Functional Programming

WS 2016/17

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week 01

Overview

- Week 1 - OCaml Introduction
  - Organization
  - Functional vs. Imperative
  - OCaml in a Nutshell

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Lecture

Facts

- LV-Nr. 703024
- VO 2
- Friday 8:15–10:00 (HS F)
- http://cl-informatik.uibk.ac.at/teaching/ws16/fp/
- lecture notes are available online (only `.uibk.ac.at`)
- lecture notes are available in Studia
- office hours (CK): Thursday 14:15 – 15:45 in 3M12
- online registration required
- evaluation: written exam (closed book, registration required)

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group 1 (CK)	Friday 10:15–11:00	HS 11
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group 4 (BF)	Friday 13:15–14:00	HS 11

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Schedule

Slots

week 1	October	7	week 9	December	2
week 2	October	14	week 10	December	9
week 3	October	21	week 11	December	16
week 4	October	27	week 12	January	13
week 5	November	4	week 13	January	20
week 6	November	11	week 14	January	27
week 7	November	18	exam 1	February	3
week 8	November	25			

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Covered Topics

Part I: Practice

lists, strings,
trees, sets,
combinator parsing,
parsing, efficiency,
dynamic
programming,
lazy lists,
...

Part II: Theory

λ-calculus,
induction,
type checking,
type inference,
dependent types,
...

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This Week

Practice I

OCaml introduction, lists, strings, trees

Theory I

lambda-calculus, evaluation strategies, induction,
reasoning about functional programs

Practice II

efficiency, tail-recursion, combinator-parsing,

Theory II

type checking, type inference

Advanced Topics

lazy evaluation, infinite data structures, dependent types, monads

Overview

Notions - Side-Effects

Definition

A function has side-effects if it modifies some state in addition to producing a value.

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A function has side-effects if it modifies some state in addition to producing a value.

Example (side-effect.c)

```
int calls = 0; // state

int power2(int i) {
 calls++; // side-effect
 printf("Call␣%i␣to␣'power2'.\n", calls); // side-effect
 return(i * i); // actual result
}
```

Notions - Purity

Definition

A function is pure if it has same output on same input.

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A function is pure if it has same output on same input.

Example (pure.c - Pure)

```
int suc(int i) { return(i + 1); }
```

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Example (pure.c - Pure)

```
int suc(int i) { return(i + 1); }
```

Example (pure.c - Impure)

```
int rnd(int m, int n) { // random number in {m ≤ i < m + n}
 return(m + random() % n);
}
```

Notions - Mutable Data

Definition

Mutable data can be modified after its initial construction.

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Example (mutable_string.c - Mutable strings)

```
char* uppercase(char* s) {
 int i = 0;
 while (s[i] != '\0') s[i] = toupper(s[i++]);
 return s;
}
```

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 return s;
}
```

Example (ImmutableString.java - Immutable strings)

