

# Legendrian Knots and First Order Theorem Provers

T. V. H. Prathamesh (Joint work with Dheeraj Kulkarni)

15-11-2017

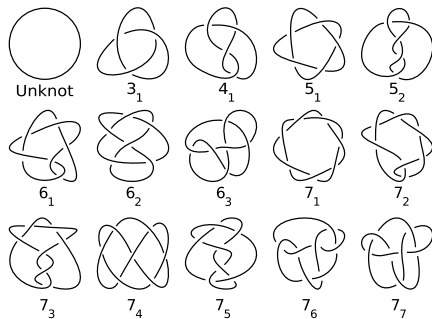
# Background

- Automated theorem provers, especially the first-order logic based theorem provers, are usually used to prove or negate mathematical statements.

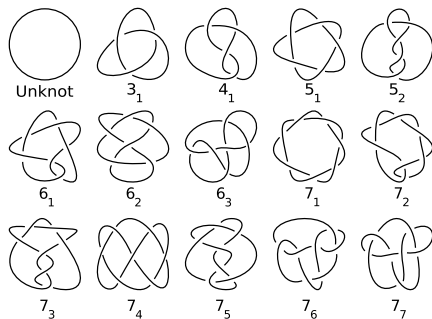
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- In this talk, we look at how they proved effective in constructing a new topological invariant called Legendrian racks.
- Legendrian racks are an invariant of Legendrian knots, an object of study in contact topology.



(Image source: Wikipedia)



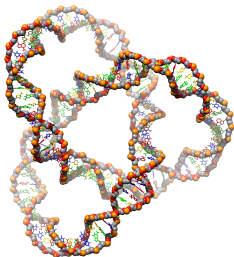
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## Definition (Formal Definition)

A knot  $K$  is the image of a smooth, injective map  $h : S^1 \rightarrow \mathbb{R}^3$  such that,  $h'(\theta) \neq 0$  for all  $\theta \in S^1$ .

# Why study knots

DNA Structures



Quantum Knots

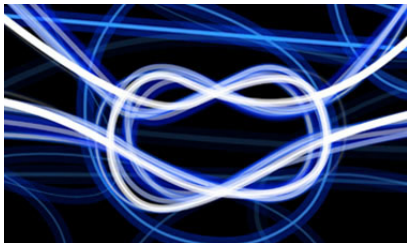


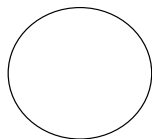
Image source(s): [thinglink.com](http://thinglink.com), [edx.org](http://edx.org)



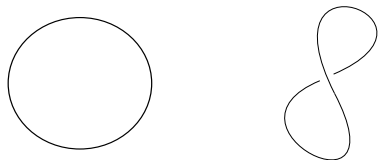
**Question:** What do we study about knots?

# When are two knots (or links) regarded as same or different?

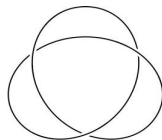
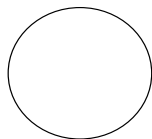
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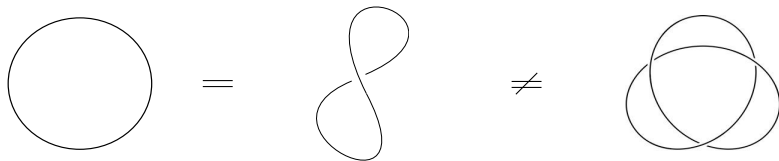
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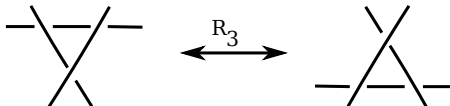
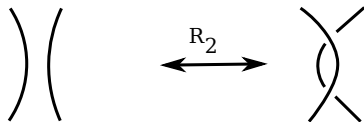
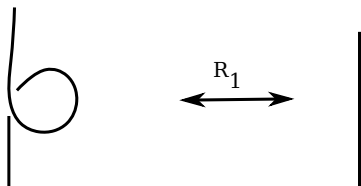
# When are two knots regarded as same or different?



# Knot Equivalence

- Two knots are said to be the same if one can be obtained from another without involving any cutting and pasting.
- The formal definition is in terms of 'ambient isotopy'.
- In practise, we use a 'combinatorial' version of equivalence, called Reidemeister moves.

# Reidemeister moves





# How do show in/equivalence of two knots?

- Run a knot recognition algorithm.
  - Drawbacks: Complexity is exponential in number of crossings and very few implementations available.
- Compute an invariant. If the values differ, they are different.
  - Drawback: Already tried for most cases. Complexity bounds of the difficult invariants are not reliably known.
- Use an automated theorem prover [Fish, Lisista (2014)].
  - Drawback: Early stages of research, no new results have been derived using this method.

