

Automated Theorem Proving

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Summary of Last Lecture

Method of Davis and Putnam in Pseudo-Code

```
if  $\mathcal{C}$  does not contain function symbols
then apply DPLL(a)-DPLL(c) on  $\mathcal{C}'_0$ 
else {
  n := 0;
  contr := false;
  while ( $\neg$  contr) do {
    apply DPLL(a)-DPLL(c) on  $\mathcal{C}'_n$ ;
    if the decision tree proves unsatisfiability,
    then contr := true
    else contr := false;
    n := n + 1;
  }}
}}
```

Definition

- individual **constants**
 $k_0, k_1, \dots, k_j, \dots$ denoted c, d , etc.
- function **constants** with i arguments
 $f_0^i, f_1^i, \dots, f_j^i, \dots$ denoted f, g, h , etc.
- predicate **constants** with i arguments
 $R_0^i, R_1^i, \dots, R_j^i, \dots$ denoted P, Q, R , etc.
- **variables**, collected in \mathcal{V}
 $x_0, x_1, \dots, x_j, \dots$ denoted x, y, z , etc.

Definition

- **propositional connectives** \neg, \vee
- **equality sign** $=$

Outline of the Lecture

Early Approaches in Automated Reasoning

Herbrand's theorem for dummies, Gilmore's prover, method of Davis and Putnam

Starting Points

resolution, tableau provers, Skolemisation, ordered resolution, redundancy and deletion

Automated Reasoning with Equality

paramodulation, ordered completion and proof orders, superposition

Applications of Automated Reasoning

Neuman-Stubblebinde Key Exchange Protocol, Robbins problem

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Resolution Calculus for First-Order Logic

Definition

$$\text{resolution} \quad \frac{C \vee A \quad D \vee \neg B}{(C \vee D)\sigma}$$

$$\text{factoring} \quad \frac{C \vee A \vee B}{(C \vee A)\sigma}$$

σ is a mgu of the **atomic** formulas A and B



Resolution Calculus for First-Order Logic

restricted to atoms

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let \mathcal{C} be a set of clauses; define **resolution operator** $\text{Res}(\mathcal{C})$



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let \mathcal{C} be a set of clauses; define **resolution operator** $\text{Res}(\mathcal{C})$

- $\text{Res}(\mathcal{C}) = \{D \mid D \text{ is resolvent or factor with premises in } \mathcal{C}\}$
- $\text{Res}^0(\mathcal{C}) = \mathcal{C}$; $\text{Res}^{n+1}(\mathcal{C}) = \text{Res}^n(\mathcal{C}) \cup \text{Res}(\text{Res}^n(\mathcal{C}))$
- $\text{Res}^*(\mathcal{C}) = \bigcup_{n \geq 0} \text{Res}^n(\mathcal{C})$

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Example

$$\frac{P(x) \vee Q(f(x, g(y), x)) \quad R(a, b) \vee \neg Q(f(z, g(x'), h(x')))}{P(h(x')) \vee R(a, b)} \quad \{x \mapsto h(x')\}$$

Soundness and Completeness of Resolution

Theorem

resolution is sound: if F a sentence and C its clause form such that $\square \in \text{Res}^(C)$, then F is unsatisfiable*



Soundness and Completeness of Resolution

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Proof.

on the whiteboard



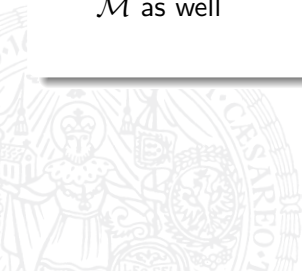
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Proof.

- the theorem follows by case-distinction on the inferences
- for each inference one verifies that if the assumptions (as formulas) are modelled by an interpretation \mathcal{M} , then the consequence holds in \mathcal{M} as well



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Proof.

