

Functional Programming

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Lecture 10



Topics

abstract data types, algebraic data types, binary search trees, combinator parsing, efficiency, encoding data types as lambda-terms, evaluation strategies, formal verification, first steps, guarded recursion, Haskell introduction, higher-order functions, historical overview, implementing a type checker, induction, infinite data structures, input and output, lambda-calculus, lazy evaluation, list comprehensions, lists, modules, pattern matching, polymorphism, property-based testing, reasoning about functional programs, recursive functions, sets, strings, tail recursion, trees, tupling, type checking, type inference, types, types and type classes, unification, user-defined types

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Overview

- Type Checking
- Unification
- Type Inference

Type Checking

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input: expression e and type τ

output: YES (e has type τ) or NO

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The Language of Expressions – Core FP

$e ::=$	$x \mid e e \mid \lambda x. e$	λ -terms
	c	constant (for primitives)
	let $x = e$ in e	let-binding
	if e then e else e	conditional branching

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Primitives

- used for predefined “functions” and “constants”
- **Boolean:** True, False, $<$, $>$, $=$, ...
- **arithmetic:** \times , $+$, \div , $-$, 0, 1, ...
- **tuples:** Pair, fst, snd
- **lists:** Nil, Cons, head, tail

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Given a *(typing) environment* (mapping variables to types), a core FP *expression*, and a *type*, check whether the expression is of the given type with respect to the environment.

Types

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- special case – **base types**: Int, Bool (instead of Int(), Bool())

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- special case – base types: Int, Bool (instead of Int(), Bool())

Example Types

- List(Bool) – list of Booleans
- Pair(Int, Int) – pairs of integers
- Int \rightarrow Int \rightarrow Bool – functions from two integers to Boolean

Typing Environments

- set of pairs E , mapping variables and constants to types
- instead of $(e, \tau) \in E$, write $e :: \tau \in E$

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- read: “it can be proved that e is of type τ under E ”

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Examples

- **primitive environment**
 $P = \{\text{True} :: \text{Bool}, + :: \text{Int} \rightarrow \text{Int} \rightarrow \text{Int}, \text{Nil} :: \text{List}(\alpha), \dots\}$
- $P \vdash \text{True} :: \text{Bool}$ – “using the primitive environment, it can be shown that True is of type Bool”

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- **mapping** σ from type variables to types

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- **apply substitution** to type

$$\alpha\sigma = \sigma(\alpha)$$

$$(\tau_1 \rightarrow \tau_2)\sigma = \tau_1\sigma \rightarrow \tau_2\sigma$$

$$C(\tau_1, \dots, \tau_n)\sigma = C(\tau_1\sigma, \dots, \tau_n\sigma)$$

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- **composition** $\sigma_1\sigma_2 = (\lambda x. \sigma_1(x)\sigma_2)$ (“first apply σ_1 and then σ_2 ”)

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Examples

- $\sigma_1 = \{\alpha_1 \mapsto \text{List}(\alpha_2), \alpha_2 \mapsto \text{Bool}\}$
- $\sigma_2 = \{\alpha_2 \mapsto \text{Int}, \alpha_3 \mapsto \text{Int}\}$
- $\sigma_1\sigma_2 = \{\alpha_1 \mapsto \text{List}(\text{Int}), \alpha_2 \mapsto \text{Bool}, \alpha_3 \mapsto \text{Int}\}$

Type Checking as Natural Deduction Rules

$$\frac{e :: \tau \in E}{e :: \tau\sigma} \text{ (ins)}$$

$$\frac{e_1 :: \tau_2 \rightarrow \tau_1 \quad e_2 :: \tau_2}{e_1 e_2 :: \tau_1} \text{ (app)}$$

$$\frac{\boxed{\begin{array}{c} x :: \tau_1 \\ \vdots \\ e :: \tau_2 \end{array}}}{\lambda x. e :: \tau_1 \rightarrow \tau_2} \text{ (abs)}$$

$$\frac{e_1 :: \tau_1 \quad \boxed{\begin{array}{c} x :: \tau_1 \\ \vdots \\ e_2 :: \tau_2 \end{array}}}{\mathbf{let } x = e_1 \mathbf{ in } e_2 :: \tau_2} \text{ (let)}$$

$$\frac{e :: \tau}{e :: \tau} \text{ (copy)}$$

$$\frac{e_1 :: \mathbf{Bool} \quad e_2 :: \tau \quad e_3 :: \tau}{\mathbf{if } e_1 \mathbf{ then } e_2 \mathbf{ else } e_3 :: \tau} \text{ (ite)}$$

