

# Functional Programming

WS 2011/12

Harald Zankl (VO)

Thomas Bauereiß (PS) Thomas Sternagel (PS)

Computational Logic  
Institute of Computer Science  
University of Innsbruck

week 5



# Overview

- Week 5 -  $\lambda$ -Calculus
  - Summary of Week 4
  - $\lambda$ -Calculus - Introduction
  - $\lambda$ -Calculus - Formalities
  - The  $\lambda$  Interpreter `lips`



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# Binary Trees

- at most 2 children per node
- used for searching
- Huffman coding

# Huffman Coding

## Idea

- use shortest codewords for most frequent symbols

## Usage

- compression

# This Week

## Practice I

OCaml introduction, lists, strings, trees

## Theory I

**lambda-calculus**, evaluation strategies, induction,  
reasoning about functional programs

## Practice II

efficiency, tail-recursion, combinator-parsing

## Theory II

type checking, type inference

## Advanced Topics

lazy evaluation, infinite data structures, monads, ...

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# Origin

## Goal

- find a framework in which every algorithm can be defined
- universal language



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## Result

- Turing machines
- $\lambda$ -Calculus
- ...

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# Syntax

## $\lambda$ -Terms

$$t ::= x \mid (\lambda x.t) \mid (t t)$$

$\mathcal{T}(\mathcal{V})$  set of all  $\lambda$ -terms over set of variables  $\mathcal{V}$

# Syntax

## $\lambda$ -Terms

$$t ::= \overbrace{x}^{\text{Variable}} \mid (\lambda x.t) \mid (t t)$$

$\mathcal{T}(\mathcal{V})$  set of all  $\lambda$ -terms over set of variables  $\mathcal{V}$

# Syntax

## $\lambda$ -Terms

$$t ::= x \mid \underbrace{(\lambda x. t)}_{\text{Abstraction}} \mid (t t)$$

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# Syntax

## $\lambda$ -Terms

Application

$$t ::= x \mid (\lambda x.t) \mid \overbrace{(t t)}$$

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## Conventions

$$\begin{aligned} & (\lambda x.x) \\ & (\lambda x.(\lambda y.x)) \\ & (\lambda x.(\lambda y.(\lambda z.((x z) (y z)))))) \\ & (\lambda x.((\lambda y.(\lambda z.(z y))) x)) \end{aligned}$$

# Syntax

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## Conventions (omit outermost parentheses)

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## $\lambda$ -Terms

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$\mathcal{T}(\mathcal{V})$  set of all  $\lambda$ -terms over set of variables  $\mathcal{V}$

## Conventions (combine nested lambdas)

$$\lambda x.x$$
$$\lambda xy.x$$
$$\lambda xyz.((x z) (y z))$$
$$\lambda x.((\lambda yz.(z y)) x)$$

# Syntax

## $\lambda$ -Terms

$$t ::= x \mid (\lambda x.t) \mid (t t)$$

$\mathcal{T}(\mathcal{V})$  set of all  $\lambda$ -terms over set of variables  $\mathcal{V}$

Conventions (application is left-associative and binds strongest)

$$\lambda x.x$$
$$\lambda xy.x$$
$$\lambda xyz.x z (y z)$$
$$\lambda x.(\lambda yz.z y) x$$

# Intuition

## Example

### $\lambda$ -terms

- $\lambda x. \text{ADD } x \ 1$
- $(\lambda x. \text{ADD } x \ 1) \ 2$
- $\text{IF TRUE } 1 \ 0$
- $\text{PAIR } 2 \ 4$
- $\text{FST}(\text{PAIR } 2 \ 4)$

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OCaml

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### OCaml

- `(fun x -> x+1)`

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### OCaml

- `(fun x -> x+1)`
- `(fun x -> x+1) 2  $\rightarrow^+$  3`



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- `(2,4)`

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- `if true then 1 else 0  $\rightarrow$  1`
- `(2,4)`
- `fst(2,4)  $\rightarrow$  2`

## Remark

'0', '1', '2', '3', '4', 'ADD', 'FST', 'IF', 'PAIR', and 'TRUE' are just abbreviations for more complex  $\lambda$ -terms

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# Subterms

## Definition

$\text{Sub}(t)$  is set of subterms of  $t$

$$\text{Sub}(t) \stackrel{\text{def}}{=} \begin{cases} \{t\} & t = x \\ \{t\} \cup \text{Sub}(u) & t = \lambda x.u \\ \{t\} \cup \text{Sub}(u) \cup \text{Sub}(v) & t = u v \end{cases}$$

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## Example

$$\text{Sub}(\lambda xy.x)$$

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## Example

$$\text{Sub}(\lambda xy.x) = \{\lambda xy.x\} \cup \text{Sub}(\lambda y.x)$$



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## Example

$$\begin{aligned} \text{Sub}(\lambda xy.x) &= \{\lambda xy.x\} \cup \text{Sub}(\lambda y.x) \\ &= \{\lambda xy.x, \lambda y.x\} \cup \text{Sub}(x) \end{aligned}$$