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Theorem

resolution is (refutationally) complete; if F a sentence and \mathcal{C} its clause form, then $\square \in \text{Res}^(\mathcal{C})$ if F is unsatisfiable*

Tableau Expansion Rules

Definition (uniform notation)

conjunctive			disjunctive		
α	α_1	α_2	β	β_1	β_2
$A \wedge B$	A	B	$\neg(A \wedge B)$	$\neg A$	$\neg B$
$\neg(A \vee B)$	$\neg A$	$\neg B$	$A \vee B$	A	B
$\neg(A \rightarrow B)$	A	$\neg B$	$A \rightarrow B$	$\neg A$	B



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$\neg(A \rightarrow B)$	A	$\neg B$	$A \rightarrow B$	$\neg A$	B

Definition (tableau expansion rules)

$$\frac{\neg\neg A}{A}$$

$$\frac{\alpha}{\alpha_1 \mid \alpha_2}$$

$$\frac{\beta}{\beta_1 \mid \beta_2}$$

Reminder: Propositional Semantic Tableaux

Computational Logic: week 3

Definition

let $\{A_1, \dots, A_n\}$ be propositional formulas

- the following tree T is a **tableau** for $\{A_1, \dots, A_n\}$:

$$A_1$$
$$A_2$$
$$\vdots$$
$$A_n$$

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- the following tree T is a **tableau** for $\{A_1, \dots, A_n\}$:

$$\begin{array}{c} A_1 \\ A_2 \\ \vdots \\ A_n \end{array}$$

- suppose T is a tableau for $\{A_1, \dots, A_n\}$ and T^* is obtained by applying a tableau expansion rule to T , then T^* is a **tableau** for $\{A_1, \dots, A_n\}$

Example

consider the tableau proof of $(P \rightarrow (Q \rightarrow R)) \rightarrow (P \vee S \rightarrow (Q \rightarrow R) \vee S)$

$$\neg((P \rightarrow (Q \rightarrow R)) \rightarrow (P \vee S \rightarrow (Q \rightarrow R) \vee S))$$

$$P \rightarrow (Q \rightarrow R)$$

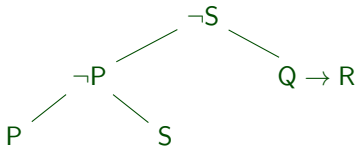
$$\neg(P \vee S \rightarrow (Q \rightarrow R) \vee S)$$

$$P \vee S$$

$$\neg((Q \rightarrow R) \vee S)$$

$$\neg((Q \rightarrow R))$$

$$\neg S$$

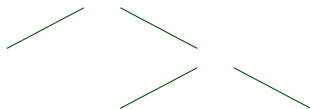


Heuristics Matters

Example

consider $P \wedge (Q \rightarrow R \vee S) \rightarrow P \vee Q$ and the following tableau proof

$$\neg(P \wedge (Q \rightarrow R \vee S) \rightarrow P \vee Q)$$



Heuristics Matters

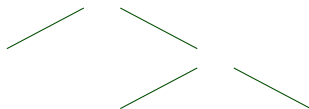
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$$P \wedge (Q \rightarrow R \vee S)$$

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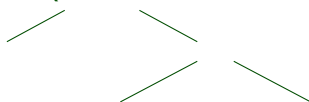
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$$R$$

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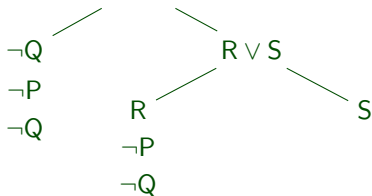
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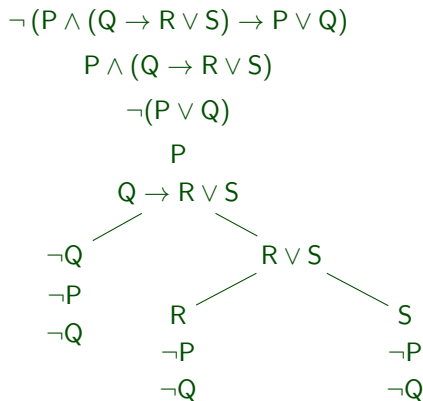
$$Q \rightarrow R \vee S$$