Examples

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- prove judgment $E \vdash (\lambda x. x) \text{ True} :: \text{Bool}$

- 1 $\text{True} :: \text{Bool}$ $\text{ins } E$
- 2

$x :: \text{Bool}$	assumption
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- 3 $\lambda x. x :: \text{Bool} \rightarrow \text{Bool}$ $\text{abs } 2$
- 4 $(\lambda x. x) \text{ True} :: \text{Bool}$ $\text{app } 3, 1$

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- prove $E \vdash \lambda x. x + x :: \text{Int} \rightarrow \text{Int}$

Examples

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- prove judgment $E \vdash (\lambda x. x) \text{ True} :: \text{Bool}$

1	$\text{True} :: \text{Bool}$	ins E
2	$x :: \text{Bool}$	assumption
3	$\lambda x. x :: \text{Bool} \rightarrow \text{Bool}$	abs 2
4	$(\lambda x. x) \text{ True} :: \text{Bool}$	app 3, 1

- prove $E \vdash \lambda x. x + x :: \text{Int} \rightarrow \text{Int}$

1	$x :: \text{Int}$	assumption
2	$+ :: \text{Int} \rightarrow \text{Int} \rightarrow \text{Int}$	ins E
3	$(+) x :: \text{Int} \rightarrow \text{Int}$	app 2, 1
4	$x + x :: \text{Int}$	app 3, 1
5	$\lambda x. x + x :: \text{Int} \rightarrow \text{Int}$	abs 1-4

Unification

Problem – Unification

input: equation $\tau_1 \approx \tau_2$

output: most general unifier σ such that $\tau_1\sigma = \tau_2\sigma$ or FAILURE

Problem – Unification

pair of types

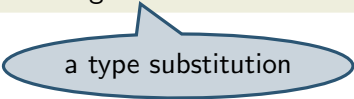
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a type substitution

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syntactic equality

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Notions

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Notions

- equation $\tau \approx \tau'$ is **satisfiable** iff exists σ such that $\tau\sigma = \tau'\sigma$

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- σ is called **solution** of $\tau \approx \tau'$

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- **unification problem** is finite sequence of equations

$$\tau_1 \approx \tau'_1; \dots; \tau_n \approx \tau'_n$$

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- unification – solving given unification problem
- **set of type variables** of a type

$$\mathcal{V}(\alpha) = \{\alpha\}$$

$$\mathcal{V}(\tau_1 \rightarrow \tau_2) = \mathcal{V}(\tau_1) \cup \mathcal{V}(\tau_2)$$

$$\mathcal{V}(C(\tau_1, \dots, \tau_n)) = \mathcal{V}(\tau_1) \cup \dots \cup \mathcal{V}(\tau_n)$$

Unification Rules

(d) decomposition

$$\frac{E_1; C(\tau_1, \dots, \tau_n) \approx C(\tau'_1, \dots, \tau'_n); E_2}{E_1; \tau_1 \approx \tau'_1; \dots; \tau_n \approx \tau'_n; E_2} \quad (d_1)$$

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(t) removal of **trivial** equations

$$\frac{E_1; \tau \approx \tau; E_2}{E_1; E_2} \quad (t)$$

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(d) decomposition

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(t) removal of trivial equations

$$\frac{E_1; \tau \approx \tau; E_2}{E_1; E_2} \quad (t)$$

(v) **variable** elimination

$$\frac{E_1; \alpha \approx \tau; E_2 \quad \alpha \notin \mathcal{V}(\tau)}{(E_1; E_2)\{\alpha \mapsto \tau\}} \quad (v_1)$$

