



# Functional Programming

## Week 5 – Expressions, Recursion on Numbers

René Thiemann   Philipp Anrain   Marc Bußjäger   Benedikt Dornauer   Manuel Eberl  
Christina Kohl   Sandra Reitinger   Christian Sternagel

Department of Computer Science

## Last Lecture

- type variables: `a`, `b`, ... represent any type
- parametric polymorphism
  - **one implementation** that can be used for various types
  - polymorphic datatypes, e.g., `data List a = Empty | Cons a (List a)`
  - polymorphic functions, e.g., `append :: List a -> List a -> List a`
  - type constraints, e.g., `sumList :: Num a => List a -> a`
- predefined types: `[a]`, `Maybe a`, `Either a b`, `(a1, ..., aN)`
- predefined type classes
  - arithmetic except division: `Num a`
  - arithmetic including division: `Fractional a`
  - equality between elements: `Eq a`
  - smaller than and greater than: `Ord a`
  - conversion to `Strings`: `Show a`

## This Lecture

- type synonyms
- expressions revisited
- recursion involving numbers

## Type Synonyms

## Type Synonyms

- Haskell offers a mechanism to create **synonyms of types** via the keyword `type`  
`type TConstr a1 ... aN = ty`
  - `TConstr` is a fresh name for a type constructor
  - `a1 ... aN` is a list of type variables
  - `ty` is a type that may contain any of the type variables
  - there is no new (value-)constructor
  - `ty` may not include `TConstr` itself, i.e., no recursion allowed
- example  
`data PersonDT = Person (String, Integer) -- name & year of birth`  
`type PersonTS = (String, Integer)`
  - the types `PersonTS` and `(String, Integer)` are identical,  
`((("Jane", 1980) :: PersonTS) :: (String, Integer)) :: PersonTS`
  - the types `PersonDT` is different from both `(String, Integer)` and `PersonTS`;  
`("Bob", 2002)` is of type `PersonTS`, but not of type `PersonDT`;  
`Person ("Bob", 2002)` is of type `PersonDT`, but not of type `PersonTS`

## Expressions Revisited

## Type Synonyms – Applications, Strings

- example applications of type synonyms
  - avoid creation of new datatypes: `type Person = (String,Integer)`
  - increase readability of code  
`type Month = Int`  
`type Day = Int`  
`type Year = Int`  
`type Date = (Day, Month, Year)`  
  
`createDate :: Day -> Month -> Year -> Date`  
`createDate d m y = (d, m, y)`  
  
`-- createDate is logically equivalent to the following function,`  
`-- but the type synonyms help to make the code more readable`  
  
`createDate :: Int -> Int -> Int -> (Int, Int, Int)`  
`createDate x y z = (x, y, z)`
- in Haskell: `type String = [Char]`
  - in particular `"hello"` is identical to `['h', 'e', 'l', 'l', 'o']`
  - all functions on lists can be applied to `Strings` as well, e.g. `(++) :: [a] -> [a] -> [a]`

## Function Definitions Revisited

- current form of function definitions  
`f :: ty` -- optional type definition  
`f pat11 ... pat1M = expr1` -- first defining equation  
...  
`f pat1M ... patNM = exprN` -- last defining equation  
where expressions consist of literals, variables, and function- or constructor applications
- observations
  - case analysis only possible via patterns in left-hand sides of equations
  - case analysis on right-hand sides often desirable
  - work-around via auxiliary functions possible
  - better solution: **extension of expressions**

## if-then-else

- most primitive form of case analysis: if-then-else
- functionality: return one of two possible results, depending on a Boolean value

```
ite :: Bool -> a -> a -> a
ite True  x y = x
ite False x y = y
```
- example application: lookup a value in a key/value-list

```
lookup :: Eq a => a -> [(a, b)] -> Maybe b
lookup x ((k, v) : ys) = ite (x == k) (Just v) (lookup x ys)
lookup _ _ = Nothing
```
- if-then-else is predefined: `if ... then ... else ...`

```
lookup x ((k,v) : ys) = if x == k then Just v else lookup x ys
```
- there is no if-then (without the else) in Haskell: what should be the result if the Boolean is false?
- remark: also `lookup` is predefined in Haskell; Prelude content (functions, (type-)constructors, type classes, ...) is typeset in green

