

# Functional Programming

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week 6



# Overview

- Week 6 -  $\lambda$  Calculus, Evaluation Strategies
  - Summary of Week 5
  - $\lambda$ -Calculus - Data Types
  - Evaluation Strategies
  - The  $\lambda$  Tree Tool LTT



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# $\lambda$ -Calculus

## $\lambda$ -Terms

$$t ::= x \mid (\lambda x.t) \mid (t t)$$

# $\lambda$ -Calculus

## $\lambda$ -Terms

Variable

$$t ::= \overbrace{x} \mid (\lambda x.t) \mid (t t)$$

# $\lambda$ -Calculus

## $\lambda$ -Terms

$$t ::= x \mid \underbrace{(\lambda x. t)}_{\text{Abstraction}} \mid (t t)$$

# $\lambda$ -Calculus

## $\lambda$ -Terms

Application

$$t ::= x \mid (\lambda x.t) \mid \overbrace{(t t)}$$

# $\lambda$ -Calculus

## $\lambda$ -Terms

$$t ::= x \mid (\lambda x.t) \mid (t t)$$

## Example

$x y$

$\lambda x.x$

$\lambda xy.x$

$\lambda x.x x$

$(\lambda x.x) x$



# $\lambda$ -Calculus

## $\lambda$ -Terms

$$t ::= x \mid (\lambda x.t) \mid (t t)$$

## Example

$x y$        $(x y)$   
 $\lambda x.x$   
 $\lambda xy.x$   
 $\lambda x.x x$   
 $(\lambda x.x) x$

# $\lambda$ -Calculus

## $\lambda$ -Terms

$$t ::= x \mid (\lambda x.t) \mid (t t)$$

## Example

$x y$	$(x y)$	<i>"x applied to y"</i>
$\lambda x.x$		
$\lambda xy.x$		
$\lambda x.x x$		
$(\lambda x.x) x$		

# $\lambda$ -Calculus

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$$t ::= x \mid (\lambda x.t) \mid (t t)$$

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$x y$	$(x y)$	<i>"x applied to y"</i>
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$\lambda xy.x$		
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$\lambda x.x$	$(\lambda x.x)$	<i>"lambda x to x"</i>
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$\lambda xy.x$	$(\lambda x.(\lambda y.x))$	
$\lambda x.x x$		
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$$t ::= x \mid (\lambda x.t) \mid (t t)$$

## Example

$x y$	$(x y)$	<i>"x applied to y"</i>
$\lambda x.x$	$(\lambda x.x)$	<i>"lambda x to x"</i>
$\lambda xy.x$	$(\lambda x.(\lambda y.x))$	<i>"lambda x y to x"</i>
$\lambda x.x x$	$(\lambda x.(x x))$	
$(\lambda x.x) x$		

# $\lambda$ -Calculus

## $\lambda$ -Terms

$$t ::= x \mid (\lambda x.t) \mid (t t)$$

## Example

$x y$	$(x y)$	<i>"x applied to y"</i>
$\lambda x.x$	$(\lambda x.x)$	<i>"lambda x to x"</i>
$\lambda xy.x$	$(\lambda x.(\lambda y.x))$	<i>"lambda x y to x"</i>
$\lambda x.x x$	$(\lambda x.(x x))$	<i>"lambda x to (x applied to x)"</i>
$(\lambda x.x) x$		



# $\lambda$ -Calculus

## $\lambda$ -Terms

$$t ::= x \mid (\lambda x.t) \mid (t t)$$

## Example

$x y$	$(x y)$	<i>"x applied to y"</i>
$\lambda x.x$	$(\lambda x.x)$	<i>"lambda x to x"</i>
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$(\lambda x.x) x$	$((\lambda x.x) x)$	

# $\lambda$ -Calculus

## $\lambda$ -Terms

$$t ::= x \mid (\lambda x.t) \mid (t t)$$

## Example

$x y$	$(x y)$	<i>"x applied to y"</i>
$\lambda x.x$	$(\lambda x.x)$	<i>"lambda x to x"</i>
$\lambda xy.x$	$(\lambda x.(\lambda y.x))$	<i>"lambda x y to x"</i>
$\lambda x.x x$	$(\lambda x.(x x))$	<i>"lambda x to (x applied to x)"</i>
$(\lambda x.x) x$	$((\lambda x.x) x)$	<i>"(lambda x to x) applied to x"</i>

# $\lambda$ -Calculus (cont'd)

## $\beta$ -Reduction

the term  $s$  ( $\beta$ -)reduces to the term  $t$  in one step, i.e.,

$$s \rightarrow_{\beta} t$$

iff there exist context  $C$  and terms  $u, v$  s.t.

