

# Functional Programming

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## Evaluation Strategies

## Today's Topics

- Evaluation Strategies
- Abstract Data Types
- Sets and Binary Search Trees

## Recall - $\lambda$ -Terms

$$t \stackrel{\text{def}}{=} x \mid (\lambda x. t) \mid (t t)$$

## Examples

Conventions	Verbose	in Words
$x y$	$(x y)$	"x applied to y"
$\lambda x. x$	$(\lambda x. x)$	"lambda x to x" (identity function)
$\lambda xy. x$	$(\lambda x. (\lambda y. x))$	"lambda x y to x"
$\lambda x. x x$	$(\lambda x. (x x))$	"lambda x to x applied to x"
$(\lambda x. x) x$	$((\lambda x. x) x)$	"lambda x to x, applied to x"

## Recall - $\beta$ -Reduction

- term  $s$  ( $\beta$ -)reduces to term  $t$  in one step
- written:  $s \rightarrow_{\beta} t$
- iff there is context  $C$ , variable  $x$ , and terms  $u$  and  $v$ , s.t.,
- $s = C[(\lambda x. u) v]$  and  $t = C[u\{x/v\}]$

## Examples

$$K \stackrel{\text{def}}{=} \lambda xy. x$$

$$I \stackrel{\text{def}}{=} \lambda x. x$$

$$\Omega \stackrel{\text{def}}{=} (\lambda x. x x) (\lambda x. x x)$$

5/26

## Strategies

- fix evaluation order
- call-by-value (compute arguments before function calls)
- call-by-name (compute arguments "on demand")

## Example

- call-by-value

$$\begin{aligned} d (d 2) &= d (2+2) \\ &= d 4 \\ &= 4+4 \\ &= 8 \end{aligned}$$

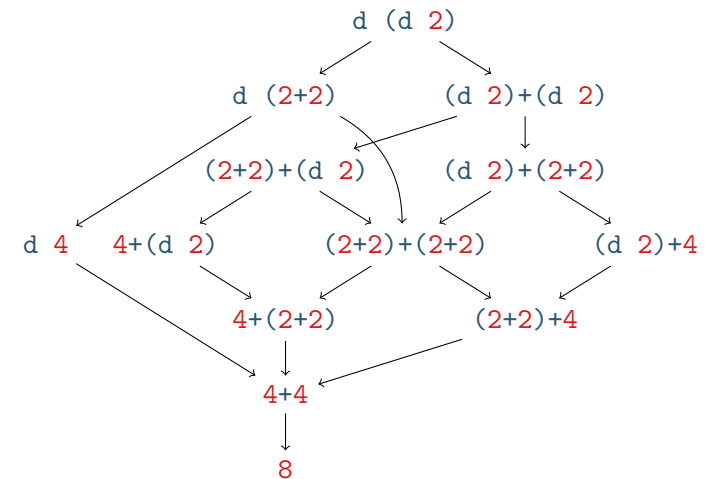
- call-by-name

$$\begin{aligned} d (d 2) &= (d 2) + (d 2) \\ &= (2+2) + (d 2) \\ &= 4 + (d 2) \\ &= 4 + (2+2) \\ &= 4 + 4 \\ &= 8 \end{aligned}$$

7/26

## Order of Evaluation

- consider  $d x = x + x$
- the term  $d (d 2)$  may be evaluated as follows



6/26

## (Leftmost) Innermost Reduction

- always reduce leftmost innermost redex
- a redex  $u$  inside a term  $t$  is **innermost** if it does not contain any redexes as **proper** subterms, i.e.,

$$\nexists C s. u = C[s], C \neq \square \text{ and } s \text{ is a redex}$$

## Example

- consider  $t = (\lambda x. (\lambda y. y) x) z$
- $(\lambda y. y) x$  is innermost redex
- $t$  is redex, but not an innermost redex

8/26

## (Leftmost) Outermost Reduction

- always reduce leftmost outermost redex
- a redex  $u$  inside a term  $t$  is **outermost** if it is not a **proper** subterm of some other redex inside  $t$ , i.e.,

$\nexists D C s. t = D[s], s = C[u], C \neq \square$  and  $s$  is a redex

## Example

- consider  $t = (\lambda x. (\lambda y. y) x) z$
- $t$  is an outermost redex
- $(\lambda y. y) x$  is redex, but not outermost redex