# How does one achieve the above for knots?

**Topological Objects**

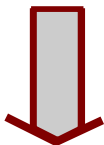


Quandles

**Combinatorial/Algebraic Structures**

# How does one achieve the above for knots?

## Topological Objects



Quandles

## Combinatorial/Algebraic Structures



First Order Logic

## Automated Theorem Provers

# Some definitions

- Left Self Distributivity:

$$\forall x. \forall y. \forall z. (x * y) * z = (x * z) * (y * z).$$

- Left Inverse:  $\forall y. \forall z. \exists! x. z = (x * y).$

- Idempotence:  $\forall x. x * x = x.$

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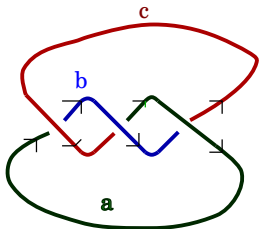
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## Definition

- A **rack**  $(R, *)$  is a model of the left self distributive and left inverse axioms.
- A **quandle** is an idempotent rack.
- **Remark:** We can use  $z/y$  to denote  $x$  in the left inverse axiom.

# Knots and Quandles

Knot



- $a * c = b$ .
- $b * a = c$ .
- $c * b = a$ .

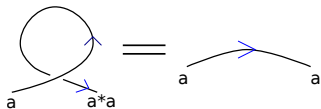
Quandle

- $(S, *)$ .
- **Generators:** Strands.
- **Generating Relations:** Crossings.
- $(S, *)$  :
  - 1 Closed under  $(*)$ .
  - 2 Left self-distributive.
  - 3 Left inverse property.
  - 4 **Idempotent**

# Knots and Quandles

## Knot

- Left self distributivity to **third** Reidemeister move.
- Left inverse corresponds to **second** Reidemeister move.
- Idempotence corresponds to **third** Reidemeister move.



## Quandle

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# Automated Theorem Proving and Quandles

- The quandle corresponding to the unknot is the trivial quandle.
- Checking if a given knot is an unknot, equals checking triviality of the quandle.
- This can be achieved by an ATP for FOL.



**Question:** Can Automated theorem provers help distinguish Legendrian knots?

# Legendrian Knots

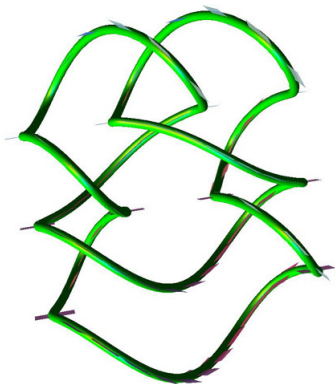


Image Source: Lenny Ng

# Legendrian Knots

- Legendrian knots occur in contact geometry.

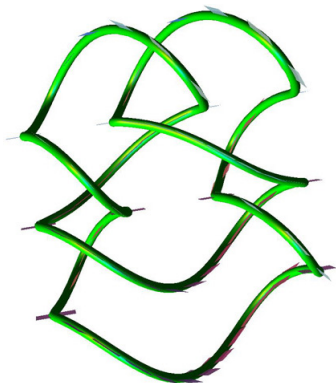


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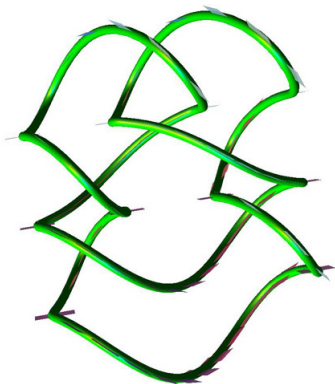


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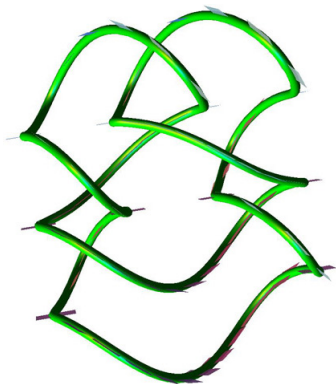


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- Contact manifolds arise out of optics and related PDE's.