# Subterms

## Definition

$\text{Sub}(t)$  is set of subterms of  $t$

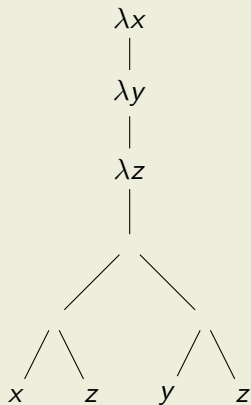
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# Syntax Trees

## Example

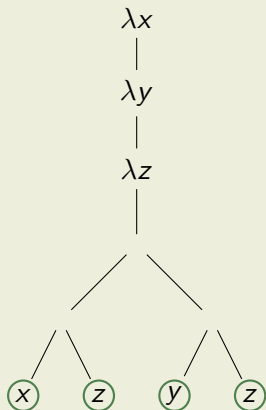


$$t = \lambda xyz.x z (y z)$$

$$\text{Sub}(t) = \{t, \lambda yz.x z (y z), \\ \lambda z.x z (y z), \\ x z (y z), x z, y z, \\ x, z, y\}$$

# Syntax Trees

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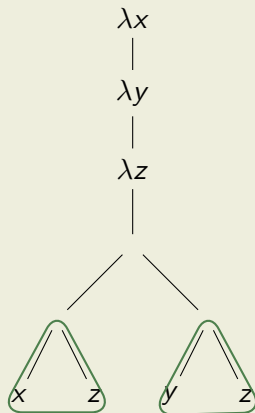


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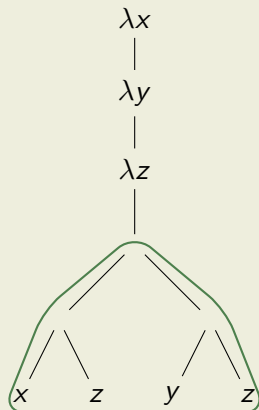


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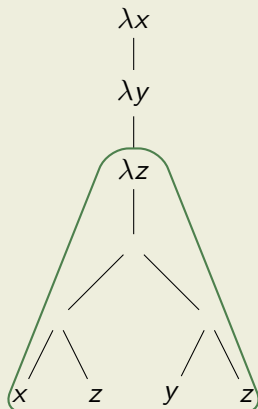


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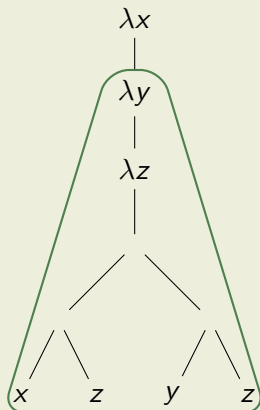


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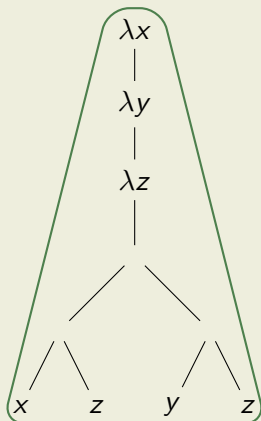
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# Syntax Trees

## Example



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# Variables

## Definition

### variables

$$\mathcal{V}\text{ar}(t) \stackrel{\text{def}}{=} \begin{cases} \{t\} & t = x \\ \{x\} \cup \mathcal{V}\text{ar}(u) & t = \lambda x.u \\ \mathcal{V}\text{ar}(u) \cup \mathcal{V}\text{ar}(v) & t = u v \end{cases}$$

# Free and Bound Variables

## Definition

### free variables

$$\mathcal{FVar}(t) \stackrel{\text{def}}{=} \begin{cases} \{t\} & t = x \\ \mathcal{FVar}(u) \setminus \{x\} & t = \lambda x.u \\ \mathcal{FVar}(u) \cup \mathcal{FVar}(v) & t = u v \end{cases}$$

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## Definition

free variables

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bound variables

$$\mathcal{BVar}(t) \stackrel{\text{def}}{=} \begin{cases} \emptyset & t = x \\ \{x\} \cup \mathcal{BVar}(u) & t = \lambda x.u \\ \mathcal{BVar}(u) \cup \mathcal{BVar}(v) & t = u v \end{cases}$$

## Examples

$t$	$\mathcal{V}\text{ar}(t)$	$\mathcal{F}\mathcal{V}\text{ar}(t)$	$\mathcal{B}\mathcal{V}\text{ar}(t)$
$\lambda x.x$			
$x y$			
$(\lambda x.x) x$			
$\lambda x.x y z$			

## Examples

$t$	$\mathcal{V}\text{ar}(t)$	$\mathcal{F}\mathcal{V}\text{ar}(t)$	$\mathcal{B}\mathcal{V}\text{ar}(t)$
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# Computations

