

## Summary last week (1/2)

- **equivalence** relation if reflexive, transitive, and symmetric
- if  $\sim$  equivalence on  $A$ , then  $[a] = \{b \mid a \sim b\}$  is equivalence **class** of  $a \in A$
- $b$  **representative** of  $[a]$  if  $b \in [a]$
- $B$  **system** of representatives picks for all  $a \in A$ , **unique** representative  $b$  of  $[a]$  in  $B$

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- **enumeration** of set  $A$  is bijection from (initial segment of)  $\mathbb{N}$  to  $A$ ;  $A$  **countable**
- if initial segment, then  $A$  **finite**, otherwise **countably** infinite
- countability preserved by cartesian **product** (**dove tiling**)

### Theorem

- 1 Every **subset** of a countable set is countable.
- 2 The **image** of a countable set is countable.
- 3 The **union** of a **sequence** of countable sets is countable
- 4 The cartesian **product** of finitely many countable sets, is countable

# Summary last week (2/2)

- Infinite counting. Comparison via bijections.
- Diagonalisation

None of the following are **countable**

- 1** the set of infinite sequences over  $\{a, b\}$
- 2** functions  $2^{\mathbb{N}}$ ; as infinite sequence **is** function  $\mathbb{N} \rightarrow 2 = \{a, b\}$
- 3** subsets  $\mathcal{P}(\mathbb{N})$  of  $\mathbb{N}$ ; by characteristic function  $2^{\mathbb{N}}$
- 4** reals  $\mathbb{R}$ ; by sequence obtained by decimal expansion

# Course themes

- directed and undirected graphs
- relations and functions
- orders and induction
- trees and dags
- finite and infinite counting
- elementary number theory
- Turing machines, algorithms, and complexity
- decidable and undecidable problem

## Theorem (Schröder–Bernstein)

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### Example (Picture on the board/animation next slide)

Let  $A = \mathbb{N}$ ,  $B = \{a\}^*$ , and  $f: A \rightarrow B$ ,  $g: B \rightarrow A$  be defined by:

$$f(n) := a^{2^n}$$

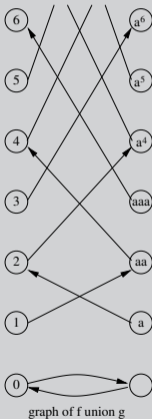
$$g(a^n) := 2n$$

$f$  and  $g$  are injective; a bijection  $f' : A \rightarrow B$  can be constructed from  $f, g$  by:

$$f'(n) := \begin{cases} \epsilon & \text{if } n = 0 \\ g^{-1}(n) = a^{\frac{n}{2}} & n \text{ has odd number of 2-factors} \\ f(n) = a^{2^n} & \text{otherwise} \end{cases}$$

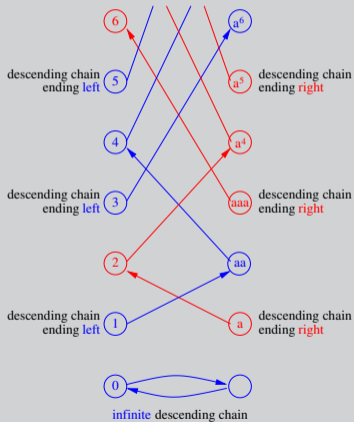
# Animation of construction of bijection $f'$ from injections $f, g$

## Example (Continued)



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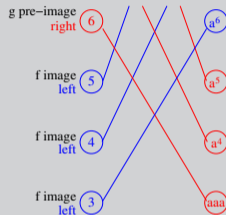
## Example (Continued)





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## Example (Continued)



bijection  $f'$  by choosing g pre-image for nodes on chains ending right and f image for other nodes

# Proof of Schröder–Bernstein theorem

## Proof.

We construct  $f' : A \rightarrow B$  and  $g' : B \rightarrow A$  **inverse** to each other, as in animation.

- let  $R = f \cup g$ ; viewed as relation on  $A \uplus B$  (disjoint union)

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- verify  $f', g'$  **inverse** to each other.  $f'; g'$  ( $g'; f'$  analogous) by cases on colour  $a \in A$ :
  - a**)  $g'(f'(a)) = g'(g^{-1}(a)) = g(g^{-1}(a)) = a$ , as  $g^{-1}(a)$  is red if  $a$  is, being on same chain.
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if  $A, A' \in |M|$  and  $B, B' \in |N|$ , and injection  $f : A \rightarrow B$ , then **exists** injection  $f' : A' \rightarrow B'$ .

