# Gödel's Incompleteness Theorem 

Georg Moser

Institute of Computer Science @ UIBK
Winter 2011

## Left Over Homework

- Exercise 3 in Chapter 3, that is
[...] We let $\mathrm{Seq}_{2}(x)$ denote that $x$ is sequence number. We let $(x, y) \in z$ denote that the pair $(x, y)$ is a member of the sequence, numbered by $z$. Finally let $\left(x_{1}, y_{1}\right) \prec_{z}\left(x_{2}, y_{2}\right)$ denote that $\left(x_{1}, y_{1}\right)$ occurs in $z$ before $\left(x_{2}, y_{2}\right)$.


## Left Over Homework

- Exercise 3 in Chapter 3, that is
[...] We let $\mathrm{Seq}_{2}(x)$ denote that $x$ is sequence number. We let $(x, y) \in z$ denote that the pair $(x, y)$ is a member of the sequence, numbered by $z$. Finally let $\left(x_{1}, y_{1}\right) \prec_{z}\left(x_{2}, y_{2}\right)$ denote that $\left(x_{1}, y_{1}\right)$ occurs in $z$ before $\left(x_{2}, y_{2}\right)$.
- Exercise 5 in Chapter 3, that is:
[...] Let $M(x, y, z)$ be the relation " $E_{x}$ is substitutable for $E_{y}$ in $E_{z} "$ and show that this is Arithmetic.


## Left Over Homework

- Exercise 3 in Chapter 3, that is
[...] We let $\mathrm{Seq}_{2}(x)$ denote that $x$ is sequence number.
We let $(x, y) \in z$ denote that the pair $(x, y)$ is a member of the sequence, numbered by $z$. Finally let $\left(x_{1}, y_{1}\right) \prec_{z}\left(x_{2}, y_{2}\right)$ denote that $\left(x_{1}, y_{1}\right)$ occurs in $z$ before $\left(x_{2}, y_{2}\right)$.
- Exercise 5 in Chapter 3, that is:
[...] Let $M(x, y, z)$ be the relation " $E_{x}$ is substitutable for $E_{y}$ in $E_{z} "$ and show that this is Arithmetic.
- Exercise 6 in Chapter 3, that is:
[...] Show that the set of Gödel numbers of the axioms of
$L_{5}^{\prime}$ is Arithmetic.


## Outline of the Lecture

## General Idea Behind Gödel's Proof

 abstract forms of Gödel's, Tarski's theorems, undecidable sentences of $\mathcal{L}$Tarski's Theorem for Arithmetic
the language $\mathcal{L}_{E}$, concatenation and Gödel numbering, Tarski's theorem, the axiom system PE, arithmetisation of the axiom system, arithmetic without exponentiation, incompleteness of PA, $\Sigma_{1}$-relations

Gödel's Proof
$\omega$-consistency, a basic incompleteness theorem, $\omega$-consistency lemma, $\Sigma_{0-}$ complete subsystems, $\omega$-incompleteness of PA

## Rosser Systems

abstract incompleteness theorems after Rosser, general separation principle, Rosser's undecidable sentence, Gödel and Rosser sentences compared, more on separation

## Outline of the Lecture

## General Idea Behind Gödel's Proof

 abstract forms of Gödel's, Tarski's theorems, undecidable sentences of $\mathcal{L}$Tarski's Theorem for Arithmetic
the language $\mathcal{L}_{E}$, concatenation and Gödel numbering, Tarski's theorem, the axiom system PE, arithmetisation of the axiom system, arithmetic without exponentiation, incompleteness of PA, $\Sigma_{1}$-relations

Gödel's Proof
$\omega$-consistency, a basic incompleteness theorem, $\omega$-consistency lemma, $\Sigma_{0-}$ complete subsystems, $\omega$-incompleteness of PA

## Rosser Systems

abstract incompleteness theorems after Rosser, general separation principle, Rosser's undecidable sentence, Gödel and Rosser sentences compared, more on separation