$$s = C[(\lambda x.u) v] \quad \text{and} \quad t = C[u\{x/v\}]$$

# $\lambda$ -Calculus (cont'd)

## $\beta$ -Reduction

the term  $s$  ( $\beta$ -)reduces to the term  $t$  in one step, i.e.,

$$\underbrace{s \rightarrow t}_{(\beta\text{-})\text{step}}$$

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# $\lambda$ -Calculus (cont'd)

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## Example

$$K \stackrel{\text{def}}{=} \lambda xy.x$$

$$I \stackrel{\text{def}}{=} \lambda x.x$$

$$\Omega \stackrel{\text{def}}{=} (\lambda x.x x) (\lambda x.x x)$$

# This Week

## Practice I

OCaml introduction, lists, strings, trees

## Theory I

lambda-calculus, evaluation strategies, induction,  
reasoning about functional programs

## Practice II

efficiency, tail-recursion, combinator-parsing

## Theory II

type checking, type inference

## Advanced Topics

lazy evaluation, infinite data structures, monads, ...

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# Booleans and Conditionals

## OCaml

- `true`
- `false`
- `if b then t else e`



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- `true`  $\stackrel{\text{def}}{=} \lambda xy.x$

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- `if`  $\stackrel{\text{def}}{=} \lambda xyz.x y z$

## Example

`if true t e`  $\rightarrow_{\beta}^+$

`if false t e`  $\rightarrow_{\beta}^+$

# Booleans and Conditionals

## OCaml

- `true`
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- `true`  $\stackrel{\text{def}}{=} \lambda xy.x$
- `false`  $\stackrel{\text{def}}{=} \lambda xy.y$
- `if`  $\stackrel{\text{def}}{=} \lambda xyz.x y z$

## Example

$$\text{if true } t e \rightarrow_{\beta}^{+} \text{true } t e$$

$$\text{if false } t e \rightarrow_{\beta}^{+}$$

# Booleans and Conditionals

## OCaml

- `true`
- `false`
- `if b then t else e`

## $\lambda$ -Calculus

- `true`  $\stackrel{\text{def}}{=} \lambda xy.x$
- `false`  $\stackrel{\text{def}}{=} \lambda xy.y$
- `if`  $\stackrel{\text{def}}{=} \lambda xyz.x y z$

## Example

`if true t e`  $\rightarrow_{\beta}^{+}$  `true t e`  $\rightarrow_{\beta}^{+}$  `t`

`if false t e`  $\rightarrow_{\beta}^{+}$

# Booleans and Conditionals

## OCaml

- `true`
- `false`
- `if b then t else e`

## $\lambda$ -Calculus

- `true`  $\stackrel{\text{def}}{=} \lambda xy.x$
- `false`  $\stackrel{\text{def}}{=} \lambda xy.y$
- `if`  $\stackrel{\text{def}}{=} \lambda xyz.x y z$

## Example

$$\text{if true } t e \rightarrow_{\beta}^{+} \text{true } t e \rightarrow_{\beta}^{+} t$$

$$\text{if false } t e \rightarrow_{\beta}^{+} \text{false } t e$$



# Booleans and Conditionals

## OCaml

- `true`
- `false`
- `if b then t else e`

## $\lambda$ -Calculus

- `true`  $\stackrel{\text{def}}{=} \lambda xy.x$
- `false`  $\stackrel{\text{def}}{=} \lambda xy.y$
- `if`  $\stackrel{\text{def}}{=} \lambda xyz.x y z$

## Example

$$\text{if true } t e \rightarrow_{\beta}^{+} \text{true } t e \rightarrow_{\beta}^{+} t$$

$$\text{if false } t e \rightarrow_{\beta}^{+} \text{false } t e \rightarrow_{\beta}^{+} e$$

# Natural Numbers

## Definition

$$s^0 t \stackrel{\text{def}}{=} t$$

$$s^{n+1} t \stackrel{\text{def}}{=} s (s^n t)$$

## OCaml vs. $\lambda$ -Calculus

0

1

n

( + )

( \* )

( \*\* )

# Natural Numbers

## Definition

$$s^0 t \stackrel{\text{def}}{=} t$$

$$s^{n+1} t \stackrel{\text{def}}{=} s (s^n t)$$

## OCaml vs. $\lambda$ -Calculus

$$0 \quad \bar{0} \stackrel{\text{def}}{=} \lambda f x. x$$

1

n

( + )

( \* )

( \*\* )

# Natural Numbers

## Definition

$$s^0 t \stackrel{\text{def}}{=} t$$

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## OCaml vs. $\lambda$ -Calculus

$$0 \quad \bar{0} \stackrel{\text{def}}{=} \lambda f x. x$$

$$1 \quad \bar{1} \stackrel{\text{def}}{=} \lambda f x. f x$$

$n$

( + )

( \* )

( \*\* )