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for bijections  $g : A' \rightarrow A$  and  $g' : B \rightarrow B'$ , **composition**  $g' \circ f \circ g : A' \rightarrow B'$  is injection. ■



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## Corollary

$\leq$  is a partial order on the collections  $|M|$

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$|\mathbb{N}| < |\mathbb{R}|$

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## Remark

An equivalence class contains all objects having the same property



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$\{\{000\}, \{001, 010, 100\}, \{011, 101, 110\}, \{111\}\}$  is a partition of  $\mathbb{B}^3$

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**(1)** Let  $P$  be a partition of  $M$ . Then  $\sim$  is an equivalence relation on  $M$ , such that  
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- (2) Let  $\sim$  be an equivalence relation on  $M$ . The set  $P$  of all equivalence classes w.r.t.  $\sim$  is then a partition of  $M$ .

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- (3) The functions  $P \mapsto \sim$  in (1) and  $\sim \mapsto P$  in (2) are inverse to each other*

# From orders to equivalence relations

## Lemma

*if  $\leq$  is a reflexive, transitive, then  $\leq \cap \geq$  is **induced** equivalence relation.*

## Proof.

reflexivity, transitivity of  $\leq \cap \geq$  hold by the same for  $\leq$ ; symmetry by definition. ■

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## Example

- 1  $\frac{n}{m} \leq \frac{n'}{m'}$  if  $n \cdot m' \leq m \cdot n'$  induces the equivalence on (positive) fractions above
- 2 relating sets by injections induces equinumerosity
- 3  $\leq$  on natural numbers induces equality =



# Elementary number theory: Euclid

## Definition

- $d \in \mathbb{Z}$  is a **divisor** of  $a \in \mathbb{Z}$ , if there exists a  $c \in \mathbb{Z}$  such that  $a = c \cdot d$
- „ $d$  divides  $a$ “, „ $a$  is a **multiple** of  $d$ “  $d \mid a$
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## Definition

Let  $a, b \in \mathbb{Z}, a, b \neq 0$

- The **greatest common divisor**  $\gcd(a, b)$  of  $a$  and  $b$  divides  $a$  and  $b$ , and for all  $c$  such that  $c \mid a$  and  $c \mid b$ ,  $c$  divides  $\gcd(a, b)$
- The **least common multiple**  $\text{lcm}(a, b)$  of  $a$  and  $b$  is a multiple of both  $a$  and  $b$ , and for all  $c$  such that  $a \mid c$  and  $b \mid c$ ,  $c$  is a multiple of  $\text{lcm}(a, b)$

## Theorem

Let  $a, b, c \in \mathbb{Z}$  with  $a \neq 0$ ,  $b \neq 0$  and  $a \neq c \cdot b$ ; then

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- the common divisors of  $a$  and  $b$  are the common divisors of  $a - c \cdot b$  and  $b$ , and therefore they have the same **greatest** common divisors as well

## Theorem (Euclidean algorithm for integers)

*The greatest common divisor of non-zero integers can be computed as follows:*

*Replace the integers by their absolute values.*

*While the integers are **distinct**, repeat:*

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## Theorem (Variant)

*Replace the integers by their absolute values.*

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claim: for all  $n, m \geq 1$ ,  $\text{euclid } m \ n = \text{gcd}(m, n)$  where

$\text{euclid } m \ n =$  if  $m == n$  then  $m$  else if  $m > n$  then  $\text{euclid } (m - n) \ n$   
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proof of claim: by well-founded induction on pairs  $(n, m)$  via  $n + m$  ordered by  $\leq$

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- if  $m > n$ , then IHs say  $\text{euclid } m' \ n' = \text{gcd}(m', n')$  if  $m' + n' < m + n$ , so



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Replace the integers by their absolute values.

While neither integer is a **multiple** of the other, repeat:

Replace the larger of the two by its **remainder** after dividing by the other

The resulting divisor is the greatest common divisor.

