

Functional Programming

WS 2007/08

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Overview

Week 7 - Induction

Summary of Week 6

Mathematical Induction

Induction Over Lists

Structural Induction

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Rewrite Strategies

Outermost

- ▶ choose the (leftmost) outermost redex
- ▶ redex is **outermost** if not subterm of different redex

Innermost

- ▶ choose the (leftmost) innermost redex
- ▶ redex is **innermost** if no proper subterm is redex

Reduction Strategies

Call-by-name

- ▶ use outermost strategy
- ▶ stop as soon as WHNF is reached

Intuitively

Thou shalt not reduce below lambda.

Call-by-value

- ▶ use innermost strategy
- ▶ stop as soon as WHNF is reached

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Evaluation Strategies

Lazy

- ▶ call-by-name + sharing
- ▶ only evaluate if necessary
- ▶ e.g. Haskell

Strict/Eager

- ▶ call-by-value
- ▶ evaluate arguments before calling a function
- ▶ e.g. OCaml (also support for laziness)

When?

Goal

"prove that some property P holds for all natural numbers"

Formally

$$\forall n. P(n) \quad (\text{where } n \in \mathbb{N})$$

How?

To show

- ▶ $P(0)$
- ▶ $\forall k.(P(k) \rightarrow P(k+1))$

Why Does This Work?

We have

- ▶ $P(0)$ “property P holds for 0”
- ▶ $\forall k.(P(k) \rightarrow P(k+1))$ “if property P holds for arbitrary k then it also holds for $k+1$ ”

We want

$\forall n.P(n)$ “ P holds for arbitrary n ”

We get

- | | |
|--------------------------------|----------------------------------|
| ▶ for the moment fix n | ▶ ... |
| ▶ have $P(0)$ | ▶ have $P(n-1)$ |
| ▶ have $P(0) \rightarrow P(1)$ | ▶ have $P(n-1) \rightarrow P(n)$ |
| ▶ have $P(1)$ | ▶ hence $P(n)$ |
| ▶ have $P(1) \rightarrow P(2)$ | |

What is Ment by ‘Property’?

- ▶ anything that depends on some variable and is either true or false
- ▶ can be seen as function $p : \text{int} \rightarrow \text{bool}$

Example

- ▶ $P(x) = (1 + 2 + \dots + x = \frac{x \cdot (x+1)}{2})$
- ▶ base case: $P(0) = (1 + 2 + \dots + 0 = 0 = \frac{0 \cdot (0+1)}{2})$
- ▶ step case: $P(k) \rightarrow P(k+1)$
IH: $P(k) = (1 + 2 + \dots + k = \frac{k \cdot (k+1)}{2})$
show: $P(k+1)$

$$\begin{aligned}
 1 + 2 + \dots + (k+1) &= (1 + 2 + \dots + k) + (k+1) \\
 &\stackrel{\text{IH}}{=} \frac{k \cdot (k+1)}{2} + (k+1) \\
 &= \frac{(k+1) \cdot (k+2)}{2}
 \end{aligned}$$

Remark

- ▶ of course the base case can be changed
- ▶ e.g., if base case $P(1)$, property holds for all $n \geq 1$

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 Structural Induction

Induction Principle on Lists

Intuition

- ▶ to show $P(xs)$ for all lists xs
- ▶ show base case: $P([])$
- ▶ show step case: $P(xs) \rightarrow P(x :: xs)$ for arbitrary x and xs

Formally

$$(P([]) \wedge \forall x : \alpha. \forall xs : \alpha \text{ list. } \underbrace{P(xs) \rightarrow P(x :: xs)}_{\text{IH}}) \rightarrow \forall ls : \alpha \text{ list. } P(ls)$$

Remarks

- ▶ $y : \beta$ reads 'y is of type β '
- ▶ for lists, P can be seen as function $p : 'a \text{ list} \rightarrow \text{bool}$

Recall

Type

type 'a list = Nil | Cons of 'a * 'a list

$\underbrace{\quad\quad\quad}_{[]}$ $\underbrace{\quad\quad\quad}_{- :: -}$

Note

- ▶ lists are recursive structures
- ▶ base case: $[]$
- ▶ step case: $x :: xs$

Example - Lst.length

Recall

let rec length = function
 | [] \rightarrow 0
 | x :: xs \rightarrow 1 + length xs
 ;;

Lemma

adding element to list increases length by one, i.e.,

$$\text{length } (x :: xs) = \text{length } xs + 1$$

for arbitrary x

Proof.

Blackboard □

Example - Lst.append

Recall

```
let rec (@) xs ys = match xs with
| [] -> ys
| x :: xs -> x :: (xs @ ys)
;;
```

Lemma

[] is *right identity* of @, i.e.,

$$xs @ [] = xs$$

Proof.

Blackboard



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General Structures

Type

type arith = Var of char | Const of int | Add of arith * arith

Induction Principle

- ▶ for every non-recursive constructor there is a base case
 - ▶ base case: Var x
 - ▶ base case: Const i
- ▶ for every recursive constructor there is a step case
 - ▶ step case: Add (s, t)

Induction Principle on General Structures

Intuition

- ▶ to show $P(s)$ for all structures s
- ▶ show base cases
- ▶ show step cases

Recall

Type

type 'a btree = Empty | Node **of** 'a btree * 'a * 'a btree

Induction Principle

$$\begin{aligned}
 & (P(\text{Empty}) \wedge \\
 & \forall v : \alpha. \forall l : \alpha \text{ btree}. \forall r : \alpha \text{ btree}. \\
 & ((P(l) \wedge P(r)) \rightarrow P(\text{Node}(l, v, r)))) \\
 & \rightarrow \\
 & \forall t : \alpha \text{ btree}. P(t)
 \end{aligned}$$

Example - Trees

Definition (Perfect Binary Trees)

binary tree is **perfect** if all leaf nodes have same depth

Lemma

perfect binary tree t of height n has exactly $2^n - 1$ nodes

Proof.

To show: $P(t) = ((\text{perfect}(t) \wedge \text{height}(t) = n) \rightarrow (\text{size}(t) = 2^n - 1))$

Blackboard □