## Case Analysis via Pattern Matching

- observation: often case analysis is required on computed values
- implementation possible via auxiliary functions
- example: evaluation of expressions with meaningful error messages

```
data Expr a = Var String | ... -- Numbers, Addition, ...
eval :: Num a => [(String, a)] -> Expr a -> a
eval ass ... = ... -- all the other cases
eval ass (Var x) = aux (lookup x ass) x -- case analysis on lookup x ass
aux (Just i) _ = i
aux _ x = error ("assignment does not include variable " ++ x)
```
- disadvantages
  - local values need to be passed as arguments to auxiliary function (here: `x`)
  - **pollution of name space** by auxiliary functions (`aux`, `aux1`, `aux2`, `auX`, `helper`, `fHelper`, ...)
- note: if-then-else is not sufficient for above example

## Case Expressions

- **case expressions** support arbitrary pattern matching directly in right-hand sides

```
case expr of
  pat1 -> expr1
  ...
  patN -> exprN
```

  - match `expr` against `pat1` to `patN` top to bottom
  - if `patI` is first match, then case-expression is evaluated to `exprI`
- example from previous slide without auxiliary function

```
eval ass (Var x) = case lookup x ass of
  Just i -> i
  _ -> error ("assignment does not include variable " ++ x)
```

## The Layout Rule

- problem: define groups (of patterns, of function definitions, ...)
- items that start in same column are grouped together
- by increasing indentation, single item may span multiple lines
- groups end when indentation decreases
- script content is group, start nested group by `where`, `let`, `do`, or `of`
- **ignore layout:** enclose groups in '{' and '}' and separate items by ';'

### Examples

with layout:

```
and b1 b2 = case b1 of
  True -> case b2 of
    True -> True
    False -> False
  False -> False
```

without layout:

```
and b1 b2 = case b1 of
  { True -> case b2 of
    { True -> True; False -> False };
  False -> False }
```

## White-Space in Haskell

- because of layout rule, white-space in Haskell matters (in contrast to many other programming languages)
- avoid tabulators in Haskell scripts (tab-width of editor vs. Haskell-compiler)

### Example

```
and1 b1 b2 = case b1 of
  True -> case b2 of
    True -> True
    False -> False
and2 b1 b2 = case b1 of
  True -> case b2 of
    True -> True
    False -> False
```

```
ghci> and1 True False
False
```

```
ghci> and2 True False
*** error: non-exhaustive patterns
```

## The `let` Construct

- `let`-expressions are used for **local** definitions
- syntax

```
let
  pat                = expr -- definition by pattern matching
  fname pat1 ... patN = expr -- function definition
in expr              -- result
```
- each `let`-expression may contain several definitions (order irrelevant)
- definitions result in new variable-bindings and functions
  - may be used in every expression `expr` above
  - are **not visible outside** `let`-expression

## Number of Real Roots via `let` Construct

```
-- Prelude type and function for comparing two numbers
data Ordering = EQ | LT | GT
compare :: Ord a => a -> a -> Ordering

-- task: determine number of real roots of ax^2 + bx + c
numRoots a b c = let
  disc = b^2 - 4 * a * c -- local variable
  analyse EQ = 1 -- local function
  analyse LT = 0
  analyse GT = 2
in analyse (compare disc 0)
```

## The `where` Construct

- `where` is similar to `let`, used for **local** definitions
- syntax

```
f pat1 .. patM = expr -- defining equation (or case)
where pat                = expr -- pattern matching
      fname pat1 .. patN = expr -- function definitions
```
- each `where` may consist of several definitions (order irrelevant)
- local definitions introduce new variables and functions
  - may be used in every expression `expr` above
  - are **not visible outside** defining equation / case-expression
- remark: in contrast to `let`, when using `where` the defining equation of `f` is given first

```
numRoots a b c = analyse (compare disc 0) where
  disc = b^2 - 4 * a * c -- local variable
  analyse EQ = 1 -- local function
  analyse LT = 0
  analyse GT = 2
```

## Guarded Equations

- defining equations within a function definition can be **guarded**
- syntax:

```
fname pat1 ... patM
  | cond1 = expr1
  | cond2 = expr2
  | ...
  where ... -- optional where-block
```

where each **condI** is a Boolean expression
- whenever **condI** is first condition that evaluates to **True**, then result is **exprI**
- next defining equation of **fname** considered, if no condition is satisfied

```
numRoots a b c
  | disc > 0   = 2
  | disc == 0  = 1
  | otherwise  = 0 -- otherwise = True
  where disc = b^2 - 4 * a * c -- disc is shared among cases
```