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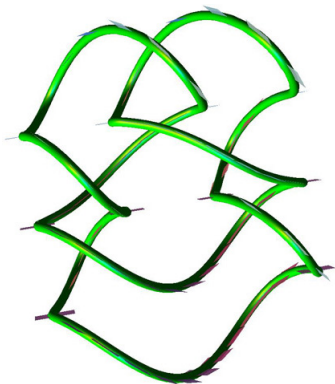


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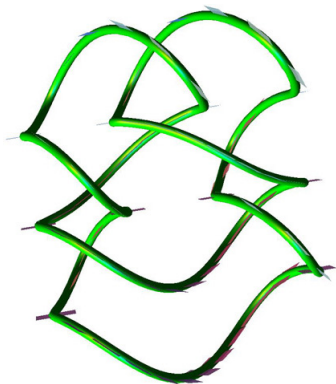
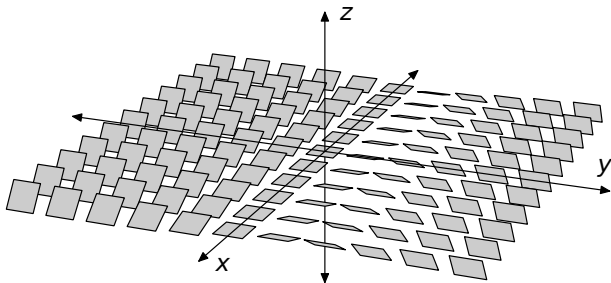


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- They help distinguishing geometric structures called contact manifolds.
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- Legendrian knots can be understood as knots with conditions on tangency.

# Standard Contact Form on $\mathbb{R}^3$

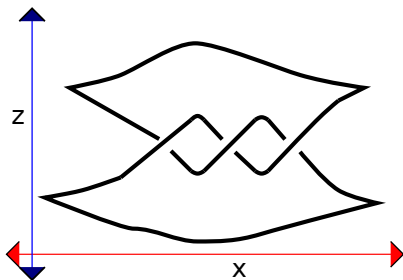
- Point :  $(x, y, z)$
- Embedded Plane:  $\{(u, v, y \cdot u) \mid u, v \in \mathbb{R}\}$



Only admissible paths in such a space, are those whose tangents at each lie in the associated embedded plane.

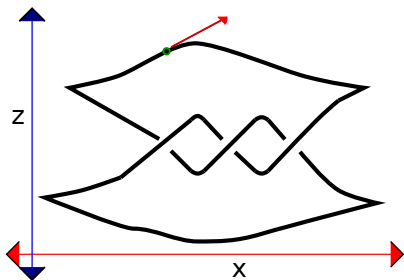


# Knots in a contact structure: Legendrian Knots



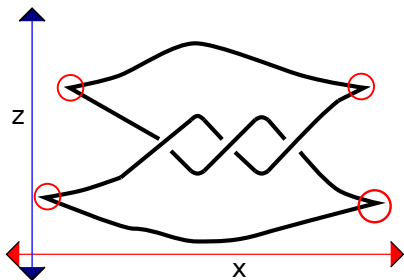
- $y = dz/dx$ .
- No vertical tangencies in the front projection.
- Vertical tangencies are replaced by cusps.
- Only one kind of crossing is present.
- Knot Eq  $\prec_{weaker}$  Legendrian knot Eq.

# Legendrian Knots



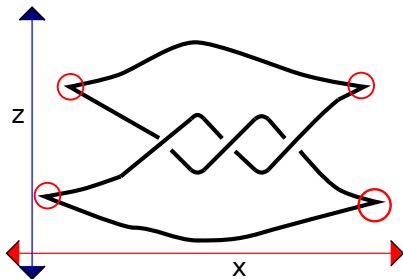
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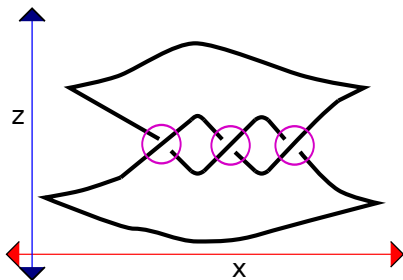
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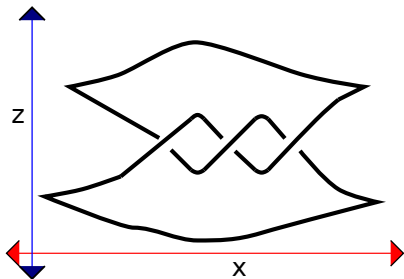
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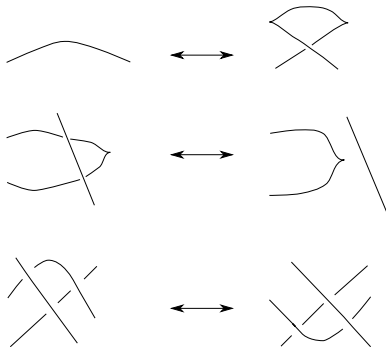


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# When are two Legendrian knots *same*?

