

Functional Programming

WS 2014/15

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week 9



Overview

- Week 9 - Combinator Parsing
 - Summary of Week 8
 - Motivation
 - Combinator Parsing
 - Parsing Simple Arithmetic Expressions



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Efficiency of Functional Programs

Avoid unnecessary recomputations by ...

- tupling

Introduce tail recursion by ...

- parameter accumulation

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This Week

Practice I

OCaml introduction, lists, strings, trees

Theory I

lambda-calculus, evaluation strategies, induction,
reasoning about functional programs

Practice II

efficiency, tail-recursion, **combinator-parsing**, dynamic programming

Theory II

type checking, type inference

Advanced Topics

lazy evaluation, infinite data structures, monads, ...

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What is Parsing?

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- linear sequence: 't list (list of tokens)

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- linear sequence: 't list (list of tokens)
- structure: some user-defined type (abstract syntax tree)

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- linear sequence: 't list (list of tokens)
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- grammar: BNF (Backus-Naur form)

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Parsing is the decomposition of a linear sequence into a structure, given by a grammar. This linear sequence may be a text in some natural language, a computer program, a web site, a piece of music, a sequence of genes, ...

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- structure: some user-defined type (abstract syntax tree)
- grammar: BNF (Backus-Naur form)

Note

- BNF can express context-free grammars (CFG)
- combinator parsers can parse context-sensitive grammars
- however, for the purpose of this lecture CFG suffice

Usual Two Phases - Lexing and Parsing

Lexing

- divide original input (list of `chars`) into tokens
- white space and comments may be dropped at this stage
- syntactic check

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- produce an abstract syntax tree (AST)
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Propositional Formulas

Grammar

$$\phi ::= p \mid (! \phi) \mid (\phi \& \phi)$$

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$$\phi ::= p \mid (! \phi) \mid (\phi \& \phi)$$

Lexing

```
type token =      (* corresponds to *)
| LPAR           (* ( *)
| RPAR           (* ) *)
| NOT            (* ! *)
| AND            (* & *)
| ID of string   (* propositional atom *)
```

Propositional Formulas

Grammar

$$\phi ::= p \mid (! \phi) \mid (\phi \& \phi)$$

Lexing

```

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  | NOT            (* ! *)
  | AND            (* & *)
  | ID of string  (* propositional atom *)
  
```

Example

"(a_{⊔⊔}&_⊔(!b)_⊔)" $\xrightarrow{\text{lexing}}$ [LPAR; ID "a"; AND; LPAR; NOT; ID "b"; RPAR; RPAR]

"(a_{⊔⊔}ab_⊔)" $\xrightarrow{\text{lexing}}$ [LPAR; ID "a"; ID "ab"; RPAR]

Propositional Formulas (cont'd)

Grammar

$$\phi ::= p \mid (! \phi) \mid (\phi \& \phi)$$

Propositional Formulas (cont'd)

Grammar

$$\phi ::= p \mid (!\phi) \mid (\phi \& \phi)$$

Parsing (AST)

```
type t = Atom of string
      | And of t * t
      | Not of t
```

Propositional Formulas (cont'd)

Grammar

$$\phi ::= p \mid (!\phi) \mid (\phi \& \phi)$$

Parsing (AST)

```

type t = Atom of string
        | And of t * t
        | Not of t
  
```

Example

```

[LPAR; ID "a"; AND; LPAR; NOT; ID "b"; RPAR; RPAR]  $\xrightarrow{\text{parsing}}$ 
And(Atom "a", Not(Atom "b"))
[LPAR; ID "a"; ID "ab"; RPAR]  $\xrightarrow{\text{parsing}}$  X
  
```

Parsers

First Attempt

- functions of type `'t list -> ('a * 't list)`
- e.g., `digit ['1';'2']` results in `('1',['2'])`
- but what about errors

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First Attempt

- functions of type `'t list -> ('a * 't list)`
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- but what about errors, i.e, `digit ['x';'y'] = ?`

Type of Parsers

```
type ('a,'t)t = 't list -> ('a * 't list)option
```

- a parser works on a list of tokens of arbitrary type `'t`
- a successful parse on `t::ts` yields `Some(f t,ts)`
 - ➔ result `f t` and remaining tokens `ts`
- a parse error is represented by `None`
 - ➔ no further information about the error

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Preparation

Applying a Parser

```
let test p s = match p (Strng.of_string s) with
  | None      -> failwith "parse_error"
  | Some (x,ts) -> (x,ts)
```

