

# Interactive Theorem Proving

Week 14

Cezary Kaliszyk (VO)  
Vincent van Oostrom (PS)

January 20, 2016



# Summary

## So far

Proof Assistants, HOL Light,  $\lambda_{\rightarrow}$ ,  $\lambda_P$ ,  $\lambda_2$ , Curry-Howard, Declarative Proof, Mizar, Proofs about Programs, Extraction

## Today

- $\lambda_?$
- Isabelle
- Code Generation

## Quiz: $\lambda?$

- The set of sorts:  $\{Prop, Type, Type'\}$
- Pseudo-terms:  $T ::= Prop | Type | Type' | Var | (\Pi V : T. T) | (\lambda V : T. T) | T T$
- Axiom, Axiom, Var, Weak

$$\frac{}{\vdash Prop : Type} \quad \frac{}{\vdash Type : Type'} \quad \frac{\Gamma \vdash A : s}{\Gamma, x : A \vdash x : A} \quad \frac{\Gamma \vdash A : B \quad \Gamma \vdash C : s}{\Gamma, x : C \vdash A : B}$$

- $\Pi$ : if  $(s_1, s_2) \in \{(Type, Type), (Prop, Prop), (Type, Prop)\}$

$$\frac{\Gamma \vdash A : s_1 \quad \Gamma, x : A \vdash B : s_2}{\Gamma \vdash \Pi x : A. B : s_2}$$

- $\lambda$ , app, conv

$$\frac{\Gamma, x : A \vdash M : B \quad \Gamma \vdash \Pi x : A. B : s}{\Gamma \vdash \lambda x : A. M : \Pi x : A. B} \quad \frac{\Gamma \vdash M : \Pi x : A. B \quad \Gamma \vdash N : A}{\Gamma \vdash MN : B[x := N]}$$

$$\frac{\Gamma \vdash A : B \quad \Gamma \vdash B' : s}{\Gamma \vdash A : B'} \quad \text{where } B =_{\beta} B'$$

## Quiz: $\lambda?$

- The combination (Type,Type) forms the function types  $A \rightarrow B$  for  $A, B:\text{Type}$ . This comprises the unary predicate types and binary relations types:  $A \rightarrow \text{Prop}$  and  $A \rightarrow A \rightarrow \text{Prop}$ .
  - Also: higher order predicate types like  $(A \rightarrow A \rightarrow \text{Prop}) \rightarrow \text{Prop}$ .
  - A  $\Pi$ -type formed by (Type,Type) is always an  $\rightarrow$ -type.
- (Prop,Prop) forms the propositional types  $\varphi \rightarrow \psi$  for  $\varphi, \psi : \text{Prop}$ ; implicational formulas.
  - A  $\Pi$ -type formed by (Type,Type) is always an  $\rightarrow$ -type.
- (Type,Prop) forms the dependent propositional type  $\Pi x : A. \varphi$  for  $A:\text{Type}, \varphi:\text{Prop}$ ; universally quantified formulas.

# Properties of $\lambda_{HOL}$ (1/2)

Question: is the type theory  $\lambda_{HOL}$  really isomorphic with HOL?

Yes: it is possible to disambiguate the syntax.

- Uniqueness of types

If  $\Gamma \vdash M : A$  and  $\Gamma \vdash M : B$ , then  $A =_{\beta} B$ .

- Subject Reduction

If  $\Gamma \vdash M : A$  and  $M \rightarrow_{\beta} N$ , then  $\Gamma \vdash N : A$ .

- Strong Normalization

If  $\Gamma \vdash M : A$ , then all  $\beta$ -reductions from  $M$  terminate.

