

Functional Programming

Christian Sternagel Harald Zankl Evgeny Zuenko

Department of Computer Science
University of Innsbruck

WS 2017/2018

Lecture 11



Topics

abstract data types, algebraic data types, binary search trees, combinator parsing, efficiency, encoding data types as lambda-terms, evaluation strategies, formal verification, first steps, guarded recursion, Haskell introduction, higher-order functions, historical overview, implementing a type checker, induction, infinite data structures, input and output, lambda-calculus, lazy evaluation, list comprehensions, lists, modules, pattern matching, polymorphism, property-based testing, reasoning about functional programs, recursive functions, sets, strings, tail recursion, trees, tupling, type checking, type inference, types, types and type classes, unification, user-defined types

Topics

abstract data types, algebraic data types, binary search trees, combinator parsing, efficiency, encoding data types as lambda-terms, evaluation strategies, formal verification, first steps, guarded recursion, Haskell introduction, higher-order functions, historical overview, **implementing a type checker**, induction, infinite data structures, input and output, lambda-calculus, lazy evaluation, list comprehensions, lists, modules, pattern matching, polymorphism, property-based testing, reasoning about functional programs, recursive functions, sets, strings, tail recursion, trees, tupling, type checking, type inference, types, types and type classes, unification, user-defined types

Overview

- `CoreFP.hs` – A Module for Core FP Expressions
- `Unification.hs` – Implementing Unification
- `Typing.hs` – Implementing Type Inference

CoreFP.hs – A Module for Core FP Expressions

Grammar of Core FP

$e ::=$	x		$e e$		$\lambda x. e$	λ -terms
			c			constant (for primitives)
			let $x = e$ in e			let binding
			if e then e else e			conditional branching

Grammar of Core FP

$e ::= x \mid e e \mid \lambda x. e$	λ -terms
c	constant (for primitives)
let $x = e$ in e	let binding
if e then e else e	conditional branching

A Type for Core FP Expressions

```
type Id = String
data Exp = Var Id | App Exp Exp | Abs Id Exp
         | Con Id
         | Let Id Exp Exp
         | Ite Exp Exp Exp
deriving Eq
```

Grammar of Core FP

$e ::= x \mid e e \mid \lambda x. e$	λ -terms
c	constant (for primitives)
let $x = e$ in e	let binding
if e then e else e	conditional branching

A Type for Core FP Expressions

```
type Id = String
data Exp = Var Id | App Exp Exp | Abs Id Exp
         | Con Id
         | Let Id Exp Exp
         | Ite Exp Exp Exp
deriving Eq
```

Parsing Core FP Expressions from Strings

```
CoreFP.fromString :: String -> Exp
```


Grammar of Types

$$\tau ::= \alpha \mid \tau \rightarrow \tau \mid C(\tau, \dots, \tau)$$

Grammar of Types

$$\tau ::= \alpha \mid \tau \rightarrow \tau \mid C(\tau, \dots, \tau)$$

A Type for Types

```
data Type = TVar Int
          | TCon Id [Type]
  deriving Eq
```

Grammar of Types

$$\tau ::= \alpha \mid \tau \rightarrow \tau \mid C(\tau, \dots, \tau)$$

A Type for Types

```
data Type = TVar Int           – for easy renaming of type variables
           | TCon Id [Type]
deriving Eq
```

Grammar of Types

$$\tau ::= \alpha \mid \tau \rightarrow \tau \mid C(\tau, \dots, \tau)$$

A Type for Types

```
data Type = TVar Int           – for easy renaming of type variables
          | TCon Id [Type]    – type constructors and function type
deriving Eq
```

Grammar of Types

$$\tau ::= \alpha \mid \tau \rightarrow \tau \mid C(\tau, \dots, \tau)$$

A Type for Types

```
data Type = TVar Int           – for easy renaming of type variables
          | TCon Id [Type]     – type constructors and function type
deriving Eq                    – type equality can be checked
```

Grammar of Types

$$\tau ::= \alpha \mid \tau \rightarrow \tau \mid C(\tau, \dots, \tau)$$

A Type for Types

```
data Type = TVar Int           – for easy renaming of type variables
          | TCon Id [Type]     – type constructors and function type
deriving Eq                    – type equality can be checked
```

Syntactic Sugar for Function Types

- user-defined right-associative infix operator with precedence 8

```
infixr 8 ~>
```

```
(~>) :: Type -> Type -> Type
```

```
s ~> t = TCon "Fun" [s, t]
```

