

Functional Programming

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Overview

Week 6 - Implementation of λ
 Summary of Week 5
 Implementation of Sets
 Evaluation Strategies
 λ in OCaml

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λ -Calculus

λ -Terms

$$t ::= \overbrace{x}^{\text{Variable}} \mid \underbrace{(\lambda x. t)}_{\text{Abstraction}} \mid \overbrace{(t t)}^{\text{Application}}$$

Example

$x y$	$(x y)$	"x applied to y"
$\lambda x. x$	$(\lambda x. x)$	"lambda x to x"
$\lambda x y. x$	$(\lambda x. (\lambda y. x))$	"lambda x y to x"
$\lambda x y z. x z (y z)$	$(\lambda x. (\lambda y. (\lambda z. ((x z) (y z))))))$	"lambda x y z to ..."
$\lambda x. x x$	$(\lambda x. (x x))$	"lambda x to (x applied to x)"
$(\lambda x. x) x$	$((\lambda x. x) x)$	"(lambda x to x) applied to x"

λ -Calculus (cont'd)

β -Reduction

the term s (β -)reduces to the term t in one step, i.e.,

$$\overbrace{s \rightarrow t}^{(\beta\text{-})\text{step}}$$

iff there exist context C and terms u, v s.t.

$$s = C[(\lambda x.u) v] \quad \text{and} \quad t = C[u\{x \mapsto v\}]$$

Example

$$K \stackrel{\text{def}}{=} \lambda xy.x$$

$$I \stackrel{\text{def}}{=} \lambda x.x$$

$$\Omega \stackrel{\text{def}}{=} (\lambda x.x x) (\lambda x.x x)$$

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Sets

- ▶ order of elements not important
- ▶ no duplicates

Example

$$\{1, 2, 3, 5\} = \{5, 1, 3, 2\}$$

$$\{1, 1, 2, 2\} = \{1, 2\}$$

Set Operations

description	notation	OCaml
empty set	\emptyset	<code>empty : 'a set</code>
membership test	$e \in S$	<code>mem : 'a -> 'a set -> bool</code>
union	$S \cup T$	<code>union : 'a set -> 'a set -> 'a set</code>
difference	$S \setminus T$	<code>diff : 'a set -> 'a set -> 'a set</code>

OCaml Datatype for Sets

Idea

- ▶ use binary search tree
- ▶ easy to implement
- ▶ (potentially) efficient lookup and insertion

Type

```
type 'a set = Empty | Node of 'a set * 'a * 'a set
```

Empty set

```
let empty = Empty
```

Union: $S \cup T$

```
let singleton x = Node (Empty, x, Empty);;
let rec insert x = function
  | Empty -> singleton x
  | Node (_, v, _) as n when x = v -> n
  | Node (lt, v, rt) when x < v -> Node (insert x lt, v, rt)
  | Node (lt, v, rt) when x > v -> Node (lt, v, insert x rt)
;;
let rec union xt = function
  | Empty -> xt
  | Node (lt, v, rt) -> union (union (insert v xt) lt) rt
;;
```

Membership Test: $e \in S$

```
let rec mem x = function
  | Empty -> false
  | Node (_, v, _) when x = v -> true
  | Node (lt, v, _) when x < v -> mem x lt
  | Node (_, v, rt) when x > v -> mem x rt
;;
```

Difference: $S \setminus T$

```
let rec remove x = function
  | Empty -> Empty
  | Node (lt, v, rt) when x = v -> union lt rt
  | Node (lt, v, rt) when x < v -> Node (remove x lt, v, rt)
  | Node (lt, v, rt) when x > v -> Node (lt, v, remove x rt)
;;
let rec diff xt = function
  | Empty -> xt
  | Node (lt, v, rt) -> diff (remove v xt) (union lt rt)
;;
```

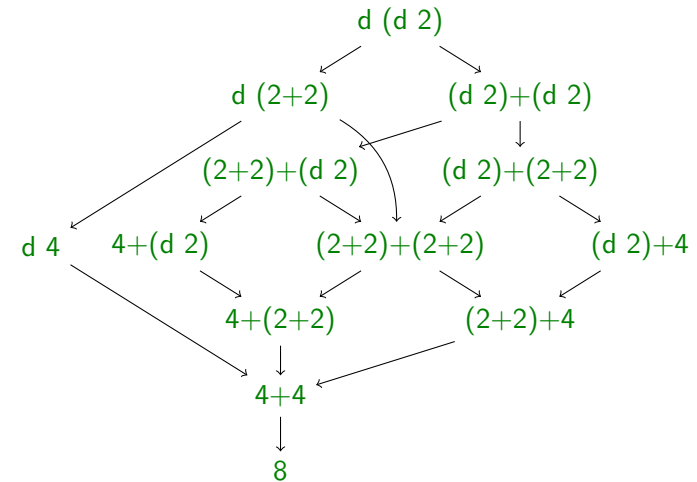
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Example

