

# Automated Reasoning

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## Definition

subsumption and resolution can be combined in the following ways

### 1 forward subsumption

newly derived clauses subsumed by existing clauses are deleted

### 2 backward subsumption

existing clauses  ${\it C}$  subsumed by newly derived clauses  ${\it D}$  become inactive

inactive clauses are reactivated, if D is no ancestor of current clause

### 3 replacement

the set of all clauses (derived and intital) are frequently reduced under subsumption

### Theorem

(ordered) resolution is complete under forward subsumption and tautology elimination

# Summary Last Lecture

### Definition

- a literal L is maximal if ∃ ground σ such that for no other literal M: Mσ ≻<sub>L</sub> Lσ
- L is strictly maximal if ∃ ground σ such that for no other literal M: Mσ ≽<sub>L</sub> Lσ; here ≽<sub>L</sub> denotes the reflexive closure

# Definition

ordered resolution  $\frac{C \lor A \quad D \lor \neg B}{(C \lor D)\sigma}$  ordered factoring

 $\frac{C \lor A \lor B}{(C \lor A)\sigma}$ 

- 1  $\sigma$  is a mgu of the atomic formulas A and B
- **2**  $A\sigma$  is strictly maximal with respect to  $C\sigma$ ;  $\neg B\sigma$  is maximal with respect to  $D\sigma$

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### ummary

# Outline of the Lecture

### Early Approaches in Automated Reasoning

short recollection of Herbrand's theorem, Gilmore's prover, method of Davis and Putnam

### Starting Points

resolution, tableau provers, Skolemisation, ordered resolution, redundancy and deletion

Automated Reasoning with Equality

paramodulation, ordered completion and proof orders, superposition

## Applications of Automated Reasoning

Neuman-Stubblebinde Key Exchange Protocol, group theory, resolution and paramodulation as decision procedure, ...

# Paramodulation Calculus

## Definition

- let  $\square$  be a fresh constant; let  $\mathcal L$  be our basic language
- terms of  $\mathcal{L} \cup \{ \Box \}$  such that  $\Box$  occurs exactly once, are called contexts
- empty context is denoted as  $\square$
- for context C[□] and a term t we write C[t] for the replacement of □ by t

# Example

- let  $\mathcal{L} = \{c, f, P\}$
- $P(f(\Box)) =: C[\Box]$  is a context
- *C*[f(c)] = P(f(f(c)))

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#### Paramodulation

# Definition

- define the paramodulation operator  $\operatorname{Res}_{P}(\mathcal{C})$  as follows:
  - $\mathsf{Res}_{\mathsf{P}}(\mathcal{C}) = \{ D \mid D \text{ is paramodulant, etc. with premises in } \mathcal{C} \}$
- n<sup>th</sup> (unrestricted) iteration Res<sup>n</sup><sub>P</sub> (Res<sup>\*</sup><sub>P</sub>) of the operator Res<sub>P</sub> is defined as before

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# Theorem





#### ramodulation

# Definition

$\frac{C \lor A  D \lor \neg B}{(C \lor D)\sigma_1}$	$\frac{C \lor A \lor B}{(C \lor A)\sigma_1}$
$\frac{C \lor s \neq s'}{C\sigma_2}$	$\frac{C \lor s = t  D \lor L[s']}{(C \lor D \lor L[t])\sigma_2}$

- $\sigma_1$  is a mgu of A and B (A, B atomic)
- $\sigma_2$  is a mgu of s and s'

## Example

consider 
$$C = \{c \neq d, b = d, a \neq d \lor a = c, a = b \lor a = d\}$$
  

$$\frac{b = d \quad a = b \lor a = d}{a = d \lor a = d}$$

$$\frac{a = d \quad c \neq d}{a \neq c}$$

$$\frac{a = d \quad a \neq d \lor a = c}{d \neq d \lor a = c}$$

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## Paramodulation

# A Problem with Lifting

## Claim

• let  $au_1$  and  $au_2$  be a ground and consider

$$\frac{C\tau_1 \vee (s=t)\tau_1 \quad D\tau_2 \vee L\tau_2[s'\tau_2]}{C\tau_1 \vee D\tau_2 \vee L\tau_2[t\tau_2]}$$

