# Automated Reasoning 

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## Happy New Year!

## Summary Last Lecture

Definition

- a literal $L$ is maximal if $\exists$ ground $\sigma$ such that for no other literal $M$ : $M \sigma \succ_{L} L \sigma$
- $L$ is strictly maximal if $\exists$ ground $\sigma$ such that for no other literal $M$ : $M \sigma \succcurlyeq_{\mathrm{L}} L \sigma$; here $\succcurlyeq_{\mathrm{L}}$ denotes the reflexive closure

Definition
ordered resolution

$$
\frac{C \vee A \quad D \vee \neg B}{(C \vee D) \sigma}
$$

ordered factoring

$$
\frac{C \vee A \vee B}{(C \vee A) \sigma}
$$

$1 \sigma$ is a mgu of the atomic formulas $A$ and $B$
$2 A \sigma$ is strictly maximal with respect to $C \sigma ; \neg B \sigma$ is maximal with respect to $D \sigma$

## Definition

subsumption and resolution can be combined in the following ways
1 forward subsumption newly derived clauses subsumed by existing clauses are deleted
2 backward subsumption
existing clauses $C$ subsumed by newly derived clauses $D$ become inactive
inactive clauses are reactivated, if $D$ is no ancestor of current clause
3 replacement
the set of all clauses (derived and intital) are frequently reduced under subsumption

## Theorem

(ordered) resolution is complete under forward subsumption and
tautology elimination

## Outline of the Lecture

Early Approaches in Automated Reasoning
short recollection of Herbrand's theorem, Gilmore's prover, method of Davis and Putnam

## Starting Points

resolution, tableau provers, Skolemisation, ordered resolution, redundancy and deletion

## Automated Reasoning with Equality

paramodulation, ordered completion and proof orders, superposition

Applications of Automated Reasoning
Neuman-Stubblebinde Key Exchange Protocol, group theory, resolution and paramodulation as decision procedure, ...

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## Paramodulation Calculus

## Definition

- let $\square$ be a fresh constant; let $\mathcal{L}$ be our basic language
- terms of $\mathcal{L} \cup\{\square\}$ such that $\square$ occurs exactly once, are called contexts
- empty context is denoted as $\square$
- for context $C[\square]$ and a term $t$ we write $C[t]$ for the replacement of $\square$ by $t$


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- for context $C[\square]$ and a term $t$ we write $C[t]$ for the replacement of $\square$ by $t$


## Example

- let $\mathcal{L}=\{c, f, P\}$
- $P(f(\square))=: C[\square]$ is a context
- $C[f(c)]=P(f(f(c)))$


## Definition

$$
\frac{C \vee A D \vee \neg B}{(C \vee D) \sigma_{1}} \quad \frac{C \vee A \vee B}{(C \vee A) \sigma_{1}}
$$

- $\sigma_{1}$ is a mgu of $A$ and $B(A, B$ atomic $)$


## Definition

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\begin{gathered}
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## Example

consider $\mathcal{C}=\{\mathrm{c} \neq \mathrm{d}, \mathrm{b}=\mathrm{d}, \mathrm{a} \neq \mathrm{d} \vee \mathrm{a}=\mathrm{c}, \mathrm{a}=\mathrm{b} \vee \mathrm{a}=\mathrm{d}\}$

$$
\begin{aligned}
& \frac{b=d \quad a=b \vee a=d}{\frac{a=d \vee a=d}{a=d}} \quad c \neq d \\
& \frac{a \neq c}{} \quad \square
\end{aligned} \quad \frac{a=d \quad a \neq d \vee a=c}{\frac{d \neq d \vee a=c}{a=c}}
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- define the paramodulation operator $\operatorname{Resp}(\mathcal{C})$ as follows:
$\operatorname{Res}_{P}(\mathcal{C})=\{D \mid D$ is paramodulant, etc. with premises in $\mathcal{C}\}$


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## Theorem

paramodulation is sound and complete: if $F$ is a sentence and $\mathcal{C}$ its clause form, then $F$ is unsatisfiable iff $\square \in \operatorname{Res}_{\mathrm{p}}^{*}(\mathcal{C})$

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## Proof Plan.

lemmas


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Proof $\mathrm{P}\left[\begin{array}{l}\mathcal{C} \text { set of consistent ground clauses } \\ \Rightarrow \mathcal{C} \text { admits satisfaction properties }\end{array}\right.$

