

Efficiency of Functional Programs

Avoid unnecessary recomputations by ...

- ▶ tupling

Introduce tail recursion by ...

- ▶ parameter accumulation

Functional Programming

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This Week

Practice I

OCaml introduction, lists, strings, trees

Theory I

lambda-calculus, evaluation strategies, induction,
reasoning about functional programs

Practice II

efficiency, tail-recursion, **combinator-parsing**, dynamic programming

Theory II

type checking, type inference

Advanced Topics

lazy evaluation, infinite data structures, monads, ...

What is Parsing?

*Parsing is the decomposition of a **linear sequence** into a **structure**, given by a **grammar**. This linear sequence may be a text in some natural language, a computer program, a web site, a piece of music, a sequence of genes, ...*

- ▶ linear sequence: `'t list` (list of tokens)
- ▶ structure: some user-defined type (abstract syntax tree)
- ▶ grammar: BNF (Backus-Naur form)

Note

- ▶ BNF can express context-free grammars (CFG)
- ▶ combinator parsers can parse context-sensitive grammars
- ▶ however, for the purpose of this lecture CFG suffice

Usual Two Phases - Lexing and Parsing

Lexing

- ▶ divide original input (list of **chars**) into tokens
- ▶ white space and comments may be dropped at this stage
- ▶ syntactic check

Parsing

- ▶ work on list of tokens
- ▶ check if list of tokens corresponds to grammar
- ▶ produce an abstract syntax tree (AST)
- ▶ semantic check

Propositional Formulas (cont'd)

Grammar

$$\phi ::= p \mid (!\phi) \mid (\phi \& \phi)$$

Parsing (AST)

```
type t = Atom of string
       | And of t * t
       | Not of t
```

Example

```
[LPAR; ID "a"; AND; LPAR; NOT; ID "b"; RPAR; RPAR]  $\xrightarrow{\text{parsing}}$ 
And(Atom "a", Not(Atom "b"))
[LPAR; ID "a"; ID "ab"; RPAR]  $\xrightarrow{\text{parsing}}$  X
```

Propositional Formulas

Grammar

$$\phi ::= p \mid (!\phi) \mid (\phi \& \phi)$$

Lexing

```
type token = (* corresponds to *)
             | LPAR (* ( *)
             | RPAR (* ) *)
             | NOT (* ! *)
             | AND (* & *)
             | ID of string (* propositional atom *)
```

Example

```
"(a & (!b))"  $\xrightarrow{\text{lexing}}$  [LPAR; ID "a"; AND; LPAR; NOT; ID "b"; RPAR; RPAR]
"(a ab)"  $\xrightarrow{\text{lexing}}$  [LPAR; ID "a"; ID "ab"; RPAR]
```

Parsers

First Attempt

- ▶ functions of type 't list -> ('a * 't list)
- ▶ e.g., `digit ['1'; '2']` results in `('1', ['2'])`
- ▶ but what about **errors**, i.e., `digit ['x'; 'y'] = ?`

Type of Parsers

```
type ('a, 't)t = 't list -> ('a * 't list)option
```

- ▶ a parser works on a list of tokens of arbitrary type 't
- ▶ a successful parse on `t:::ts` yields `Some(f t, ts)`
 - ➡ result `f t` and remaining tokens `ts`
- ▶ a parse error is represented by `None`
 - ➡ no further information about the error

Preparation

Applying a Parser

```
let test p s = match p (Strng.of_string s) with
| None      -> failwith "parse_error"
| Some (x,ts) -> (x,ts)
```

Example

- ▶ `letter ...` parses a single letter (see page 17)


```
# test letter "hello_world"
- char * char list = ('h', "ello_world")
# test letter "1234"
Exception: Failure "parse_error".
```
- ▶ `digit ...` parses a single digit (see page 17)


```
# test digit "1234"
- char * char list = ('1', "234")
```

Preparation (cont'd)

Type of Parsers

- ▶ `Parser.ml`:


```
type ('a,'t)t = 't list -> ('a * 't list)option
```
- ▶ how to process single tokens, i.e., `f : 't -> 'a option`
- ▶ function `token` lifts `f` to parser, i.e., `token f : ('a,'t)t`