```
public static String uppercase(String s) {
 return s.toUpperCase();
}
```

Notions - Recursion

Definition (Recursion)

see ‘Recursion’

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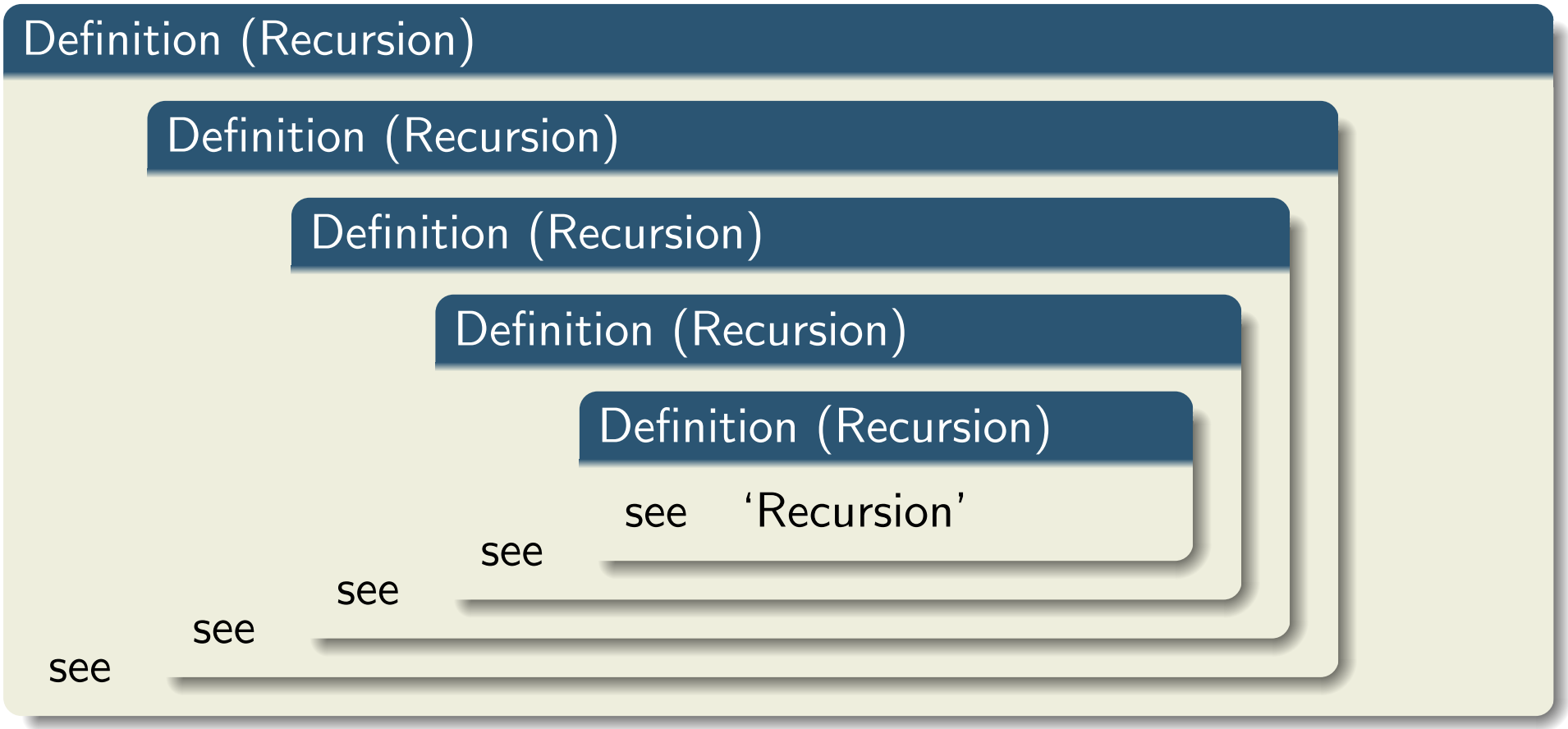
Notions - Recursion



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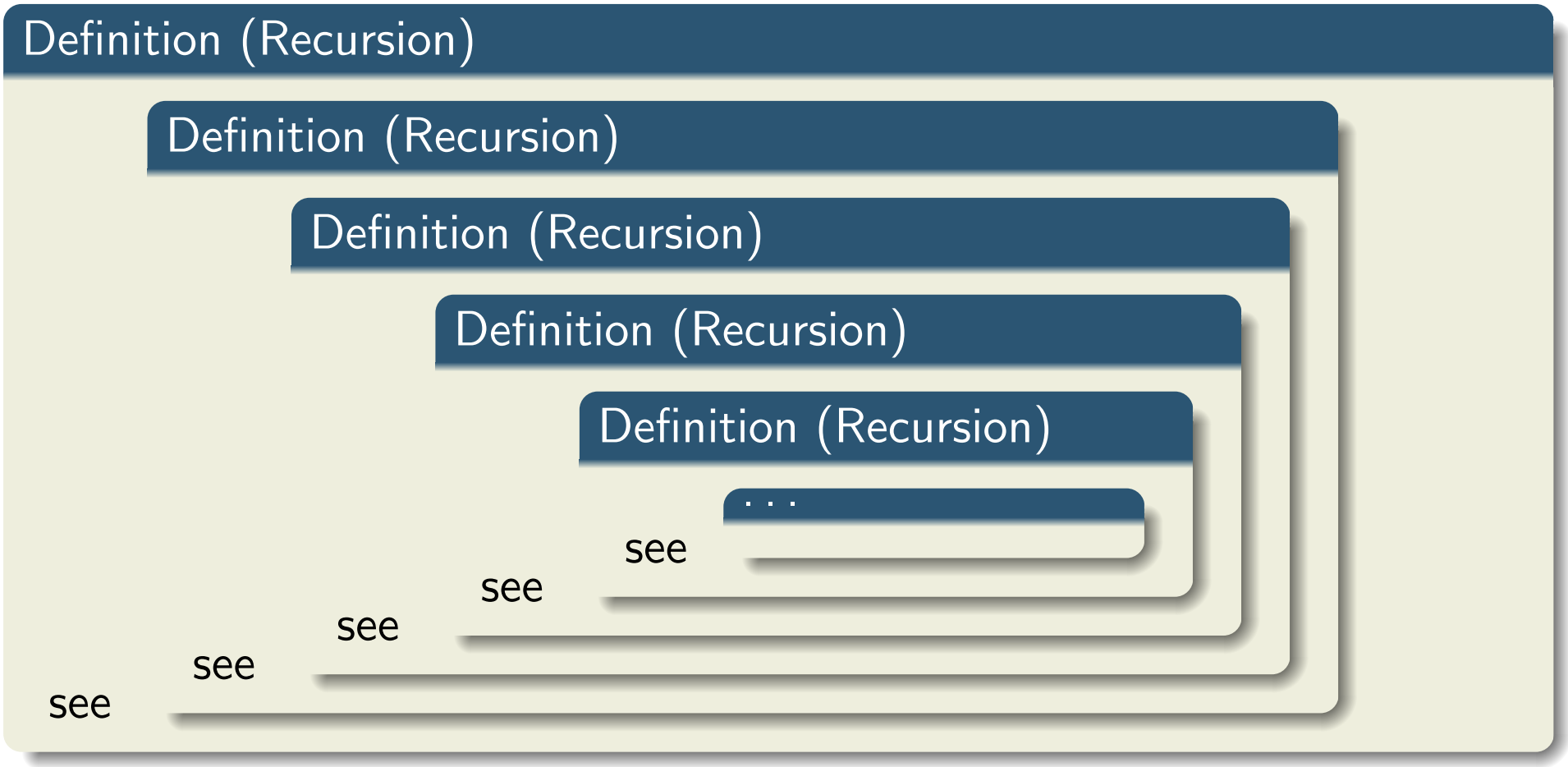

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Definition

A function is recursive if it is used in its own definition.

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A function is recursive if it is used in its own definition.

Example (`factorial.c` - Factorial Numbers)

