

# Functional Programming

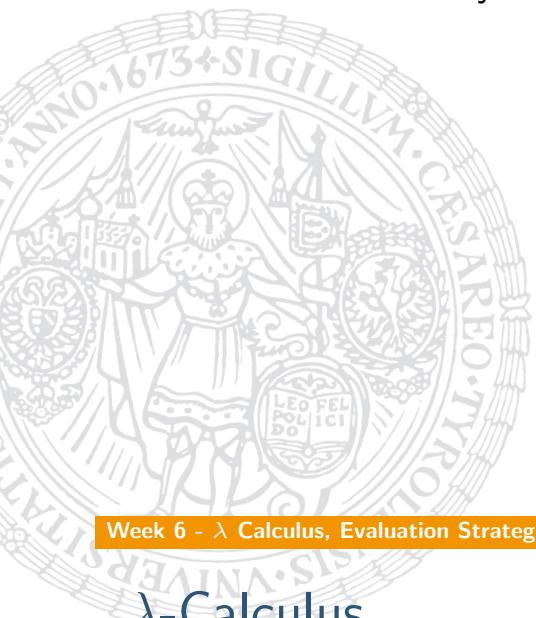
WS 2012/13

Harald Zankl (VO)

Cezary Kaliszyk (PS) Thomas Sternagel (PS)

Computational Logic  
 Institute of Computer Science  
 University of Innsbruck

week 6



[Week 6 -  \$\lambda\$  Calculus, Evaluation Strategies](#)

[Summary of Week 5](#)

## $\lambda$ -Calculus

### $\lambda$ -Terms

$$t ::= \underbrace{x}_{\text{Variable}} \mid \underbrace{(\lambda x.t)}_{\text{Abstraction}} \mid \overbrace{(t \ t)}^{\text{Application}}$$

### Example

$x \ y$	$(x \ y)$	" $x$ applied to $y$ "
$\lambda x.x$	$(\lambda x.x)$	"lambda $x$ to $x$ "
$\lambda xy.x$	$(\lambda x.(\lambda y.x))$	"lambda $x$ y to $x$ "
$\lambda x.x \ x$	$(\lambda x.(x \ x))$	"lambda $x$ to ( $x$ applied to $x$ )"
$(\lambda x.x) \ x$	$((\lambda x.x) \ x)$	"( $\lambda x.x$ to $x$ ) applied to $x$ "

## $\lambda$ -Calculus (cont'd)

$\beta$ -Reduction

the term  $s$  ( $\beta$ -)reduces to the term  $t$  in one step, i.e.,

$$\overbrace{s \rightarrow_{\beta} t}^{(\beta\text{-})\text{step}}$$

iff there exist context  $C$  and terms  $u, v$  s.t.

$$s = C[(\lambda x. u) v] \quad \text{and} \quad t = C[u\{x/v\}]$$

### Example

$$\begin{aligned} K &\stackrel{\text{def}}{=} \lambda xy.x \\ I &\stackrel{\text{def}}{=} \lambda x.x \\ \Omega &\stackrel{\text{def}}{=} (\lambda x. x\ x)\ (\lambda x. x\ x) \end{aligned}$$

## This Week

### Practice I

OCaml introduction, lists, strings, trees

### Theory I

lambda-calculus, evaluation strategies, induction, reasoning about functional programs

### Practice II

efficiency, tail-recursion, combinator-parsing

### Theory II

type checking, type inference

### Advanced Topics

lazy evaluation, infinite data structures, monads, . . .

# Booleans and Conditionals

## OCaml

- ▶ `true`
- ▶ `false`
- ▶ `if b then t else e`

## $\lambda$ -Calculus

- ▶  $\text{true} \stackrel{\text{def}}{=} \lambda xy.x$
- ▶  $\text{false} \stackrel{\text{def}}{=} \lambda xy.y$
- ▶  $\text{if} \stackrel{\text{def}}{=} \lambda xyz.x\ y\ z$

## Example

$$\begin{aligned} \text{if true } t\ e &\rightarrow_{\beta}^{+} \text{true } t\ e \rightarrow_{\beta}^{+} t \\ \text{if false } t\ e &\rightarrow_{\beta}^{+} \text{false } t\ e \rightarrow_{\beta}^{+} e \end{aligned}$$

# Natural Numbers

## Definition

$$s^0\ t \stackrel{\text{def}}{=} t \quad s^{n+1}\ t \stackrel{\text{def}}{=} s\ (s^n\ t)$$

