

# Gödel's Incompleteness Theorem

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The Language

## Homework

- Suppose  $\mathcal{L}$  is a correct system such that the following two conditions hold.
  - 1 The set  $P^*$  is expressible in  $\mathcal{L}$ .
  - 2 For any predicate  $H$ , there is a predicate  $H'$  such that for every  $n$ , the sentence  $H'(n)$  is provable in  $\mathcal{L}$  iff  $H(n)$  is refutable in  $\mathcal{L}$ .

Show that  $\mathcal{L}$  is incomplete.

- We say that a predicate  $H$  represents a set  $A$  in  $\mathcal{L}$  if for every number  $n$ , the sentence  $H(n)$  is provable in  $\mathcal{L}$  iff  $n \in A$ . Suppose  $\mathcal{L}$  is consistent. Show that if the set  $R^*$  is representable in  $\mathcal{L}$ , then  $\mathcal{L}$  is incomplete.
- Let us say that a predicate  $H$  contrarepresents of a set  $A$  in  $\mathcal{L}$  if for every number  $n$ , the sentence  $H(n)$  is refutable in  $\mathcal{L}$  iff  $n \in A$ . Show that if the  $P^*$  is contrarepresentable in  $\mathcal{L}$  and  $\mathcal{L}$  is consistent, then  $\mathcal{L}$  is incomplete.

The Language

## Outline of the Lecture

### General Idea Behind Gödel's Proof

abstract forms of Gödel's, Tarski's theorems, undecidable sentences of  $\mathcal{L}$

### Tarski's Theorem for Arithmetic

the language  $\mathcal{L}_E$ , concatenation and Gödel numbering, Tarski's theorem, the axiom system PE, arithmetisation of the axiom system, arithmetic without exponentiation, incompleteness of PA,  $\Sigma_1$ -relations

### Gödel's Proof

$\omega$ -consistency, a basic incompleteness theorem,  $\omega$ -consistency lemma,  $\Sigma_0$ -complete subsystems,  $\omega$ -incompleteness of PA

### Rosser Systems

abstract incompleteness theorems after Rosser, general separation principle, Rosser's undecidable sentence, Gödel and Rosser sentences compared, more on separation

## The Language $\mathcal{L}_E$

### First Step

we study number theory based on addition, multiplication, and exponentiation

### Definition

the language  $\mathcal{L}_E$  contains the following 13 symbols:

$0 \ ' \ ( \ ) \ f \ , \ v \ \neg \ \rightarrow \ \forall \ = \ \leq \ \#$

- 1  $0''''$  is a numeral and represents 4
- 2  $'$  represents the successor function
- 3  $f'$ ,  $f''$ ,  $f'''$  represents  $+$ ,  $\cdot$ ,  $\exp$
- 4  $\neg$ ,  $\rightarrow$ ,  $\forall$ ,  $=$  are interpreted as usual
- 5  $\leq$  means "less than or equal"
- 6  $(v')$ ,  $(v'')$ , ... represents variables  $v_1, v_2, \dots$

## Definition

terms are defined inductively :

- 1 variables ( $v, \dots$ ) and numerals  $0' \dots'$  are terms
- 2 if  $s, t$  are terms, so are

$$\underbrace{f_1(s, t)}_{(s + t)} \quad \underbrace{f_2(s, t)}_{(s \cdot t)} \quad \underbrace{f_3(s, t)}_{(s \exp t)} \quad s'$$

terms without variables are called **closed**

## Definition

$s = t$  or  $s \leq t$  are **atoms**; **formulas** are defined inductively:

- 1 atoms are formulas
- 2 if  $A, B$  are formulas and  $v_i$  a variable, then

$$\neg A \quad A \rightarrow B \quad \forall v_i A$$

are formulas

## Definition

the **degree** of a formula is defined as follows:

$$\deg(F) := \begin{cases} 0 & F \text{ is an atom} \\ \deg(A) + 1 & (F = \neg A) \vee (F = \forall v_i A) \\ \deg(A) + \deg(B) + 1 & F = (A \rightarrow B) \end{cases}$$

