

Summary last week

- diagonal language d is $\{x \in \{0, 1\}^* \mid M_x \text{ accepts } x\}$
- diagonalising away: $cd = \{0, 1\}^* - d$ distinct from all languages accepted by TMs
- hence membership problem $MP := \{M\#x \mid M \text{ accepts } x\}$ not recursive
- similarity proofs HP (previous lecture), MP non-recursive: diagonalising away

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- r.e. languages closed under union, intersection, but not complement, difference
- recursive languages closed under union, intersection, complement, difference

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- similarity proofs HP (previous lecture), MP non-recursive: diagonalising away
- r.e. languages closed under union, intersection, but not complement, difference
- recursive languages closed under union, intersection, complement, difference
- f is reduction from L to L' if f computable and $\forall x, x \in L$ iff $f(x) \in L'$
- $L \leq L'$, L reducible to L' , if there exists reduction f from L to L'
- if L non-recursive and $L \leq L'$ then L' is non-recursive
- $MP \leq HP$ and $HP \leq MP$

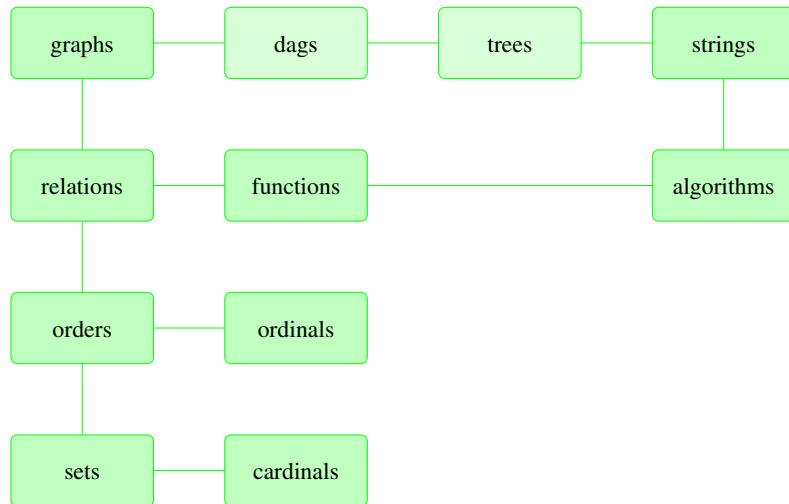
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Course themes

- directed and undirected graphs
- relations and functions
- orders and induction
- trees and dags
- finite and infinite counting
- elementary number theory
- Turing machines, algorithms, and complexity
- decidable and undecidable problem

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Discrete structures



3

Regular languages

Question

What languages can be accepted for machines more restricted than TMs?

Regular languages

We consider **finite automata**. These accept **regular** languages, and will show these are recursive, but not necessarily the other way around,

relevance of regular languages

- software for designing and testing of **digital circuits**
- software components of compiler, e.g. for **lexical analysis**:
- software for **searching** in long texts
- software to **verify** all kinds of systems having a finite number of states
- components of computer games (**computer-controlled** non-player-character)

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Deterministic finite automata (DFAs)

Example

\emptyset and the set of all strings are regular, as are all **finite** languages.

5

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Definition

A **DFA** is a 5-tuple $A = (Q, \Sigma, \delta, s, F)$ with

- 1 Q a finite set of **states**
- 2 Σ a finite set of **input** symbols, (Σ is called the **input** alphabet)
- 3 $\delta: Q \times \Sigma \rightarrow Q$ the **transition** function
- 4 $s \in Q$, the **start** or **initial** state
- 5 $F \subseteq Q$ a finite set of **accepting** or **final** states

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Beware: δ must be defined, for all possible inputs

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Transition table

	$a_1 \in \Sigma$	$a_2 \in \Sigma$	\dots
$q_1 \in Q$	$\delta(q_1, a_1)$	$\delta(q_1, a_2)$	\dots
$q_2 \in Q$	$\delta(q_2, a_1)$		
\vdots	\vdots		

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Transition table

	$a_1 \in \Sigma$	$a_2 \in \Sigma$	\dots
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Transition graph

For a DFA $A = (Q, \Sigma, \delta, s, F)$, its (directed) **transition** graph with initial state d and final states F where:

- 1 the states are the nodes
- 2 the edges E are

$$(p, q) \quad p, q \in Q \text{ and } \exists a \in \Sigma \text{ with } \delta(p, a) = q$$
- 3 the edges are labelled by symbols by a function $b: E \rightarrow \Sigma$ defined by

$$(p, q) \mapsto a \quad \text{if } \delta(p, a) = q$$

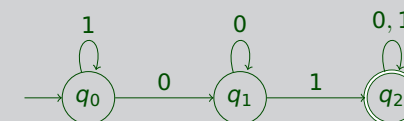
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Example

The DFA $A = (\{q_0, q_1, q_2\}, \{0, 1\}, \delta, q_0, \{q_2\})$ with transition table

	0	1
$\rightarrow q_0$	q_1	q_0
q_1	q_1	q_2
$*q_2$	q_2	q_2

has the following transition graph:



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Definition (extending the transition function)

Let δ be a transition function. The **extended** transition function $\hat{\delta}: Q \times \Sigma^* \rightarrow Q$ is inductively defined by:

$$\begin{aligned}\hat{\delta}(q, \epsilon) &:= q \\ \hat{\delta}(q, xa) &:= \delta(\hat{\delta}(q, x), a) & x \in \Sigma^*, a \in \Sigma\end{aligned}$$

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Let $A = (Q, \Sigma, \delta, q_0, F)$ be a DFA; the **language** $L(A)$ **accepted** by A is:

$$L(A) := \{x \in \Sigma^* \mid \hat{\delta}(q_0, x) \in F\}$$

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Example

For the DFA A above, $\hat{\delta}(q_0, 0010) = q_2$

$\hat{\delta}(q_0, 0010)$ is computed recursively as follows:

- $\hat{\delta}(q_0, 0010) = \delta(\hat{\delta}(q_0, 001), 0) = \delta(q_2, 0) = q_2$
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Example

For the DFA A , we have $L(A) = \{x01y \mid x, y \in \Sigma^*\}$. The language $L(A)$ is the set of all words in which 01 occurs somewhere (or rather of words not of the form: a number of 1s followed by a number of 0s)

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Definition

A formal language L is **regular**, if \exists DFA A , such that $L(A) = L$

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Closedness of the regular languages

Theorem

] Let L, M be regular languages (over the alphabet Σ). Then

- 1 the complement $\sim L$ is regular
- 2 the intersection $L \cap M$ is regular
- 3 the union $L \cup M$ is regular
- 4 the set difference $L \setminus M$ is regular

Sketch.

- **swap** accept/not-accept states
- pair of states: (q, q') accept if q **and** q' accept
- pair of states: (q, q') accept if q **or** q' accept
- $L \setminus M = L \cap \sim M$ and previous items

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Limitations of finite automata

Example

Consider the language

$$B = \{a^n b^n \mid n \geq 0\} = \{\epsilon, ab, aabb, aaabbb, \dots\}$$

The language B is not regular (note that it is recursive)

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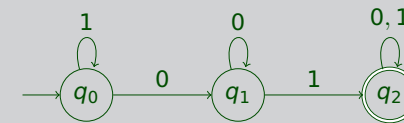
Consider the language

$$C = \{0^{2^n} \mid n \geq 0\} = \{0, 00, 0000, 00000000, \dots\}$$

The language C is not regular

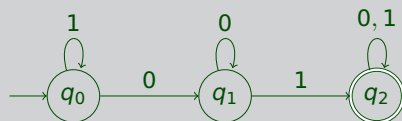
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Example



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Example

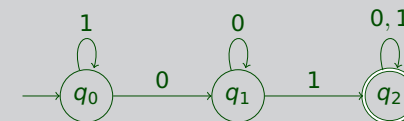


Question

What can we say about the states the automaton goes ‘through’ to accept the word $w = 0000110$?

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Example



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What can we say about the states the automaton goes ‘through’ to accept the word $w = 0000110$?

Answer

since $\ell(w) = 7 > 3 = |Q|$ the automaton must go through some state at least twice; the automaton **cycles**

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Theorem (Pumping lemma)

Let L be a regular language over Σ . Then there **exists** a number $n \in \mathbb{N}$, such that for **all** words $w \in L$ of length at least n ($\ell(w) \geq n$), there **exist** words $x, y, z \in \Sigma^*$ such that $w = xyz$ and

- $y \neq \epsilon$;
- $\ell(xy) \leq n$; and
- for all $k \geq 0$, $x(y)^k z \in L$.

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- Assume L is regular. Then there exists a DFA $A = (Q, \Sigma, \delta, s, F)$ such that $L = L(A)$
- Let $\#(Q) = n$ and

$$w = w_1 \cdots w_m \in L$$

with $w_1, \dots, w_m \in \Sigma$ and $m \geq n$

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Proof. (continued).

- define $p_l := \hat{\delta}(s, w_1 \cdots w_l)$;
note that for $l = 0$, $w_1 \cdots w_l = \epsilon$ and hence $p_0 = s$

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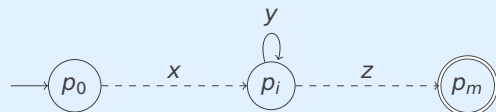
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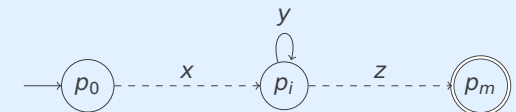
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- to accept the word $x(y)^k z$, the automaton runs k times along the path connecting p_i to p_j

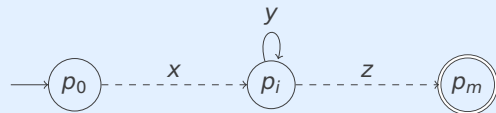
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Application of the pumping lemma

Theorem (Application (1))

Let L be a formal language over Σ such that:

- for all $n \in \mathbb{N}$ there exists a word $w \in L$ with $\ell(w) \geq n$ such that
- for all $x, y, z \in \Sigma^*$ with $w = xyz$, $y \neq \epsilon$ and $\ell(xy) \leq n$, there exists a $k \in \mathbb{N}$ with $x(y)^k z \notin L$

Then L is not regular. ■

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Example (1)

Let $\Sigma = \{1\}$; then

$$D = \{w \in \Sigma^* \mid \ell(w) \text{ is a prime number}\}$$

not regular

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Example (2)

We show that for D we have

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We **choose** $w = 1^p$, where p is a prime number great than or equal to $n + 2$; hence $w \in L$ and $\ell(w) = p \geq n + 2 \geq n$.

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Set $m := \ell(y)$; We **choose** $k := \ell(xz) = p - m$. Consider

$$v := x(y)^{(p-m)}z$$

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Then $v \notin L$, since

$$\ell(v) = \ell(x(y)^{(p-m)}z) = (p - m) + m \cdot (p - m) = (p - m) \cdot (m + 1).$$

That is, $\ell(v)$ is **not** a prime number, if $(p - m) > 1$ and $(m + 1) > 1$

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$$E = \{w \in \Sigma^* \mid w \text{ contains as many 0s as 1s}\}$$

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we have $x(y)^0 z \notin E$, so the conditions of the pumping lemma are satisfied, hence L is not regular

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