## Properties of $\lambda_{HOL}$ (2/2)

Decidability Questions:

$$\Gamma \vdash M : \sigma ? TCP$$
$$\Gamma \vdash M : ? TSP$$
$$\Gamma \vdash ? : \sigma TIP$$

For  $\lambda_{HOL}$ :

- TIP is undecidable
- TCP/TSP
  - Formally: we introduce a judgement of correct context, algorithm close to  $\lambda P$

# $\lambda_{HOL}$ contains $\lambda 2$ and $\lambda \rightarrow$

if  $(s_1, s_2) \in \{(Type, Type), (Prop, Prop), (Type, Prop)\}$

$$\frac{\Gamma \vdash A : s_1 \quad \Gamma, x : A \vdash B : s_2}{\Gamma \vdash \Pi x : A. B : s_2}$$

This rule allows to form

- $\rightarrow$ -types on the Type-level (first copy of  $\lambda \rightarrow$ )
- $\rightarrow$ -types on the Prop-level (second copy of  $\lambda \rightarrow$ )
- $\Pi \alpha : Prop. \alpha \rightarrow \alpha$ : polymorphic types on the Prop-level (copy of  $\lambda 2$ )

## HOL in LF (1/2)

```
holtype : type
bool : holtype
fun : holtype → holtype → holtype           "1 ⇒ 2"

term : holtype → type
Abs : {A,B} (term A → term B) → term (A ⇒ B)   "λ 3"
Comb : {A,B} term (A ⇒ B) → term A → term B    "3 ' 4"
equal : {A} term A ⇒ (A ⇒ bool)                "2 = 3"

thm : term bool → type                          "⊢ 1"
REFL : {A,X:term A} ⊢ X = X
TRANS : {A,X,Y,Z:term A} ⊢ X = Y → ⊢ Y = Z → ⊢ X = Z
MP : {p,q} ⊢ p = q → ⊢ p → ⊢ q
BETA : {A,B,F:term A → term B,X:term A} ⊢ (λ F)'X = (F X)
MK_COMB : {A,B, F,G:term A⇒B, X,Y:term A} ⊢ F = G → ⊢ X = Y → ⊢ F'X = G'Y
ABS : {A,B, S,T:term A → term B}
  ({x: term A} ⊢ (S x) = (T x)) → ⊢ λ S = λ T
DEDUCT_ANTISYM_RULE : {p,q} (⊢ p → ⊢ q) → (⊢ q → ⊢ p) → ⊢ p = q
```



## HOL in LF (2/2)

extension definition =

```
[n: nat] [A: holtypen → holtype] [a: {T: holtypen} term (A T)]
  c    : {T} term (A T)
  DEF  : {T} ⊢ (c T) = (a T)
```

extension new\_basic\_type\_definition =

```
[n:nat] [A: holtypen → holtype]
[P: {T: holtypen} term (A T) ⇒ bool]
[w: {T: holtypen} term (A T)]
[nonempty: {T: holtypen} ⊢ (P T) , (w T)]
  B      : holtypen → holtype
  abs    : {T} term (B T) ⇒ (A T)
  rep    : {T} term (A T) ⇒ (B T)
  rep_abs : {T} {b: term (B T)} ⊢ (rep T) , ((abs T) , b) = b
  abs_rep : {T} {a: term (A T)} ⊢
              (P T) , a = ((abs T) , ((rep T) , a) = a)
```

## Kernel

- Implemented in SML
  - LCF style
  - Makes use of PolyML checkpointing, JIT, nat, ...
- Medium size
  - ( $\approx$  5-10 files)
  - Higher order unification
  - Is code generation part of it?
- Weak type theory
  - Meta-logic Pure
  - Polymorphic types and type classes
  - Explicit connectives: Implication, Equality, Universal quantifier
  - Implicit: Conjunction, Meta-Existence
- Generic theorem prover
  - Larry Paulson: “Isabelle: The Next 700 Theorem Provers”

# Object logics

- IFOL
  - FOL
    - ZF
    - LCF (original Edinburgh LCF logic and prover from 1972)
- CTT
  - First version by Martin-Löf in 1971 impredicative
  - After discovery of Girard's paradox later versions predicative
- HOL (minimally different from HOL Light)
- TLA(+) (language for software/hardware specifications)
- ...

# Defining a logic

```
typedec1 o
```

```
axiomatization
```

```
False :: o and
```

```
conj :: "o => o => o" (infixr "&" 35) and
```

```
disj :: "o => o => o" (infixr "|" 30) and
```

```
imp :: "o => o => o" (infixr "-->" 25)
```

```
where
```

```
conjI: "P ==> Q ==> P&Q" and
```

```
conjunct1: "P&Q ==> P" and
```

```
conjunct2: "P&Q ==> Q" and
```

```
disjI1: "P ==> P|Q" and
```

```
disjI2: "Q ==> P|Q" and
```

```
disjE: "P|Q ==> (P ==> R) ==> (Q ==> R) ==> R" and
```

```
impI: "(P ==> Q) ==> P-->Q" and
```

```
mp: "P-->Q ==> P ==> Q" and
```

# Soundness and Completeness

Is the Isabelle representation of logic correct?