## A Problem with Lifting

## Claim

- let $\tau_{1}$ and $\tau_{2}$ be a ground and consider

$$
\frac{C \tau_{1} \vee(s=t) \tau_{1} \quad D \tau_{2} \vee L \tau_{2}\left[s^{\prime} \tau_{2}\right]}{C \tau_{1} \vee D \tau_{2} \vee L \tau_{2}\left[t \tau_{2}\right]}
$$

where $s \tau_{1}=s^{\prime} \tau_{2}$

- $\exists \mathrm{mgu} \sigma$ of $s$ and $s^{\prime}$, such that $\sigma$ is more general then $\tau_{1}$ and $\tau_{2}$ and the following paramodulation step is valid

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\frac{C \vee s=t \quad D \vee L\left[s^{\prime}\right]}{(C \vee D \vee L[t]) \sigma}
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## Fact

observe that paramodulation into variables is allowed

## Example

- consider the following unit clauses

$$
\mathrm{a}=\mathrm{b} \quad \mathrm{f}(x)=\mathrm{c}
$$

the only possible (non-ground) paramodulation inference is $f(b)=c$

- consider the following ground step:

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\frac{a=b \quad f(f(a))=c}{f(f(b))=c}
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## $\qquad$

$$
-2-2
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- we add the functional reflexivity equation $f(x)=f(x)$ from which we get $f(a)=f(b)$ by paramodulation into a variable
- then lifting becomes possible (using two steps)

$$
\frac{a=b \quad f(x)=f(x)}{\frac{f(a)=f(b)}{f(f(b))=c}} f(x)=c
$$

## Definition

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- let $\tau_{1}$ and $\tau_{2}$ be a ground and consider

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where $x \tau_{2}=f\left(s^{\prime} \tau_{3}\right)$ and $s \tau_{1}=s^{\prime} \tau_{3}$

- then the following paramodulation step is valid, trivially more general than the ground step

$$
\frac{C \vee s=t \quad f(x)=f(x)}{\frac{C \vee f(s)=f(t)}{C \vee D \vee L[f(t)]}} D \vee L[x]
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on the whiteboard

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## Theorem

paramodulation is sound and complete: if $F$ is a sentence and $\mathcal{C}$ its clause form (containing all functional reflexive equations), then $F$ is unsatisfiable iff $\square \in \operatorname{Res}_{\mathrm{P}}^{*}(\mathcal{C})$

## Proof.

in proof, we follow the standard procedure of combining model existence

+ (updated) lifting lemma


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Discussion

- alternative completenesss proof employs an adaption of the semantic tree argument
- paramodulation is inefficient
- one idea to reduce the search space is to combine ordered resolution with paramodulation: ordered paramodulation


## Ordered Paramodulation Calculus

Definition

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\begin{gathered}
\frac{C \vee A \quad D \vee \neg B}{(C \vee D) \sigma_{1}} \\
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- same conditions on $\sigma_{1}, \sigma_{2}$ as before
- $A \sigma_{1}$ is strictly maximal with respect to $C \sigma_{1} ; \neg B \sigma_{1}$ is maximal with respect to $D \sigma_{1}$
- the equation $(s=t) \sigma_{2}$ and the literal $L\left[s^{\prime}\right] \sigma_{2}$ are maximal with respect to $D \sigma_{2}$


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Theorem
ordered paramodulation is sound and complete

$$
\int
$$

$$
c \neq d \quad b=d \quad a \neq d \vee a=c \quad a=b \vee a=d
$$

together with the literal order:

$$
\begin{gathered}
\mathrm{a} \neq \mathrm{b} \succ \mathrm{a}=\mathrm{b} \succ \mathrm{a} \neq \mathrm{c} \succ \mathrm{a}=\mathrm{c} \succ \mathrm{a} \neq \mathrm{d} \succ \mathrm{a}=\mathrm{d} \\
\succ \mathrm{~b} \neq \mathrm{d} \succ \mathrm{~b}=\mathrm{d} \succ \mathrm{c} \neq \mathrm{d} \succ \mathrm{c}=\mathrm{d}
\end{gathered}
$$

the following derivation is no longer admissible

$$
\frac{b=d \quad a=b \vee a=d}{\frac{a=d \vee a=d}{a=d}} \quad c \neq d \quad \frac{a \neq d \quad a \neq d \vee a=c}{\frac{d \neq c}{a=c}}
$$