# Natural Numbers

## Definition

$$s^0 t \stackrel{\text{def}}{=} t$$

$$s^{n+1} t \stackrel{\text{def}}{=} s (s^n t)$$

## OCaml vs. $\lambda$ -Calculus

$$\begin{array}{ll}
 0 & \bar{0} \stackrel{\text{def}}{=} \lambda f x. x \\
 1 & \bar{1} \stackrel{\text{def}}{=} \lambda f x. f x \\
 n & \bar{n} \stackrel{\text{def}}{=} \lambda f x. f^n x \\
 ( + ) & \\
 ( * ) & \\
 ( ** ) &
 \end{array}$$

# Natural Numbers

## Definition

$$s^0 t \stackrel{\text{def}}{=} t$$

$$s^{n+1} t \stackrel{\text{def}}{=} s (s^n t)$$

## OCaml vs. $\lambda$ -Calculus

$$0 \quad \bar{0} \stackrel{\text{def}}{=} \lambda f x. x$$

$$1 \quad \bar{1} \stackrel{\text{def}}{=} \lambda f x. f x$$

$$n \quad \bar{n} \stackrel{\text{def}}{=} \lambda f x. f^n x$$

$$( + ) \quad \text{add} \stackrel{\text{def}}{=} \lambda m n f x. m f (n f x)$$

$$( * )$$

$$( ** )$$

# Natural Numbers

## Definition

$$s^0 t \stackrel{\text{def}}{=} t$$

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$$0 \quad \bar{0} \stackrel{\text{def}}{=} \lambda f x. x$$

$$1 \quad \bar{1} \stackrel{\text{def}}{=} \lambda f x. f x$$

$$n \quad \bar{n} \stackrel{\text{def}}{=} \lambda f x. f^n x$$

$$(+ ) \quad \text{add} \stackrel{\text{def}}{=} \lambda m n f x. m f (n f x)$$

$$(* ) \quad \text{mul} \stackrel{\text{def}}{=} \lambda m n f. m (n f)$$

$$(** )$$

# Natural Numbers

## Definition

$$s^0 t \stackrel{\text{def}}{=} t$$

$$s^{n+1} t \stackrel{\text{def}}{=} s (s^n t)$$

## OCaml vs. $\lambda$ -Calculus

$$0 \quad \bar{0} \stackrel{\text{def}}{=} \lambda f x. x$$

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$$(+ ) \quad \text{add} \stackrel{\text{def}}{=} \lambda m n f x. m f (n f x)$$

$$(* ) \quad \text{mul} \stackrel{\text{def}}{=} \lambda m n f. m (n f)$$

$$(** ) \quad \text{exp} \stackrel{\text{def}}{=} \lambda m n. n m$$



# Natural Numbers

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$$s^0 t \stackrel{\text{def}}{=} t$$

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$$(+ ) \quad \text{add} \stackrel{\text{def}}{=} \lambda m n f x. m f (n f x)$$

$$(* ) \quad \text{mul} \stackrel{\text{def}}{=} \lambda m n f. m (n f)$$

$$(** ) \quad \text{exp} \stackrel{\text{def}}{=} \lambda m n. n m$$

## Example

$$\text{add } \bar{1} \bar{1} \rightarrow_{\beta}^* *$$

# Natural Numbers

## Definition

$$s^0 t \stackrel{\text{def}}{=} t$$

$$s^{n+1} t \stackrel{\text{def}}{=} s (s^n t)$$

## OCaml vs. $\lambda$ -Calculus

$$0 \quad \bar{0} \stackrel{\text{def}}{=} \lambda f x. x$$

$$1 \quad \bar{1} \stackrel{\text{def}}{=} \lambda f x. f x$$

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$$(+ ) \quad \text{add} \stackrel{\text{def}}{=} \lambda m n f x. m f (n f x)$$

$$(* ) \quad \text{mul} \stackrel{\text{def}}{=} \lambda m n f. m (n f)$$

$$(** ) \quad \text{exp} \stackrel{\text{def}}{=} \lambda m n. n m$$

## Example

$$\text{add } \bar{1} \bar{1} \rightarrow_{\beta}^* \bar{2}$$

# Pairs

## OCaml vs. $\lambda$ -Calculus

```
fun x y -> (x,y)
```

```
fst
```

```
snd
```

# Pairs

## OCaml vs. $\lambda$ -Calculus

```
fun x y -> (x,y)  pair  $\stackrel{\text{def}}{=} \lambda xyf.f x y$   
fst  
snd
```

# Pairs

## OCaml vs. $\lambda$ -Calculus

```
fun x y -> (x,y)   pair  $\stackrel{\text{def}}{=} \lambda xyf.f x y$   
fst                fst  $\stackrel{\text{def}}{=} \lambda p.p \text{ true}$   
snd
```

# Pairs

## OCaml vs. $\lambda$ -Calculus

<code>fun x y -&gt; (x,y)</code>	<code>pair</code> $\stackrel{\text{def}}{=} \lambda xyf.f x y$
<code>fst</code>	<code>fst</code> $\stackrel{\text{def}}{=} \lambda p.p \text{ true}$
<code>snd</code>	<code>snd</code> $\stackrel{\text{def}}{=} \lambda p.p \text{ false}$