- Each axiom is sound with respect to the truth-table semantics
- Syntactic rule-by-rule translation
  - For each meta-proof there is a corresponding object proof

Completeness

- Object proofs are translated to meta-proofs
  - By induction on the size of the proof-object

# Intuitionistic logic with natural deduction

## Theory NJ

- First order logic (Prawitz, 1965)
- Combination of forward and backward reasoning

## Rules

$$\begin{aligned} & [ \mid P \mid ] \implies [ \mid Q \mid ] \implies [ \mid P \& Q \mid ] \\ & [ \mid P \& Q \mid ] \implies [ \mid P \mid ] \qquad [ \mid P \& Q \mid ] \implies [ \mid Q \mid ] \\ & [ \mid P \mid ] \implies [ \mid P \mid Q \mid ] \qquad [ \mid Q \mid ] \implies [ \mid P \mid Q \mid ] \\ & [ \mid P \mid Q \mid ] \implies ( [ \mid P \mid ] \implies [ \mid R \mid ] ) \implies \\ & \qquad ( [ \mid Q \mid ] \implies [ \mid R \mid ] ) \implies [ \mid R \mid ] \\ & ( [ \mid P \mid ] \implies [ \mid Q \mid ] ) \implies [ \mid P \dashrightarrow Q \mid ] \\ & [ \mid P \dashrightarrow Q \mid ] \implies [ \mid P \mid ] \implies [ \mid Q \mid ] \end{aligned}$$

## Quantifiers

$$\begin{aligned} & ( ! (y) [ \mid P(y) \mid ] ) \implies [ \mid \text{ALL } x.P(x) \mid ] \\ & [ \mid \text{ALL } x.P(x) \mid ] \implies [ \mid P(a) \mid ] \\ & [ \mid P(a) \mid ] \implies [ \mid \text{EXISTS } x.P(x) \mid ] \\ & [ \mid \text{EXISTS } x.P(x) \mid ] \implies ( ! (x) [ \mid P(x) \mid ] \implies [ \mid P \mid ] ) \implies [ \mid P \mid ] \end{aligned}$$

# Constructive Type Theory

## Theory CTT

- Extensional version of Martin-Löf Type Theory
- Normally: Typing judgements  $(a(...) \in A(...))$
- But also: being a family of types over  $A$  ( $B(x)$  type)

## Rules

```
[| A type |] ==> [| B type |] ==> [| A+B type |]
[| a: A |] ==> [| B type |] ==> [| inl(a): A+B |]
[| p: A+B |] ==> (! (x) [| x: A |] ==> [| c(x): C(inl(x)) |]) ==>
                (! (y) [| y: B |] ==> [| d(y): C(inr(y)) |]) ==>
                [| when(p,c,d): C(p) |]
[| a: A |] ==> (! (x) [| x: A |] ==> [| c(x): C(inl(x)) |]) ==>
                (! (y) [| y: B |] ==> [| d(y): C(inr(y)) |]) ==>
                [| when(inl(a),c,d) = c(a): C(inl(a)) |]
```

# Intuitionistic and Classical FOL

## Theories IFOL and FOL

- Sequent calculus with sequent variables

## Sequents, Thinning, Cut

$$[ \mid \$H, P, \$G \mid - \$E, P, \$F \mid ]$$
$$[ \mid \$H \mid - \$E, \$F \mid ] \implies [ \mid \$H \mid - \$E, P, \$F \mid ]$$
$$[ \mid \$H \mid - \$E, P \mid ] \implies [ \mid \$H, P \mid - \$E \mid ] \implies [ \mid \$H \mid - \$E \mid ]$$