- consider **let** $d\ x = x + x$
- the term $d\ (d\ 2)$ can be evaluated as follows



Strategies

Strategy

- fixes evaluation order
- call-by-value
- call-by-name

Example

- call-by-value:
 - $d\ (d\ 2) \rightarrow d\ (2+2)$
 - $\rightarrow d\ 4$
 - $\rightarrow 4 + 4$
 - $\rightarrow 8$
- call-by-name:
 - $d\ (d\ 2) \rightarrow (d\ 2)+(d\ 2)$
 - $\rightarrow (2+2)+(d\ 2)$
 - $\rightarrow 4+(d\ 2)$
 - $\rightarrow 4+(2+2)$
 - $\rightarrow 4+4$
 - $\rightarrow 8$

(Leftmost) Innermost Reduction

- always reduce leftmost innermost redex

Definition

redex t of term u is **innermost** if it does not contain a redex as **proper** subterm, i.e.,

$$\nexists s \in \text{Sub}(t) \text{ s.t. } s \neq t \text{ and } s \text{ is a redex}$$

Example

Consider $t = (\lambda x.(\lambda y.y)\ x)\ z$.

- $(\lambda y.y)\ x$ is innermost redex
- $(\lambda x.(\lambda y.y)\ x)\ z$ is redex, but not innermost

(Leftmost) Outermost Reduction

- ▶ always reduce leftmost outermost redex

Definition

redex t of term u is **outermost** if it is not a **proper** subterm of some other redex in u , i.e.,

$$\nexists s \in \text{Sub}(u) \text{ s.t. } s \text{ is a redex and } t \in \text{Sub}(s) \text{ and } s \neq t$$

Example

Consider $t = (\lambda x.(\lambda y.y) x) z$.

- ▶ $(\lambda x.(\lambda y.y) x) z$ is outermost redex
- ▶ $(\lambda y.y) x$ is redex, but not outermost

Call-by-Name

- ▶ use outermost reduction
- ▶ corresponds to lazy evaluation (without memoization), e.g., Haskell
- ▶ slight modification: only reduce terms that are not in WHNF

Call-by-Value

- ▶ use innermost reduction
- ▶ corresponds to strict (or eager) evaluation, e.g., OCaml
- ▶ slight modification: only reduce terms that are not in WHNF

Definition (Weak head normal form)

term t is in **weak head normal form** ($WHNF(t)$) iff

$$t \neq u v$$

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Type for λ -Terms

```
type var = (Strng.t * int);;
type t = Var of var | Abs of (var * t) | App of (t * t);;
```

Example

```
x0      var 'x'
 $\lambda x_0.x_0$   abs ['x'] (var 'x')
x0 x0    app [var 'x'; var 'x']
```

Abbreviations

```
let var c = Var ([c], 0);;
let abs xs body = Lst.fold (fun x xs -> Abs (([x], 0), xs)) body xs;;
let app ts = Lst.fold_left1 (fun s t -> App (s, t)) ts;;
```

Variable Renaming

Idea

to ensure $\mathcal{B}\text{Var}(s) \cap \text{Var}(t) = \emptyset$ add maximal index in t plus 1 to indexes of bound variables in s

Maximal index

```
let rec max_index = function
| Var (_, i) -> i
| Abs ((_, i), u) -> max i (max_index u)
| App (u, v) -> max (max_index u) (max_index v)
;;
```

Variable Renaming (cont'd)

Rename bound variables

```
let rename_bound i t =
  let inc (id, i) j = (id, i + j) in
  let rec rename_bound i bvs = function
  | Var x as v -> if St.mem x bvs then Var (inc x i) else v
  | Abs (x, u) -> Abs (inc x i, rename_bound i (St.insert x bvs) u)
  | App (u, v) -> App (rename_bound i bvs u, rename_bound i bvs v)
  in
  rename_bound i St.empty t
;;
```

Substitutions

Replace single variable

```
let rec substitute x t = function
| Var y as v -> if y = x then t else v
| Abs (y, u) as s -> if y = x then s else Abs (y, substitute x t u)
| App (u, v) -> App (substitute x t u, substitute x t v)
;;
```

β -Steps

Single β -step without context

```
let beta = function
| App (Abs (x, u), v) -> substitute x v (rename_bound (max_index v + 1) u)
| _ -> failwith "Lambda.beta: not a redex"
;;
```