

Gödel's Incompleteness Theorem

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Homework

Left Over Homework

- Exercise 3 in Chapter 3, that is
[...] We let $\text{Seq}_2(x)$ denote that x is sequence number. We let $(x, y) \in z$ denote that the pair (x, y) is a member of the sequence, numbered by z . Finally let $(x_1, y_1) \prec_z (x_2, y_2)$ denote that (x_1, y_1) occurs in z before (x_2, y_2) .
- Exercise 5 in Chapter 3, that is:
[...] Let $M(x, y, z)$ be the relation “ E_x is substitutable for E_y in E_z ” and show that this is Arithmetic.
- Exercise 6 in Chapter 3, that is:
[...] Show that the set of Gödel numbers of the axioms of L'_5 is Arithmetic.

Σ_1 -relations

Outline of the Lecture

General Idea Behind Gödel's Proof

abstract forms of Gödel's, Tarski's theorems, undecidable sentences of \mathcal{L}

Tarski's Theorem for Arithmetic

the language \mathcal{L}_E , concatenation and Gödel numbering, Tarski's theorem, the axiom system PE, arithmetisation of the axiom system, **arithmetic without exponentiation, incompleteness of PA, Σ_1 -relations**

Gödel's Proof

ω -consistency, a basic incompleteness theorem, ω -consistency lemma, Σ_0 -complete subsystems, ω -incompleteness of PA

Rosser Systems

abstract incompleteness theorems after Rosser, general separation principle, Rosser's undecidable sentence, Gödel and Rosser sentences compared, more on separation

Σ_0 -relations

Definition

an **atomic** Σ_0 -formula is a formula of the form

$$s = t \quad s + t = u \quad s \cdot t = u \quad s \leq t$$

where s, t, u are variables or numerals

Definition

the **Σ_0 -formulas** are defined inductively:

- 1 every atomic Σ_0 -formula is a Σ_0 -formula
- 2 if A, B are Σ_0 -formulas, v_i a variable, t a numeral or variable $\neq v_i$, then

$$\neg A \quad A \rightarrow B \quad \forall v_i (v_i \leq t \rightarrow A)$$

are Σ_0 -formulas

Convention

- as before we write $A \wedge B$, $A \vee B$, $(\forall v_i \leq t) A$ as abbreviations of

$$\neg(A \rightarrow \neg B) \quad \neg A \rightarrow B \quad \forall v_i (v_i \leq t \rightarrow A)$$

- we write $(\exists v_i \leq t) A$ as abbreviation for

$$\neg(\forall v_i \leq t)\neg A$$

Definition

- the quantifiers $\exists v_i \leq t$ and $\forall v_i \leq t$ are called **bounded quantifiers**
- a relation is a **Σ_0 -relation** if expressible by a Σ_0 -formula
- Σ_0 -relations are called **constructive arithmetic** relations

Fact

truthhood of Σ_0 -sentences is decidable

Definition

- a **Σ_1 -formula** is a formula of the form

$$\exists v_{n+1} F(v_1, \dots, v_n, v_{n+1})$$

where $F(v_1, \dots, v_n, v_{n+1})$ is a Σ_0 -formula

- a relation is a **Σ_1 -relation** if expressible by a Σ_1 -formula

Definition

we inductively define the class of **Σ -formulas**

- every Σ_0 -formula is a Σ -formula
- if A, B are Σ -formula, v_i a variable, then $A \vee B$, $A \wedge B$, and $\exists v_i A$ are Σ -formulas
- if A is a Σ_0 -formula and B a Σ -formula, then $A \rightarrow B$ is a Σ -formula
- if A is a Σ -formula, v_i, v_j a distinct variables, and \bar{n} a numeral

$$(\exists v_i \leq v_j)A \quad (\forall v_i \leq v_j)A \quad (\exists v_i \leq \bar{n})A \quad (\forall v_i \leq \bar{n})A$$