## $\Sigma_{0}$-relations

## Definition

an atomic $\Sigma_{0}$-formula is a formula of the form

$$
s=t \quad s+t=u \quad s \cdot t=u \quad s \leqslant t
$$

where $s, t, u$ are variables or numerals

## $\Sigma_{0 \text {-relations }}$

## Definition

an atomic $\Sigma_{0}$-formula is a formula of the form

$$
s=t \quad s+t=u \quad s \cdot t=u \quad s \leqslant t
$$

where $s, t, u$ are variables or numerals

## Definition

the $\Sigma_{0}$-formulas are defined inductively:
1 every atomic $\Sigma_{0}$-formula is a $\Sigma_{0}$-formula
2 if $A, B$ are $\Sigma_{0}$-formulas, $v_{i}$ a variable, $t$ a numeral or variable $\neq v_{i}$, then

$$
\neg A \quad A \rightarrow B \quad \forall v_{i}\left(v_{i} \leqslant t \rightarrow A\right)
$$

are $\Sigma_{0}$-formulas

## Convention

- as before we write $A \wedge B, A \vee B,\left(\forall v_{i} \leqslant t\right) A$ as abbreviations of

$$
\neg(A \rightarrow \neg B) \quad \neg A \rightarrow B \quad \forall v_{i}\left(v_{i} \leqslant t \rightarrow A\right)
$$

- we write $\left(\exists v_{i} \leqslant t\right) A$ as abbreviation for

$$
\neg\left(\forall v_{i} \leqslant t\right) \neg A
$$

## Convention

- as before we write $A \wedge B, A \vee B,\left(\forall v_{i} \leqslant t\right) A$ as abbreviations of

$$
\neg(A \rightarrow \neg B) \quad \neg A \rightarrow B \quad \forall v_{i}\left(v_{i} \leqslant t \rightarrow A\right)
$$

- we write $\left(\exists v_{i} \leqslant t\right) A$ as abbreviation for

$$
\neg\left(\forall v_{i} \leqslant t\right) \neg A
$$

## Definition

1 the quantifiers $\exists v_{i} \leqslant t$ and $\forall v_{i} \leqslant t$ are called bounded quantifiers
2 a relation is a $\Sigma_{0}$-relation if expressible by a $\Sigma_{0}$-formula
$3 \Sigma_{0}$-relations are called constructive arithmetic relations

## Convention

- as before we write $A \wedge B, A \vee B,\left(\forall v_{i} \leqslant t\right) A$ as abbreviations of

$$
\neg(A \rightarrow \neg B) \quad \neg A \rightarrow B \quad \forall v_{i}\left(v_{i} \leqslant t \rightarrow A\right)
$$

- we write $\left(\exists v_{i} \leqslant t\right) A$ as abbreviation for

$$
\neg\left(\forall v_{i} \leqslant t\right) \neg A
$$

## Definition

1 the quantifiers $\exists v_{i} \leqslant t$ and $\forall v_{i} \leqslant t$ are called bounded quantifiers
2 a relation is a $\Sigma_{0}$-relation if expressible by a $\Sigma_{0}$-formula
$3 \Sigma_{0}$-relations are called constructive arithmetic relations

## Fact <br> truthhood of $\Sigma_{0}$-sentences is decidable

## Definition

11 a $\Sigma_{1}$-formula is a formula of the form

$$
\exists v_{n+1} F\left(v_{1}, \ldots, v_{n}, v_{n+1}\right)
$$

where $F\left(v_{1}, \ldots, v_{n}, v_{n+1}\right)$ is a $\Sigma_{0}$-formula
2 a relation is a $\Sigma_{1}$-relation if expressible by a $\Sigma_{1}$-formula

## Definition

1 a $\Sigma_{1}$-formula is a formula of the form

$$
\exists v_{n+1} F\left(v_{1}, \ldots, v_{n}, v_{n+1}\right)
$$

where $F\left(v_{1}, \ldots, v_{n}, v_{n+1}\right)$ is a $\Sigma_{0}$-formula
2 a relation is a $\Sigma_{1}$-relation if expressible by a $\Sigma_{1}$-formula

