;;
```

## Counting Symbol Frequency

### Collate

```
let rec collate = function
  | [] -> []
  | w :: ws as xs ->
    let (ys, zs) = Lst.span (fun x -> x = w) xs in
    (w, Lst.length ys) :: collate zs
;;
```

### Example

```
collate ['a'; 'a'; 'b'; 'c'; 'c'; 'c'] = [('a', 2); ('b', 1); ('c', 3)]
```

## Generating a Symbol-Frequency List

### Sample

```
let sample xs =
  sort (fun (c, v) (d, w) ->
    compare (v, c) (w, d)) (collate (sort compare xs))
;;
```

### Example

```
sample ['t'; 'e'; 'x'; 't'] = [('e', 1); ('x', 1); ('t', 2)]
```

## Building the Huffman Tree

### Step 1

- ▶ transform the symbol-frequency list into a list of Huffman trees

```
let mknode (c, w) = Node (Empty, (w, Some c), Empty);;
```

### Example

```
Lst.map mknode [('e', 1); ('x', 1); ('t', 2)] = [ (e, 1); (x, 1); (t, 2) ]
```

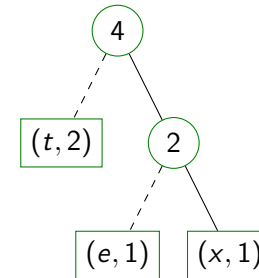
## Huffman Trees

- ▶ **leaf nodes** contain character + weight (= frequency)
- ▶ **other nodes** store sum of weights of subtrees

### Type

```
type node = int * char option;;
type htree = node BinTree.t;;
```

### Example



## Building the Huffman Tree (cont'd)

### Step 2

- ▶ combine first two trees until only one left

```
let insert vt wts =
  let (xts, yts) = Lst.span (fun x -> weight x <= weight vt) wts in
  Lst.append xts (vt :: yts)
;;
let combine = function
  | xt :: yt :: xts ->
    let w = weight xt + weight yt in insert (Node (xt, (w, None), yt)) xts
  | _ -> failwith "Huffman.combine: length has to be greater than 1"
;;
```

## Building the Huffman Tree (cont'd)

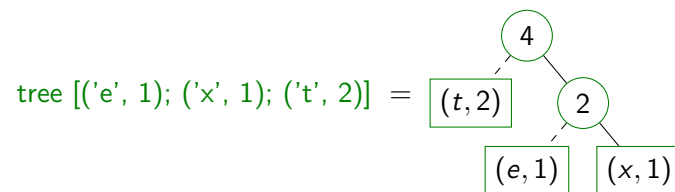
### Step 2 (cont'd)

- ▶ combine first two trees until only one left

```
let singleton xs = Lst.length xs = 1;;
```

```
let tree xs = Lst.hd (Lst.until singleton combine (Lst.map mknode xs));;
```

### Example



## Generating a Code-Table

### Encoding

- ▶ Which code corresponds to a given character?

```
let rec table = function
```

```
| Node (Empty, (_, Some c), Empty) -> [(c, [])]
```

```
| Node (l, _, r) ->
```

```
Lst.append
```

```
(Lst.map (fun (c, code) -> (c, 0 :: code)) (table l))
```

```
(Lst.map (fun (c, code) -> (c, 1 :: code)) (table r))
```

```
| _ -> failwith "Huffman.table: the Huffman tree is empty"
```

```
::
```

```
let rec lookup xsbs v = match xsbs with
```

```
| ((x, bs) :: xsbs) -> if x = v then bs else lookup xsbs v
```

```
| _ -> failwith "Huffman.lookup: not found"
```

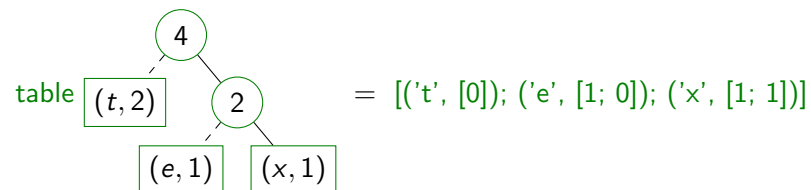
```
::
```

## Generating a Code-Table (cont'd)

### Encoding

- ▶ Which code corresponds to a given character?

### Example



## Encoding

- ▶ use code-table for compression

```
let encode t text = Lst.concat (Lst.map (lookup t) text);;
```

### Example

```
encode [(('t', [0]); ('e', [1; 0]); ('x', [1; 1]))] ['t'; 'e'; 'x'; t] = [0; 1; 0; 1; 1; 0]
```



## Decoding

- ▶ use Huffman tree for decompression

```
let rec decode_char = function
| (Node (Empty, (_, Some c), Empty), cs) -> (c, cs)
| (Node (xt, _, _), 0 :: cs) -> decode_char (xt, cs)
| (Node (_, _, xt), 1 :: cs) -> decode_char (xt, cs)
| _ -> failwith "Huffman.decode: empty tree"
;;
let rec decode t = function
| [] -> []
| xs -> let (c, xs) = decode_char (t, xs) in c :: decode t xs
;;
```