Preparation

Applying a Parser

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let test p s = match p (Strng.of_string s) with
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Example

- `letter` ... parses a single letter

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```

Example

- `letter ...` parses a single letter

```
# test letter "hello_world"
- char * char list = ('h', "ello_world")
# test letter "1234"
Exception: Failure "parse_error".
```

Preparation

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let test p s = match p (Strng.of_string s) with
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Example

- `letter ...` parses a single letter

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Exception: Failure "parse_error".
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- `digit ...` parses a single digit

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```

Example

- `letter ...` parses a single letter

```
# test letter "hello_world"
- char * char list = ('h', "ello_world")
# test letter "1234"
Exception: Failure "parse_error".
```
- `digit ...` parses a single digit

```
# test digit "1234"
- char * char list = ('1', "234")
```

Preparation (cont'd)

Type of Parsers

- `Parser.mli`:

```
type ('a,'t)t
```

Preparation (cont'd)

Type of Parsers

- `Parser.ml`:

```
type ('a,'t)t = 't list -> ('a * 't list)option
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Preparation (cont'd)

Type of Parsers

- `Parser.ml`:

```
type ('a,'t)t = 't list -> ('a * 't list)option
```

- how to process single tokens, i.e., `f : 't -> 'a option`
- function `token` lifts `f` to parser, i.e., `token f : ('a,'t)t`

Preparation (cont'd)

Type of Parsers

- `Parser.ml`:

```
type ('a,'t)t = 't list -> ('a * 't list)option
```

- how to process single tokens, i.e., `f : 't -> 'a option`
- function `token` lifts `f` to parser, i.e., `token f : ('a,'t)t`

Operating on a Single Token

```
let token f = function  
  | []      -> None  
  | t::ts  -> match f t with  
    | Some x -> Some (x,ts)  
    | None   -> None
```

Primitive Parsers

Any Token Satisfying a Condition

```
let sat p ts = token(fun t -> if p t then Some t else None) ts
```

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Checking for End of Input

```
let eoi = function [] -> Some ((), [])  
             | _ -> None
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Primitive Parsers

Any Token Satisfying a Condition

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let sat p ts = token(fun t -> if p t then Some t else None) ts
```

Checking for End of Input

```
let eoi = function [] -> Some ((), [])  
            | _ -> None
```

Example

```
# test (sat ((=) 'h')) "hello_world"  
- char * char list = ('h', "ello_world")  
# test (sat ((=) 'e')) "hello_world"  
Exception Failure "parse_error".  
# test eoi ""  
- : unit * char list = ((), "")
```


Turning Values Into Parsers

Return

```
'a -> ('a,'t)t
```

```
let return x = fun ts -> Some (x,ts)
```

- `return x` takes the value `x` and yields a parser that returns `x` without consuming any input

Turning Values Into Parsers

Return

```
'a -> ('a,'t)t
```

```
let return x = fun ts -> Some (x,ts)
```

- `return x` takes the value `x` and yields a parser that returns `x` without consuming any input

Example

```
# test (return 3) "hello_world"
```

```
- : int * char list = (3, "hello_world")
```

Character Parsers

Reading a Given Character

```
let any ts = sat (fun _ -> true) ts
```

```
let char c ts = sat ((=) c) ts
```

Character Parsers

Reading a Given Character

```
let any ts = sat (fun _ -> true) ts

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```

Reading Letters and Digits

```
let letter =
  sat(fun c -> ('a' <= c && c <= 'z') || ('A' <= c && c <= 'Z'))

let digit = sat (fun c -> '0' <= c && c <= '9')
```

Character Parsers (cont'd)

Choosing From a List of Tokens

```
let oneof s = sat (fun c -> Lst.mem c (Strng.of_string s))
```

Character Parsers (cont'd)

Choosing From a List of Tokens

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let oneof s = sat (fun c -> Lst.mem c (Strng.of_string s))
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Every Token Except a Given List

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let noneof s = sat (fun c -> not(Lst.mem c (Strng.of_string s)))
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Character Parsers (cont'd)

Choosing From a List of Tokens

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let oneof s = sat (fun c -> Lst.mem c (Strng.of_string s))
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Every Token Except a Given List

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let noneof s = sat (fun c -> not(Lst.mem c (Strng.of_string s)))
```

White Space

```
let space = oneof " \n\r\t"
```

Character Parsers (cont'd)

Choosing From a List of Tokens