## Idea

- rules to manipulate  $\lambda$ -terms
- a single rule is enough

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## The $\beta$ -rule (informal)

$$(\lambda x.s) t \rightarrow_{\beta} s\{x/t\}$$

application of a function to some input

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## Idea

- rules to manipulate  $\lambda$ -terms
- a single rule is enough

## The $\beta$ -rule (informal)

$$(\lambda x.s) t \rightarrow_{\beta} \underbrace{s\{x/t\}}$$

substitute  $x$  by  $t$  in  $s$

application of a function to some input

# Blindly replacing does not suffice

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## Example

- consider  $\lambda xy.x$  (`fun x y -> x` in OCaml)
- behavior: *“take 2 arguments, ignore second, return first”*
- $(\lambda xy.x) v w$



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- consider  $\lambda xy.x$  (**fun** **x** **y** **->** **x** in OCaml)
- behavior: “take 2 arguments, ignore second, return first”
- $(\lambda xy.x) v w \rightsquigarrow (\lambda y.v) w$

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- clearly not intended



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- $(\lambda xy.x) y z \rightsquigarrow (\lambda y.y) z \rightsquigarrow z$  ✗
- clearly not intended (Problem: **variable capture**)

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- $(\lambda xy.x) y z \rightsquigarrow (\lambda y.y) z \rightsquigarrow z$  ✗
- clearly not intended (Problem: **variable capture**)
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- consider  $\lambda xy.x$  (**fun**  $x\ y \rightarrow x$  in OCaml)
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# Substitutions

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function from variables to terms

$$\sigma: \mathcal{V} \rightarrow \mathcal{T}(\mathcal{V})$$

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## Example

$$\sigma = \{x/\lambda x.x\} \text{ hence } \sigma(x) = \lambda x.x \text{ and } \sigma(y) = y$$

# Substitutions (cont'd)

## Definition (Application)

apply substitution  $\sigma = \{x/s\}$  to term  $t$

$$t\sigma \stackrel{\text{def}}{=} \begin{cases} s & t = x \\ y & t = y, x \neq y \\ (u\sigma) (v\sigma) & t = u v \\ \lambda x.u & t = \lambda x.u \\ \lambda y.(u\sigma) & t = \lambda y.u, x \neq y, y \notin \mathcal{FVar}(s) \\ \lambda z.((u\{y/z\})\sigma) & t = \lambda y.u, x \neq y, y \in \mathcal{FVar}(s), z \text{ fresh} \end{cases}$$

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# $\beta$ -Reduction

## Definition (Context)

**context**  $C \in \mathcal{C}(\mathcal{V})$

$$C ::= \square \mid \lambda x.C \mid C t \mid t C$$

with  $x \in \mathcal{V}$  and  $t \in \mathcal{T}(\mathcal{V})$

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# $\beta$ -Reduction (cont'd)

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if exist context  $C$  and terms  $s$ ,  $u$ , and  $v$  such that

$$s = C[(\lambda x.u) v]$$

then

$$s \rightarrow_{\beta} C[u\{x/v\}]$$

is a  $\beta$ -step with redex  $(\lambda x.u) v$  and contractum  $u\{x/v\}$

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- $s \xrightarrow{*}_{\beta} t$  is sequence with  $n \geq 0$  ( $s$   **$\beta$ -reduces** to  $t$ )

# $\beta$ -Reduction

## Example

$$\Omega = (\lambda x.x x) (\lambda x.x x)$$

$$K_* = \lambda xy.y$$

$$I_2 = \lambda xy.x y$$

$$K_* \Omega$$

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## Idea

- only **terms** in  $\lambda$ -calculus
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$t \in \mathcal{T}(\mathcal{V})$  is in normal form (NF) if no  $\beta$ -step possible



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## Definition (Normal form)

$t \in \mathcal{T}(\mathcal{V})$  is in normal form (NF) if no  $\beta$ -step possible

## Example

$$\lambda x.x$$
$$(\lambda x.x) y$$

# What Are the Results of Computations?

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# Overview

- Week 5 -  $\lambda$ -Calculus
  - Summary of Week 4
  - $\lambda$ -Calculus - Introduction
  - $\lambda$ -Calculus - Formalities
  - The  $\lambda$  Interpreter lips



# Lambda Interpreter for Pure Students

developed by Michael Brunner (bachelor thesis)

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## $\lambda$ -Terms

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## Conventions

- interpreter command `!pretty` toggles use of conventions for printing
- nested abstractions use spaces to separate variable names, e.g.,

$$\begin{array}{ll} \lambda xy.x & \backslash x y.x \\ \lambda x_1.y & \backslash x1.y \end{array}$$



# Result

## Normal Forms

- result of input is corresponding NF
  - $> (\lambda x.x) (\lambda x.x)$   
NF:  $(\lambda x.x)$

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## Evaluation Strategy

- `!by_value` activates call-by-value evaluation (next lecture)
- `!by_name` activates call-by-name evaluation (next lecture)
- `!trace` toggles tracing

# Abbreviations & Initialisation

## Interpreter Command

```
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> !def S = \x y z.x z (y z)  
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## .lambdainit

content of file .lambdainit is loaded on start-up of lips