## Conjunction and Negation

$$[ \mid \$H \mid - \$E, P, \$F \mid ] \implies [ \mid \$H \mid - \$E, Q, \$F \mid ] \implies$$
$$[ \mid \$H \mid - \$E, P \& Q, \$F \mid ]$$
$$[ \mid \$H, P, Q, \$G \mid - \$E \mid ] \implies [ \mid \$H, P \& Q, \$G \mid - \$E \mid ]$$
$$[ \mid \$H, P \mid - \$E, \$F \mid ] \implies [ \mid \$H \mid - \$E, \sim P, \$F \mid ]$$
$$[ \mid \$H, \$G \mid - \$E, P \mid ] \implies [ \mid \$H, \sim P, \$G \mid - \$E \mid ]$$



## Theory ZF

- Based on FOL
  - Axioms of ZF are complex
- Extensionality is defined using subsets
- Power-set axiom states that  $A \in Pow(B) \iff A \subseteq B$
- Limited comprehension using Collect
- Replacement axiom separate Replace
- Isabelle formalizes schemes using function variables

$Collect(A,P) == a:A \ \& \ P(a)$

$Replace(f,B) == EXISTS \ a. \ a:B \ \& \ c=f(a)$

# Looking at the theory

# Isabelle: Contesting for the biggest formal library

## HOL + Other Logics

ZF, CTT, TLA+

## Efficiency

Object-Logic, but PolyML+JIT, Checkpointing, Limited proof objects

## Code Generation

## HOL: Big Library + AFP

Math, Protocols, Algorithms, Programs

## Isar

Declarative proof, Tactics, Access to ML

# Code generation

- HOL (Isabelle) specifications
- can be turned into executable programs
  - Haskell, OCaml, SML, Scala
- Shallow embedding
  - Program semantics generated from equational theorems
  - (relation to higher-order rewriting)

## Example (1/2)

```
datatype 'a queue = AQueue 'a list 'a list
```

```
definition empty :: 'a queue where  
  empty = AQueue [] []
```

```
primrec enqueue :: 'a => 'a queue => 'a queue where  
  enqueue x (AQueue xs ys) = AQueue (x # xs) ys
```

```
fun dequeue :: 'a queue => 'a option * 'a queue where  
  dequeue (AQueue [] []) = (None, AQueue [] [])  
| dequeue (AQueue xs (y # ys)) = (Some y, AQueue xs ys)  
| dequeue (AQueue xs []) =  
  (case rev xs of y # ys => (Some y, AQueue [] ys))
```

```
export-code empty dequeue enqueue in SML  
module-name Example file examples/example.ML
```

```

structure Example = struct

fun foldl f a [] = a
  | foldl f a (x :: xs) = foldl f (f a x) xs;

fun rev xs = foldl (fn xsa => fn x => x :: xsa) [] xs;

fun list_case f1 f2 (a :: lista) = f2 a lista
  | list_case f1 f2 [] = f1;

datatype 'a queue = AQueue of 'a list * 'a list;

val empty : 'a queue = AQueue ([], [])

fun dequeue (AQueue ([], [])) = (NONE, AQueue ([], []))
  | dequeue (AQueue (xs, y :: ys)) = (SOME y, AQueue (xs, ys))
  | dequeue (AQueue (v :: va, [])) =
    let
      val y :: ys = rev (v :: va);
    in
      (SOME y, AQueue ([], ys))
    end;

fun enqueue x (AQueue (xs, ys)) = AQueue (x :: xs, ys);
end; (*struct Example*)

```

# Code generation

- Program Refinement
  - Given various equal implementations
  - Selecting Code Equations with an attribute
- Partial programs
  - Explicit “code-abort”
- Datatype refinement
  - Abstract data-types: sets
    - List? RBT?
  - Datatypes with invariants
  - Quotients:  $\lambda$ -terms
- Evaluation techniques
  - In proofs?
- Example: RBT\_Set

# Verified programs in the large

- Extraction
  - 2000: FTA
    - every non-constant complex polynomial has a root
    - input  $x^2 - 2$
    - program approximating  $\sqrt{2}$
  - CompCert: optimizing compiler for C
  - SSR: 4 color theorem, Odd order theorem.
- Separation Logic
  - VCG
  - L4-Verified
- Code Generation
  - CeTA



# Summary

## Today

- Isabelle

## Next time

- Presentations