# Pairs

## OCaml vs. $\lambda$ -Calculus

<code>fun x y -&gt; (x,y)</code>	$\text{pair} \stackrel{\text{def}}{=} \lambda xyf.f x y$
<code>fst</code>	$\text{fst} \stackrel{\text{def}}{=} \lambda p.p \text{ true}$
<code>snd</code>	$\text{snd} \stackrel{\text{def}}{=} \lambda p.p \text{ false}$

## Example

$$\text{fst} (\text{pair } \bar{m} \bar{n}) \rightarrow_{\beta}^*$$

# Pairs

## OCaml vs. $\lambda$ -Calculus

<code>fun x y -&gt; (x,y)</code>	$\text{pair} \stackrel{\text{def}}{=} \lambda xyf.f x y$
<code>fst</code>	$\text{fst} \stackrel{\text{def}}{=} \lambda p.p \text{ true}$
<code>snd</code>	$\text{snd} \stackrel{\text{def}}{=} \lambda p.p \text{ false}$

## Example

$$\text{fst} (\text{pair } \bar{m} \bar{n}) \rightarrow_{\beta}^* \bar{m}$$



# Lists

## OCaml vs. $\lambda$ -Calculus

```
::  
hd  
tl  
[]  
fun x -> x = []
```

## Lists

OCaml vs.  $\lambda$ -Calculus

<code>::</code>	<code>cons</code> $\stackrel{\text{def}}{=} \lambda xy.$	<code>pair x y</code>
<code>hd</code>		
<code>tl</code>		
<code>[]</code>		
<code>fun x -&gt; x = []</code>		

# Lists

## OCaml vs. $\lambda$ -Calculus

```
::                                cons  $\stackrel{\text{def}}{=} \lambda xy.\text{pair false (pair x y)}$   
hd  
tl  
[]  
fun x -> x = []
```

# Lists

## OCaml vs. $\lambda$ -Calculus

```
::                cons  $\stackrel{\text{def}}{=} \lambda xy. \text{pair } \text{false } (\text{pair } x y)$   
hd                hd  $\stackrel{\text{def}}{=} \lambda z. \text{fst } (\text{snd } z)$   
tl  
[]  
fun x -> x = []
```

# Lists

## OCaml vs. $\lambda$ -Calculus

```
::           cons  $\stackrel{\text{def}}{=} \lambda xy. \text{pair } \text{false} (\text{pair } x y)$   
hd          hd  $\stackrel{\text{def}}{=} \lambda z. \text{fst } (\text{snd } z)$   
tl          tl  $\stackrel{\text{def}}{=} \lambda z. \text{snd } (\text{snd } z)$   
[]  
fun x -> x = []
```

## Lists

OCaml vs.  $\lambda$ -Calculus

<code>::</code>	$\text{cons} \stackrel{\text{def}}{=} \lambda xy.\text{pair false (pair } x \ y)$
<code>hd</code>	$\text{hd} \stackrel{\text{def}}{=} \lambda z.\text{fst (snd } z)$
<code>tl</code>	$\text{tl} \stackrel{\text{def}}{=} \lambda z.\text{snd (snd } z)$
<code>[]</code>	$\text{nil} \stackrel{\text{def}}{=} \lambda x.x$
<code>fun x -&gt; x = []</code>	

## Lists

OCaml vs.  $\lambda$ -Calculus

<code>::</code>	$\text{cons} \stackrel{\text{def}}{=} \lambda xy. \text{pair } \text{false} (\text{pair } x \ y)$
<code>hd</code>	$\text{hd} \stackrel{\text{def}}{=} \lambda z. \text{fst } (\text{snd } z)$
<code>tl</code>	$\text{tl} \stackrel{\text{def}}{=} \lambda z. \text{snd } (\text{snd } z)$
<code>[]</code>	$\text{nil} \stackrel{\text{def}}{=} \lambda x. x$
<code>fun x -&gt; x = []</code>	$\text{null} \stackrel{\text{def}}{=} \text{fst}$

## Lists

OCaml vs.  $\lambda$ -Calculus

<code>::</code>	$\text{cons} \stackrel{\text{def}}{=} \lambda xy. \text{pair false (pair } x \ y)$
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<code>tl</code>	$\text{tl} \stackrel{\text{def}}{=} \lambda z. \text{snd (snd } z)$
<code>[]</code>	$\text{nil} \stackrel{\text{def}}{=} \lambda x. x$
<code>fun x -&gt; x = []</code>	$\text{null} \stackrel{\text{def}}{=} \text{fst}$