## OCaml vs. $\lambda$ -Calculus

<code>0</code>	$\bar{0} \stackrel{\text{def}}{=} \lambda fx.x$
<code>1</code>	$\bar{1} \stackrel{\text{def}}{=} \lambda fx.f\ x$
<code>n</code>	$\bar{n} \stackrel{\text{def}}{=} \lambda fx.f^n\ x$
<code>( + )</code>	$\text{add} \stackrel{\text{def}}{=} \lambda mnfx.m\ f\ (n\ f\ x)$
<code>( * )</code>	$\text{mul} \stackrel{\text{def}}{=} \lambda mnf.m\ (n\ f)$
<code>( ** )</code>	$\text{exp} \stackrel{\text{def}}{=} \lambda mn.n\ m$

## Example

$$\text{add } \bar{1}\ \bar{1} \rightarrow_{\beta}^{*} \bar{2}$$

# Pairs

## OCaml vs. $\lambda$ -Calculus

<b>fun</b> <i>x</i> <i>y</i> $\rightarrow$ ( <i>x</i> , <i>y</i> )	$\text{pair} \stackrel{\text{def}}{=} \lambda xyf.f\ x\ y$
<b>fst</b>	$\text{fst} \stackrel{\text{def}}{=} \lambda p.p\ \text{true}$
<b>snd</b>	$\text{snd} \stackrel{\text{def}}{=} \lambda p.p\ \text{false}$

## Example

$$\text{fst } (\text{pair } \overline{m} \ \overline{n}) \xrightarrow{*_{\beta}} \overline{m}$$

# Lists

## OCaml vs. $\lambda$ -Calculus

<b>::</b>	$\text{cons} \stackrel{\text{def}}{=} \lambda xy.\text{pair}\ \text{false}\ (\text{pair}\ x\ y)$
<b>hd</b>	$\text{hd} \stackrel{\text{def}}{=} \lambda z.\text{fst}\ (\text{snd}\ z)$
<b>tl</b>	$\text{tl} \stackrel{\text{def}}{=} \lambda z.\text{snd}\ (\text{snd}\ z)$
<b>[]</b>	$\text{nil} \stackrel{\text{def}}{=} \lambda x.x$
<b>fun</b> <i>x</i> $\rightarrow$ <i>x</i> = []	$\text{null} \stackrel{\text{def}}{=} \text{fst}$

## Example

$$\text{null nil} \xrightarrow{*_{\beta}} \text{true}$$

# Recursion

## OCaml

```
let rec length x = if x = [] then 0
                    else 1 + length(tl x)
```

## $\lambda$ -Calculus

$$\text{length} \stackrel{\text{def}}{=} Y (\lambda f x. \text{if } (\text{null } x) \text{ then } 0 \text{ else } 1 + f (\text{tl } x))$$

## Definition ( $Y$ -combinator)

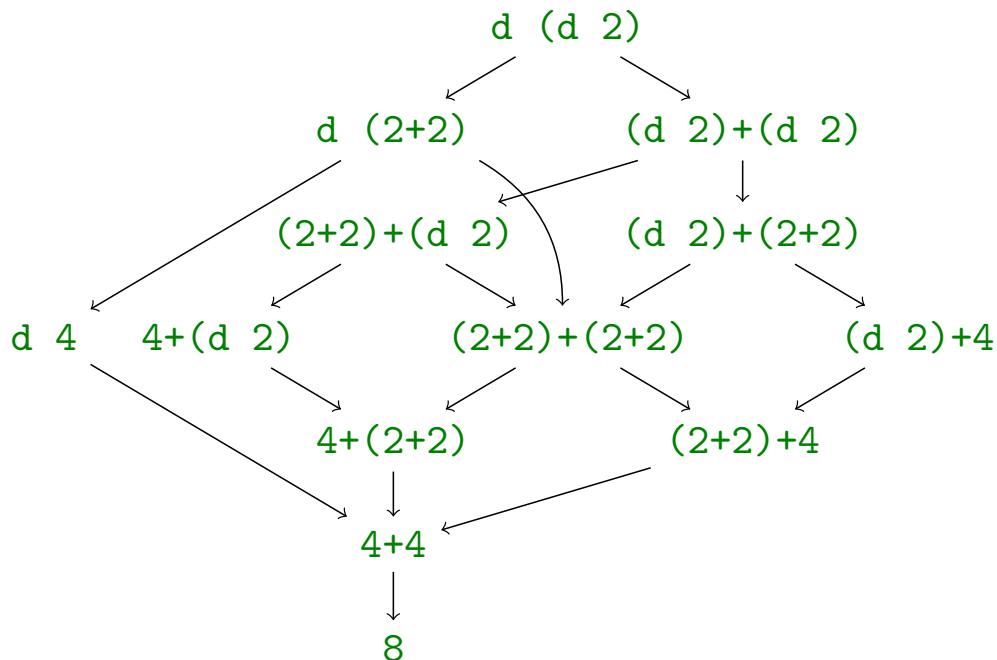
$$Y \stackrel{\text{def}}{=} \lambda f. (\lambda x. f (x x)) (\lambda x. f (x x))$$