```
let oneof s = sat (fun c -> Lst.mem c (Strng.of_string s))
```

Every Token Except a Given List

```
let noneof s = sat (fun c -> not(Lst.mem c (Strng.of_string s)))
```

White Space

```
let space = oneof "_\n\r\t"
```

Example

```
# test space "_ _ _hello_ world"  
- : char * char list = (' ', "_ _hello_ world")
```


Parser Combinators

Choice

```
('a,'t)t -> ('a,'t)t -> ('a,'t)t
```

```
let (<|>) p q ts = match p ts with None          -> q ts  
                        | Some _ as r -> r
```

- choice takes two parsers `p` and `q` of same result type
- if `p` is successful on `ts` then its result is returned
- otherwise `q` is applied on `ts`

Parser Combinators

Choice

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('a,'t)t -> ('a,'t)t -> ('a,'t)t
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- choice takes two parsers `p` and `q` of same result type
- if `p` is successful on `ts` then its result is returned
- otherwise `q` is applied on `ts`

Example

```
# test (letter <|> digit) "hello_world"
- : char * char list = ('h', "hello_world")
# test (letter <|> digit) "1234"
- : char * char list = ('1', "234")
# test (letter <|> digit) "?=()"
Exception: Failure "parse_error".
```

Parser Combinators (cont'd)

Bind - Binding a Parser to the Result of Another

$(\text{'a}, \text{'t})\text{t} \rightarrow (\text{'a} \rightarrow (\text{'b}, \text{'t})\text{t}) \rightarrow (\text{'b}, \text{'t})\text{t}$

```
let (>>=) p f ts = match p ts with None      -> None
                        | Some(x,ts) -> f x ts
```

- bind takes two arguments
- first a parser `p` with results of type `'a`
- then a function `f` taking an `'a` and producing a parser with results of type `'b`
- `p >>= f` executes `p` and then feeds the function `f` with its result
- since `f x` is a parser, the result of `p >>= f` is a parser

Parser Combinators (cont'd)

Bind - Binding a Parser to the Result of Another

```
('a,'t)t -> ('a -> ('b,'t)t) -> ('b,'t)t
```

```
let (>>=) p f ts = match p ts with None          -> None
                          | Some(x,ts) -> f x ts
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- `p >>= f` executes `p` and then feeds the function `f` with its result
- since `f x` is a parser, the result of `p >>= f` is a parser

Example

```
#test (digit >>= fun d1 -> (digit >>= fun d2 -> return [d2;d1])) "123"
- : char list * char list = ("21", "3")
```

Parser Combinators (cont'd)

Then - Sequential Composition of Parsers

```
('a,'t)t -> ('b,'t)t -> ('b,'t)t
```

```
let (>>) p q ts = (>>=) p (fun _ -> q) ts
```

- then takes two parsers `p` and `q`
- first a parser `p` with results of type `'a`
- then a parser `q` with result type `'b`
- `p >> q` first executes `p` and then executes `q`
- the result of `p` is ignored, the result of `q` is returned

Parser Combinators (cont'd)

Then - Sequential Composition of Parsers

`('a,'t)t -> ('b,'t)t -> ('b,'t)t`

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let (>>) p q ts = (>>=) p (fun _ -> q) ts
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- then takes two parsers `p` and `q`
- first a parser `p` with results of type `'a`
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- `p >> q` first executes `p` and then executes `q`
- the result of `p` is ignored, the result of `q` is returned

Example

```
# test (letter >> letter) "hello_world"
- : char * char list = ('e', "llo_world")
```

Counting Spaces

First try

```
let rec count_spaces =  
  (space >>  
    count_spaces >>= fun i -> return (i+1))  
<|>  
  (any >>  
    count_spaces >>= fun i -> return i)  
<|>  
  (eoi >> return 0)
```

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<|>  
  (eoi >> return 0)
```

Problem

- OCaml interpreter detects that `count_spaces` would not terminate (eager evaluation)
- Error: This kind of expression is not allowed as right-hand ...

Counting Spaces (cont'd)

Second try (dummy argument)

```
let rec count_spaces () =  
  (space >>  
    count_spaces () >>= fun i -> return (i+1))  
<|>  
  (any >>  
    count_spaces () >>= fun i -> return i)  
<|>  
  (eoi >> return 0)
```

Counting Spaces (cont'd)

Second try (dummy argument)

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let rec count_spaces () =  
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<|>  
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<|>  
  (eoi >> return 0)
```