## Example

$$\text{null nil} \rightarrow_{\beta}^* \text{fst}$$



## Lists

OCaml vs.  $\lambda$ -Calculus

<code>::</code>	$\text{cons} \stackrel{\text{def}}{=} \lambda xy. \text{pair false (pair } x \ y)$
<code>hd</code>	$\text{hd} \stackrel{\text{def}}{=} \lambda z. \text{fst (snd } z)$
<code>tl</code>	$\text{tl} \stackrel{\text{def}}{=} \lambda z. \text{snd (snd } z)$
<code>[]</code>	$\text{nil} \stackrel{\text{def}}{=} \lambda x. x$
<code>fun x -&gt; x = []</code>	$\text{null} \stackrel{\text{def}}{=} \text{fst}$

## Example

$$\text{null nil} \rightarrow_{\beta}^* \text{true}$$

# Recursion

## OCaml

```
let rec length x = if x = [] then 0
                  else 1 + length(tl x)
```

## $\lambda$ -Calculus

$$\text{length} \stackrel{\text{def}}{=} \lambda x. \text{if } (\text{null } x) \bar{0} (\text{add } \bar{1} (\text{length } (\text{tl } x)))$$

# Recursion

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$$\text{length} \stackrel{\text{def}}{=} \lambda f x. \text{if } (\text{null } x) \bar{0} (\text{add } \bar{1} (f (\text{tl } x)))$$

# Recursion

## OCaml

```
let rec length x = if x = [] then 0
                   else 1 + length(tl x)
```

## $\lambda$ -Calculus

$$\text{length} \stackrel{\text{def}}{=} \mathbf{Y} (\lambda f x. \text{if } (\text{null } x) \bar{0} (\text{add } \bar{1} (f (\text{tl } x))))$$

# Recursion

## OCaml

```
let rec length x = if x = [] then 0
                  else 1 + length(tl x)
```

## $\lambda$ -Calculus

$$\text{length} \stackrel{\text{def}}{=} \mathbf{Y} (\lambda f x. \text{if } (\text{null } x) \bar{0} (\text{add } \bar{1} (f (\text{tl } x))))$$

## Definition (Y-combinator)

$$\mathbf{Y} \stackrel{\text{def}}{=} \lambda f. (\lambda x. f (x x)) (\lambda x. f (x x))$$

$\mathbf{Y}$  has fixed point property, i.e., for all  $t \in \mathcal{T}(\mathcal{V})$

$$\mathbf{Y} t \leftrightarrow^* t (\mathbf{Y} t)$$

# Recursion

## OCaml

```
let rec length x = if x = [] then 0
                  else 1 + length(tl x)
```

## $\lambda$ -Calculus

$$\text{length} \stackrel{\text{def}}{=} \mathbf{Y} (\lambda f x. \text{if } (\text{null } x) \bar{0} (\text{add } \bar{1} (f (\text{tl } x))))$$

## Definition (Y-combinator)

$$\mathbf{Y} \stackrel{\text{def}}{=} \lambda f. (\lambda x. f (x x)) (\lambda x. f (x x))$$

$\mathbf{Y}$  has **fixed point property**, i.e., for all  $t \in \mathcal{T}(\mathcal{V})$

$$\mathbf{Y} t \leftrightarrow^* t (\mathbf{Y} t)$$

# Overview

- Week 6 -  $\lambda$  Calculus, Evaluation Strategies
  - Summary of Week 5
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- consider `let d x = x + x`
- the term `d (d 2)` can be evaluated as follows

`d (d 2)`

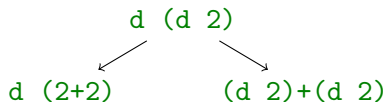
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`d (d 2)`  
↙  
`d (2+2)`