## Formal Proof.

claim: for all  $n, m \geq 1$ ,  $\text{euclid } m \ n = \text{gcd}(m, n)$  where

$\text{euclid } m \ n =$  if  $m == n$  then  $m$  else if  $m > n$  then  $\text{euclid } (m - n) \ n$   
else  $\text{euclid } m \ (n - m)$

proof of claim: by well-founded induction on pairs  $(n, m)$  via  $n + m$  ordered by  $\leq$

- if  $m = n$ , then **no** induction hypotheses needed;  $\text{euclid } m \ m = m = \text{gcd}(m, m)$
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## Example

We have  $\gcd(138, -48) = 6$ , according to the first method:

$$\begin{aligned}\gcd(138, -48) &= \gcd(138, 48) = \gcd(90, 48) = \gcd(42, 48) \\ &= \gcd(42, 6) = \gcd(36, 6) = \gcd(30, 6) \\ &= \gcd(24, 6) = \gcd(18, 6) = \gcd(12, 6) \\ &= \gcd(6, 6) = 6\end{aligned}$$

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## Theorem (Bézout's lemma)

Let  $a$  and  $b$  be non-zero integers. Then there exist natural numbers  $u$  and  $v$  with

$$u \cdot a + v \cdot b = \gcd(a, b)$$

which can be computed by the following algorithm

Set  $A = (|a|, 1, 0)$  and  $B = (|b|, 0, 1)$ .

While  $B_1$  does not divide  $A_1$ , do:

    Compute the integer quotient of  $A_1$  and  $B_1$ .

    Set  $C = B$ .

    Set  $B = A - q \cdot C$  (componentwise)

    Set  $A = C$ .

Set  $u = \text{sgn}(a) \cdot B_2$  and  $v = \text{sgn}(b) \cdot B_3$ .

## Proof.

- Let  $T = (T_1, T_2, T_3)$  be a triple of integers and  $(*)$  the property

$$T_1 = |a| \cdot T_2 + |b| \cdot T_3 \quad (*)$$

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$$\gcd(a, b) = B_1 = |a| \cdot B_2 + |b| \cdot B_3 = \underbrace{(\operatorname{sgn}(a) \cdot B_2)}_u \cdot a + \underbrace{(\operatorname{sgn}(b) \cdot B_3)}_v \cdot b$$



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$A$	$B$	$q$
$(138, 1, 0)$	$(48, 0, 1)$	2
$(48, 0, 1)$	$(42, 1, -2)$	1
$(42, 1, -2)$	$(6, -1, 3)$	

## Theorem (Computing the least common multiple)

*Let  $a$  and  $b$  be non-zero integers. Then*

$$\text{lcm}(a, b) = \frac{|a| \cdot |b|}{\text{gcd}(a, b)}.$$

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### Proof.

Obviously,

$$m := \frac{|b|}{\text{gcd}(a, b)} \cdot |a| = \frac{|a|}{\text{gcd}(a, b)} \cdot |b|$$

is a multiple both of  $a$  and  $b$ , hence a **common** multiple. We show that  $m$  is the **least** common multiple of  $a$  and  $b$ . To that end, let  $z$  be an arbitrary positive common multiple of  $a$  and  $b$ . Then there are integers  $c, d$  with

$$z = c \cdot a \quad \text{and} \quad z = d \cdot b$$

## Proof (continued).

By the previous theorem there exists integers  $u, v$  with

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Hence

$$\begin{aligned} z &= \frac{u \cdot a + v \cdot b}{\gcd(a, b)} \cdot z = \frac{u \cdot a}{\gcd(a, b)} \cdot z + \frac{v \cdot b}{\gcd(a, b)} \cdot z = \\ &= \frac{u \cdot a \cdot d \cdot b}{\gcd(a, b)} + \frac{v \cdot b \cdot c \cdot a}{\gcd(a, b)} = \frac{a \cdot b}{\gcd(a, b)} \cdot (u \cdot d + v \cdot c) = \\ &= m \cdot \operatorname{sgn}(a \cdot b) \cdot (u \cdot d + v \cdot c) \end{aligned}$$

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## Definition

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## Proof.

Let  $c \in \mathbb{Z}$  with  $c \cdot p = a \cdot b$ . If  $p$  divides  $a$ , we are done. If  $p$  does not divide  $a$ , then  $\gcd(a, p) = 1$ , hence there are integers  $u$  and  $v$  such that  $1 = u \cdot a + v \cdot p$ ; and therefore

$$b = b \cdot u \cdot a + b \cdot v \cdot p = u \cdot c \cdot p + b \cdot v \cdot p = (u \cdot c + b \cdot v) \cdot p,$$

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We show the claim by well-founded induction w.r.t.  $<$ .

Since  $p_1$  divides the product  $q_1 q_2 \cdots q_\ell$ , we have by the previous theorem an index  $j \in \{1, \dots, \ell\}$  such that  $p_1 = q_j$ ,  $p_1 \geq 2$ ; from which it follows

$$p_2 \cdots p_k = \prod_{\substack{1 \leq i \leq \ell \\ i \neq j}} q_i$$

The claim follows by the IH. ■