## Definition

we use the following symbols as abbreviations

$$(A \vee B) := \dots$$

$$(A \wedge B) := \dots$$

$$(A \leftrightarrow B) := \dots$$

$$\exists v_i A := \dots$$

$$s \neq t := \dots$$

$$s < t := \dots$$

$$s^t := s \exp t$$

$$(\forall v_i \leq t) F := \forall v_i (v_i \leq t \rightarrow F)$$

$$(\exists v_i \leq t) F := \exists v_i (v_i \leq t \wedge F)$$

## Definition

- 1 **free** and **bound** variables are defined as usual
- 2 **sentences** or **closed formulas** of  $\mathcal{L}_E$  are defined as usual
- 3 an **open** formulas is a not-closed formula

we write  $\bar{n}$  for the numeral  $0' \dots'$  designating  $n$

## Definition

let  $v_i$  be a variable and  $F$  a formula

- 1  $F(v_i)$  denotes a formula, where  $v_i$  is the **only** free variable
- 2  $F(\bar{n})$  denotes  $F(v_i)\{v_i \mapsto \bar{n}\}$
- 3 for  $n$  free variables we write  $F(v_{i_1}, \dots, v_{i_n})$  and  $F(\bar{m}_1, \dots, \bar{m}_n)$
- 4  $F(\bar{m}_1, \dots, \bar{m}_n)$  is **instance** of  $F(v_{i_1}, \dots, v_{i_n})$
- 5  $F(v_{i_1}, \dots, v_{i_n})$  is **regular** if  $i_1 = 1, \dots, i_n = n$
- 6 a regular formula can be written as  $F(v_1, \dots, v_n)$

The Notion of Truth in  $\mathcal{L}_E$ 

## Definition

let  $\mathcal{N}$  denote the standard model of number theory; the **value** of a closed term is defined as follows:

$$t^{\mathcal{N}} := \begin{cases} n & t = \bar{n} \\ c_1^{\mathcal{N}} + c_2^{\mathcal{N}} & t = (c_1 + c_2) \\ c_1^{\mathcal{N}} \cdot c_2^{\mathcal{N}} & t = (c_1 \cdot c_2) \\ (c_1^{\mathcal{N}})^{c_2^{\mathcal{N}}} & t = (c_1 \exp c_2) \\ c^{\mathcal{N}} + 1 & t = c' \end{cases}$$

## Example

consider the closed term

$$c := ((0''' + 0') \cdot (0'' \exp 0'''))'$$

Then  $c^{\mathcal{N}} = (4 \cdot 2^3) + 1 = 33$

## Satisfaction Relation (Adapted)

### Definition

let  $F$  be a sentence,  $\mathcal{N} \models F$  is defined as:

$$\mathcal{N} \models c_1 = c_2 \iff \text{if } c_1^{\mathcal{N}} = c_2^{\mathcal{N}}$$

$$\mathcal{N} \models c_1 \leq c_2 \iff \text{if } c_1^{\mathcal{N}} \leq c_2^{\mathcal{N}}$$

$$\mathcal{N} \models \neg A \iff \text{if } \mathcal{N} \not\models A$$

$$\mathcal{N} \models A \rightarrow B \iff \text{if } \mathcal{N} \models A, \text{ then } \mathcal{N} \models B$$

$$\mathcal{N} \models \forall v_i A \iff \text{if } \mathcal{N} \models A(\bar{n}) \text{ holds for all } n \in \mathbb{N}$$

if  $\mathcal{N} \models F$ , then  $F$  is **true**

### Definition

an open formula  $F(v_{i_1}, \dots, v_{i_n})$  is said to be **correct** if the sentence  $F(\bar{m}_1, \dots, \bar{m}_n)$  is true for all numbers  $m_1, \dots, m_n$



## Substitution of Variables

### Definition

consider a formula  $F(v_1)$  and let  $v_i \neq v_1$  be a variable; we define  $F(v_i)$  as follows:

- 1 assume  $v_i$  is free for  $F(v_1)$ , then  $F(v_i) := F(v_1)\{v_1 \mapsto v_i\}$
- 2 assume  $v_i$  is not free for  $F(v_1)$ 
  - let  $v_j$  be variable that is free for  $F(v_1)$  (such that  $j$  is minimal)
  - define  $F'(v_1) := F\{v_1 \mapsto v_j\}$
  - set  $F(v_i) := F'(v_i)$ , that is, we define  $F(v_i) := F'(v_1)\{v_1 \mapsto v_i\}$