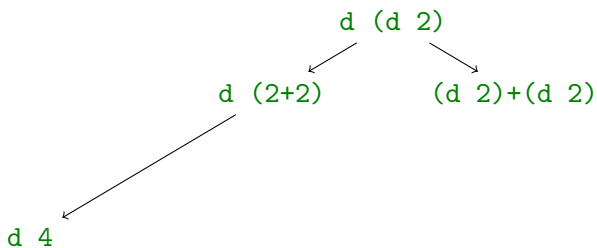
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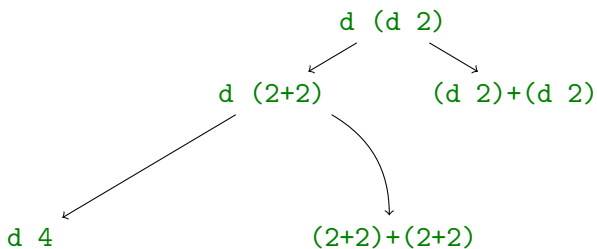
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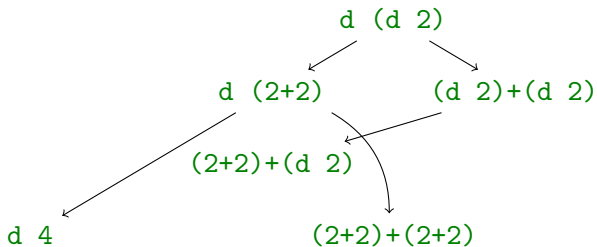
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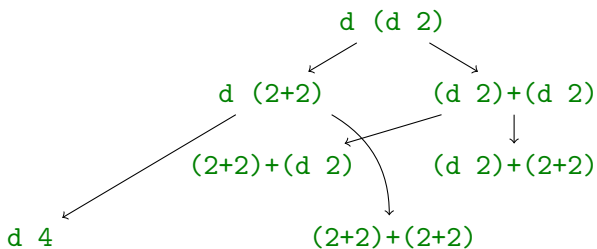
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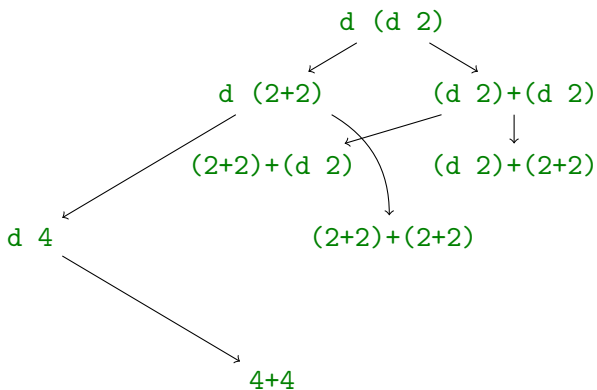
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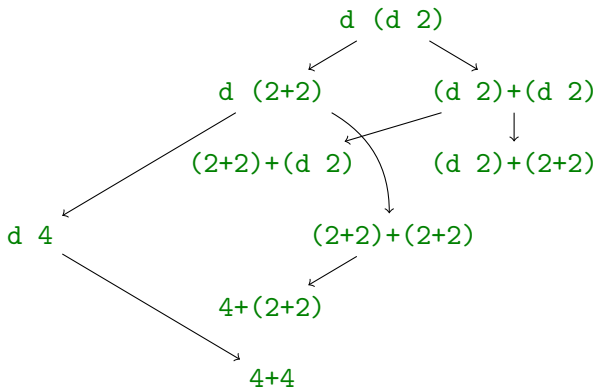
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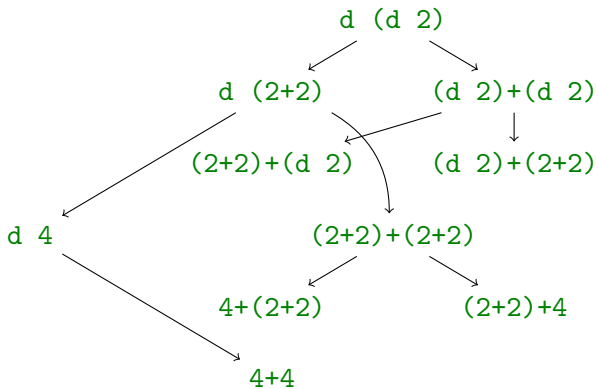
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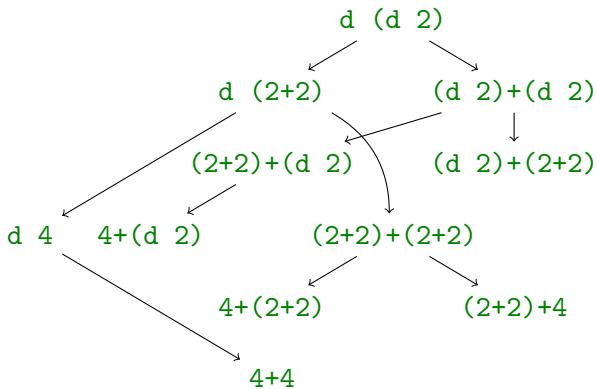
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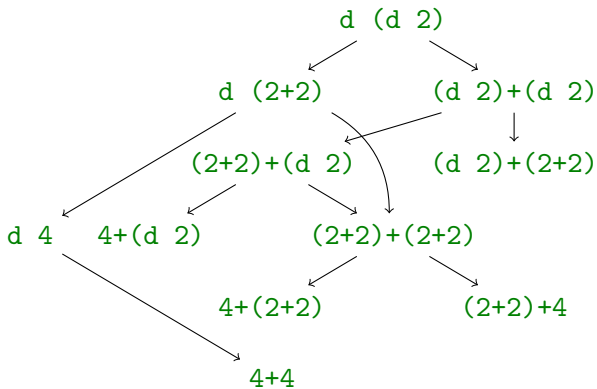
