- 1. Consider the following sentences:
  - ① Each smurf is happy if all its children are happy.
  - 2 Smurfs are green if at least two of their ancestors are green.
  - 3 A smurf is really small if one of its parents is large.
  - 4 Large smurfs are not really small.
  - ⑤ There are red smurfs that are large.
  - a) For each of the sentences above, give a first-order formula that formalises it. Use *only* the following constants, functions and predicates:
    - constants: green, red.
    - functions: colour(x).
    - predicates: Smurf(x), Large(x), ReallySmall(x), Happy(x), Child(x, y), Ancestor(x, y), =. (5 pts)
  - b) Show that your formalisation is satisfiable. (3 pts)
- 2. Consider the following attempt of a definition:

**Wrong Definition.** An interpretation  $\mathcal{I}$  is a structure  $\mathcal{A}$  and the value of a term t (possible containing free variables) with respect to  $\mathcal{I}$  is defined as follows:

$$t^{\mathcal{I}} := f^{\mathcal{A}}(t_1^{\mathcal{I}}, \dots, t_n^{\mathcal{I}}) \quad \text{if } t = f(t_1, \dots, t_n) .$$

- a) Give an example, where this definition is ill-defined.
- (5 pts)

(5 pts)

- b) Correct the definition.
- 3. Consider the following sentences in prenex normal form:
  - $-F_1: \iff \forall y \forall x (x > y \to \exists z (x > z \land z > y))$
  - $-F_2 : \iff \forall x (\exists y \mathsf{Q}(y) \to \mathsf{P}(x))$
  - $-F_3 : \iff \forall x (\mathsf{P}(x) \to \exists y (\mathsf{Q}(y) \lor \mathsf{R}(y,x))) \to \exists x \mathsf{S}(x)$
  - a) Define the SNFs  $G_i$  (i = 1, 2, 3) of the sentences  $F_i$  given above. (6 pts)
  - b) Consider the following claim: For any formula F and its SNF G we have  $F \equiv G$ . Decide whether this claim is correct, and explain your answer. (4 pts)
  - c) Let  $\mathcal{L} = \{c, f, P\}$ . Consider the sentence  $G : \iff P(c) \land \forall x (P(x) \to P(f(x))) \land \exists x \neg P(x)$ . Extend  $\mathcal{L}$  to a language  $\mathcal{L}'$  such that there exists a Herbrand model  $\mathcal{I}$  (of  $\mathcal{L}'$ ) of G. (3 pts)
- 4. Consider the following set of clauses C (individual constants a, b, predicate constants P, Q, R, S):

$$\{P(x) \lor Q(x) \lor R(x,y), \neg P(x), \neg Q(a), S(a,y) \lor \neg R(a,y) \lor S(x,b), \neg S(a,b) \lor \neg R(a,b)\}$$

- a) Is  $\mathcal{C}$  satisfiable or not? (2 pts)
- b) If  $\mathcal{C}$  is satisfiable, give a model  $\mathcal{I}$  such that  $\mathcal{I} \models \mathcal{C}$  otherwise, give an ordered resolution proof to verify this. You may assume the following relations on ground atoms and lift  $\succ$  to a order on literals as in the lecture.

$$P(t_1) \succ Q(t_2) \succ S(t_3, t_4) \succ R(t_5, t_6)$$
,

for any ground terms  $t_1, \ldots, t_6$ .

(7 pts)

5. Determine whether the statements on the answer sheet are true or false. Every correct answer is worth 1 points (and every wrong -1 points).

(10 pts)

- Let  $\mathcal{I}_1, \mathcal{I}_2$  be interpretations such that the respective universes coincide and suppose  $\mathcal{I}_1, \mathcal{I}_2$  coincide on the constants in the closed formula F. Then  $\mathcal{I}_1 \models F$  iff  $\mathcal{I}_2 \models F$ .
- Let  $\mathcal{A}$ ,  $\mathcal{B}$  be structures and  $\mathcal{A} \cong \mathcal{B}$ . Then for every sentence F we have  $\mathcal{A} \models F$  iff  $\mathcal{B} \models F$ .
- For all formulas F and all sets of formulas G we have that  $G \models F$  iff  $\mathsf{Sat}(G \cup \{\neg F\})$ .
- Suppose  $\mathcal{G}$  is a set of formulas and  $\mathcal{G} \models F$ . Then there exists a finite subset  $\mathcal{G}_0 \subseteq \mathcal{G}$  such that  $\mathcal{G}_0 \models F$ .
- Let A, B be sets such that there exists a bijection m between them. Then if A is a structure with domain A, there exists a structure B with domain B such that  $A \cong B$ .
- Suppose the sentence  $A \to C$  is valid. Then there exists no sentence B such that  $A \to B$  and  $B \to C$  are valid.
- If a set of formulas  $\mathcal{G}$  has an infinite model, then  $\mathcal{G}$  has no countable infinite model.
- If the sentence  $A \to C$  holds, then there exists a sentence B such that  $A \to B$  and  $B \to C$ .
- For any first-order sentence F there exists a set of clauses  $C = \{C_1, \ldots, C_m\}$  such that  $F \approx \forall x_1 \ldots \forall x_n (C_1 \wedge \cdots \wedge C_m)$ .
- Reachability in directed graphs is expressible as a second-order formula.