

# Functional Programming

Christian Sternagel   Harald Zankl   Evgeny Zuenko

Department of Computer Science  
University of Innsbruck

WS 2017/2018

Lecture 5



## Topics

abstract data types, algebraic data types, binary search trees, combinator parsing, efficiency, encoding data types as lambda-terms, evaluation strategies, formal verification, first steps, guarded recursion, Haskell introduction, higher-order functions, historical overview, implementing a type checker, induction, infinite data structures, input and output, lambda-calculus, lazy evaluation, list comprehensions, lists, modules, pattern matching, polymorphism, property-based testing, reasoning about functional programs, recursive functions, sets, strings, tail recursion, trees, tupling, type checking, type inference, types, types and type classes, unification, user-defined types

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# Overview

- Introduction to the  $\lambda$ -Calculus
- Encoding Data Types

# Introduction to the $\lambda$ -Calculus

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- later it was shown that both models of computation are equivalent
- that is, Turing-complete is the same as definable in the  $\lambda$ -Calculus
- $\lambda$ -Calculus is underlying much of functional programming

## Syntax – $\lambda$ -Terms

- grammar

$t ::= x$	variable/atom
$(t t)$	application
$(\lambda x. t)$	(lambda) abstraction

- set of lambda terms is denoted  $\Lambda$

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### Examples

$(\lambda x. y)$   
 $(\lambda x. (\lambda y. x))$   
 $(\lambda x. (\lambda y. (\lambda z. ((x z) (y z))))))$   
 $(\lambda x. ((\lambda y. (\lambda z. (z y))) x))$

## Conventions (to ease reading and writing)

- outermost parentheses are dropped
- application binds stronger than abstraction, e.g.,  $\lambda x. x z$  is equal to  $\lambda x. (x z)$  and **not** to  $(\lambda x. x) z$
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## Note

- nested lambdas are “functions with multiple arguments”
- e.g.,  $\lambda xyz. t$  is a function taking 3 arguments

## $\lambda$ -Terms and Haskell

### Haskell

- $(\backslash x \rightarrow x + 1)$
- $(\backslash x \rightarrow x + 1) \ 2 = 3$
- `if True then 1 else 0 = 1`
- $(,)$   $2 \ 4 = (2, 4)$
- `fst`  $(2, 4) = 2$

### $\lambda$ -Calculus

- $\lambda x. \text{add } x \ 1$
- $(\lambda x. \text{add } x \ 1) \ 2$
- `if True 1 0`
- `Pair 2 4`
- `fst (Pair 2 4)`

## $\lambda$ -Terms and Haskell

### Haskell

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- `(\x -> x + 1) 2 = 3`
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- `if True 1 0`
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### Remark

- '0', '1', '2', '4', 'add', 'fst', 'if', 'Pair', and 'True' are abbreviations for more complex  $\lambda$ -terms
- supposed to "encode" the behavior of `0`, `1`, `2`, `4`, `(+)`, ...

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## The $\beta$ -Rule (informal definition)

- intuition: apply “function” to “argument”
- in  $\lambda$ -Calculus both “functions” and “arguments” are  $\lambda$ -terms
- the  $\beta$ -rule

$$(\lambda x. s) t \rightarrow_{\beta} s[x := t]$$

- in words: *when applying function  $(\lambda x. s)$  to input  $t$ , replace every occurrence of  $x$  in body of function (that is,  $s$ ) by  $t$*



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substitution replacing occurrences of  $x$  by  $t$

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$\lambda x. x$       no  $\beta$ -step possible

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## Solution

- do not allow arbitrary substitution (freshness constraints)
- work modulo **consistent renaming** of bound variables ( **$\alpha$ -equivalence**)



## Renaming Variables with Permutations

- **permutation** is bijection between variables (can be represented by finite list of swappings  $(x_1 \rightleftharpoons y_1, \dots, x_n \rightleftharpoons y_n)$ )

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- **apply permutation**  $\pi$  to term  $t$ , written  $\pi \bullet t$

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## Free Variables and Freshness

- set of **free variables** of a term

$$\mathcal{F}(x) = \{x\}$$

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- $\alpha$ -equivalence class of  $\lambda x. y x$  (remember: all elements considered the same term)

$$\{\lambda x. y x, \quad \lambda z. y z, \quad \lambda a. y a, \quad \lambda x'. y x', \quad \lambda b_1. y b_1, \quad \dots\}$$

## Substitutions

- substitution (for terms) is function from variables to terms
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- inductive definition of one step  $\beta$ -reduction