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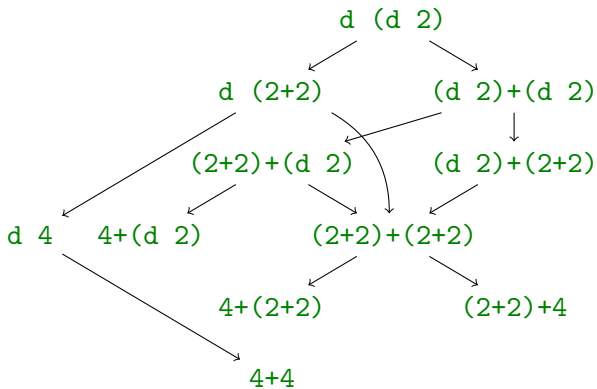
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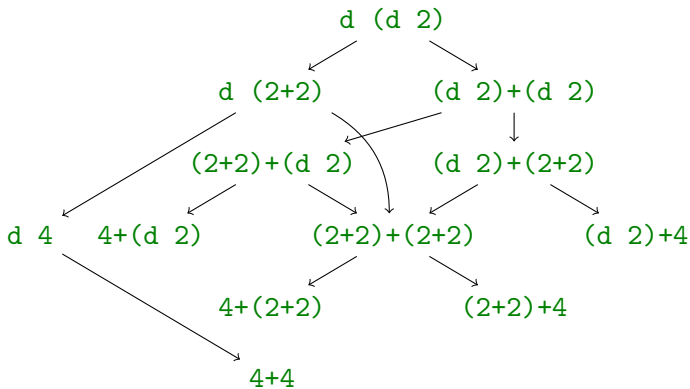
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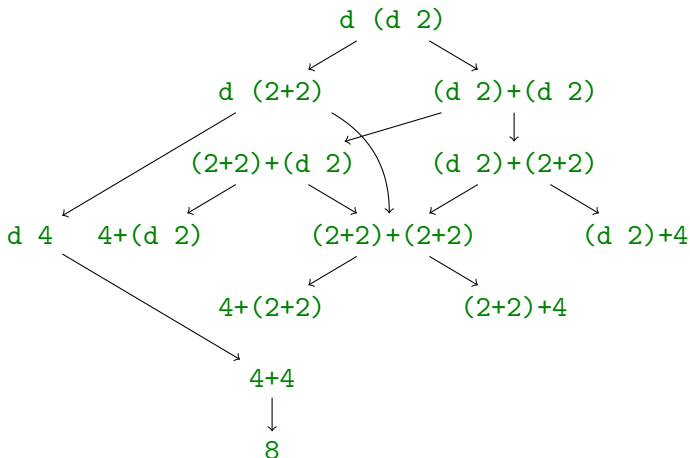
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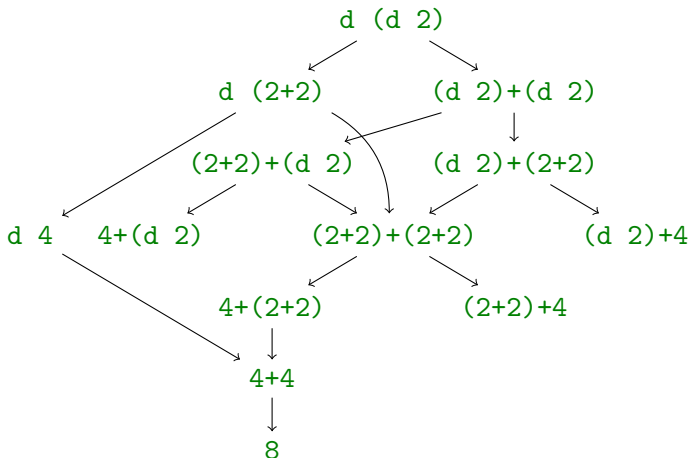
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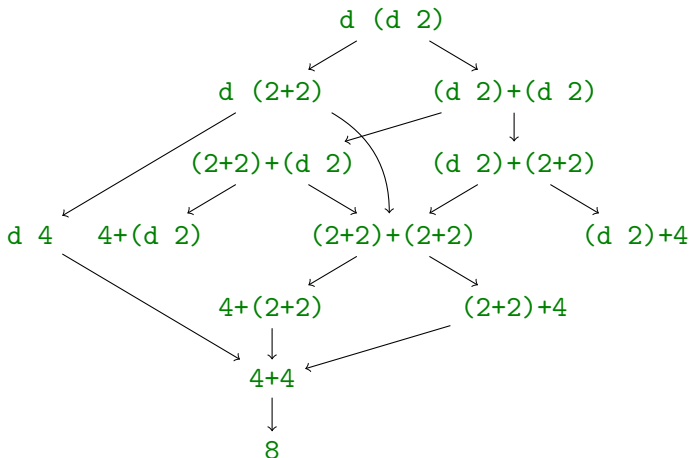
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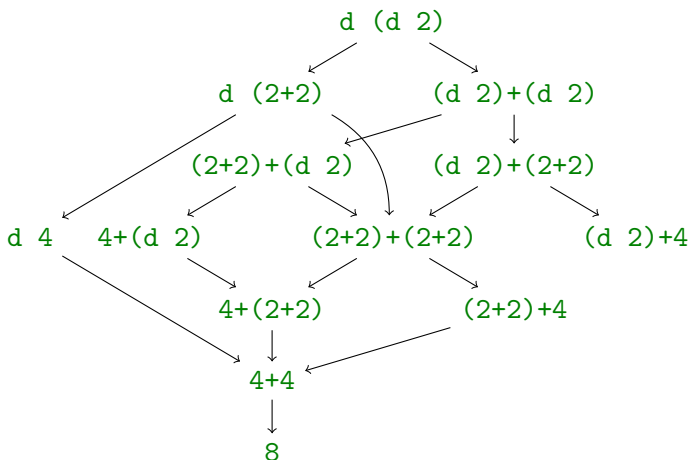
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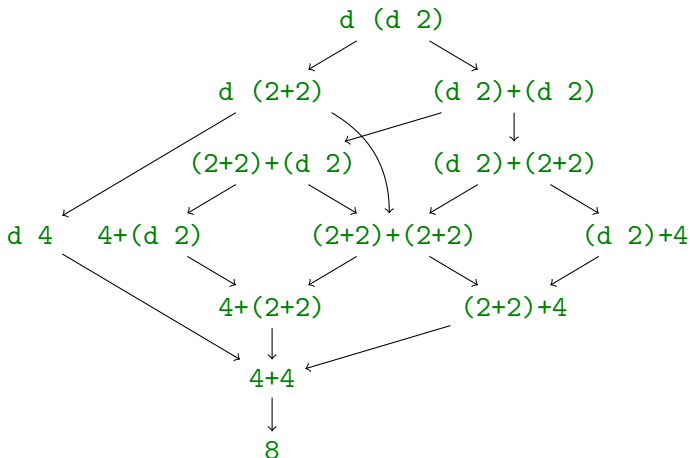
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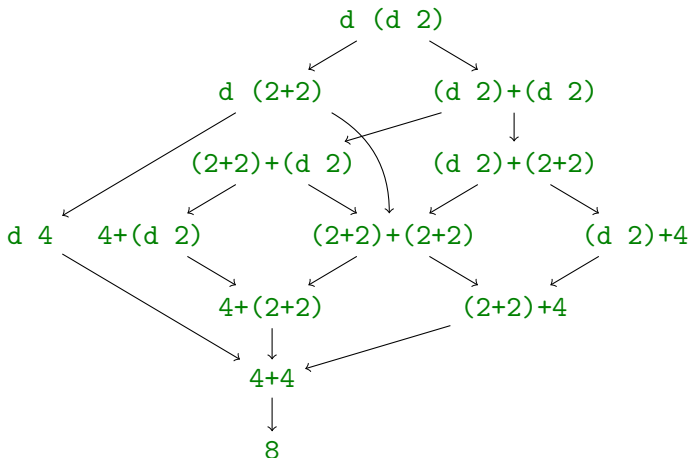
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# Strategies

