

# Automated Reasoning

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# Summary of Last Lecture

## Ordered Completion

deduction	$\mathcal{E}; \mathcal{R} \vdash \mathcal{E} \cup \{s = t\}; \mathcal{R}$ if $s \leftrightarrow_{\mathcal{E} \cup \mathcal{R}} w \leftrightarrow_{\mathcal{E} \cup \mathcal{R}} t, s \not\leq w, t \not\leq w$	
orientation	$\mathcal{E} \cup \{s = t\}; \mathcal{R} \vdash \mathcal{E}; \mathcal{R} \cup \{s \rightarrow t\}$	if $s \succ t$
deletion	$\mathcal{E} \cup \{s = s\}; \mathcal{R} \vdash \mathcal{E}; \mathcal{R}$	
simplification	$\mathcal{E} \cup \{s = t\}; \mathcal{R} \vdash \mathcal{E} \cup \{u = t\}; \mathcal{R}$	if $s \rightarrow_{\mathcal{R}} u$
composition	$\mathcal{E}; \mathcal{R} \cup \{s \rightarrow t\} \vdash \mathcal{E}; \mathcal{R} \cup \{s \rightarrow u\}$	if $t \rightarrow_{\mathcal{R}} u$
collapse	$\mathcal{E}; \mathcal{R} \cup \{s[w] \rightarrow t\} \vdash \mathcal{E} \cup \{s[u] = t\}; \mathcal{R}$ if $w \rightarrow_{\mathcal{R}} u$ and either $t \succ u$ or $w \neq s[w]$	

## Definition

- a **proof** of  $s = t$  wrt  $\mathcal{E}; \mathcal{R}$  is ...
- a proof of form ... is called **rewrite proof**

# Outline of the Lecture

## Early Approaches in Automated Reasoning

short recollection of Herbrand's theorem, Gilmore's prover, method of Davis and Putnam

## Starting Points

resolution, tableau provers, Skolemisation, ordered resolution, redundancy and deletion

## Automated Reasoning with Equality

paramodulation, ordered completion and proof orders, superposition

## Applications of Automated Reasoning

Neuman-Stubblebinde Key Exchange Protocol, group theory, resolution and paramodulation as decision procedure, ...

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$s$  **encompasses**  $t$  if  $s = C[t\sigma]$  for some context  $C$  and some substitution  $\sigma$



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**cost measure** of proof steps

$$\text{cost of } s[u] \rho s[v] = \begin{cases} (\{s[u]\}, u, \rho, s[v]) & \text{if } s[u] \succ s[v] \\ (\{s[v]\}, v, \rho, s[u]) & \text{if } s[v] \succ s[u] \\ (\{s[u], s[v]\}, \perp, \perp, \perp) & \text{otherwise} \end{cases}$$

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$\perp$  is supposed to be minimal in all orders; let  $\succ_\pi$  the multiset extension of the cost measure; then  $\succ_\pi$  denotes a well-founded order on proofs

## Fact

*each completion step decreases the cost of certain proofs*



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recall:  $\mathcal{E}_\infty = \bigcup_{i \geq 0} \bigcap_{j \geq i} \mathcal{E}_j$ ;  $\mathcal{R}_\infty = \bigcup_{i \geq 0} \bigcap_{j \geq i} \mathcal{R}_j$

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## Definition

a derivation is **fair** if each ordered critical pair  $u = v \in \mathcal{E}_\infty \cup \mathcal{R}_\infty$  is an element of some  $\mathcal{E}_i$

## Theorem

*let  $(\mathcal{E}_0; \mathcal{R}_0), (\mathcal{E}_1; \mathcal{R}_1), \dots$  be a fair ordered completion derivation with  $\mathcal{R}_0 = \emptyset$ ; then the following is equivalent:*

- 1**  *$s = t$  is a consequence of  $\mathcal{E}_0$*



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- 2**  *$s = t$  has a rewrite proof in  $\mathcal{E}_\infty^\sim \cup \mathcal{R}_\infty$*



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## Definitions

- let  $\mathcal{E}$  be a set of equations and  $s = t$  an equation (possibly containing variables); then  $\mathcal{E} \models s = t$  is the **word problem** for  $\mathcal{E}$
- the word problem becomes a refutation theorem proving problem once we consider the clause form of the negation of the word problem:
  - 1 a set of positive unit equations in  $\mathcal{E}$
  - 2 a ground disequation obtained by negation and Skolemisation of  $s = t$