Problem

- `# test (count_spaces ()) "hello_world"`
Stack overflow during evaluation (looping recursion?).
- still nonterminating (rightmost evaluation of self-defined operators)

Counting Spaces (cont'd)

Third try (enforce leftmost evaluation)

```
let rec count_spaces () =  
  (space >>= fun _ ->  
    count_spaces () >>= fun i -> return (i+1))  
<|>  
  (any >>= fun _ ->  
    count_spaces () >>= fun i -> return i)  
<|>  
  (eoi >> return 0)
```

Counting Spaces (cont'd)

Third try (enforce leftmost evaluation)

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let rec count_spaces () =  
  (space >>= fun _ ->  
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<|>  
  (any >>= fun _ ->  
    count_spaces () >>= fun i -> return i)  
<|>  
  (eoi >> return 0)
```

Success

```
# test (count_spaces ()) "hello_world"  
- : int * char list = (1, "")  
# test (count_spaces ()) "   hello_world"  
- : int * char list = (4, "")
```

Parser Combinators (cont'd)

Many

```
let rec many p = (  
  p      >>= fun x ->  
  many p >>= fun xs ->  
    return (x::xs)  
) <|> return []
```

- `many p` applies `p` **zero** or more times
- result is list of results of `p`
- greedy (as many applications of `p` as possible)

Parser Combinators (cont'd)

Many

```
let rec many p = (  
  p      >>= fun x ->  
  many p >>= fun xs ->  
  return (x::xs)  
) <|> return []
```

- `many p` applies `p` zero or more times
- result is list of results of `p`
- greedy (as many applications of `p` as possible)

Example

```
# test (many space) "   hello_world"  
- : char list * char list = ("   ", "hello_world")
```

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Arithmetic

A Grammar for Arithmetic

$$\begin{aligned} e &::= e + t \mid t & t &::= t * f \mid f & f &::= (e) \mid n \\ n &::= d n \mid d & d &::= 0 \mid \dots \mid 9 \end{aligned}$$

Type of the AST

```
type arith = Num of int
           | Add of arith * arith
           | Mul of arith * arith
```


Arithmetic

A Grammar for Arithmetic

$$\begin{array}{lll}
 e ::= e + t \mid t & t ::= t * f \mid f & f ::= (e) \mid n \\
 n ::= d n \mid d & d ::= 0 \mid \dots \mid 9 &
 \end{array}$$

Type of the AST

```

type arith = Num of int
           | Add of arith * arith
           | Mul of arith * arith
  
```

Example

```

# test ??? "3+2*5"
- : arith * char list = (Add (Num 3, Mul (Num 2, Num 5)), "")
  
```

$$e ::= e + t \mid t$$

A Parser for Arithmetic

```
let rec e() =
  (e() >>= fun e1 -> char '+' >> t() >>= fun e2 ->
   return(Add(e1,e2)))
  <|> (t())
and t() =
  (t() >>= fun t1 -> char '*' >> f() >>= fun t2 ->
   return(Mul(t1,t2)))
  <|> (f())
and f() = (
  char '(' >>= fun _ ->
  e() >>= fun e1 ->
  char ')' >>
  return e1
) <|> n
and n = many1 digit >>= fun r ->
  return(Num (int_of_string (Strng.to_string r)))
```

$$t ::= t * f \mid f$$

A Parser for Arithmetic

```

let rec e() =
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```

$$f ::= (e) \mid n$$

A Parser for Arithmetic

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$$n ::= d n \mid d \quad d ::= 0 \mid \dots \mid 9$$

A Parser for Arithmetic

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```

$$n ::= d n \mid d \quad d ::= 0 \mid \dots \mid 9$$

A Parser for Arithmetic (does not terminate)

```

let rec e() =
  (e() >>= fun e1 -> char '+' >> t() >>= fun e2 ->
    return(Add(e1,e2)))
  <|> (t())
and t() =
  (t() >>= fun t1 -> char '*' >> f() >>= fun t2 ->
    return(Mul(t1,t2)))
  <|> (f())
and f() = (
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) <|> n
and n = many1 digit >>= fun r ->
  return(Num (int_of_string (Strng.to_string r)))