## Definition

we inductively define the class of $\Sigma$-formulas
1 every $\Sigma_{0}$-formula is a $\Sigma$-formula
2 if $A, B$ are $\Sigma$-formula, $v_{i}$ a variable, then
$A \vee B, A \wedge B$, and $\exists v_{i} A$ are $\Sigma$-formulas
3 if $A$ is a $\Sigma_{0}$-formula and $B$ a $\Sigma$-formula, then $A \rightarrow B$ is a $\Sigma$-formula
4 if $A$ is a $\sum$-formula, $v_{i}, v_{j}$ a distinct variables, and $\bar{n}$ a numeral

$$
\left(\exists v_{i} \leqslant v_{j}\right) A \quad\left(\forall v_{i} \leqslant v_{j}\right) A \quad\left(\exists v_{i} \leqslant \bar{n}\right) A \quad\left(\forall v_{i} \leqslant \bar{n}\right) A
$$

## $\Sigma_{1}$-relations

## Definition <br> a relation is called a $\Sigma$-relation if expressible by a $\Sigma$-formula

## $\Sigma_{1}$-relations

## Definition

a relation is called a $\Sigma$-relation if expressible by a $\Sigma$-formula

## Lemma

- the $\sum$-relations are exactly the $\Sigma_{1}$-relations
- let $M=\{n \mid P(\bar{n})\}$, where $P$ is a $\Sigma$-relations; then $M$ is recursively enumerable


## $\Sigma_{1}$-relations

## Definition <br> a relation is called a $\Sigma$-relation if expressible by a $\Sigma$-formula

## Lemma

- the $\sum$-relations are exactly the $\Sigma_{1}$-relations
- let $M=\{n \mid P(\bar{n})\}$, where $P$ is a $\sum$-relations; then $M$ is recursively enumerable


## Fact

the relation $x<y$ is $\Sigma_{0}$, as $x<y$ holds iff $x \leqslant y \wedge x \neq y$; hence we can make use of the bounded quantifiers $\exists x<t$ and $\forall x<t$

## Concatenation to a Prime Basis

## Lemma

for any prime number $p$, the following conditions is $\Sigma_{0}$
$1 \times \operatorname{div} y$, that is, $x \mid y$
$2 \operatorname{Pow}_{p}(x)$, that is $x$ is a power of $p$
3 $y=p^{|x|_{p}}$, that is $y$ is the smallest positive power of $p \geqslant x$
Proof.
on the whiteboard

## Concatenation to a Prime Basis

## Lemma

for any prime number $p$, the following conditions is $\Sigma_{0}$
$1 x \operatorname{div} y$, that is, $x \mid y$
$2 \operatorname{Pow}_{p}(x)$, that is $x$ is a power of $p$
$3 y=p^{|x|_{p}}$, that is $y$ is the smallest positive power of $p \geqslant x$
Proof.
on the whiteboard

Lemma
for any prime $p$, the relation $x *_{p} y=z$ is $\Sigma_{0}$
Proof.
on the whiteboard

## Lemma

for any prime $p$, the following relations are $\Sigma_{0}$ :
$1 x B_{p} y, x E_{p} y$, and $x P_{p} y$
$2 \forall n \geqslant 2: x_{1} *_{p} x_{2} *_{p} \cdots *_{p} x_{n}=y$
$3 \forall n \geqslant 2: x_{1} *_{p} x_{2} *_{p} \cdots *_{p} x_{n} P_{p} y$

## Proof.

on the whiteboard

## Lemma

for any prime $p$, the following relations are $\Sigma_{0}$ :
$1 x B_{p} y, x E_{p} y$, and $x P_{p} y$
$2 \forall n \geqslant 2: x_{1} *_{p} x_{2} *_{p} \cdots *_{p} x_{n}=y$
$3 \forall n \geqslant 2: x_{1} *_{p} x_{2} *_{p} \cdots *_{p} x_{n} P_{p} y$
Proof.
on the whiteboard

Corollary

- the sets $\mathrm{P}_{\mathrm{E}}, \mathrm{R}_{\mathrm{E}}$ are arithmetic; more precisely they are $\Sigma$