- Equivalence between Legendrian knots is formally defined in terms of *Legendrian isotopy*. The definition involves derivatives and ambient isotopy.
- It can be pictorially understood using Legendrian Reidemeister moves.

# Legendrian Reidemeister moves



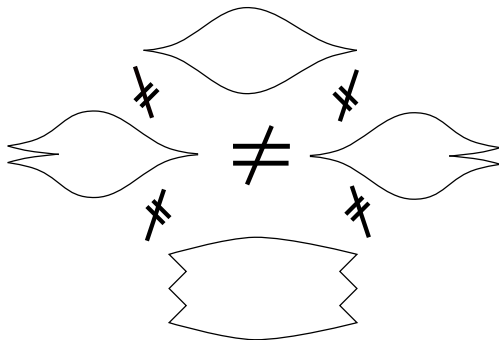


# Legendrian knots and knots

- Legendrian knot equality  $\Rightarrow$  knot equality
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# Distinguishing Legendrian Knots

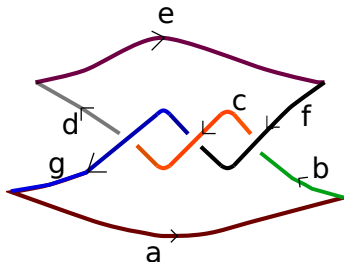
- Few knot invariants: Chekanov DGA, Rotation number, Tb number Et Al.
- Some of them can be computed using regular computer programs. Though not sufficiently high number of Legendrian knots are classified.
- However, none of these invariants are implementable in an Automated Theorem Prover.

**Motivating Task:** To find quandle like invariants of Legendrian knots.

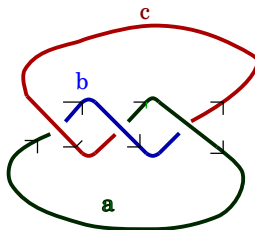
We discovered such a class of invariants, which we call **Legendrian racks**.

# Legendrian Knots and Legendrian Racks

Legendrian Knot

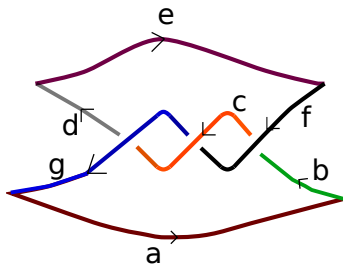


(Classical) Knot



# Legendrian Knots and Legendrian Racks

Legendrian Knot



- $b * f = c$ .
- $b = a^{n+1}$ .

Legendrian racks

- $\{(LR_n, *)\}$
- **Generators:** Strands.
- **Generating Relations:** Crossings + **Cusps**.
- $(LR_n, *)$  :
  - 1 Closed under  $(*)$ .
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# How did we discover it

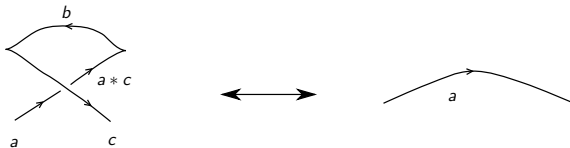


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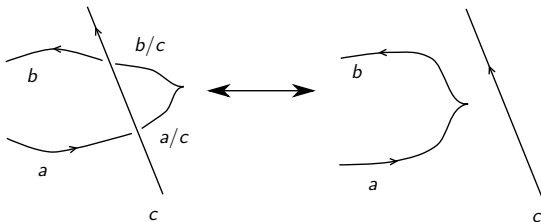
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Legendrian Reidemeister moves were formalized as first-order logic axioms in the language -  $(*, /, U, D)$ .



$$U(a * c, b) \wedge D(b, c) \longleftrightarrow (a = c) \wedge (b = c)$$



$$U(a, b) \longleftrightarrow U(a/c, b/c)$$

# A note on the axioms

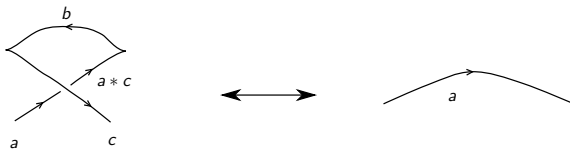
- To each Legendrian knot, we associate the canonical model of these axioms.
- These axioms consisted of rack axioms + axioms for Legendrian Reidemeister moves. The idempotence axioms does not hold true.
- The third Legendrian Reidemeister move does not involve predicates and follows from the rack axioms.
- There were a net total of 18 axioms to account for various geometric possibilities.