## Example: Roots

- task: compute the sum of the roots of a quadratic polynomial
- solution with potential runtime errors

```
roots :: Double -> Double -> Double -> (Double, Double)
roots a b c
  | a == 0 = error "not quadratic"
  | d < 0  = error "no real roots"
  | otherwise = ((- b - r) / e, (- b + r) / e)
  where d = b * b - 4 * a * c
        e = 2 * a
        r = sqrt d
```

```
sumRoots :: Double -> Double -> Double -> Double
sumRoots a b c = let
  (x, y) = roots a b c -- pattern match in let
  in x + y
```

- note: non-variable patterns in **let** are usually only used if they cannot fail; otherwise, use **case** instead of **let**

## Example: Roots (Continued)

- task: compute the sum of the roots of a quadratic polynomial
- solution with explicit failure via **Maybe**-type

```
roots :: Double -> Double -> Double -> Maybe (Double, Double)
roots a b c
  | a == 0 = Nothing
  | d < 0  = Nothing
  | otherwise = Just ((- b - r) / e, (- b + r) / e)
  where d = b * b - 4 * a * c
        e = 2 * a
        r = sqrt d
```

```
sumRoots :: Double -> Double -> Double -> Maybe Double
sumRoots a b c =
  case roots a b c of
    Just (x, y) -> Just (x + y) -- nested pattern matching
    n -> Nothing -- can't be replaced by n -> n! (types)
  -- case for explicit error handling
```

## Recursion on Numbers

## Recursion on Numbers

- recursive function  
`f pat1 ... patN = ... (f expr1 ... exprN) ...`  
where input arguments should somehow be larger than arguments in recursive call:  
`(pat1, ..., patN) > (expr1, ..., exprN) -- for some relation >`
- decrease often happens in one specific argument (the  $i$ -th argument always gets smaller)
- so far the decrease in size was always w.r.t. **tree size**
  - length of list gets smaller
  - arithmetic expressions (`Expr`) are decomposed, i.e., number of constructors is decreased
- if argument is a number (tree size is always 1), then still recursion is possible;  
example: the **value** of number might decrease
- frequent cases
  - some number  $i$  is decremented until it becomes 0 (while  $i \neq 0 \dots i := i - 1$ )
  - some number  $i$  is incremented until it reaches some bound  $n$  (while  $i < n \dots i := i + 1$ )

## Example: Factorial Function

- mathematical definition:  $n! = n \cdot (n - 1) \cdot \dots \cdot 2 \cdot 1, 0! = 1$
- implementation D: count downwards  
`factorial :: Integer -> Integer`  
`factorial 0 = 1`  
`factorial n = n * factorial (n - 1)`
  - in every recursive call the value of `n` is decreased
  - `factorial n` does not terminate if `n` is negative (hit Ctrl-C in ghci to stop computation)
- implementation U: count upwards, use accumulator (here: `r` stores accumulated (r)esult)  
`factorial :: Integer -> Integer`  
`factorial n = fact 1 1 where`  
`fact r i`  
 `| i <= n = fact (i * r) (i + 1)`  
 `| otherwise = r`
  - in every recursive call the value of `n - i` is decreased
  - implementation U is equivalent to imperative program (with local variables `r` and `i`)

## Example: Combined Recursion

- recursion on trees and numbers can be combined
- example: compute the  $n$ -th element of a list  
`nth :: [a] -> Int -> a`  
`nth (x : _) 0 = x -- indexing starts from 0`  
`nth (_ : xs) n = nth xs (n - 1) -- decrease of number and list-length`  
`nth _ _ = error "no nth-element"`
- example: take the first  $n$ -elements of a list  
`take :: Int -> [a] -> [a]`  
`take _ [] = []`  
`take n (x:xs)`  
 `| n <= 0 = []`  
 `| otherwise = x : take (n - 1) xs -- decrease of number and list-length`
- remarks
  - both `take` and  $n$ -th element (!!) are predefined
  - `drop` is predefined function that removes the first  $n$ -elements of a list
  - equality: `take n xs ++ drop n xs == xs`

## Example: Creating Ranges of Values

- task: given lower bound  $l$  and upper bound  $u$ , compute list of numbers  $[l, l + 1, \dots, u]$
- algorithm: increment  $l$  until  $l > u$  and always add  $l$  to front of list  
`range l u`  
 `| l <= u = l : range (l + 1) u`  
 `| otherwise = []`
- remark: (a generalized version of) `range l u` is predefined and written `[l .. u]`
- example: concise definition of factorial function
  - `factorial n = product [1 .. n]`  
where `product :: Num a => [a] -> a` computes the product of a list of numbers

## Summary

- type synonyms via `type`
- expressions with local definitions and case analysis
- recursion on numbers