```
int factorial(int n) {
 if (n < 2) { return 1; } else { return(n * factorial(n - 1)); }
}
```

Notions - Recursion

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A function is recursive if it is used in its own definition.

Example (`factorial.c` - Factorial Numbers)

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int factorial(int n) {
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Example (`fib.c` - Fibonacci Numbers)

```
int fib(int n) {
 if (n < 2) { return n; } else { return(fib(n-1) + fib(n-2)); }
}
```

Notions - Strict vs. Lazy

```
int timestwo(int x) {
 return x + x;
}
```

- Strict: `timestwo(3+3) = timestwo(6) = 6+6 = 12`
- Lazy: `timestwo(3+3) = (3+3)+(3+3) = 6+(3+3) = 6+6 = 12`

Remark

Strict evaluation is similar to call-by-value
Lazy evaluation is similar to call-by-name

Notions - Strict vs. Lazy

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Effects

Evaluation strategy affects

- efficiency
- termination behaviour

Some Functional Languages (alphabetical)

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pure, lazy, logic-programming,
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Scala v2.0 (2006)

'scalable language', strict, lazy, object-oriented, concurrent, JVM

Benefits of Functional Languages

- concurrency for free (lack of side-effects)
- garbage collection (Lisp)
- close to mathematics (proving properties)
- compact code (maintainance, readability)

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Basic Types

- bool (e.g., `true`, `false`)
- char (e.g., `'a'`, `'b'`, `'c'`, ..., `'A'`, `'B'`, `'C'`, ..., `'0'`, `'1'`, `'2'`, ...)
- float (e.g., `0.`, `1e-3`, `3.1415`, ...)
- int (e.g., ..., `-2`, `-1`, `0`, `1`, `2`, ...)
- string (e.g., `"Hello, world!\n"`)
- unit (e.g., `()`)

Basic Operations

Comparison

- '=' equality test
- '<>' inequality test
- '<' smaller than
- '>' greater than
- '<=' smaller than or equal
- '>=' greater than or equal
- 'compare' comparison
- 'min' minimum of 2 values
- 'max' maximum of 2 values

Example

