

# Gödel's Incompleteness Theorem

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## Outline of the Lecture

General Idea Behind Gödel's Proof

abstract forms of Gödel's, Tarski's theorems, undecidable sentences of  $\mathcal{L}$

Tarski's Theorem for Arithmetic

the language  $\mathcal{L}_E$ , concatenation and Gödel numbering, Tarski's theorem, the axiom system PE, arithmetisation of the axiom system, arithmetic without exponentiation, incompleteness of PA,  $\Sigma_1$ -relations

Gödel's Proof

$\omega$ -consistency, a basic incompleteness theorem,  $\omega$ -consistency lemma,  $\Sigma_0$ -complete subsystems,  $\omega$ -incompleteness of PA

Rosser Systems

abstract incompleteness theorems after Rosser, general separation principle, Rosser's undecidable sentence, Gödel and Rosser sentences compared, more on separation

## Abstract Forms of Gödel's and Tarski's Theorems

### Fact

Gödel's argument is applicable to  $\mathcal{L}$  if at least the following holds:

- 1  $\exists$  countable set of **expressions**  $\mathcal{E}$
- 2  $\exists S \subseteq \mathcal{E}$ ,  $S$  are the **sentences**
- 3  $\exists \mathcal{P} \subseteq S$ , the **provable** sentences
- 4  $\exists \mathcal{R} \subseteq S$ , the **refutable** sentences
- 5  $\exists \mathcal{H} \subseteq \mathcal{E}$ ,  $\mathcal{H}$  are the **predicates** of  $\mathcal{L}$ , that is  $H \in \mathcal{H}$  names a set of natural numbers
- 6  $\exists$  function  $\Phi$  that maps expression  $E$  and number  $n$  to  $E(n)$ ; for predicates  $H(n)$  has to be a sentence: the sentences  $H(n)$  expresses that  $n$  belongs to the set named by  $H$
- 7  $\exists \mathcal{T} \subseteq S$ , the **true** sentences

## Expressibility in $\mathcal{L}$

### Definition

- 1 (clearly) a predicate  $H$  is true for  $n \in \mathbb{N}$ , if  $H(n)$  holds
- 2  $H$  **expresses** the set  $\{n \mid H(n)\}$ , that is  $A$  is **expressed** by  $H$  if

$$H(n) \in \mathcal{T} \iff n \in A$$

### Definition

a set  $A$  is **expressible** (**nameable**) in  $\mathcal{L}$  if  $A$  is expressed by some predicate

### Fact

- there are only countable many predicates of  $\mathcal{L}$ ; however there are non-countable many sets over  $\mathbb{N}$
- hence not every set is expressible

## Gödel Numbering and Diagonalisation

### Definition

$\mathcal{L}$  is **correct** if  $\mathcal{P} \subseteq \mathcal{T}$  and  $\mathcal{R} \cap \mathcal{T} = \emptyset$

### Definition

- 1 let  $g$  be a bijection such that

$$g(E) := \text{Gödel number of expression } E$$

- 2  $E_n$  is defined such that  $g(E_n) = n$
- 3 the **diagonalisation** of  $E_n$  is  $E_n(n)$
- 4 define the **diagonal function**  $d$  as follows:

$$d(n) := g(E_n(n))$$

### Fact

if  $E_n$  is a predicate (that is  $E_n \in \mathcal{H}$ ), then  $E_n(n)$  is a sentence (by definition)

### Definition

let  $A$  be a set over  $\mathbb{N}$  (a **number set**), then

$$A^* := \{n \in \mathbb{N} \mid d(n) \in A\}$$

### Theorem (Gödel's Theorem)

suppose  $\mathcal{L}$  is correct and  $P := \{g(S) \mid S \in \mathcal{P}\}$  such that  $(\sim P)^*$  is expressible in  $\mathcal{L}$ ; then  $\exists$  a true sentence of  $\mathcal{L}$ , not provable in  $\mathcal{L}$

### Proof.

on black board

### Fact

$(\sim P)^*$  is expressible boils down to

- G1  $\forall$  sets  $A$  expressible in  $\mathcal{L}$ ,  $A^*$  is expressible in  $\mathcal{L}$
- G2  $\forall$  sets  $A$  expressible in  $\mathcal{L}$ ,  $\sim A$  is expressible in  $\mathcal{L}$
- G3  $P$  is expressible in  $\mathcal{L}$

it will be simply to verify G1, it will be trivial to verify G2, but the hard part will be to verify G3

## Gödel Sentences

### Definition

$E_n$  is a **Gödel sentence** for a number set  $A$ , if

- 1 either  $E_n \in \mathcal{T}$  and  $n \in A$ , or
- 2  $E_n \notin \mathcal{T}$  and  $n \notin A$

### Lemma (Diagonal Lemma)

- 1  $\forall$  sets  $A$ , if  $A^*$  is expressible in  $\mathcal{L}$ , then  $\exists$  Gödel sentence for  $A$
- 2 if  $\mathcal{L}$  satisfies G1, then  $\forall$  sets  $A$  expressible in  $\mathcal{L}$ , then  $\exists$  Gödel sentence for  $A$

### Proof.

on black board

## Theorem (Tarski's Theorem)

let  $T := \{g(S) \mid S \in \mathcal{T}\}$

- 1  $(\sim T)^*$  is not nameable in  $\mathcal{L}$
- 2 if G1 holds, then  $\sim T$  is not nameable in  $\mathcal{L}$
- 3 if G1 & G2 hold, then  $T$  is not nameable in  $\mathcal{L}$

## Proof.

assume  $\exists$  Gödel sentence for  $\sim T$ ; then  $\exists$  sentence  $E_n$  such that  $E_n$  is true iff  $n \notin T$ ; this is absurd

- 1 assume  $(\sim T)^*$  is nameable, then  $\exists$  Gödel sentence for  $(\sim T)^*$ ; Contradiction
- 2 assume  $\sim T$  is nameable, by G1  $(\sim T)^*$  is nameable; Contradiction
- 3 assume  $T$  is nameable, by G2  $\sim T$  is nameable; Contradiction



## Undecidable Sentences

## Definition

- 1  $\mathcal{L}$  is **consistent** if  $\neg \exists$  sentence that is provable and refutable
- 2 a sentence  $S$  is **decidable** in  $\mathcal{L}$  if it is either provable or refutable;  $S$  is called **undecidable** otherwise
- 3  $\mathcal{L}$  is **complete** if  $\forall$  sentences  $S$ ,  $S$  is decidable; otherwise  $\mathcal{L}$  is **incomplete**

## Theorem

if  $\mathcal{L}$  is correct and if  $(\sim P)^*$  is expressible in  $\mathcal{L}$ , then  $\mathcal{L}$  is incomplete

## Proof.

by Gödel's Theorem



## A Dual of Incompleteness

## Theorem

suppose  $\mathcal{L}$  is correct and let  $R := \{g(S) \mid S \in \mathcal{S} \cap \mathcal{R}\}$

- 1 suppose  $R^*$  is expressible in  $\mathcal{L}$ ; then  $\mathcal{L}$  is incomplete
- 2 moreover if  $K$  expresses  $R^*$ , then its diagonalisation  $K(k)$  is undecidable

## Proof.

- 1 if  $K$  expresses  $R^*$ , then

$$K(k) \text{ is true} \iff d(k) \in R$$

- 2  $d(k)$  is the Gödel number of  $K(k)$
- 3 hence  $K(k)$  is true iff it is refutable
- 4 thus  $K(k)$  is undecidable