 Σ_1 -relations

Definition

a relation is called a **Σ -relation** if expressible by a Σ -formula

Lemma

- the Σ -relations are exactly the Σ_1 -relations
- let $M = \{n \mid P(\bar{n})\}$, where P is a Σ -relations; then M is recursively enumerable

Fact

the relation $x < y$ is Σ_0 , as $x < y$ holds iff $x \leq y \wedge x \neq y$; hence we can make use of the bounded quantifiers $\exists x < t$ and $\forall x < t$

Concatenation to a Prime Basis

Lemma

for any prime number p , the following conditions is Σ_0

- $x \text{ div } y$, that is, $x \mid y$
- $\text{Pow}_p(x)$, that is x is a power of p
- $y = p^{\lfloor x \rfloor_p}$, that is y is the smallest positive power of $p \geq x$

Proof.

on the whiteboard

Lemma

for any prime p , the relation $x *_p y = z$ is Σ_0

Proof.

on the whiteboard

Lemma

for any prime p , the following relations are Σ_0 :

- 1 $x B_p y$, $x E_p y$, and $x P_p y$
- 2 $\forall n \geq 2: x_1 * _p x_2 * _p \dots * _p x_n = y$
- 3 $\forall n \geq 2: x_1 * _p x_2 * _p \dots * _p x_n P_p y$

Proof.

on the whiteboard ■

Corollary

- the sets P_E , R_E are arithmetic; more precisely they are Σ
- as P_E is arithmetic, so is $\sim P_E$

Definition

the axiom system PA is defined as $PA = PE - \{\text{exp}\}$

Exponentiation is arithmetic

Lemma (The Finite Set Lemma)

\exists a Σ_0 -relation $K(x, y, z)$ such that

- 1 \forall finite sequences $(a_1, b_1), \dots, (a_n, b_n)$ of pairs of natural numbers
 $\exists z \in \mathbb{N}$ such that $\forall x, y \in \mathbb{N}$, $K(x, y, z)$ holds iff
 $(x, y) = (a_i, b_i)$ for some $i \in \{1, \dots, n\}$
- 2 if $K(x, y, z)$ holds, then $x, y \leq z$

Theorem

the relation $x^y = z$ is Σ_1

Proof.

on the whiteboard using the above lemma ■

Proof of The Finite Set Lemma

Convention

we identify numbers with their base 13 representation

Definition

- a **frame** is a number of the form

$$21 \dots 13$$

- $1(x)$ denotes that $x = 1 \dots 1$, $1(x)$ is Σ_0

$$1(x) :\Leftrightarrow x \neq 0 \wedge (\forall y \leq x)(y P x \rightarrow 1 P y)$$

- let $\theta = ((a_1, b_1), \dots, (a_n, b_n))$ and let f be the any frame which is longer than any frame that is part of any of the numbers in θ , then a **sequence number** of θ is

$$f f a_1 f b_1 f f \dots f f a_n f b_n f f$$

the frame f plays the role previously played by δ

Definition

- x is **maximal frame** of y if

- 1 x is a frame
- 2 x is part of y
- 3 x is as long as any frame in y

- let x **mf** y express that x is a maximal frame of y
- x **mf** y is Σ_0 :

$$x P y \wedge (\exists z \leq y)(1(z) \wedge x = 2z3 \wedge \neg(\exists w \leq y)(1(w) \wedge 2zw3 P y))$$

Definition

we define the relation $K(x, y, z)$:

$$(\exists w \leq z)(w \text{ mf } z \wedge w w x w y w w P z \wedge \neg(w P x) \wedge \neg(w P y))$$

Incompleteness of PA

Theorem

the relation $x^y = z$ is Σ_1

Corollary

for any arithmetic set A , the set A^ is arithmetic; moreover if A is Σ , so is A^**

Corollary

the set of Gödel numbers of true arithmetic sentences is not arithmetic

Corollary

the system PA is incomplete