```
# 'c' <> 'h';;
- : bool = true
# compare "A" "A";;
- : int = 0
# compare "A" "B";;
- : int = -1
# compare "B" "A";;
- : int = 1
# max 1 2;;
- : int = 2
# min 1 2;;
- : int = 1
```

Basic Operations (cont'd)

Booleans

- '`&&`' logical and
- '`||`' logical or
- '`not`' logical not

Note

A `&&` *B* (*A* `||` *B*): if *A* is **`false`** (**`true`**) then *B* is not evaluated

Basic Operations (cont'd)

Integers

- '`~-`' unary negation
- '`succ`' successor function ($x \mapsto x + 1$)
- '`pred`' predecessor function ($x \mapsto x - 1$)
- '`+`' addition
- '`-`' subtraction
- '`*`' multiplication

- '`/`' division
- '`mod`' remainder of division
- '`abs`' absolute value
- '`max_int`' greatest representable integer
- '`min_int`' smallest representable integer

Basic Operations (cont'd)

Floating Point Numbers

- '`~-.`' unary negation
- '`+.`' addition
- '`-.`' subtraction
- '`*.`' multiplication
- '`/.`' division

- '`**`' exponentiation
- '`sqrt`' square root
- '`truncate`' drop decimal places
- ...

Basic Operations (cont'd)

Strings

- '^' string concatenation

Example

```
# "Hello" ^ ",␣world!";;
- : string = "Hello,␣world!"
```

Types

- basic types (`bool`, `char`, `float`, `int`, `string`, `unit`)
- type variables (`'a`, `'b`, `'c`, ...)
- tuple types (`int * float`, `'a * 'a`, `int * char * int`, ...)
- function types (`int -> int`, `bool -> bool -> bool`, ...)
- user-defined types

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User-Defined Types

Type Abbreviations (new name for existing type)

- `type coord = int * int`

Algebraic Datatypes (Variant Types)

- `type direction = North | East | South | West`
- `type nat = Zero | Succ of nat`
- `type number = Int of int | Float of float`
- `type 'a mylist = Nil | Cons of 'a * 'a mylist`

Values (Instances of Types)

- tuples (`(1, 2) : int * int`)
- anonymous functions (`fun x -> x + 1 : int -> int`)
- functions (`let succ x = x + 1 : int -> int`)
- variants (instances of algebraic datatypes)
  - `Zero : nat`
  - `Succ(Succ(Succ(Zero))) : nat`
  - `East : direction`
  - `Int 3 : number`
  - `Float 3.0 : number`
  - `Cons(3,Cons(5,Cons(7,Nil))) : int mylist`
  - `Cons('c',Cons('e', Nil)) : char mylist`
  - `Nil: 'a mylist`

Recursive Functions

- functions calling themselves
- e.g.,

```
let rec sum n = if n < 1 then 0 else n + sum(n-1)
```

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Example

```
sum 3
= if 3 < 1 then 0 else 3 + sum(3-1)
= 3 + sum 2
= 3 + if 2 < 1 then 0 else 2 + sum(2-1)
= 3 + 2 + sum 1
= ...
= 3 + 2 + 1 + 0
= 6
```

Pattern Matching

- match expressions

$$\begin{array}{l}\texttt{match } e \texttt{ with} \\ \quad \mid p_1 \ [\texttt{when } cond_1] \texttt{ -> } e_1 \\ \quad \vdots \\ \quad \mid p_n \ [\texttt{when } cond_2] \texttt{ -> } e_n\end{array}$$

- patterns

$$p ::= x \mid const \mid C\ (p, \ldots, p) \mid p \texttt{ as } x \mid p, \ldots, p \mid (p) \mid p \mid p$$

Example

```
let rec map(f,ls) = match ls with
  | Nil         -> Nil
  | Cons(x,xs) -> Cons(f(x),map(f,xs))
```

Currying

- function

```
let rec map(f,ls) = match ls with
  | Nil         -> Nil
  | Cons(x,xs) -> Cons(f(x),map(f,xs))
```

  has type `('a -> 'b) * 'a mylist -> 'b mylist`

- compare to

```
let rec map f ls = match ls with
  | Nil         -> Nil
  | Cons(x,xs) -> Cons(f x,map f xs)
```

  of type `('a -> 'b) -> 'a mylist -> 'b mylist`

Currying (cont'd)

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- or curried (`let add = (fun x -> (fun y -> x + y))`)

Currying (cont'd)

- every function has just one argument
- how to define functions with more arguments (e.g., $x + y$)?
- either use tuples (`let add(x,y) = x + y`)
- or curried (`let add = (fun x -> (fun y -> x + y))`)
- curried form is OCaml standard (e.g., `let f x y z =` *b* equals `let f = (fun x -> (fun y -> (fun z ->` *b*`)))`)

Scoping

- values cannot be changed
- two kinds
  - `let x = E;;` `(*x has value of E from now on*)`
  - `let x = E1 in E2;;` `(*x has value of E1 in E2*)`

Example

```
let w = 1;;

let x =
 let y = w in
 let w = 2 in
 let z = w in
 y + z;;
```

finally `x` has value `3` and `w` (still) has value `1`