$Y$  has **fixed point property**, i.e., for all  $t \in T(\mathcal{V})$

$$Y t \leftrightarrow^* t (Y t)$$

## Example

- ▶ consider `let d x = x + x`
- ▶ the term `d (d 2)` can be evaluated as follows (9 possibilities)



# Strategies

## Strategy

- ▶ fixes evaluation order
- ▶ examples: call-by-value and call-by-name

## Example

`let d x = x + x`

- ▶ call-by-value:

$$\begin{aligned} d(d 2) &\rightarrow d(2+2) \\ &\rightarrow d 4 \\ &\rightarrow 4 + 4 \\ &\rightarrow 8 \end{aligned}$$

- ▶ call-by-name:

$$\begin{aligned} d(d 2) &\rightarrow (d 2)+(d 2) \\ &\rightarrow (2+2)+(d 2) \\ &\rightarrow 4+(d 2) \\ &\rightarrow 4+(2+2) \\ &\rightarrow 4+4 \\ &\rightarrow 8 \end{aligned}$$

# (Leftmost) Innermost Reduction

- ▶ always reduce leftmost innermost redex

## Definition

redex  $t$  of term  $u$  is **innermost** if it does not contain a redex as **proper** subterm, i.e.,

$$\nexists s \in \text{Sub}(t) \text{ s.t. } s \neq t \text{ and } s \text{ is a redex}$$

## Example

Consider  $t = (\lambda x.(\lambda y.y) x) z$

- ▶  $(\lambda y.y) x$  is innermost redex
- ▶  $(\lambda x.(\lambda y.y) x) z$  is redex, but not innermost

# (Leftmost) Outermost Reduction

- ▶ always reduce leftmost outermost redex

## Definition

redex  $t$  of term  $u$  is **outermost** if it is not a **proper** subterm of some other redex in  $u$ , i.e.,

$$\nexists s \in \text{Sub}(u) \text{ s.t. } s \text{ is a redex and } t \in \text{Sub}(s) \text{ and } s \neq t$$

## Example

Consider  $t = (\lambda x.(\lambda y.y) x) z$

- ▶  $(\lambda x.(\lambda y.y) x) z$  is outermost redex
- ▶  $(\lambda y.y) x$  is redex, but not outermost

# Call-by-Value

- ▶ use innermost reduction
- ▶ corresponds to strict (or eager) evaluation, e.g., OCaml
- ▶ slight modification: only reduce terms that are not in WHNF

## Definition (Weak head normal form)

term  $t$  is in **weak head normal form (WHNF)** iff

$$t \neq u \vee$$

## Example (WHNF)

$\lambda x.x$  ✓     $(\lambda x.x) y$  ✗     $(\lambda x.x) y z$  ✗     $\lambda x.(\lambda y.y) x$  ✓     $x x$  ✗

# Call-by-Name

- ▶ use outermost reduction
- ▶ corresponds to lazy evaluation (without memoization), e.g., Haskell
- ▶ slight modification: only reduce terms that are not in WHNF

# $\lambda$ Tree Tool

developed by Stefan Widerin in bachelor project

## $\lambda$ -Terms

$$t ::= x \mid (\lambda x. t) \mid (t\ t)$$

## Conventions

- ▶ nested abstractions use spaces to separate variable names, e.g.,

$$\begin{array}{ll} \lambda xy.x & \lambda x\ y.x \\ \lambda x_1.y & \lambda x_1.y \end{array}$$

# Result

## Animator

- ▶ animation of  $\beta$ -steps
- ▶ innermost/outermost
- ▶ leftmost/rightmost

## Output

- ▶ reduction sequence to NF
- ▶ innermost/outermost
- ▶ leftmost/rightmost