where  $s\tau_1 = s'\tau_2$ 

•  $\exists$  mgu  $\sigma$  of s and s', such that  $\sigma$  is more general then  $\tau_1$  and  $\tau_2$  and the following paramodulation step is valid

$$\frac{C \lor s = t \quad D \lor L[s']}{(C \lor D \lor L[t])\sigma}$$

# Fact

observe that paramodulation into variables is allowed

### Example

• consider the following unit clauses

$$a = b$$
  $f(x) = c$ 

the only possible (non-ground) paramodulation inference is  $f(\mathsf{b})=\mathsf{c}$ 

• consider the following ground step:

$$\frac{a = b \quad f(f(a)) = c}{f(f(b)) = c}$$

then no lifting is possible: oops  $\odot$ ...

- we add the functional reflexivity equation f(x) = f(x) from which we get f(a) = f(b) by paramodulation into a variable
- then lifting becomes possible (using two steps)

$$\frac{\mathbf{a} = \mathbf{b} \quad \mathbf{f}(x) = \mathbf{f}(x)}{\frac{\mathbf{f}(\mathbf{a}) = \mathbf{f}(\mathbf{b})}{\mathbf{f}(\mathbf{f}(\mathbf{b})) = \mathbf{c}}} \mathbf{f}(x) = \mathbf{c}$$

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#### Paramodulation

### Theorem

paramodulation is sound and complete: if F is a sentence and C its clause form (containing all functional reflexive equations), then F is unsatisfiable iff  $\Box \in \operatorname{Res}_{P}^{*}(C)$ 

## Proof.

in proof, we follow the standard procedure of combining model existence + (updated) lifting lemma  $\hfill\blacksquare$ 

## Discussion

- alternative completenesss proof employs an adaption of the semantic tree argument
- paramodulation is inefficient
- one idea to reduce the search space is to combine ordered resolution with paramodulation: ordered paramodulation

#### amodulation

# Definition

$$f(x_1, \ldots, x_n) = f(x_1, \ldots, x_n)$$
 is called functional reflexivity equation

### Lemma

• let  $au_1$  and  $au_2$  be a ground and consider

$$\frac{C\tau_1 \vee (s=t)\tau_1 \quad D\tau_2 \vee L\tau_2[x\tau_2]}{C\tau_1 \vee D\tau_2 \vee L\tau_2[f(t\tau_1)]}$$

where  $x\tau_2 = f(s'\tau_3)$  and  $s\tau_1 = s'\tau_3$ 

• then the following paramodulation step is valid, trivially more general than the ground step

$$\frac{C \lor s = t \quad f(x) = f(x)}{\frac{C \lor f(s) = f(t)}{C \lor D \lor L[f(t)]}} \frac{D \lor L[x]}{D \lor L[f(t)]}$$

### Proof.

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Ordered Paramodulation Calculus

# Ordered Paramodulation Calculus

## Definition

$$\frac{C \lor A \quad D \lor \neg B}{(C \lor D)\sigma_1} \qquad \qquad \frac{C \lor A \lor B}{(C \lor A)\sigma_1} \\
\frac{C \lor s \neq s'}{C\sigma_2} \qquad \qquad \frac{C \lor s = t \quad D \lor L[s']}{(C \lor D \lor L[t])\sigma_2}$$

- same conditions on  $\sigma_1$ ,  $\sigma_2$  as before
- Aσ<sub>1</sub> is strictly maximal with respect to Cσ<sub>1</sub>; ¬Bσ<sub>1</sub> is maximal with respect to Dσ<sub>1</sub>
- the equation  $(s = t)\sigma_2$  and the literal  $L[s']\sigma_2$  are maximal with respect to  $D\sigma_2$