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Applying Unification Rules

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is composition

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$$\text{List}(\text{Bool}) \approx \text{List}(\alpha) \begin{array}{l} \Rightarrow_{\{\}}^{(d_1)} \\ \Rightarrow_{\{\alpha \mapsto \text{Bool}\}}^{(v_2)} \end{array} \quad \text{Bool} \approx \alpha$$

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Example

$$\begin{array}{l} \text{List}(\text{Bool}) \approx \text{List}(\alpha) \Rightarrow_{\{\}}^{(d_1)} \text{Bool} \approx \alpha \\ \Rightarrow_{\{\alpha \mapsto \text{Bool}\}}^{(v_2)} \square \end{array}$$

Type Inference

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Given an *environment* (assigning types to primitives), a core FP *expression*, and an initial *type*

What is Type Inference?

Given an *environment* (assigning types to primitives), a core FP *expression*, and an initial *type*, try to infer a *solution* (that is, type substitution) such that applying it to the initial type yields the *most general compatible type* of the initial expression.

Type Inference Problems

- $E \triangleright e :: \tau$
- read: “try to infer most general substitution σ such that $E \vdash e :: \tau\sigma$ ”

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- $E = \{0 :: \text{Int}\}$

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Example

- $E = \{0 :: \text{Int}\}$
- $E \triangleright \mathbf{let\ id = \lambda x. x\ in\ id\ 0} :: \alpha_0$

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- $E \triangleright \mathbf{let\ } id = \lambda x. x \mathbf{\ in\ } id\ 0 :: \alpha_0$
- $\sigma = \{\alpha_0 \mapsto \text{Int}\}$
- | | | |
|---|--|------------|
| 1 | $x :: \text{Int}$ | assumption |
| 2 | $\lambda x. x :: \text{Int} \rightarrow \text{Int}$ | abs 1 |
| 3 | $id :: \text{Int} \rightarrow \text{Int}$ | assumption |
| 4 | $0 :: \text{Int}$ | ins E |
| 5 | $id\ 0 :: \text{Int}$ | app 3, 4 |
| 6 | $\mathbf{let\ } id = \lambda x. x \mathbf{\ in\ } id\ 0 :: \text{Int}$ | let 2, 3–5 |

Typing Constraint Rules

$$\frac{E, e :: \tau_0 \triangleright e :: \tau_1}{\tau_0 \approx \tau_1} \text{ (con)}$$

$$\frac{E \triangleright e_1 e_2 :: \tau}{E \triangleright e_1 :: \alpha \rightarrow \tau; E \triangleright e_2 :: \alpha} \text{ (app)}$$

$$\frac{E \triangleright \lambda x. e :: \tau}{\tau \approx \alpha_1 \rightarrow \alpha_2; E, x :: \alpha_1 \triangleright e :: \alpha_2} \text{ (abs)}$$

$$\frac{E \triangleright \mathbf{let} x = e_1 \mathbf{in} e_2 :: \tau}{E \triangleright e_1 :: \alpha; E, x :: \alpha \triangleright e_2 :: \tau} \text{ (let)}$$

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where α in (app) and (let), and α_1 and α_2 in (abs) are **fresh** (do not occur in premises of rule)

Recipe – Type Inference

- to find most general type of e under E

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- finally, $\alpha_0\sigma$ is most general type of e

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- start from $P \triangleright$ **let** $id = \lambda x. x$ **in** id 1 $:: \alpha_0$

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- start from $P \triangleright \mathbf{let}\ id = \lambda x. x\ \mathbf{in}\ id\ 1 :: \alpha_0$

$$\underline{P \triangleright \mathbf{let}\ id = \lambda x. x\ \mathbf{in}\ id\ 1 :: \alpha_0}$$

$$\Rightarrow^{(\text{let})} \frac{P \triangleright \lambda x. x :: \alpha_1;}{P, id :: \alpha_1 \triangleright id\ 1 :: \alpha_0}$$

$$\Rightarrow^{(\text{abs})} \frac{\alpha_1 \approx \alpha_2 \rightarrow \alpha_3;}{\frac{P, x :: \alpha_2 \triangleright x :: \alpha_3;}{P, id :: \alpha_1 \triangleright id\ 1 :: \alpha_0}}$$

