

Automated Theorem Proving

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Completeness of First-Order Resolution

Definitions

- a clause is called **ground** if it doesn't contain variables
- a **ground** substitution is a substitution whose range contains only terms without variables
- let $\square \notin \text{Res}^*(\mathcal{C})$, then \mathcal{C} is **consistent**



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- *let S denote the set of all consistent ground clause sets*
- *then S is a first-order consistency property with respect to \mathcal{L}*

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Proof.

on the whiteboard



Lifting Lemmas

Lemma

- let τ_1 and τ_2 be ground substitutions and consider

$$\frac{C_{\tau_1} \vee A_{\tau_1} \quad D_{\tau_2} \vee \neg B_{\tau_2}}{C_{\tau_1} \vee D_{\tau_2}}$$

where $A_{\tau_1} = B_{\tau_2}$

- \exists mgu σ of A and B , such that σ is more general than τ_1 and τ_2 and the following resolution step is valid:

$$\frac{C \vee A \quad D \vee \neg B}{(C \vee D)\sigma}$$

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again the lemma follows from the properties of an mgu ■

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resolution is complete; if F a sentence and C its clause form, then $\square \in \text{Res}^(C)$ if F is unsatisfiable*



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- 7 the lifting lemmas allows to lift this derivation to show $\square \in \text{Res}^*(\mathcal{C})$



Summary of Last Lecture

Theorem

- let Γ be a resolution refutation of a clause set \mathcal{C}
- let n denote the *length* $|\Gamma|$ of this refutation (counting the number of clauses in the refutation)
- then $\text{HC}(\mathcal{C}) \leq 2^{2^n}$

Definition

$$2_0 = 1 \quad 2_{n+1} = 2^{2^n}$$

NB: note that 2_n is a non-elementary function

Theorem

\exists a (finite) set of clauses \mathcal{C}_n such that $\text{HC}(\mathcal{C}_n) \geq \frac{1}{2} \cdot 2_n$

Outline of the Lecture

Early Approaches in Automated Reasoning

Herbrand's theorem for dummies, Gilmore's prover, method of Davis and Putnam

Starting Points

resolution, tableau provers, Skolemisation, ordered resolution, redundancy and deletion

Automated Reasoning with Equality

paramodulation, ordered completion and proof orders, superposition

Applications of Automated Reasoning

Neuman-Stubblebinde Key Exchange Protocol, Robbins problem

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\exists clause sets whose refutation in resolution is non-elementarily longer than its refutation in natural deduction



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Proof.

- 1 consider Statman's example \mathcal{C}_n
- 2 the shortest resolution refutation is $\Omega(2_{n-1})$
- 3 the length of the informal refutation is $O(n)$ and can be formalised in natural deduction

How to Skolemise Properly

Definitions

- if $\forall x$ occurs **positively** (**negatively**) then $\forall x$ is called **strong** (**weak**)
- dual for $\exists x$



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Definitions

- a formula is called **rectified** if different quantifiers bind different variables
- a formula is in **negation normal form (NNF)**, if it does not contain implication, and every negation sign occurs directly in front of an atomic formula

Inner and Outer (Refutational) Skolemisation

Definition

- let A be a rectified formula and $Qx \ G$ a subformula of A
- for any subformula $Q'y \ H$ of G we say $Q'y$ is **in scope** of Qx ; denoted as $Qx <_A Q'y$



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- let A be **rectified** sentence in **NNF**

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- let A be rectified sentence in NNF
- let $\exists xB$ a subformula of A at position p
- let $\{y_1, \dots, y_k\} = \{y \mid \forall y <_A \exists x\}$ and let $\{z_1, \dots, z_l\} = \mathcal{FVar}(\exists xB)$

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Structural Skolem Form

Definition

let A be **closed**, **rectified**, and in **NNF**

Structural Skolem Form

Definition

let A be closed, rectified, and in NNF we define the mapping rsk as follows:

$$rsk(A) = \begin{cases} A & \text{no existential quant. in } A \\ rsk(A_{-\exists y})\{y \mapsto f(x_1, \dots, x_n)\} & \forall x_1, \dots, \forall x_n <_A \exists y \end{cases}$$

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- 3 the Skolem function symbol f is fresh

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the formula $rsk(A)$ is the **(refutational) structural Skolem form** of A

Prenex and Antiprenex Skolem Form

Definitions

- let A be a sentence and A' a prenex normal form of A ; then $\text{rsk}(A')$ is the **prenex Skolem form** of A



Prenex and Antiprenex Skolem Form

Definitions

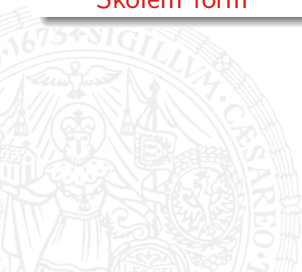
- let A be a sentence and A' a prenex normal form of A ; then $\text{rsk}(A')$ is the **prenex Skolem form** of A
- the **antiprenex form** of A is obtained by minimising the quantifier range by quantifier shifting rules



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- if A' is the antiprenex form of A , then $\text{rsk}(A')$ is the **antiprenex Skolem form**



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- if A' is the antiprenex form of A , then $\text{rsk}(A')$ is the **antiprenex Skolem form**

Theorem

let A be a closed formula in NNF, then $A \approx \text{rsk}(A)$

Example

consider $F = \forall x(\exists yP(x, y) \wedge \exists zQ(z)) \wedge \forall u(\neg P(a, u) \vee \neg Q(u))$

$$G_1 = \forall x(P(x, f(x)) \wedge Q(g(x))) \wedge \forall u(\neg P(a, u) \vee \neg Q(u))$$

$$G_2 = \forall xP(x, f(x)) \wedge Q(c) \wedge \forall u(\neg P(a, u) \vee \neg Q(u))$$

$$G_3 = \forall x\forall u(P(x, h(x, u)) \wedge Q(i(x, u)) \wedge \neg P(a, u) \vee \neg Q(u))$$

G_1 denotes the **refutational structural Skolemisation**, G_2 the **antiprenex refutational Skolemisation**, and G_3 is the **prenex refutational Skolemisation**



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G_1 denotes the **refutational structural Skolemisation**, G_2 the **antiprenex refutational Skolemisation**, and G_3 is the **prenex refutational Skolemisation**

Theorem

- 1 \exists a set of sentences \mathcal{D}_n with $\text{HC}(\mathcal{D}'_n) = 2^{2^{2^{O(n)}}}$ for the structural Skolem form \mathcal{D}'_n
- 2 $\text{HC}(\mathcal{D}''_n) \geq \frac{1}{2}2_n$ for the prenex Skolem form

Definition (Andrew's Skolem form)

let A be a rectified sentence in NNF; **(refutational) Andrew's Skolem form** is defined as follows:

$$\text{rsk}_A(A) = \begin{cases} A & \text{no existential quantifiers} \\ \text{rsk}_A(A_{\exists y})\{y \mapsto f(\vec{x})\} & \forall x_1, \dots, \forall x_n <_A \exists y \end{cases}$$

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consider $\forall z \forall y (\exists x P(y, x) \vee Q(y, z))$; Andrew's Skolem form is given as follows:

$$\forall z \forall y (P(y, f(y)) \vee Q(y, z))$$

on the other hand the antiprenex Skolem form is less succinct:

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