Operating on a Single Token

```
let token f = function
| []      -> None
| t::ts -> match f t with
| Some x -> Some (x,ts)
| None   -> None
```

Primitive Parsers

Any Token Satisfying a Condition

```
let sat p ts = token(fun t -> if p t then Some t else None) ts
```

Checking for End of Input

```
let eoi = function [] -> Some ((),[])
| _      -> None
```

Example

```
# test (sat ((=) 'h')) "hello_world"
- char * char list = ('h', "ello_world")
# test (sat ((=) 'e')) "hello_world"
Exception Failure "parse_error".
# test eoi ""
- : unit * char list = ((), "")
```

Turning Values Into Parsers

Return

```
'a -> ('a,'t)t
```

```
let return x = fun ts -> Some (x,ts)
```

- ▶ `return x` takes the value `x` and yields a parser that returns `x` without consuming any input

Example

```
# test (return 3) "hello_world"
- : int * char list = (3, "hello_world")
```

Character Parsers

Reading a Given Character

```
let any ts = sat (fun _ -> true) ts

let char c ts = sat ((=) c) ts
```

Reading Letters and Digits

```
let letter =
  sat(fun c -> ('a' <= c && c <= 'z') || ('A' <= c && c <= 'Z'))

let digit = sat (fun c -> '0' <= c && c <= '9')
```

Parser Combinators

Choice

```
('a,'t)t -> ('a,'t)t -> ('a,'t)t

let (<|>) p q ts = match p ts with None      -> q ts
                    | Some _ as r -> r
```

- ▶ choice takes two parsers `p` and `q` of same result type
- ▶ if `p` is successful on `ts` then its result is returned
- ▶ otherwise `q` is applied on `ts`

Example

```
# test (letter <|> digit) "hello_world"
- : char * char list = ('h', "hello_world")
# test (letter <|> digit) "1234"
- : char * char list = ('1', "234")
# test (letter <|> digit) "?=()"
Exception: Failure "parse_error".
```

Character Parsers (cont'd)

Choosing From a List of Tokens

```
let oneof s = sat (fun c -> Lst.mem c (Strng.of_string s))
```

Every Token Except a Given List

```
let noneof s = sat (fun c -> not(Lst.mem c (Strng.of_string s)))
```

White Space

```
let space = oneof "\n\r\t"
```

Example

```
# test space "hello_world"
- : char * char list = (' ', "hello_world")
```

Parser Combinators (cont'd)

Bind - Binding a Parser to the Result of Another

```
('a,'t)t -> ('a -> ('b,'t)t) -> ('b,'t)t

let (>>=) p f ts = match p ts with None      -> None
                    | Some(x,ts) -> f x ts
```

- ▶ bind takes two arguments
- ▶ first a parser `p` with results of type `'a`
- ▶ then a function `f` taking an `'a` and producing a parser with results of type `'b`
- ▶ `p >>= f` executes `p` and then feeds the function `f` with its result
- ▶ since `f x` is a parser, the result of `p >>= f` is a parser

Example

```
#test (digit >>= fun d1 -> (digit >>= fun d2 -> return [d2;d1])) "123"
- : char list * char list = ("21", "3")
```

Parser Combinators (cont'd)

Then - Sequential Composition of Parsers

```
('a,'t)t -> ('b,'t)t -> ('b,'t)t
```

```
let (>>) p q ts = (>>=) p (fun _ -> q) ts
```

- ▶ then takes two parsers `p` and `q`
- ▶ first a parser `p` with results of type `'a`
- ▶ then a parser `q` with result type `'b`
- ▶ `p >> q` first executes `p` and then executes `q`
- ▶ the result of `p` is ignored, the result of `q` is returned

Example

```
# test (letter >> letter) "hello_world"
- : char * char list = ('e', "llo_world")
```

Counting Spaces

First try

```
let rec count_spaces =
  (space >>
   count_spaces >>= fun i -> return (i+1))
  <|>
  (any >>
   count_spaces >>= fun i -> return i)
  <|>
  (eoi >> return 0)
```

Problem

- ▶ OCaml interpreter detects that `count_spaces` would not terminate (eager evaluation)
- ▶ Error: This kind of expression is not allowed as right-hand ...