## Strategy

- fixes evaluation order
- examples: **call-by-value** and **call-by-name**

## Example

```
let d x = x + x
```

- call-by-value:

$$\begin{aligned} d (d 2) &\rightarrow d (2+2) \\ &\rightarrow d 4 \\ &\rightarrow 4 + 4 \\ &\rightarrow 8 \end{aligned}$$

- call-by-name:

$$\begin{aligned} d (d 2) &\rightarrow (d 2)+(d 2) \\ &\rightarrow (2+2)+(d 2) \\ &\rightarrow 4+(d 2) \\ &\rightarrow 4+(2+2) \\ &\rightarrow 4+4 \\ &\rightarrow 8 \end{aligned}$$

# (Leftmost) Innermost Reduction

- always reduce leftmost innermost redex

## Definition

redex  $t$  of term  $u$  is **innermost** if it does not contain a redex as **proper** subterm, i.e.,

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# Call-by-Name

- use outermost reduction
- corresponds to lazy evaluation (without memoization), e.g., Haskell
- slight modification: only reduce terms that are not in WHNF

# Overview

- Week 6 -  $\lambda$  Calculus, Evaluation Strategies
  - Summary of Week 5
  - $\lambda$ -Calculus - Data Types
  - Evaluation Strategies
  - The  $\lambda$  Tree Tool LTT



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## Conventions

- nested abstractions use spaces to separate variable names, e.g.,

$$\begin{array}{ll} \lambda xy . x & \backslash x \ y . x \\ \lambda x_1 . y & \backslash x_1 . y \end{array}$$

# Result

## Animator

- animation of  $\beta$ -steps
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- reduction sequence to NF
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