Ordered Paramoduation Calculus

保

# Example re-consider $\mathcal{C}$ <br> Example re-consider $\mathcal{C}$ 

$\frac{b=d \quad a=b \vee a=d}{\frac{a=d \vee a=d}{a=d}}$
$\frac{a \neq c}{} \quad c \neq d$$\quad \frac{\square=d \quad a \neq d \vee a=c}{\frac{d \neq d \vee a=c}{a=c}}$

$$
c \neq d \quad b=d \quad a \neq d \vee a=c \quad a=b \vee a=d
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# Example re-consider $\mathcal{C}$ <br> Example re-consider $\mathcal{C}$ 

$\frac{b=d \quad a=b \vee a=d}{\frac{a=d \vee a=d}{a=d}}$
$\frac{a \neq c}{} \quad c \neq d$
$\frac{a=d \quad a \neq d \vee a=c}{a=c}$
$\square$

$$
\begin{aligned}
& \text { cont' } \mathrm{d}) \\
& \qquad \begin{aligned}
\mathrm{a} \neq \mathrm{b} & \succ \mathrm{a}=\mathrm{b} \succ \mathrm{a} \neq \mathrm{c} \succ \mathrm{a}=\mathrm{c} \succ \mathrm{a} \neq \mathrm{d} \succ \mathrm{a}=\mathrm{d} \\
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the following derivation is admissible

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\quad \frac{b=d \quad a=b \vee a=d}{\frac{a=d \vee a=d}{a=d}} \quad \frac{\square}{a=d \quad a \neq d \vee a=c} \\
\frac{c \neq d}{} \quad \frac{d \neq d \vee c=d}{c=d} \\
\square
\end{gathered}
$$

## Example (cont'd)

- 

$\square$

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Cot

Example (cont'd)

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& \square
\end{array}
$$

## Discussion

- ordered paramodulation is still too ineffienct
- various refinements have been introduced, one is the superposition calculus


## Employ Rewriting Techniques

## Definitions

- rewrite relation...


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- rewrite relation...
- normal form ...


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- an equation $s=t$ converges (or has a rewrite proof) in $\mathcal{R}$ if $s$ and $t$ are joinable: $s \downarrow t$


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## Facts

1 a convergent (confluent \& terminating) TRS forms a decision procedure for the underlying equational theory: $s \leftrightarrow^{*} t$ iff $s \downarrow t$

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Facts
1 a convergent (confluent \& terminating) TRS forms a decision procedure for the underlying equational theory: $s \leftrightarrow^{*} t$ iff $s \downarrow t$
2 normalisation in a convergent TRS amounts to a don't care nondeterminism

## Completion

Definition (superposition of rewrite rules)

$$
\frac{s \rightarrow t \quad w[u] \rightarrow v}{(w[t]=v) \sigma}
$$

$\sigma$ mgu of $s$ and $u$ and $u$ not a variable; then $(w[t]=v) \sigma$ is a critical pair

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Theorem
a terminating TRS $\mathcal{R}$ is confluent iff all critical pairs between rules in $\mathcal{R}$ converge

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$\sigma$ mgu of $s$ and $u$ and $u$ not a variable; then $(w[t]=v) \sigma$ is a critical pair

Theorem
a terminating TRS $\mathcal{R}$ is confluent iff all critical pairs between rules in $\mathcal{R}$ converge

## Example

LPO is not total; $x, y, u, v$ variables:

$$
\mathrm{f}(x, y) \nsucc_{\mathrm{Ipo}} \mathrm{f}(u, w) \quad \mathrm{f}(u, w) \nsucc_{\mathrm{Ipo}} \mathrm{f}(x, y)
$$

## Ordered Rewriting

## Definitions

- reduction orders that are total on ground terms are called complete
- $\succ$ a reduction order; $\mathcal{E}$ a set of equations; consider

$$
\mathcal{E}^{\succ}=\{s \sigma \rightarrow t \sigma \mid s=t \in \mathcal{E}, s \sigma \succ t \sigma\}
$$

- rules in $\mathcal{E}^{\succ}$ are called reductive instances of equations in $\mathcal{E}$
- rewrite relation $\rightarrow_{\mathcal{E} \succ}$ represents ordered rewriting