## Exercises

- consider the  $\lambda$ -terms
- $S = \lambda xyz. x z (y z)$
- $K = \lambda xy. x$
- $I = \lambda x. x$
- reduce  $S K I$  to NF using leftmost innermost reduction
- reduce  $S K I$  to NF using leftmost outermost reduction

9/26

10/26

## Call-by-Value

- use innermost reduction
- corresponds to strict (or eager) evaluation
- adopted by most programming languages
- slight modification: only reduce terms not in WHNF

## Call-by-Name

- use outermost reduction
- corresponds to lazy evaluation (without memoization)
- e.g., adopted by Haskell
- slight modification: again, only reduce terms not in WHNF

## Weak Head Normal Form

term  $t$  is in **weak head normal form** iff  $t$  is **not** an application

# Abstract Data Types

11/26

12/26

## Idea

- hide implementation details
- just provide interface
- allows to change implementation (e.g., make more efficient) without breaking client code

## Haskell

- consider module
 

```
module M (T, ...) where
  type T = C1 | ... | CN
```
- only name `T` is exported, but none of `C1` to `CN`
- thus we are not able to directly construct values of type `T`
- if we want to export `C1` to `CN`, we can use `T(..)` in export list

13/26

## Example - Sets as Lists

```
module Set (Set,empty,insert,mem,union,diff) where
import qualified Data.List as List
data Set a = Set [a]
```

```
empty :: Set a
empty = Set []
```

```
insert :: Eq a => a -> Set a -> Set a
insert x (Set xs) = Set (List.nub (x : xs))
```

```
mem :: Eq a => a -> Set a -> Bool
mem x (Set xs) = x `elem` xs
```

```
union :: Eq a => Set a -> Set a -> Set a
union (Set xs) (Set ys) = Set (List.nub (xs ++ ys))
```

```
diff :: Eq a => Set a -> Set a -> Set a
diff (Set xs) (Set ys) = Set (xs List.\ \ ys)
```

15/26

## Set Characteristics

- order of elements not important
- no duplicates

## Examples

$$\{1, 2, 3, 5\} = \{5, 1, 3, 2\}$$

$$\{1, 1, 2, 2\} = \{1, 2\}$$

## Set Operations

description	notation	Haskell
empty set	$\emptyset$	<code>empty :: Set a</code>
insertion	$\{x\} \cup S$	<code>insert :: a -&gt; Set a -&gt; Set a</code>
membership	$e \in S$	<code>mem :: a -&gt; Set a -&gt; Bool</code>
union	$S \cup T$	<code>union :: Set a -&gt; Set a -&gt; Set a</code>
difference	$S \setminus T$	<code>diff :: Set a -&gt; Set a -&gt; Set a</code>

14/26

## Note - Imports

- `import M` imports **all** functions and types defined in module `M`
- we may restrict to `f1, ..., fN`, writing
 

```
import M (f1,...,fN)
```
- by `import M hiding (f1,...,fN)` we import everything **except** the functions `f1` to `fN`
- `import qualified M` allows to access all functions defined in `M` using prefix `M.`
- `import qualified M as N`, same as `import qualified M` but additionally rename `M` to `N`

16/26

## New Types

- in `Set` we use `data` with a single constructor `Set` to hide the fact that sets are implemented by lists
- this is a common special case
- we may use `newtype Set a = Set a` instead
- only difference: `newtype` has better performance than `data`

## Record Syntax

- for data type / new type `T`, instead of `C t1 ... tN`, we may use `C {n1 :: t1, ..., nN :: tN}` as constructor
- provides **selector functions** `n1::T -> t1, ..., nN::T -> tN`

## Example

- `data Equation a = E { lhs :: a, rhs :: a }`  
ghci> let e1 = E "10" "5+5"  
ghci> let e2 = E { rhs = "5+5", lhs = "10" }
- ghci> lhs e1  
"10"  
ghci> rhs e2  
"5+5"

17/26

## The Type

- we want to use type `BTree` without prefix  
`import BTree (BTree)`
- all other functions from `BTree` with prefix  
`import qualified BTree`
- the internal representation of a set is a binary tree  
`newtype Set a = Set { rep :: BTree a }`