Typing Environments

```
type Env = [(String, Type)] -- constants/variables -> types
```

Typing Environments

```
type Env = [(String, Type)] -- constants/variables -> types
```

The Free Variables of a Type

```
tvars :: Type -> [Int]
tvars (TVar x)    = [x]
tvars (TCon g ts) = foldr (union . tvars) [] ts
```


Typing Environments

```
type Env = [(String, Type)] -- constants/variables -> types
```

The Free Variables of a Type

```
tvars :: Type -> [Int]
tvars (TVar x)    = [x]
tvars (TCon g ts) = foldr (union . tvars) [] ts
```

“Extracting” Maybes with Default

- `Data.Maybe.fromMaybe :: a -> Maybe a -> a`

Typing Environments

```
type Env = [(String, Type)] -- constants/variables -> types
```

The Free Variables of a Type

```
tvars :: Type -> [Int]
tvars (TVar x)    = [x]
tvars (TCon g ts) = foldr (union . tvars) [] ts
```

“Extracting” Maybes with Default

- `Data.Maybe.fromMaybe :: a -> Maybe a -> a`
- satisfies equations

$$\text{fromMaybe } x \text{ Nothing} = x$$
$$\text{fromMaybe } x \text{ (Just } y) = y$$

Type Substitutions

```
type TSub = [(Int, Type)]
```

Type Substitutions

```
type TSub = [(Int, Type)]
```

Applying Substitutions to Types

```
tsub :: TSub -> Type -> Type  
tsub s (x@(TVar i)) = fromMaybe x $ lookup i s  
tsub s (TCon g ts)  = TCon g (map (tsub s) ts)
```

Type Substitutions

```
type TSub = [(Int, Type)]
```

Applying Substitutions to Types

```
tsub :: TSub -> Type -> Type
tsub s (x@(TVar i)) = fromMaybe x $ lookup i s
tsub s (TCon g ts)  = TCon g (map (tsub s) ts)
```

Composition

```
tcomp :: TSub -> TSub -> TSub
s1 `tcomp` s2 =
  map (\(x, t) -> (x, tsub s2 t)) s1 ++
  filter ((`notElem` dom1) . fst) s2
where
  dom1 = map fst s1
```

An Environment for Primitives

```
tint, tbool :: Type
tint  = TCon "Int" []
tbool = TCon "Bool" []

tpair :: Type -> Type -> Type
tpair s t = TCon "Pair" [s, t]

-- assumption: involved type variables are non-negative
primitives :: Env
primitives = [
  ("True", tbool), ("False", tbool),
  ("<", tint ~> tint ~> tbool),
  ("+", tint ~> tint ~> tint),
  ("0", tint),
  ("Pair", a0 ~> a1 ~> tpair a0 a1), ...]
where a0 = TVar 0
        a1 = TVar 1
```

Evaluation

LVA-Code 703.024-0

Additional Questions

1. I am prepared to tackle moderate programming tasks using Haskell.
2. Having lecture notes (PDFs) in addition to slides is useful/important.
3. I would like to learn more about FP and/or use it for real world code.
4. The number of weekly exercises was appropriate.
 - *stimme völlig zu* = “should have been smaller”
 - *stimme gar nicht zu* = “should have been higher”

Unification.hs – Implementing Unification

Interface

- input: unification problem `type UP = [(Type, Type)]`

Interface

- input: unification problem **type** $UP = [(Type, Type)]$
- output: type substitution

Interface

- input: unification problem `type UP = [(Type, Type)]`
- output: type substitution
- unification: `unify :: UP -> TSub`

Interface

- input: unification problem `type UP = [(Type, Type)]`
- output: type substitution
- unification: `unify :: UP -> TSub`

Applying Type Substitutions to Unification Problems

```
tsubUP :: TSub -> UP -> UP  
tsubUP s = map (\(l, r) -> (tsub s l, tsub s r))
```

Unification

```
unify :: UP -> TSub
unify eqs = go [] eqs
  where
    go mgu []          = mgu
    go mgu (eq:eqs) =
      go (mgu `tcomp` mgu') (eqs' ++ tsubUP mgu' eqs)
      where
        (eqs', mgu') = step eq
step :: (Type, Type) -> (UP, TSub)
...
```