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- rewrite relation $\rightarrow_{\mathcal{E} \succ}$ represents ordered rewriting


## Example

- let $\succ_{\text {lpo }}$ be a LPO induced by the precedence $+\succ \mathrm{a} \succ \mathrm{b} \succ \mathrm{c}$
- $\mathrm{b}+\mathrm{c} \succ_{\text {Ipo }} \mathrm{c}+\mathrm{b} \succ_{\text {Ipo }} \mathrm{c}$
- commutativity $x+y=y+x$ yields the ordered rewrite derivation:

$$
(\mathrm{b}+\mathrm{c})+\mathrm{c} \rightarrow(\mathrm{c}+\mathrm{b})+\mathrm{c} \rightarrow \mathrm{c}+(\mathrm{c}+\mathrm{b})
$$

Definition
equations $\mathcal{E}$ are ground convergent wrt $\succ$ if $\mathcal{E}^{\succ}$ is ground convergent

Definition
equations $\mathcal{E}$ are ground convergent wrt $\succ$ if $\mathcal{E} \succ$ is ground convergent

Definition (superposition with equations)

$$
\frac{s=t \quad w[u]=v}{(w[t]=v) \sigma}
$$

- $\sigma$ is mgu of $s$ and $u$; $t \sigma \nsucceq s \sigma, v \sigma \nsucceq w[u] \sigma$ and $u$ is not a variable - $(w[t]=v) \sigma$ is an ordered critical pair


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- $(w[t]=v) \sigma$ is an ordered critical pair


## Theorem

$\succ$ a complete reduction order; a set of equations $E$ is ground convergent wrt $\succ$ iff $\forall$ ordered critical pairs $(w[t]=v) \sigma$ (with overlapping term $w[u] \sigma$ ) and $\forall$ ground substitutions $\tau$ : if $w[u] \sigma \tau \succ w[t] \sigma \tau$ and $w[u] \sigma \tau \succ v \sigma \tau$ then $w[t] \sigma \tau \downarrow v \sigma \tau$

## Ordered Completion

 deduction$$
\begin{array}{r}
\mathcal{E} ; \mathcal{R} \vdash \mathcal{E} \cup\{s=t\} ; \mathcal{R} \\
\text { if } s \leftrightarrow \mathcal{E} \cup \mathcal{R} w \leftrightarrow \mathcal{E} \cup \mathcal{R} t, s \nsucceq w, t \nsucceq w
\end{array}
$$

## Ordered Completion

deduction
orientation

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$$
\mathcal{E} \cup\{s=t\} ; \mathcal{R} \vdash \mathcal{E} ; \mathcal{R} \cup\{s \rightarrow t\} \quad \text { if } s \succ t
$$

## Ordered Completion

deduction
orientation
deletion

$$
\begin{aligned}
& \mathcal{E} ; \mathcal{R} \vdash \mathcal{E} \cup\{s=t\} ; \mathcal{R} \\
& \text { if } s \leftrightarrow \mathcal{E} \cup \mathcal{R} w \leftrightarrow \mathcal{E} \cup \mathcal{R} t, s \nsucceq w, t \nsucceq w \\
& \mathcal{E} \cup\{s=t\} ; \mathcal{R} \vdash \mathcal{E} ; \mathcal{R} \cup\{s \rightarrow t\} \\
& \mathcal{E} \cup\{s=s\} ; \mathcal{R} \vdash \mathcal{E} ; \mathcal{R}
\end{aligned} \quad \text { if } s \succ t
$$

## Ordered Completion

deduction
orientation
deletion simplification

$$
\begin{array}{r}
\mathcal{E} ; \mathcal{R} \vdash \mathcal{E} \cup\{s=t\} ; \mathcal{R} \\
\text { if } s \leftrightarrow \mathcal{E} \cup \mathcal{R} w \leftrightarrow_{\mathcal{E} \cup \mathcal{R}} t, s \nsucceq w, t \nsucceq w
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$$

$$
\mathcal{E} \cup\{s=t\} ; \mathcal{R} \vdash \mathcal{E} ; \mathcal{R} \cup\{s \rightarrow t\} \quad \text { if } s \succ t
$$

$$
\mathcal{E} \cup\{s=s\} ; \mathcal{R} \vdash \mathcal{E} ; \mathcal{R}
$$

$$
\mathcal{E} \cup\{s=t\} ; \mathcal{R} \vdash \mathcal{E} \cup\{u=t\} ; \mathcal{R} \quad \text { if } s \rightarrow_{\mathcal{R}} u
$$