Heuristics Matters

Example

consider $P \wedge (Q \rightarrow R \vee S) \rightarrow P \vee Q$ and the following tableau proof



Example (cont'd)

now consider the following tableau proof

$$\neg((P \wedge (Q \rightarrow R \vee S)) \rightarrow P \vee Q)$$

$$P \wedge (Q \rightarrow R \vee S)$$

$$\neg(P \vee Q)$$

$$P$$

$$Q \rightarrow R \vee S$$

$$\neg P$$

$$\neg Q$$



Soundness and Completeness

Definitions

- a branch is **closed** if the formulas F and $\neg F$ occur on it
- if F is atomic, then the branch is said to be **atomically closed**
- a tableau is **closed** if every branch is closed
- a **tableau proof** of F is a closed tableau for $\neg F$
- in a **strict** tableau no formula is expanded twice on the same branch



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*the tableau procedure is **sound** and **complete**:*

$$F \text{ is a tautology} \iff F \text{ has a tableau proof}$$

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*the tableau procedure is **sound** and **complete**:*

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Proof.

use next two lemmas; alternative proof of completeness: propositional model existence lemma

Strong Propositional Completeness

Lemma

any application of a tableau expansion rule to a satisfiable tableau yields another satisfiable tableau



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suppose F is a valid; a strict tableau construction for $\neg F$ that is continued as long as possible must terminate in an atomically closed tableau



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Proof.

see Computational Logic, this week ■

Implementation of Semantic Tableaux

Naive Approach

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tableau_prover(X) :-  
    expand([[neg X]], Y),  
    closed(Y).
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A Bit More Efficient

```
tableau_prover3(X) :-  
    expand_and_close([[neg X]]).
```

First-Order Semantic Tableaux

Definition (uniform notation)

universal		existential	
γ	$\gamma(t)$	δ	$\delta(t)$
$\forall xA(x)$	$A(t)$	$\exists xA(x)$	$A(t)$
$\neg\exists xA(x)$	$\neg A(t)$	$\neg\forall xA(x)$	$\neg A(t)$



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Definition (tableau expansion rules)

$$\frac{\gamma}{\gamma(t)} \quad t \text{ term in } \mathcal{L}^+ \qquad \frac{\delta}{\delta(k)} \quad k \text{ fresh constant in } \mathcal{L}^+$$

- 1 \mathcal{L}^+ denotes extension of base language \mathcal{L}
- 2 new individual constants are introduced in δ rules
- 3 **fresh** means new to the branch of the tableau

Example

consider $\forall x(P(x) \vee Q(x)) \rightarrow \exists xP(x) \vee \forall xQ(x)$

we give a tableau proof

$$\neg(\forall x(P(x) \vee Q(x)) \rightarrow \exists xP(x) \vee \forall xQ(x))$$

$$\forall x(P(x) \vee Q(x))$$

$$\neg(\exists xP(x) \vee \forall xQ(x))$$

$$\neg\exists xP(x)$$

$$\neg\forall xQ(x)$$

$$\neg Q(c)$$

$$\neg P(c)$$

$$P(c) \vee Q(c)$$

$$P(c)$$

$$Q(c)$$

Soundness and Completeness of Tableau

Definitions

- a **tableau proof** of a sentence F is a closed tableau for $\neg F$
- a tableau branch is **satisfiable** if the set \mathcal{G} of sentences on it is satisfiable, i.e., there exists a model of \mathcal{G} ; a tableau is **satisfiable** if some branch is satisfiable



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if sentence F has a tableau proof, then F is valid

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if any tableau expansion rule is applied to a satisfiable tableau, the result is satisfiable ■

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