Counting Spaces (cont'd)

Second try (dummy argument)

```
let rec count_spaces () =
  (space >>
   count_spaces () >>= fun i -> return (i+1))
  <|>
  (any >>
   count_spaces () >>= fun i -> return i)
  <|>
  (eoi >> return 0)
```

Problem

- ▶ `# test (count_spaces ()) "hello_world"`
Stack overflow during evaluation (looping recursion?).
- ▶ still nonterminating (rightmost evaluation of self-defined operators)

Counting Spaces (cont'd)

Third try (enforce leftmost evaluation)

```
let rec count_spaces () =
  (space >>= fun _ ->
   count_spaces () >>= fun i -> return (i+1))
  <|>
  (any >>= fun _ ->
   count_spaces () >>= fun i -> return i)
  <|>
  (eoi >> return 0)
```

Success

```
# test (count_spaces ()) "hello_world"
- : int * char list = (1, "")
# test (count_spaces ()) "   hello_world"
- : int * char list = (4, "")
```

Parser Combinators (cont'd)

Many

```
let rec many p = (
  p >>= fun x ->
  many p >>= fun xs ->
  return (x::xs)
) <|> return []
```

- ▶ many p applies p zero or more times
- ▶ result is list of results of p
- ▶ greedy (as many applications of p as possible)

Example

```
# test (many space) "   hello_world"
- : char list * char list = ("   ", "hello_world")
```

Arithmetic

A Grammar for Arithmetic

$$e ::= e + t \mid t \quad t ::= t * f \mid f \quad f ::= (e) \mid n$$

$$n ::= d n \mid d \quad d ::= 0 \mid \dots \mid 9$$

Type of the AST

```
type arith = Num of int
           | Add of arith * arith
           | Mul of arith * arith
```

Example

```
# test ??? "3+2*5"
- : arith * char list = (Add (Num 3, Mul (Num 2, Num 5)), "")
```

A Parser for Arithmetic (does not terminate)

```
let rec e() =
  (e() >>= fun e1 -> char '+' >> t() >>= fun e2 ->
  return(Add(e1,e2)))
  <|> (t())
and t() =
  (t() >>= fun t1 -> char '*' >> f() >>= fun t2 ->
  return(Mul(t1,t2)))
  <|> (f())
and f() = (
  char '(' >>= fun _ ->
  e() >>= fun e1 ->
  char ')' >>
  return e1
) <|> n
and n = many1 digit >>= fun r ->
  return(Num (int_of_string (Strng.to_string r)))
```

Problem & Solution

- ▶ problem: left recursive grammar
- ▶ solution: eliminate left recursion

Non-left recursive Grammar for Arithmetic

$$e ::= t e'$$

$$e' ::= + t e' \mid \epsilon$$

$$t ::= f t'$$

$$t' ::= * f t' \mid \epsilon$$

$$f ::= (e) \mid n$$

$$n ::= d n \mid d$$

$$d ::= 0 \mid \dots \mid 9$$

A Parser for Arithmetic

```
let rec e() = t() >>= e'
and e' term = (char '+' >>= fun _ -> t() >>= e' >>= fun t2 ->
  return(Add(term,t2))
) <|> return term
and t() = f() >>= t'
and t' fact = (char '*' >>= fun _ -> f() >>= t' >>= fun f2 ->
  return(Mul(fact,f2))
) <|> return fact
and f() = (char '(' >>= fun _ -> e() >>= fun e1 -> char ')') >>
  return e1
) <|> n
and n = many1 digit >>= fun r ->
  return(Num (int_of_string (Strng.to_string r)))
```

Example

```
# test (e ()) "3+2*5";;
- : arith * char list = (Add (Num 3, Mul (Num 2, Num 5)), "")
```