We ran a few experiments on Prover9, to check the following facts:

- $U(a, b) = D(a, b)$
- $U(a, b) \longrightarrow (a = b)$ .

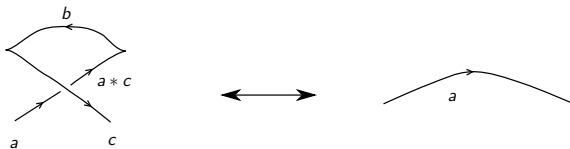
Both of these returned TRUE, which implies that the structure did not detect 'cusps'.

# Revisiting axioms



$$U(a * c, b) \wedge D(b, c) \iff (a = c) \wedge (b = a^{\square})$$

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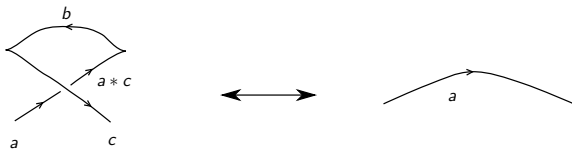


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New axiom (schema):

$$U_n(a * c, b) \wedge D_n(b, c) \iff (a = c) \wedge (b = a^n)$$

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The experiments were repeated for values of  $n = 1, \dots, 10$ .

# Results

- $U_n(a, b) = D_n(a, b)$ .
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Results and observations:

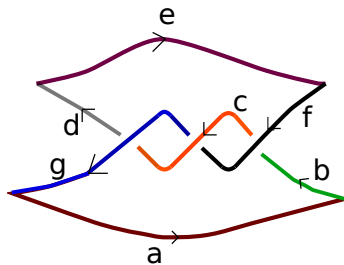
- The first case always returned TRUE. Thus orientation is not detected.
- The second case was FALSE, except when  $n$  is of the form  $2^k$ .

Experiment:  $U_n(a, b) \wedge D_n(b, a) \implies b = a$ .

- Above holds true for all tested  $n$ .
- In the proof, we noticed the following result  
 $U_n(a, b) \longleftrightarrow (b = a^n)$ .
- By carefully going through proofs for values of  $n$ , we were able to extract general proofs of the above results  $\forall n \in \mathbb{N}$ .
- We subsequently reworked the axioms by substituting for  $U_n(a, b)$ .

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# Quandles and Legendrian Racks

## Quandles

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- $K \rightarrow (Q(K), *)$ .
- Complete invariant.

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- $LK \rightarrow \{(LR_n(LK), *)\}_{n \in \mathbb{N}}$ .
- Invariant, but not a complete invariant.

# Proof of invariance

## Theorem

*For each  $n \in \mathbb{N}$ ,  $LR_n$  is an invariant of Legendrian rack moves.*

## Proof.

Check for each Legendrian Reidemeister move. We get a set of identities, which are proved by a combination of induction and symbolic computations. □

## Remark

Most of the proofs obtained again involved using automated theorem provers for specific cases, and then subsequently generalized the automatically generated proofs.

# Detecting different Legendrian unknots

Input: Generating relations of various Legendrian unknots.  
Conjecture: Triviality of the rack  $LR_n$ .

Output: Finite model counterexamples were generated, when number of strands did not equal  $2^k$ , and for values of  $LR_n$ , where  $(n, s_L) > 1$  ( $s_L$  is the minimum number of strands).

The finite models generated on closer look, revealed a pattern:

- There was a link between values of  $n$ , for which counterexamples were generated, and number of strands.
- Cardinality of the models was always a prime number..
- They were equal to a known example of racks, called the coloring racks  $\{(C_n, *)\}$ .
- Cardinality related to a known invariant called the Thurston-Bennequin number,  $tb$ .



# Classifying Legendrian unknots

## Theorem

*If two Legendrian knots have the same  $tb$  number, each  $n$ -Legendrian rack is isomorphic.*

## Theorem

*Given two Legendrian unknots  $L_1$  and  $L_2$ , such that there exists an odd prime  $p$  and  $k \in \mathbb{N}$ , such that:*

- $p^k \mid tb(L_1)$ ,
- $p^k \nmid tb(L_2)$ ,

*then  $\exists n. LR_n(L_1) = LR_n(L_2)$ .*

## Proof.

There exists a surjective homomorphism in the first instance to  $C_{p^k}$ , which does not hold true in the second case. □

# Further Questions

- As of yet, little is known about these invariants in more general cases..
- Is the word problem decidable in a Legendrian rack?
- Is the isomorphism problem decidable?
- Term rewriting related questions: Existence of unique normal forms, completion...
- Relationship with other known invariants, for more general cases.

**Thank You**