$$\Rightarrow^{(\text{con})} \frac{\alpha_1 \approx \alpha_2 \rightarrow \alpha_3; \alpha_2 \approx \alpha_3;}{P, id :: \alpha_1 \triangleright id\ 1 :: \alpha_0}$$

$$\Rightarrow^{(\text{app})} \frac{\alpha_1 \approx \alpha_2 \rightarrow \alpha_3; \alpha_2 \approx \alpha_3;}{\frac{P, id :: \alpha_1 \triangleright id :: \alpha_4 \rightarrow \alpha_0;}{P, id :: \alpha_1 \triangleright 1 :: \alpha_4}}$$

$$\Rightarrow^{(\text{con})^2} \frac{\alpha_1 \approx \alpha_2 \rightarrow \alpha_3; \alpha_2 \approx \alpha_3;}{\alpha_1 \approx \alpha_4 \rightarrow \alpha_0; \text{Int} \approx \alpha_4}$$

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- find most general type of **let** $id = \lambda x. x$ **in** $id\ 1$ with respect to P
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$$\begin{array}{l}
 \Rightarrow^{(\text{let})} \frac{P \triangleright \mathbf{let}\ id = \lambda x. x\ \mathbf{in}\ id\ 1 :: \alpha_0}{P \triangleright \lambda x. x :: \alpha_1;} \quad \alpha_1 \approx \alpha_2 \rightarrow \alpha_3; \underline{\alpha_2 \approx \alpha_3}; \\
 \Rightarrow^{(\text{abs})} \frac{P, id :: \alpha_1 \triangleright id\ 1 :: \alpha_0}{\alpha_1 \approx \alpha_4 \rightarrow \alpha_0; \underline{\text{Int} \approx \alpha_4}} \quad \Rightarrow^{(\text{v})^2} \\
 \Rightarrow^{(\text{con})} \frac{P, x :: \alpha_2 \triangleright x :: \alpha_3; \quad \alpha_1 \approx \alpha_2 \rightarrow \alpha_3; \quad \alpha_1 \approx \alpha_3 \rightarrow \alpha_3; \alpha_1 \approx \text{Int} \rightarrow \alpha_0}{P, id :: \alpha_1 \triangleright id\ 1 :: \alpha_0} \quad \Rightarrow^{(\text{v})} \\
 \Rightarrow^{(\text{app})} \frac{P, id :: \alpha_1 \triangleright id\ 1 :: \alpha_0}{\alpha_1 \approx \alpha_2 \rightarrow \alpha_3; \alpha_2 \approx \alpha_3; \quad \alpha_3 \rightarrow \alpha_3 \approx \text{Int} \rightarrow \alpha_0} \quad \Rightarrow^{(\text{d})} \\
 \Rightarrow^{(\text{con})^2} \frac{P, id :: \alpha_1 \triangleright id :: \alpha_4 \rightarrow \alpha_0; \quad \alpha_3 \approx \text{Int}; \alpha_3 \approx \alpha_0}{P, id :: \alpha_1 \triangleright 1 :: \alpha_4} \quad \Rightarrow^{(\text{v})^2} \\
 \alpha_1 \approx \alpha_2 \rightarrow \alpha_3; \alpha_2 \approx \alpha_3; \quad \Rightarrow^{(\text{v})^2} \\
 \alpha_1 \approx \alpha_4 \rightarrow \alpha_0; \text{Int} \approx \alpha_4 \quad \square
 \end{array}$$