# Completeness of Superposition

## Corollary

*superposition with equations is sound and complete, that is, if  $\mathcal{C}$  is the clause representation of the (negated) word problem  $\mathcal{E} \models s = t$ , then the saturation of  $\mathcal{C}$  wrt to superposition (and equality resolution) contains  $\square$  iff  $\mathcal{E} \models s = t$*



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# Superposition for Horn Clauses

## Idea

- consider a set  $P$  of non-equational Horn clauses (= a logic program)
- define the operator:

$$T_P: I \mapsto \{A \mid A \leftarrow B_1, \dots, B_k \in \text{Gr}(P) \text{ and } \forall i \ B_i \in I\}$$

- consider the **least fixed point**  $\bigcup_{n \geq 0} T_P^n(\emptyset)$  of  $T_P$
- then  $\bigcup_{n \geq 0} T_P^n(\emptyset)$  denotes the unique minimal model of  $P$





# Superposition for Horn Clauses

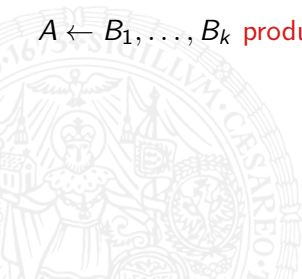
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$A \leftarrow B_1, \dots, B_k$  **produces**  $A$ , if  $\forall i \ B_i \in T_P^n(\emptyset)$  but  $A \notin T_P^n(\emptyset)$



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## Definition

an equational Horn clause  $C \equiv (u_1 = v_1, \dots, u_k = v_k \rightarrow s = t)$  is **reductive** for  $s \rightarrow t$  (wrt to a reduction order  $\succ$ ) if  $s$  is strictly maximal in  $C$ : (i)  $s \succ t$ , (ii) for all  $i$ :  $s \succ u_i$ , and (iii) for all  $i$ :  $s \succ v_i$

NB: if  $C$  is reductive for  $s \rightarrow t$ , we write  $C$  as  
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- let  $\mathcal{R}$  be a set of reductive clauses
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  - 1  $\exists$  reductive clause  $C \supset l \rightarrow r$
  - 2  $\exists$  substitution  $\sigma$  such that  $s = l\sigma$ ,  $t = r\sigma$
  - 3  $\forall u' = v' \in C$ :  $u'\sigma \downarrow v'\sigma$



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## Definition (superposition of reductive conditional rewrite rules)

$$\frac{C \supset s \rightarrow t \quad D \supset w[u] \rightarrow v}{(C, D \supset w[t] \rightarrow v)\sigma}$$

$\sigma$  is mgu of  $s$  and  $u$  and  $u$  is not a variable

## Definitions

- $(C, D \supset w[t] \rightarrow v)\sigma$  is a **conditional critical pair**
- $(C, D \supset w[t] \rightarrow v)\sigma$  **converges** if  $\forall \tau$  such that  $C\sigma\tau$  and  $D\sigma\tau$  converge:  $w[t]\sigma\tau \downarrow v\sigma\tau$



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## Lemma

*a reductive conditional rewrite system is confluent iff all critical pairs converge*



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## Lemma

*a reductive conditional rewrite system is confluent iff all critical pairs converge*

## Theorem

*let  $\succ$  be a reduction order and let  $\mathcal{C}$  be a set of reductive equational Horn clauses; then the word problem is decidable if all critical pairs converge*



# Superposition Calculus

## Definition

$$\frac{C \vee A \quad D \vee \neg B}{(C \vee D)\sigma} \text{ ORe}$$

$$\frac{C \vee A \vee B}{(C \vee A)\sigma} \text{ OFc}$$

$$\frac{C \vee s = t \quad D \vee \neg A[s']}{(C \vee D \vee \neg A[t])\sigma} \text{ OPm(L)}$$

$$\frac{C \vee s = t \quad D \vee A[s']}{(C \vee D \vee A[t])\sigma} \text{ OPm(R)}$$

$$\frac{C \vee s = t \quad D \vee u[s'] \neq v}{(C \vee D \vee u[t] \neq v)\sigma} \text{ SpL}$$

$$\frac{C \vee s = t \quad D \vee u[s'] = v}{(C \vee D \vee u[t] = v)\sigma} \text{ SpR}$$

$$\frac{C \vee s \neq t}{C\sigma} \text{ ERR}$$

$$\frac{C \vee u = v \vee s = t}{(C \vee v \neq t \vee u = t)\sigma} \text{ EFc}$$

- ORe and OFc are **ordered resolution** and **ordered factoring**
- OPm(L), OPm(R), SpL, SpR stands for **ordered paramodulation** and **superposition** (left or right)
- ERR means **equality resolution** and EFc means **equality factoring**

# Definition (Definition (cont'd))

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constraints:

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$$\frac{C \vee u = v \vee s = t}{(C \vee v \neq t \vee u = t)\sigma} \text{ EFc}$$

constraints:

- 1 for **ordered resolution**:  $A\sigma$  is strictly maximal with respect to  $C\sigma$  and  $\neg B\sigma$  is maximal with respect to  $D\sigma$
- 2 for **ordered factoring**:  $A\sigma$  is strictly maximal wrt  $C\sigma$ .