## Theorem

ordered paramodulation is sound and complete

Example

re-consider  $\mathcal{C}$ 

 $\mathsf{c} \neq \mathsf{d} \quad \mathsf{b} = \mathsf{d} \quad \mathsf{a} \neq \mathsf{d} \lor \mathsf{a} = \mathsf{c} \quad \mathsf{a} = \mathsf{b} \lor \mathsf{a} = \mathsf{d}$ 

together with the literal order:

$$a \neq b \succ a = b \succ a \neq c \succ a = c \succ a \neq d \succ a = d$$
$$\succ b \neq d \succ b = d \succ c \neq d \succ c = d$$

the following derivation is no longer admissible

$$\frac{b = d \quad a = b \lor a = d}{a = d \lor a = d} \qquad \qquad \frac{\prod_{a = d \quad a \neq d \lor a = c}}{\frac{a \neq c}{a \neq c}} \qquad \qquad \frac{a = d \quad a \neq d \lor a = c}{\frac{d \neq d \lor a = c}{a = c}}$$

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#### Ordered Paramodulation Calculus

# Employ Rewriting Techniques

### Definitions

- rewrite relation ....
- normal form . . .
- reduction order ...
- lexicographic path order (LPO), reduction order ...
- confluent . . .
- an equation s = t converges (or has a rewrite proof) in R if s and t are joinable: s↓ t

### Facts

- **1** a convergent (confluent & terminating) TRS forms a decision procedure for the underlying equational theory:  $s \leftrightarrow^* t$  iff  $s \downarrow t$
- 2 normalisation in a convergent TRS amounts to a don't care nondeterminism

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Example (cont'd)

$$a \neq b \succ a = b \succ a \neq c \succ a = c \succ a \neq d \succ a = d$$
$$\succ b \neq d \succ b = d \succ c \neq d \succ c = d$$

the following derivation is admissible

$$\frac{b = d \quad a = b \lor a = d}{a = d \lor a = d} \quad \frac{a = d \quad a \neq d \lor a = c}{a \neq d \lor c = d}$$

$$\frac{d \neq d \lor c = d}{c = d}$$

### Discussion

- ordered paramodulation is still too ineffienct
- various refinements have been introduced, one is the superposition calculus

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### Completion

# Completion

Definition (superposition of rewrite rules)

$$\frac{s \to t \quad w[u] \to v}{(w[t] = v)\sigma}$$

 $\sigma$  mgu of s and u and u not a variable; then  $(w[t] = v)\sigma$  is a critical pair

### Theorem

a terminating TRS  ${\cal R}$  is confluent iff all critical pairs between rules in  ${\cal R}$  converge

# Example

LPO is not total; x, y, u, v variables:

 $f(x,y) \not\succ_{Ipo} f(u,w) \qquad f(u,w) \not\succ_{Ipo} f(x,y)$ 

# Ordered Rewriting

### Definitions

- reduction orders that are total on ground terms are called complete
- $\succ$  a reduction order;  $\mathcal E$  a set of equations; consider

 $\mathcal{E}^{\succ} = \{ s\sigma \to t\sigma \mid s = t \in \mathcal{E}, s\sigma \succ t\sigma \}$ 

- rules in  $\mathcal{E}^\succ$  are called reductive instances of equations in  $\mathcal{E}$
- rewrite relation  $\rightarrow_{\mathcal{E}^{\succ}}$  represents ordered rewriting

## Example

- let  $\succ_{\mathsf{Ipo}}$  be a LPO induced by the precedence  $+\succ$  a  $\succ$  b  $\succ$  c
- $b + c \succ_{Ipo} c + b \succ_{Ipo} c$
- commutativity x + y = y + x yields the ordered rewrite derivation:

 $(b+c)+c \rightarrow (c+b)+c \rightarrow c+(c+b)$ 

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#### Ordered Completion

Ordered Complet	ion	
deduction	$\mathcal{E}; \mathcal{R} dash \mathcal{E} \cup \{ m{s} = t \}; \mathcal{R}$	
	$ \text{ if } s \leftrightarrow_{\mathcal{E} \cup \mathcal{R}} w \leftrightarrow_{\mathcal{E} \cup \mathcal{R}} t \text{, } s \nsucceq w \text{, } t \nsucceq w \\$	
orientation	$\mathcal{E} \cup \{ s = t \}; \mathcal{R} dash \mathcal{E}; \mathcal{R} \cup \{ s  ightarrow t \}$	if $s \succ t$
deletion	$\mathcal{E} \cup \{s=s\}; \mathcal{R} \vdash \mathcal{E}; \mathcal{R}$	
simplification	$\mathcal{E} \cup \{s = t\}; \mathcal{R} \vdash \mathcal{E} \cup \{u = t\}; \mathcal{R}$	$\text{if } s \to_{\mathcal{R}} u$
composition	$\mathcal{E}; \mathcal{R} \cup \{s  ightarrow t\} \vdash \mathcal{E}; \mathcal{R} \cup \{s  ightarrow u\}$	$\text{if } r \to_{\mathcal{R}} u$
collapse	$\mathcal{E}; \mathcal{R} \cup \{s[w]  o t\} \vdash \mathcal{E} \cup \{s[u] = t\}; \mathcal{R}$	
	$ \text{if } w \to_{\mathcal{R}} u \text{ and either } t \succ u \text{ or } w \neq s[w] \\$	

# Definition

- a sequence  $(\mathcal{E}_0; \mathcal{R}_0) \vdash (\mathcal{E}_1; \mathcal{R}_1) \vdash \cdots$  is called a derivation usually  $\mathcal{E}_0$  is the set of initial equations and  $\mathcal{R}_0 = \emptyset$
- its limit is  $(\mathcal{E}_{\infty}; \mathcal{R}_{\infty})$ ; here  $\mathcal{E}_{\infty} = \bigcup_{i \ge 0} \bigcap_{j \ge i} \mathcal{E}_j$ ;  $\mathcal{R}_{\infty} = \bigcup_{i \ge 0} \bigcap_{j \ge i} \mathcal{R}_j$

#### Ordered Completion

## Definition

equations  ${\mathcal E}$  are ground convergent wrt  $\succ$  if  ${\mathcal E}^\succ$  is ground convergent

Definition (superposition with equations)

$$\frac{s=t \quad w[u]=v}{(w[t]=v)\sigma}$$

- $\sigma$  is mgu of s and u;  $t\sigma \not\geq s\sigma$ ,  $v\sigma \not\geq w[u]\sigma$  and u is not a variable
- $(w[t] = v)\sigma$  is an ordered critical pair

## Theorem

 $\succ$  a complete reduction order; a set of equations E is ground convergent wrt  $\succ$  iff  $\forall$  ordered critical pairs (w[t] = v) $\sigma$  (with overlapping term  $w[u]\sigma$ ) and  $\forall$  ground substitutions  $\tau$ : if  $w[u]\sigma\tau \succ w[t]\sigma\tau$  and  $w[u]\sigma\tau \succ v\sigma\tau$  then  $w[t]\sigma\tau \downarrow v\sigma\tau$ 

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#### rdered Completion

## Definition

• a proof of 
$$s = t$$
 wrt  $\mathcal{E}$ ;  $\mathcal{R}$  is  
 $s = s_0 \ \rho_0 \ s_1 \ \rho_1 \ s_2 \cdots s_{n-1} \ \rho_{n-1} \ s_n = t \qquad n \ge 0$   
1  $(s_i \ \rho_i \ s_{i+1}) = (w[u\sigma] \leftrightarrow w[v\sigma])$  with  $u = v \in \mathcal{E}$   
2  $(s_i \ \rho_i \ s_{i+1}) = (w[u\sigma] \rightarrow w[v\sigma])$  with  $u \rightarrow v \in \mathcal{E}^{\succ} \cup \mathcal{R}$   
3  $(s_i \ \rho_i \ s_{i+1}) = (w[u\sigma] \leftarrow w[v\sigma])$  with  $v \rightarrow u \in \mathcal{E}^{\succ} \cup \mathcal{R}$   
• a proof of form  
 $s = s_0 \rightarrow s_1 \rightarrow s_2 \cdots \rightarrow s_m \leftarrow \cdots s_{n-1} \leftarrow s_n = t$ 

is called rewrite proof

## Fact

- **1**  $\exists$  rewrite proof iff the equations converge wrt  $\mathcal{R} \cup \mathcal{E}^{\succ}$
- 2 whenever £; R ⊢ E'; R' then the same equations are provable in £; R as in E'; R'; however proofs may become simpler