## Note

- `newtype Set a = Set { rep :: BTree a }` is almost the same as writing `type Set a = BTree a`
- additionally the type system prevents us from “accidentally” (i.e., without the constructor `Set`) using `BTrees` as `Sets`
- no runtime penalty (in contrast to `data Set a = Set { rep :: BTree }`)
- reason: `newtype` restricted to **single** constructor (usually of same name as newly introduced type),
- whereas `data` may define arbitrary many constructors (e.g., `Empty` and `Node`)

19/26

## Sets and Binary Search Trees

### Empty Set

```
empty :: Set a
empty = Set BTree.Empty
```

### Membership

```
mem :: Ord a => a -> Set a -> Bool
mem x s = x `memTree` (rep s)
  where memTree x Empty = False
        memTree x (Node y l r) =
          case compare x y of
            EQ -> True
            LT -> x `memTree` l
            GT -> x `memTree` r
```

18/26

20/26

## Insertion

```
insert :: Ord a => a -> Set a -> Set a
insert x s = Set (insertTree x (rep s))
```

```
insertTree :: Ord a => a -> BTree a -> BTree a
insertTree x Empty          = Node x Empty Empty
insertTree x (Node y l r) =
  case compare x y of
    EQ -> Node y l r
    LT -> Node y (insertTree x l) r
    GT -> Node y l (insertTree x r)
```

21/26

## Removing the Maximal Element

```
splitMaxTree :: BTree a -> Maybe (a,BTree a)
splitMaxTree Empty          = Nothing
splitMaxTree (Node x l Empty) = Just (x,l)
splitMaxTree (Node x l r)    =
  let Just (m,r') = splitMaxTree r
  in Just (m,Node x l r')
```

## The Maybe Type

- Prelude: `data Maybe a = Just a | Nothing`
- used for type-safe error handling
- if an error occurs, we return `Nothing`
- otherwise `Just` the result

## Example - Safe Head

```
safeHead (x:_) = Just x
safeHead _     = Nothing
```

23/26

## Union

```
union :: Ord a => Set a -> Set a -> Set a
union s t = Set (rep s `unionTree` rep t)
```

```
unionTree :: Ord a => BTree a -> BTree a -> BTree a
unionTree Empty s          = s
unionTree (Node x l r) s =
  insertTree x (l `unionTree` r `unionTree` s)
```

22/26

## Remove Given Element

```
removeTree :: Ord a => a -> BTree a -> BTree a
removeTree x Empty          = Empty
removeTree x (Node y l r) = case compare x y of
  LT -> Node y (removeTree x l) r
  GT -> Node y l (removeTree x r)
  EQ -> case splitMaxTree l of
    Nothing -> r
    Just (m,l') -> Node m l' r
```

## Idea

- have binary search tree (BST)
- `x` smaller `y`: `x` can only occur in `l`
- `x` greater `y`: `x` can only occur in `r`
- `x` equals `y`: remove current node and
- combine `l` and `r` into new BST
- therefore, take maximum of `l` as new root
- guarantees that all other elements in `l` are smaller and
- that all elements in `r` are greater

24/26

## Difference

```
diff :: Ord a => Set a -> Set a -> Set a
diff s t = Set (rep s `diffTree` rep t)
```

```
diffTree :: Ord a => BTree a -> BTree a -> BTree a
diffTree t Empty      = t
diffTree t (Node x l r) =
  removeTree x t `diffTree` l `diffTree` r
```

## Exercises (for November 19th)

1. Read chapter 3 of *Real World Haskell* and the lecture notes about the lambda-calculus.
2. Reduce each of the following  $\lambda$ -terms to NF

$$(\lambda w. w) ((\lambda xy. y) (z z))$$
$$(\lambda xy. x) (\lambda z. y z)$$
$$\lambda z. (\lambda x. x z y) (\lambda xy. y z)$$
$$\lambda xy. y (\lambda w. w) (\lambda yz. y x)$$

3. Reduce `ADD 3 2` to WHNF using leftmost innermost/outermost reduction.
4. Give  $\lambda$ -terms encoding `&&`, `(||)`, and `not`.
5. Implement safe versions (i.e., using `Maybe`) of `tail`, `init`, and `last`.
6. Implement the function `equals :: Ord a => Set a -> Set a -> Bool`, checking whether two sets are equal.