### Example

let  $F(v_1)$  be  $\exists v_2(v_2 \neq v_1)$ , then what is  $F(v_2)$ ?

$$\exists v_2(v_2 \neq v_2) \quad ??? \quad \exists v_3(v_3 \neq v_2) \quad \checkmark$$

### Recall

Gödel's argument is applicable to  $\mathcal{L}$  if at least the following holds:

- 1  $\exists$  countable set of **expressions**  $\mathcal{E}$
- 2  $\exists \mathcal{S} \subseteq \mathcal{E}$ ,  $\mathcal{S}$  are the **sentences**
- 3  $\exists \mathcal{P} \subseteq \mathcal{S}$ , the **provable** sentences
- 4  $\exists \mathcal{R} \subseteq \mathcal{S}$ , the **refutable** sentences
- 5  $\exists \mathcal{H} \subseteq \mathcal{E}$ ,  $\mathcal{H}$  are the **predicates** of  $\mathcal{L}$ , that is  $H \in \mathcal{H}$  names a set of natural numbers
- 6  $\exists$  function  $\Phi$  that maps expression  $E$  and number  $n$  to  $E(n)$ ; for predicates  $H(n)$  has to be a sentence: the sentences  $H(n)$  expresses that  $n$  belongs to the set named by  $H$
- 7  $\exists \mathcal{T} \subseteq \mathcal{S}$ , the **true** sentences

### Question

what do we need to prove Tarski's theorem?

### Definition

- 1 let  $A, B$  sentences, we say  $A$  and  $B$  are **equivalent**, if  $A \models B$  and  $B \models A$
- 2 let  $A(v_{i_1}, \dots, v_{i_n}), B(v_{i_1}, \dots, v_{i_k})$  be **formulas**, we say they are equivalent, if all instances are equivalent

### Definition

let  $F(v_1)$  be a formula,  $F(v_1, \dots, v_n)$  a regular formula,  $A$  be a set, and  $R \subseteq \mathbb{N}^n$

- 1  $F(v_1)$  **expresses**  $A$  if for all  $n \in \mathbb{N}$ :  $F(\bar{n})$  is true  $\iff n \in A$
- 2  $F(v_1, \dots, v_n)$  **expresses**  $R$  if for all  $(m_1, \dots, m_n) \in \mathbb{N}^n$ :

$$F(\bar{m}_1, \dots, \bar{m}_n) \text{ is true } \iff (m_1, \dots, m_n) \in R$$

we also say that  $F(v_1, \dots, v_n)$  expresses the relation  $R(x_1, \dots, x_n)$

## Tarski's Theorem

### Definition

- 1 a set or relation is **Arithmetic** if expressible in  $\mathcal{L}_E$
- 2 a set or relation is **arithmetic** if expressible in  $\mathcal{L}_E$  without exp

### Theorem

*The set  $T$  of Gödel numbers of the true Arithmetic sentences is not Arithmetic*

### Question ①

does this imply that Gödel's theorem that there exists a true, but unprovable sentence?

### Question ②

does it defeat Hilbert's program?

## Concatenation and Gödel Numbering

### Definition

let  $b \geq 2$ , we define the **concatenation to the base  $b$**  as follows:

$$m *_b n := m \cdot b^{|n|_b} + n$$

here  $m, n$  are numbers and  $|n|_b$  denotes the length of the  $b$ -ary representation of  $n$

### Lemma

*for each  $b \geq 2$ , the relation  $x *_b y = z$  is Arithmetic*

### Proof.

on white board ■

### Fact

$*_b$  is not associative:

$$(5 *_b 0) *_b 3 = 50 *_b 3 = 503 \quad 5 *_b (0 *_b 3) = 5 *_b 3 = 53$$

*so let's associate to the left*

### Corollary

*for each  $n \geq 2$  and for each  $b \geq 2$ , the relation*

$$x_1 *_b x_2 *_b \cdots *_b x_n = z$$

*is Arithmetic*

### Proof.

by induction on  $n$  from the previous lemma ■