## Lemma

for any prime $p$, the following relations are $\Sigma_{0}$ :
$1 x B_{p} y, x E_{p} y$, and $x P_{p} y$
$2 \forall n \geqslant 2: x_{1} *_{p} x_{2} *_{p} \cdots *_{p} x_{n}=y$
$3 \forall n \geqslant 2: x_{1} *_{p} x_{2} *_{p} \cdots *_{p} x_{n} P_{p} y$
Proof.
on the whiteboard

Corollary

- the sets $\mathrm{P}_{\mathrm{E}}, \mathrm{R}_{\mathrm{E}}$ are arithmetic; more precisely they are $\Sigma$
- as $\mathrm{P}_{\mathrm{E}}$ is arithmetic, so is $\sim \mathrm{P}_{\mathrm{E}}$


## Lemma

for any prime $p$, the following relations are $\Sigma_{0}$ :
$1 x B_{p} y, x E_{p} y$, and $x P_{p} y$
$2 \forall n \geqslant 2: x_{1} *_{p} x_{2} *_{p} \cdots *_{p} x_{n}=y$
$3 \forall n \geqslant 2: x_{1} *_{p} x_{2} *_{p} \cdots *_{p} x_{n} P_{p} y$
Proof.
on the whiteboard
Corollary

- the sets $\mathrm{P}_{\mathrm{E}}, \mathrm{R}_{\mathrm{E}}$ are arithmetic; more precisely they are $\Sigma$
- as $\mathrm{P}_{\mathrm{E}}$ is arithmetic, so is $\sim \mathrm{P}_{\mathrm{E}}$


## Definition

the axiom system PA is defined as $P A=P E-\{\exp \}$

## Exponentiation is arithmetic

Lemma (The Finite Set Lemma)
$\exists$ a $\Sigma_{0}$-relation $K(x, y, z)$ such that
$1 \forall$ finite sequences $\left(a_{1}, b_{1}\right), \ldots,\left(a_{n}, b_{n}\right)$ of pairs of natural numbers $\exists z \in \mathbb{N}$ such that $\forall x, y \in \mathbb{N}, K(x, y, z)$ holds iff $(x, y)=\left(a_{i}, b_{i}\right)$ for some $i \in\{1, \ldots, n\}$
2 if $K(x, y, z)$ holds, then $x, y \leqslant z$

## Exponentiation is arithmetic

## Lemma (The Finite Set Lemma)

$\exists$ a $\Sigma_{0}$-relation $K(x, y, z)$ such that
$1 \forall$ finite sequences $\left(a_{1}, b_{1}\right), \ldots,\left(a_{n}, b_{n}\right)$ of pairs of natural numbers $\exists z \in \mathbb{N}$ such that $\forall x, y \in \mathbb{N}, K(x, y, z)$ holds iff $(x, y)=\left(a_{i}, b_{i}\right)$ for some $i \in\{1, \ldots, n\}$
2 if $K(x, y, z)$ holds, then $x, y \leqslant z$

Theorem
the relation $x^{y}=z$ is $\Sigma_{1}$

Proof.
on the whiteboard using the above lemma

## Proof of The Finite Set Lemma

Convention
we identify numbers with their base 13 representation

## Proof of The Finite Set Lemma

## Convention

we identify numbers with their base 13 representation

## Definition

- a frame is a number of the form

$$
21 \cdots 13
$$

## Proof of The Finite Set Lemma

## Convention

we identify numbers with their base 13 representation

## Definition

- a frame is a number of the form

$$
21 \cdots 13
$$

- $1(x)$ denotes that $x=1 \cdots 1,1(x)$ is $\Sigma_{0}$

$$
1(x): \Leftrightarrow x \neq 0 \wedge(\forall y \leqslant x)(y P x \rightarrow 1 P y)
$$

## Proof of The Finite Set Lemma

## Convention

we identify numbers with their base 13 representation

## Definition

- a frame is a number of the form

$$
21 \cdots 13
$$

- $1(x)$ denotes that $x=1 \cdots 1,1(x)$ is $\Sigma_{0}$

$$
1(x): \Leftrightarrow x \neq 0 \wedge(\forall y \leqslant x)(y P x \rightarrow 1 P y)
$$

