

# Summary last week

- diagonal **language**  $d$  is  $\{x \in \{0, 1\}^* \mid M_x \text{ accepts } x\}$
- **diagonalising away**:  $cd = \{0, 1\}^* - d$  **distinct** from all **languages accepted** by TMs
- hence **membership** problem  $MP := \{M\#x \mid M \text{ accepts } x\}$  not recursive
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- recursive languages **closed** under union, intersection, complement, difference

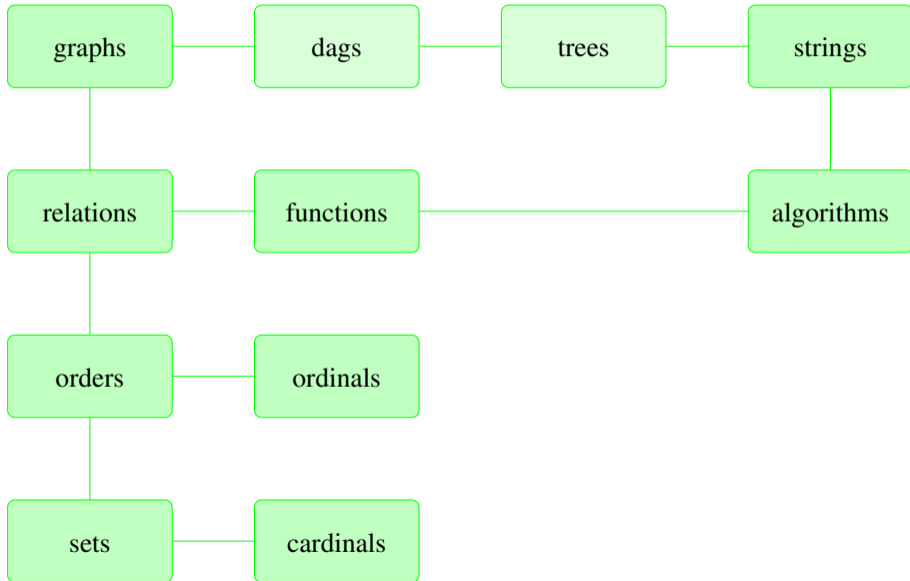
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- similarity proofs HP (previous lecture), MP non-recursive: **diagonalising away**
- r.e. languages **closed** under union, intersection, but not complement, difference
- recursive languages **closed** under union, intersection, complement, difference
- $f$  is **reduction from  $L$  to  $L'$**  if  $f$  computable and  $\forall x, x \in L$  iff  $f(x) \in L'$
- $L \leq L'$ ,  $L$  **reducible** to  $L'$ , if there **exists** reduction  $f$  from  $L$  to  $L'$
- if  $L$  non-recursive and  $L \leq L'$  then  $L'$  is non-recursive
- $MP \leq HP$  and  $HP \leq MP$

# Course themes

- directed and undirected graphs
- relations and functions
- orders and induction
- trees and dags
- finite and infinite counting
- elementary number theory
- Turing machines, algorithms, and complexity
- decidable and undecidable problem

# Discrete structures



# Regular languages

## Question

What languages can be accepted for machines more restricted than TMs?

## Regular languages

We consider **finite automata**. These accept **regular** languages, and will show these are recursive, but not necessarily the other way around,

## relevance of regular languages

- software for designing and testing of **digital circuits**
- software components of compiler, e.g. for **lexical analysis**:
- software for **searching** in long texts
- software to **verify** all kinds of systems having a finite number of states
- components of computer games (**computer-controlled** non-player-character)

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## Definition

A **DFA** is a 5-tuple  $A = (Q, \Sigma, \delta, s, F)$  with

- 1  $Q$  a finite set of **states**
- 2  $\Sigma$  a finite set of **input** symbols, ( $\Sigma$  is called the **input** alphabet)
- 3  $\delta: Q \times \Sigma \rightarrow Q$  the **transition** function
- 4  $s \in Q$ , the **start** or **initial** state
- 5  $F \subseteq Q$  a finite set of **accepting** or **final** states



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Beware:  $\delta$  must be defined, for all possible inputs

## Transition table

	$a_1 \in \Sigma$	$a_2 \in \Sigma$	$\dots$
$q_1 \in Q$	$\delta(q_1, a_1)$	$\delta(q_1, a_2)$	$\dots$
$q_2 \in Q$	$\delta(q_2, a_1)$		
$\vdots$	$\vdots$		

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## Transition graph

For a DFA  $A = (Q, \Sigma, \delta, s, F)$ , its (directed) **transition** graph with initial state  $d$  and final states  $F$  where:

- 1 the states are the nodes
- 2 the edges  $E$  are

$$(p, q) \quad p, q \in Q \text{ and } \exists a \in \Sigma \text{ with } \delta(p, a) = q$$

- 3 the edges are labelled by symbols by a function  $b: E \rightarrow \Sigma$  defined by

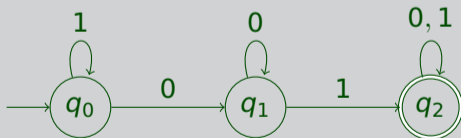
$$(p, q) \mapsto a \quad \text{if } \delta(p, a) = q$$

## Example

The DFA  $A = (\{q_0, q_1, q_2\}, \{0, 1\}, \delta, q_0, \{q_2\})$  with transition table

	0	1
$\rightarrow q_0$	$q_1$	$q_0$
$q_1$	$q_1$	$q_2$
$*q_2$	$q_2$	$q_2$

has the following transition graph:



## Definition (extending the transition function)

Let  $\delta$  be a transition function. The **extended** transition function  $\hat{\delta}: Q \times \Sigma^* \rightarrow Q$  is inductively defined by:

$$\begin{aligned}\hat{\delta}(q, \epsilon) &:= q \\ \hat{\delta}(q, xa) &:= \delta(\hat{\delta}(q, x), a) \quad x \in \Sigma^*, a \in \Sigma\end{aligned}$$

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$\hat{\delta}(q_0, 0010)$  is computed recursively as follows:

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## Example

For the DFA  $A$ , we have  $L(A) = \{x01y \mid x, y \in \Sigma^*\}$ . The language  $L(A)$  is the set of all words in which 01 occurs somewhere (or rather of words not of the form: a number of 1s followed by a number of 0s)

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## Definition

A formal language  $L$  is **regular**, if  $\exists$  DFA  $A$ , such that  $L(A) = L$

# Closedness of the regular languages

## Theorem

] Let  $L, M$  be regular languages (over the alphabet  $\Sigma$ ). Then

- 1 the complement  $\sim L$  is regular
- 2 the intersection  $L \cap M$  is regular
- 3 the union  $L \cup M$  is regular
- 4 the set difference  $L \setminus M$  is regular

## Sketch.

- **swap** accept/not-accept states
- pair of states;  $(q, q')$  accept if  $q$  **and**  $q'$  accept
- pair of states:  $(q, q')$  accept if  $q$  **or**  $q'$  accept
- $L \setminus M = L \cap \sim M$  and previous items

# Limitations of finite automata

## Example

Consider the language

$$B = \{a^n b^n \mid n \geq 0\} = \{\epsilon, ab, aabb, aaabbb, \dots\}$$

The language  $B$  is not regular (note that it is recursive)

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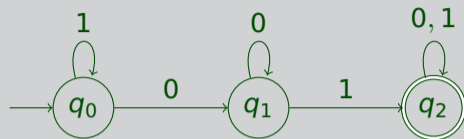
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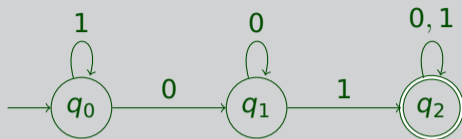
$$C = \{0^{2^n} \mid n \geq 0\} = \{0, 00, 0000, 00000000, \dots\}$$

The language  $C$  is not regular

## Example



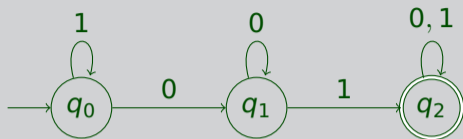
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## Answer

since  $\ell(w) = 7 > 3 = |Q|$  the automaton must go through some state at least twice; the automaton **cycles**



## Theorem (Pumping lemma)

Let  $L$  be a regular language over  $\Sigma$ . Then there **exists** a number  $n \in \mathbb{N}$ , such that for **all** words  $w \in L$  of length at least  $n$  ( $\ell(w) \geq n$ ), there **exist** words  $x, y, z \in \Sigma^*$  such that  $w = xyz$  and

- $y \neq \epsilon$ ;
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- for all  $k \geq 0$ ,  $x(y)^k z \in L$ .

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- Let  $\#(Q) = n$  and

$$w = w_1 \cdots w_m \in L$$

with  $w_1, \dots, w_m \in \Sigma$  and  $m \geq n$

## Proof. (continued).

- define  $p_l := \hat{\delta}(s, w_1 \cdots w_l)$ ;  
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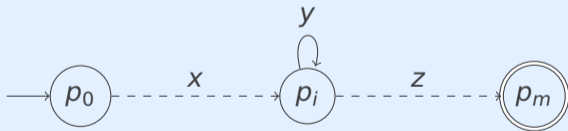
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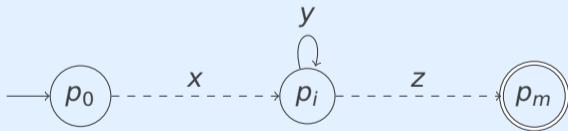


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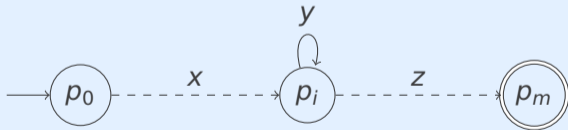


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# Application of the pumping lemma

## Theorem (Application (1))

Let  $L$  be a formal language over  $\Sigma$  such that:

- for all  $n \in \mathbb{N}$  there exists a word  $w \in L$  with  $\ell(w) \geq n$  such that
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## Example (1)

Let  $\Sigma = \{1\}$ ; then

$$D = \{w \in \Sigma^* \mid \ell(w) \text{ is a prime number}\}$$

not regular

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We show that for  $D$  we have

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Then  $v \notin L$ , since

$$\ell(v) = \ell(x(y)^{(p-m)}z) = (p - m) + m \cdot (p - m) = (p - m) \cdot (m + 1).$$

That is,  $\ell(v)$  is **not** a prime number, if  $(p - m) > 1$  and  $(m + 1) > 1$



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$$E = \{w \in \Sigma^* \mid w \text{ contains as many 0s as 1s} \}$$

is not regular:

- 1 Applying the pumping lemma becomes easy if we can find a “pumpable” subword comprising only 0s
- 2 We **choose** the word  $w := 0^n 1^n \in E$
- 3 Consider **all decompositions** of  $w$  into  $x$ ,  $y$  and  $z$  such that  $\ell(xy) \leq n$  and  $y \neq \epsilon$

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we have  $x(y)^0 z \notin E$ , so the conditions of the pumping lemma are satisfied, hence  $L$  is not regular