Exercise

- find most general type of **let** $id = \lambda x. x$ **in** $id\ 1$ with respect to P
- start from $P \triangleright \mathbf{let}\ id = \lambda x. x\ \mathbf{in}\ id\ 1 :: \alpha_0$

$$\begin{array}{l}
 \Rightarrow^{(\text{let})} \frac{P \triangleright \mathbf{let}\ id = \lambda x. x\ \mathbf{in}\ id\ 1 :: \alpha_0}{P \triangleright \lambda x. x :: \alpha_1;} \quad \alpha_1 \approx \alpha_2 \rightarrow \alpha_3; \underline{\alpha_2 \approx \alpha_3}; \\
 \Rightarrow^{(\text{abs})} \frac{P, id :: \alpha_1 \triangleright id\ 1 :: \alpha_0}{\alpha_1 \approx \alpha_4 \rightarrow \alpha_0; \underline{\text{Int} \approx \alpha_4}} \\
 \Rightarrow^{(\text{con})} \frac{P, x :: \alpha_2 \triangleright x :: \alpha_3;}{\alpha_1 \approx \alpha_2 \rightarrow \alpha_3; \alpha_2 \approx \alpha_3;} \quad \Rightarrow^{(\text{v})^2} \frac{}{\{\alpha_2 \mapsto \alpha_3, \alpha_4 \mapsto \text{Int}\}} \\
 \Rightarrow^{(\text{app})} \frac{P, id :: \alpha_1 \triangleright id\ 1 :: \alpha_0}{\alpha_1 \approx \alpha_3 \rightarrow \alpha_3; \alpha_1 \approx \text{Int} \rightarrow \alpha_0} \\
 \Rightarrow^{(\text{con})^2} \frac{P, id :: \alpha_1 \triangleright id :: \alpha_4 \rightarrow \alpha_0;}{\alpha_3 \rightarrow \alpha_3 \approx \text{Int} \rightarrow \alpha_0} \quad \Rightarrow^{(\text{v})} \frac{}{\{\alpha_1 \mapsto \alpha_3 \rightarrow \alpha_3\}} \\
 \Rightarrow^{(\text{con})^2} \frac{P, id :: \alpha_1 \triangleright 1 :: \alpha_4}{\alpha_1 \approx \alpha_2 \rightarrow \alpha_3; \alpha_2 \approx \alpha_3;} \quad \Rightarrow^{(\text{d})} \frac{}{\alpha_3 \approx \text{Int}; \underline{\alpha_3 \approx \alpha_0}} \\
 \Rightarrow^{(\text{con})^2} \frac{P, id :: \alpha_1 \triangleright 1 :: \alpha_4}{\alpha_1 \approx \alpha_4 \rightarrow \alpha_0; \text{Int} \approx \alpha_4} \quad \Rightarrow^{(\text{v})^2} \frac{}{\{\alpha_0 \mapsto \text{Int}, \alpha_3 \mapsto \text{Int}\}} \\
 \Rightarrow^{(\text{con})^2} \frac{P, id :: \alpha_1 \triangleright 1 :: \alpha_4}{\alpha_1 \approx \alpha_2 \rightarrow \alpha_3; \alpha_2 \approx \alpha_3;} \quad \text{mgu: } \{\alpha_0 \mapsto \text{Int}, \alpha_1 \mapsto \text{Int} \rightarrow \text{Int}, \\
 \alpha_1 \approx \alpha_4 \rightarrow \alpha_0; \text{Int} \approx \alpha_4 \quad \alpha_2 \mapsto \text{Int}, \alpha_3 \mapsto \text{Int}, \alpha_4 \mapsto \text{Int}\} \\
 \square
 \end{array}$$

Exercises (for January 19th)

1. Read the [lecture notes about type checking and type inference](#).
2. Check that **if** True **then** $x + 1$ **else** $x - 1$ is of type Int under $P \cup \{x :: \text{Int}\}$.
3. Give a proof of $\emptyset \vdash \lambda xy. x :: \alpha_0 \rightarrow \alpha_1 \rightarrow \alpha_0$.
4. Solve the unification problem $\text{Pair}(\text{Bool}, \alpha_0) \approx \text{Pair}(\alpha_1, \text{Int})$.
5. Show that the unification problem $\text{Pair}(\text{Bool}, \alpha_0) \approx \text{Pair}(\alpha_0, \text{Int})$ does not have a solution.
6. Infer the most general type of **let** $\text{suc} = \lambda x. x + 1$ **in let** $d = \lambda x. \text{suc} (\text{suc } x)$ **in** $d\ 2$ under P .