- let $\theta=\left(\left(a_{1}, b_{1}\right), \ldots,\left(a_{n}, b_{n}\right)\right)$ and let $f$ be the any frame which is longer than any frame that is part of any of the numbers in $\theta$, then a sequence number of $\theta$ is

$$
f f a_{1} f b_{1} f f \cdots f f a_{n} f b_{n} f f
$$

## Proof of The Finite Set Lemma

## Convention

we identify numbers with their base 13 representation

## Definition

- a frame is a number of the form

$$
21 \cdots 13
$$

- $1(x)$ denotes that $x=1 \cdots 1,1(x)$ is $\Sigma_{0}$

$$
1(x): \Leftrightarrow x \neq 0 \wedge(\forall y \leqslant x)(y P x \rightarrow 1 P y)
$$

- let $\theta=\left(\left(a_{1}, b_{1}\right), \ldots,\left(a_{n}, b_{n}\right)\right)$ and let $f$ be the any frame which is longer than any frame that is part of any of the numbers in $\theta$, then a sequence number of $\theta$ is

$$
f f a_{1} f b_{1} f f \cdots f f a_{n} f b_{n} f f
$$

the frame $f$ plays the role previously played by $\delta$

## Definition

- $x$ is maximal frame of $y$ if
$1 x$ is a frame
$2 x$ is part of $y$
$3 x$ is as a long as any frame in $y$


## Definition

- $x$ is maximal frame of $y$ if
$1 x$ is a frame
$2 x$ is part of $y$
$3 x$ is as a long as any frame in $y$
- let $x \mathrm{mf} y$ express that $x$ is a maximal frame of $y$


## Definition

- $x$ is maximal frame of $y$ if
$1 x$ is a frame
$2 x$ is part of $y$
$3 x$ is as a long as any frame in $y$
- let $x \mathrm{mf} y$ express that $x$ is a maximal frame of $y$
- $x$ mf $y$ is $\Sigma_{0}$ :

$$
x P y \wedge(\exists z \leqslant y)(1(z) \wedge x=2 z 3 \wedge \neg(\exists w \leqslant y)(1(w) \wedge 2 z w 3 P y))
$$

## Definition

- $x$ is maximal frame of $y$ if
$1 x$ is a frame
$2 x$ is part of $y$
$3 x$ is as a long as any frame in $y$
- let $x \mathrm{mf} y$ express that $x$ is a maximal frame of $y$
- $x \mathrm{mf} y$ is $\Sigma_{0}$ :

$$
x P y \wedge(\exists z \leqslant y)(1(z) \wedge x=2 z 3 \wedge \neg(\exists w \leqslant y)(1(w) \wedge 2 z w 3 P y))
$$

## Definition

we define the relation $K(x, y, z)$ :

$$
(\exists w \leqslant z)(w \mathrm{mf} z \wedge w w x w y w w P z \wedge \neg(w P x) \wedge \neg(w P y))
$$

## Incompleteness of PA

Theorem
the relation $x^{y}=z$ is $\Sigma_{1}$

## Incompleteness of PA

Theorem the relation $x^{y}=z$ is $\Sigma_{1}$

## Corollary

for any arithmetic set $A$, the set $A^{*}$ is arithmetic; moreover if $A$ is $\Sigma$, so is $A^{*}$

## Incompleteness of PA

Theorem the relation $x^{y}=z$ is $\Sigma_{1}$

## Corollary

for any arithmetic set $A$, the set $A^{*}$ is arithmetic; moreover if $A$ is $\Sigma$, so is $A^{*}$

## Corollary

the set of Gödel numbers of true arithmetic sentences is not arithmetic

## Incompleteness of PA

Theorem the relation $x^{y}=z$ is $\Sigma_{1}$

## Corollary

for any arithmetic set $A$, the set $A^{*}$ is arithmetic; moreover if $A$ is $\Sigma$, so is $A^{*}$

## Corollary

the set of Gödel numbers of true arithmetic sentences is not arithmetic

## Corollary

the system PA is incomplete