Unification

```
unify :: UP -> TSub
unify eqs = go [] eqs
  where
    go mgu []          = mgu
    go mgu (eq:eqs) =
      go (mgu `tcomp` mgu') (eqs' ++ tsubUP mgu' eqs)
      where
        (eqs', mgu') = step eq
step :: (Type, Type) -> (UP, TSub)
...
```

Removal of Trivial Equations

$$\frac{E_1; \tau \approx \tau; E_2}{E_1; E_2} \text{ (t)}$$

```
step (s, t) | s == t = ([], [])
```

Variable Elimination

$$\frac{E_1; \alpha \approx \tau; E_2 \quad \alpha \notin \mathcal{V}(\tau)}{(E_1; E_2)\{\alpha \mapsto \tau\}} \quad (v_1) \qquad \frac{E_1; \tau \approx \alpha; E_2 \quad \alpha \notin \mathcal{V}(\tau)}{(E_1; E_2)\{\alpha \mapsto \tau\}} \quad (v_2)$$

```
step (TVar x, t) = singletonSub x t
```

```
step (t, TVar x) = singletonSub x t
```

```
where
```

```
notUnif = error "not unifiable"
```

```
singletonSub x t =
```

```
  if x `elem` tvvars t then notUnif else ([], [(x, t)])
```

Variable Elimination

$$\frac{E_1; \alpha \approx \tau; E_2 \quad \alpha \notin \mathcal{V}(\tau)}{(E_1; E_2)\{\alpha \mapsto \tau\}} \quad (v_1) \qquad \frac{E_1; \tau \approx \alpha; E_2 \quad \alpha \notin \mathcal{V}(\tau)}{(E_1; E_2)\{\alpha \mapsto \tau\}} \quad (v_2)$$

step (TVar **x**, **t**) = singletonSub **x t**

step (**t**, TVar **x**) = singletonSub **x t**

where

notUnif = error "not unifiable"

singletonSub **x t** =

if **x** `elem` tvars **t** then notUnif else ([], [(**x**, **t**)])

Decomposition

$$\frac{E_1; C(\tau_1, \dots, \tau_n) \approx C(\tau'_1, \dots, \tau'_n); E_2}{E_1; \tau_1 \approx \tau'_1; \dots; \tau_n \approx \tau'_n; E_2} \quad (d_1) \qquad \frac{E_1; \tau_1 \rightarrow \tau_2 \approx \tau'_1 \rightarrow \tau'_2; E_2}{E_1; \tau_1 \approx \tau'_1; \tau_2 \approx \tau'_2; E_2} \quad (d_2)$$

step (TCon **g ss**, TCon **f ts**) |

g == **f** && length **ss** == length **ts** = (zip **ss ts**, [])

Typing.hs – Implementing Type Inference

Interface

- input: type inference problem `type IP = (Env, Exp, Type)`

Interface

- input: type inference problem `type IP = (Env, Exp, Type)`
- computing typing constraints: `toUp :: IP -> UP`

Interface

- input: type inference problem `type IP = (Env, Exp, Type)`
- computing typing constraints: `toUp :: IP -> UP`

Auxiliary Functions

```
maxIdx (TVar i)      = i
maxIdx (TCon _ ts) = maximum (0 : map maxIdx ts)

incBy i (TVar j)      = TVar (i + j)
incBy i (TCon g ts) = TCon g (map (incBy i) ts)

typeOf name env =
  fromMaybe (error ("no type for '" ++ name ++ "'")) $
    lookup name env
```

Computing Typing Constraints

```
toUp :: IP -> UP
toUp (env, e, tau) = go (maxIdx tau + 1) [(env, e, tau)]
  where
    go i []          = []
    go i (ip:ips) = up ++ go i' (ips' ++ ips)
      where
        (i', up, ips') = step i ip
        step :: Int -> IP -> (Int, UP, [IP])
        ...
```

Computing Typing Constraints

```
toUp :: IP -> UP
toUp (env, e, tau) = go (maxIdx tau + 1) [(env, e, tau)]
  where
    go i [] = []
    go i (ip:ips) = up ++ go i' (ips' ++ ips)
      where
        (i', up, ips') = step i ip
        step :: Int -> IP -> (Int, UP, [IP])
        ...
```