```

Problem & Solution

- problem: left recursive grammar
- solution: eliminate left recursion

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Non-left recursive Grammar for Arithmetic

$$e ::= t e'$$

$$e' ::= + t e' \mid \epsilon$$

$$t ::= f t'$$

$$t' ::= * f t' \mid \epsilon$$

$$f ::= (e) \mid n$$

$$n ::= d n \mid d$$

$$d ::= 0 \mid \dots \mid 9$$

$$e ::= t e'$$

A Parser for Arithmetic

```

let rec e() = t() >>= e'
and e' term = (char '+' >>= fun _ -> t() >>= e' >>= fun t2 ->
  return(Add(term,t2))
) <|> return term
and t() = f() >>= t'
and t' fact = (char '*' >>= fun _ -> f() >>= t' >>= fun f2 ->
  return(Mul(fact,f2))
) <|> return fact
and f() = (char '(' >>= fun _ -> e() >>= fun e1 -> char ')') >>
  return e1
) <|> n
and n = many1 digit >>= fun r ->
  return(Num (int_of_string (Strng.to_string r)))

```

$$e' ::= + t e' \mid \epsilon$$

A Parser for Arithmetic

```

let rec e() = t() >>= e'
and e' term = (char '+' >>= fun _ -> t() >>= e' >>= fun t2 ->
  return(Add(term,t2))
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) <|> return fact
and f() = (char '(' >>= fun _ -> e() >>= fun e1 -> char ')') >>
  return e1
) <|> n
and n = many1 digit >>= fun r ->
  return(Num (int_of_string (Strng.to_string r)))

```

$$t ::= f t'$$

A Parser for Arithmetic

```

let rec e() = t() >>= e'
and e' term = (char '+' >>= fun _ -> t() >>= e' >>= fun t2 ->
  return(Add(term,t2))
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  return e1
) <|> n
and n = many1 digit >>= fun r ->
  return(Num (int_of_string (Strng.to_string r)))

```

$$t' ::= * f t' \mid \epsilon$$

A Parser for Arithmetic

```

let rec e() = t() >>= e'
and e' term = (char '+' >>= fun _ -> t() >>= e' >>= fun t2 ->
  return(Add(term,t2))
) <|> return term
and t() = f() >>= t'
and t' fact = (char '*' >>= fun _ -> f() >>= t' >>= fun f2 ->
  return(Mul(fact,f2))
) <|> return fact
and f() = (char '(' >>= fun _ -> e() >>= fun e1 -> char ')') >>
  return e1
) <|> n
and n = many1 digit >>= fun r ->
  return(Num (int_of_string (Strng.to_string r)))

```

$$f ::= (e) \mid n$$

A Parser for Arithmetic

```

let rec e() = t() >>= e'
and e' term = (char '+' >>= fun _ -> t() >>= e' >>= fun t2 ->
  return(Add(term,t2))
) <|> return term
and t() = f() >>= t'
and t' fact = (char '*' >>= fun _ -> f() >>= t' >>= fun f2 ->
  return(Mul(fact,f2))
) <|> return fact
and f() = (char '(' >>= fun _ -> e() >>= fun e1 -> char ')') >>
  return e1
) <|> n
and n = many1 digit >>= fun r ->
  return(Num (int_of_string (Strng.to_string r)))

```

$$n ::= d n \mid d \quad d ::= 0 \mid \dots \mid 9$$

A Parser for Arithmetic

```

let rec e() = t() >=> e'
and e' term = (char '+' >=> fun _ -> t() >=> e' >=> fun t2 ->
  return(Add(term,t2)))
) <|> return term
and t() = f() >=> t'
and t' fact = (char '*' >=> fun _ -> f() >=> t' >=> fun f2 ->
  return(Mul(fact,f2)))
) <|> return fact
and f() = (char '(' >=> fun _ -> e() >=> fun e1 -> char ')') >>
  return e1
) <|> n
and n = many1 digit >=> fun r ->
  return(Num (int_of_string (Strng.to_string r)))

```

$$n ::= d n \mid d \quad d ::= 0 \mid \dots \mid 9$$

A Parser for Arithmetic

```

let rec e() = t() >>= e'
and e' term = (char '+' >>= fun _ -> t() >>= e' >>= fun t2 ->
  return(Add(term,t2))
) <|> return term
and t() = f() >>= t'
and t' fact = (char '*' >>= fun _ -> f() >>= t' >>= fun f2 ->
  return(Mul(fact,f2))
) <|> return fact
and f() = (char '(' >>= fun _ -> e() >>= fun e1 -> char ')') >>
  return e1
) <|> n
and n = many1 digit >>= fun r ->
  return(Num (int_of_string (Strng.to_string r)))

```

Example

```

# test (e ()) "3+2*5";;
- : arith * char list = (Add (Num 3, Mul (Num 2, Num 5)), "")

```