## Definition (Definition (cont'd))

$$\frac{C \vee A \quad D \vee \neg B}{(C \vee D)\sigma} \text{ ORe}$$

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constraints:

- 1 for the **superposition rules**:  $\sigma$  is a mgu of  $s$  and  $s'$ ,  $s'$  not a variable,  $t\sigma \not\approx s\sigma$ ,  $v\sigma \not\approx u[s']\sigma$ ,  $(s = t)\sigma$  is strictly maximal wrt  $C\sigma$
- 2  $\neg A[s']$  and  $u[s'] \neq v$  are maximal, while  $A[s']$  and  $u[s'] = v$  are strictly maximal wrt  $D\sigma$
- 3  $(s = t)\sigma \not\approx (u[s'] = v)\sigma$

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- 2  $(s = t)\sigma \not\prec (u = v)\sigma$

## Definition

- define the **superposition operator**  $\text{Res}_{\text{SP}}(\mathcal{C})$  as follows:

$$\text{Res}_{\text{SP}}(\mathcal{C}) = \{D \mid D \text{ is conclusion of ORe-EFc with premises in } \mathcal{C}\}$$

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## Example

re-consider  $\mathcal{C} = \{c \neq d, b = d, a \neq d \vee a = c, a = b \vee a = d\}$  together with the term order:  $a \succ b \succ c \succ d$ ; without equality factoring only the following clause is derivable:

$$a \neq d \vee b = c \vee a = d$$

here the atom order is the multiset extension of  $\succ$ :  $a = b \equiv \{a, b\} \succ \{a, d\} \equiv a = d$  and the literal order  $\succ_L$  is the multiset extension of the atom order:  $a = c \succ_L a \neq d$

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- if  $\mathcal{I}_{\mathcal{C}} \not\models \mathcal{C}$  there  $\exists$  **minimal** counter-example  $C$
- $\mathcal{O}$  has **reduction property** if
  - 1  $\forall \mathcal{C}$
  - 2  $\forall$  minimal counter-examples  $C$  for  $\mathcal{I}_{\mathcal{C}}$
  - 3  $\exists$  inference from  $\mathcal{C}$  in  $\mathcal{O}$

$$\frac{C_1 \quad \dots \quad C_n \quad C}{D}$$

where  $\mathcal{I}_{\mathcal{C}} \models C_i$ ,  $\mathcal{I}_{\mathcal{C}} \not\models D$  and  $C \succ D$

## Theorem

*let  $\mathcal{O}$  be sound and have the reduction property and let  $\mathcal{C}$  be saturated wrt  $\mathcal{O}$ , then  $\mathcal{C}$  is unsatisfiable iff  $\mathcal{C}$  contains the empty clause*



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in the following we assume a language that contains = as only predicate;  
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NB: a reductive clause may be viewed as a conditional rewrite rule, where negation is interpreted as non-derivability

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## Theorem

let  $\mathcal{C}$  be a ground clause set;  $C$  a minimal counter-example to  $\mathcal{I}_C$ ;  
 $\exists D \in \text{Res}_{\text{SP}}(\mathcal{C})$  such that  $C \succ D$  and  $D$  is also a counter-example

# Redundancy and Saturation

## Definitions

- a **ground clause**  $C$  is **redundant** wrt a ground clause set  $\mathcal{C}$  if  $\exists C_1, \dots, C_k$  in  $\mathcal{C}$  such that

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- $\mathcal{C}$  is **saturated upto redundancy** if all inferences from non-redundant premises are redundant

# Soundness and Completeness of Superposition

## Theorem

*let  $\mathcal{O}$  be sound and have the reduction property and let  $\mathcal{C}$  be saturated upto redundancy wrt  $\mathcal{O}$ , then  $\mathcal{C}$  is unsatisfiable iff  $\mathcal{C}$  contains the empty clause*



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*non-redundant superposition inferences are liftable*

## Proof.

on the whiteboard





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*superposition is sound and complete; let  $F$  be a sentence and  $\mathcal{C}$  its clause form; then  $F$  is unsatisfiable iff  $\square \in \text{Res}_{\text{SP}}^*(\mathcal{C})$*