Consistency with the Environment (Polymorphic Constants)

$$\frac{E, e :: \tau' \triangleright e :: \tau}{\tau' \approx \tau} \text{ (con)}$$

```
step i (env, Var x, t) = (i, [(typeOf x env, t)], [])
step i (env, Con c, t) = (maxIdx t' + 1, [(t', t)], [])
  where t' = incBy i $ typeOf c env
```

Application

$$\frac{E \triangleright e_1 e_2 :: \tau}{E \triangleright e_1 :: \alpha \rightarrow \tau; E \triangleright e_2 :: \alpha} \text{ (app)}$$

`step i (env, App e1 e2, tau) = (i+1, [],`
 `[(env, e1, TVar i ~> tau),`
 `(env, e2, TVar i)])`

Application

$$\frac{E \triangleright e_1 e_2 :: \tau}{E \triangleright e_1 :: \alpha \rightarrow \tau; E \triangleright e_2 :: \alpha} \text{ (app)}$$

step i (env, App e_1 e_2 , tau) = ($i+1$, [],
[(env, e_1 , TVar i \sim > tau),
(env, e_2 , TVar i)])

Abstraction

$$\frac{E \triangleright \lambda x. e :: \tau}{\tau \approx \alpha_1 \rightarrow \alpha_2; E, x :: \alpha_1 \triangleright e :: \alpha_2} \text{ (abs)}$$

step i (env, Abs x e , tau) = ($i+2$,
[(tau, TVar i \sim > TVar ($i+1$))],
[((x , TVar i) : env, e , TVar ($i+1$))])

Let-Bindings

$$\frac{E \triangleright \mathbf{let} \ x = e_1 \ \mathbf{in} \ e_2 :: \tau}{E \triangleright e_1 :: \alpha; E, x :: \alpha \triangleright e_2 :: \tau} \text{ (let)}$$

```
step i (env, Let x e1 e2, tau) = (i+1, [],  
  [(env, e1, TVar i),  
   ((x, TVar i) : env, e2, tau)])
```

Let-Bindings

$$\frac{E \triangleright \mathbf{let} \ x = e_1 \ \mathbf{in} \ e_2 :: \tau}{E \triangleright e_1 :: \alpha; E, x :: \alpha \triangleright e_2 :: \tau} \text{ (let)}$$

step i (env, Let x e_1 e_2 , tau) = ($i+1$, [],
[(env, e_1 , TVar i),
((x , TVar i) : env, e_2 , tau)])

Conditional Branching

$$\frac{E \triangleright \mathbf{if} \ e_1 \ \mathbf{then} \ e_2 \ \mathbf{else} \ e_3 :: \tau}{E \triangleright e_1 :: \mathbf{Bool}; E \triangleright e_2 :: \tau; E \triangleright e_3 :: \tau} \text{ (ite)}$$

step i (env, Ite e_1 e_2 e_3 , tau) = (i , [],
[(env, e_1 , tbool),
(env, e_2 , tau),
(env, e_3 , tau)])

Type Inference

- most general type with respect to given environment and constraint

```
mgt :: IP -> Type
```

```
                                -- "seq" forces evaluation of "mgu"  
mgt ip@(_, _, t) = mgu `seq` tsub mgu t  
  where  
    mgu = unify $ toUp ip
```

Type Inference

- most general type with respect to given environment and constraint

```
mgt :: IP -> Type
```

```
                                -- "seq" forces evaluation of "mgu"  
mgt ip@(_, _, t) = mgu `seq` tsub mgu t  
  where  
    mgu = unify $ toUp ip
```

- most general type of expression

```
infer :: String -> Type
```

```
infer s = mgt (primitives, e, TVar 0)  
  where  
    e = fromString s
```

Definitions

```
concat []          = []
concat (x:xs)     = x ++ concat xs

length []         = 0
length (x:xs)    = 1 + length xs

map f []          = []
map f (x:xs)     = f x : map f xs

sum []            = 0
sum (x:xs)       = x + sum xs
```

Exercises (for January 26th)

1. Which of the above functions are tail recursive, which guardedly recursive, and which neither.
2. Evaluate `concat $ map (\x -> [x,x]) [1,2,3]` by equational reasoning.
3. Prove `length (concat xs) = sum (map length xs)` for all xs .
4. Implement a tail recursive function computing `(length . concat)`.
5. Prove the typing judgment $P \vdash (\lambda xy. x) \text{ True} :: \alpha_0 \rightarrow \text{Bool}$.
6. Use type inference to compute the most general type of `fst (Pair False 0)` with respect to the primitive environment P .