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- a nonempty sequence (that is,  $n > 0$ ) is denoted by  $s \rightarrow_{\beta}^+ t$

## Exercise

- consider  $\Omega = (\lambda x. x x) (\lambda x. x x)$ ,
- $K = \lambda xy. x$ ,
- $K_* = \lambda xy. y$ , and
- $I = \lambda x. x$
- reduce the following  $\lambda$ -terms

$$K \Omega$$

$$K_* \Omega$$

$$I \Omega$$

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### Examples

- $\lambda x. x$  is in NF
- $(\lambda x. x) y$  is not in NF, since  $(\lambda x. x) y \rightarrow_{\beta} y$  (with NF  $y$ )



# Encoding Data Types

## Booleans and Conditionals

### Haskell

- `True`
- `False`
- `if b then t else e`

### $\lambda$ -Calculus

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$$\begin{array}{ll} \text{if True } x y & \rightarrow_{\beta}^{+} \text{True } x y \\ \text{if False } x y & \rightarrow_{\beta}^{+} \text{False } x y \end{array} \quad \begin{array}{ll} \text{True } x y & \rightarrow_{\beta}^{+} x \\ \text{False } x y & \rightarrow_{\beta}^{+} y \end{array}$$

## Booleans and Conditionals

### Haskell

- `True`
- `False`
- `if b then t else e`

### $\lambda$ -Calculus

- `True =  $\lambda xy. x$`  “ignore second argument”
- `False =  $\lambda xy. y$`  “ignore first argument”
- `if =  $\lambda xyz. x y z$`

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## Natural Numbers – Church Numerals

- define  $n$ -fold application of “function”

$$s^0 t = t$$

$$s^{n+1} t = s (s^n t)$$

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function that applies first argument  $n$ -times to second argument

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## Haskell vs. $\lambda$ -Calculus

### Haskell

- 0
- 1
- n
- (+)
- (\*)
- (^)

### $\lambda$ -Calculus

- $0 = \lambda f x. x$
- $1 = \lambda f x. f x$
- $n = \lambda f x. f^n x$
- $\text{add} = \lambda m n f x. m f (n f x)$
- $\text{mul} = \lambda m n f. m (n f)$
- $\text{exp} = \lambda m n. n m$

## Pairs

### Haskell

- (,)
- `fst`
- `snd`

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- $\text{Pair} = \lambda xyf. f\ x\ y$
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## Lists

### Haskell

- `(:)`
- `head`
- `tail`
- `[]`
- `null`

### $\lambda$ -Calculus

- $\text{Cons} = \lambda x y. \text{Pair False (Pair } x \ y)$
- $\text{head} = \lambda z. \text{fst (snd } z)$
- $\text{tail} = \lambda z. \text{snd (snd } z)$
- $\text{Nil} = \lambda x. x$
- $\text{null} = \text{fst}$

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length x = if null x then 0  
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## Example – Length

- recall that  $\text{length} = Y g$  with  
 $g = \lambda f x. \text{if } (\text{null } x) 0 (\text{add } 1 (f (\text{tail } x)))$
- by fixed point property we obtain  $\text{length} \equiv_{\beta} g \text{ length}$ , taking care of replacing additional parameter  $f$  in  $g$  by definition of length

## Exercises (for November 17th)

1. Read the [lecture notes on the lambda calculus](#) until Section 5.
2. Use the conventions to simplify  $\lambda x. (\lambda y. (\lambda z. ((z (x y)) x)))$  and drop the conventions in the term  $\lambda a b c d. a b c d$ .
3. Consider  $F = \lambda f x y. f y x$ . What does  $F$  do? What does  $F (\lambda x y. x)$  do? Reduce  $F (\lambda x y. x)$  to NF.
4. Using `\` and `union` from `Data.List` as well as the types  

```
type Id = String
data Term = Var Id | App Term Term | Abs Id Term
```

implement functions `vars` and `fvs` (both of type `Term -> [Id]`) computing the set (in the form of a list without duplicates) of all variables and free variables occurring in a term, respectively.
5. Implement a function `freshName` that, given a variable `x` and a list of variables `ys`, computes a pair `(x', f)` where `x'` is fresh for `ys` and `f :: Term -> Term` replaces all occurrences of `x` in a term by `x'`.
6. With the help of `vars`, `fvs`, and `freshName`, implement a function `subst :: String -> Term -> Term -> Term`, where `subst x s t` computes  $t[x := s]$ , satisfying the freshness constraint.