## Ordered Completion

deduction
orientation
deletion simplification composition

$$
\begin{array}{r}
\mathcal{E} ; \mathcal{R} \vdash \mathcal{E} \cup\{s=t\} ; \mathcal{R} \\
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$$

$$
\mathcal{E} \cup\{s=t\} ; \mathcal{R} \vdash \mathcal{E} ; \mathcal{R} \cup\{s \rightarrow t\} \quad \text { if } s \succ t
$$

$$
\mathcal{E} \cup\{s=s\} ; \mathcal{R} \vdash \mathcal{E} ; \mathcal{R}
$$

$$
\mathcal{E} \cup\{s=t\} ; \mathcal{R} \vdash \mathcal{E} \cup\{u=t\} ; \mathcal{R} \quad \text { if } s \rightarrow_{\mathcal{R}} u
$$

$$
\mathcal{E} ; \mathcal{R} \cup\{s \rightarrow t\} \vdash \mathcal{E} ; \mathcal{R} \cup\{s \rightarrow u\} \quad \text { if } r \rightarrow_{\mathcal{R}} u
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## Ordered Completion

deduction
orientation
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\mathcal{E} ; \mathcal{R} \cup\{s \rightarrow t\} \vdash \mathcal{E} ; \mathcal{R} \cup\{s \rightarrow u\} \quad \text { if } r \rightarrow_{\mathcal{R}} u
$$

collapse
$\mathcal{E} ; \mathcal{R} \cup\{s[w] \rightarrow t\} \vdash \mathcal{E} \cup\{s[u]=t\} ; \mathcal{R}$ if $w \rightarrow_{\mathcal{R}} u$ and either $t \succ u$ or $w \neq s[w]$

## Ordered Completion

deduction

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orientation

$$
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$$

deletion

$$
\mathcal{E} \cup\{s=s\} ; \mathcal{R} \vdash \mathcal{E} ; \mathcal{R}
$$

simplification

$$
\begin{aligned}
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if $w \rightarrow_{\mathcal{R}} u$ and either $t \succ u$ or $w \neq s[w]$

## Definition

- a sequence $\left(\mathcal{E}_{0} ; \mathcal{R}_{0}\right) \vdash\left(\mathcal{E}_{1} ; \mathcal{R}_{1}\right) \vdash \ldots$ is called a derivation usually $\mathcal{E}_{0}$ is the set of initial equations and $\mathcal{R}_{0}=\varnothing$


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## Definition

- a proof of $s=t$ wrt $\mathcal{E} ; \mathcal{R}$ is

$$
s=s_{0} \rho_{0} s_{1} \rho_{1} s_{2} \cdots s_{n-1} \rho_{n-1} s_{n}=t \quad n \geqslant 0
$$

$1\left(s_{i} \rho_{i} s_{i+1}\right)=(w[u \sigma] \leftrightarrow w[v \sigma])$ with $u=v \in \mathcal{E}$
2 $\left(s_{i} \rho_{i} s_{i+1}\right)=(w[u \sigma] \rightarrow w[v \sigma])$ with $u \rightarrow v \in \mathcal{E}^{\succ} \cup \mathcal{R}$
$3\left(s_{i} \rho_{i} s_{i+1}\right)=(w[u \sigma] \leftarrow w[v \sigma])$ with $v \rightarrow u \in \mathcal{E}^{\succ} \cup \mathcal{R}$

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- a proof of form

$$
s=s_{0} \rightarrow s_{1} \rightarrow s_{2} \cdots \rightarrow s_{m} \leftarrow \cdots s_{n-1} \leftarrow s_{n}=t
$$

is called rewrite proof

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$$

is called rewrite proof

## Fact

$1 \exists$ rewrite proof iff the equations converge wrt $\mathcal{R} \cup \mathcal{E}^{\succ}$
2 whenever $\mathcal{E} ; \mathcal{R} \vdash \mathcal{E}^{\prime} ; \mathcal{R}^{\prime}$ then the same equations are provable in $\mathcal{E} ; \mathcal{R}$ as in $\mathcal{E}^{\prime} ; \mathcal{R}^{\prime} ;$ however proofs may become simpler


[^0]:    $\square$

