

Constraint Solving

WS 2022/2023

LVA 703304

EXAM 1

February 3, 2023

1 (a) calculation + explanation

$$\varphi := \exists x. \exists y. \ (3x + 2y < 2 \land -x + 5y > 1)$$

gather y on one side

$$\equiv \exists x. \exists y. \ (2y < 2 - 3x \land 5y > 1 + x)$$

multiply to get 10y everywhere

$$\equiv \exists x. \exists y. \ (10y < 10 - 15x \land 10y > 2 + 2x)$$

switch to y'

$$\equiv \exists x. \exists y'. \ (y' < 10 - 15x \land y' > 2 + 2x \land 10|y')$$

elimination of y':  $B = \{2 + 2x\}, \delta = 10$ , left infinite projection simplifies to  $\perp$ 

$$\equiv \exists x. \bigvee_{j=1}^{10} \left(2 + 2x + j < 10 - 15x \land 2 + 2x + j > 2 + 2x \land 10|2 + 2x + j\right)$$

further simplification (not required)

$$\equiv \exists x. \bigvee_{j \in \{2,4,6,8,10\}} (17x + j < 8 \land 10|2 + 2x + j)$$

(b) *calculation* + *explanation* 

The formula can be written as

$$3x + 2y < 2$$
$$-x + 5y > 1$$

and the elimination of strict inequalities yields

 $3x + 2y \le 2 - \delta$  $-x + 5y \ge 1 + \delta$ 

So we get the following initial tableau and bounds and an initial assignment where everything becomes 0:

tableau	x	y	bounds	assignment	x	y	s	t
s	3	2	$s \leq 2-\delta$		0	0	0	0
t	-1	5	$t \geq 1+\delta$					

There is a violation for t. Both x and y are suitable, but Bland's rule will select x. Pivoting of t and x results in:

tableau	t	y	bounds	$\operatorname{assignment}$	x	y	s	t
s	-3	17	$s \leq 2-\delta$		0	0	0	0
x	-1	5	$t \geq 1+\delta$					

Updating the assignment  $t := 1 + \delta$  results in:

tableau	t	y	bounds	assignment	x	y	s	t
s	-3	17	$s \leq 2-\delta$		$-1-\delta$	0	$-3-3\delta$	$1+\delta$
x	-1	5	$t \geq 1+\delta$					

Since no bound is violated, no further iterations of the main loop are required.

2 (a) algorithm bb-mixed  $(\mathcal{V}_{\mathbb{Z}}, \mathcal{V}_{\mathbb{Q}}, \varphi)$ 

- $\bullet$  invoke simplex on  $\varphi$
- if the output is unsat, return unsat
- $\bullet$  otherwise, let v be the solution of simplex
- if  $v(x) \in \mathbb{Z}$  for all  $x \in \mathcal{V}_{\mathbb{Z}}$  then return solution v
- otherwise, choose some  $x \in \mathcal{V}_{\mathbb{Z}}$  such that  $c = v(x) \notin \mathbb{Z}$
- if  $bb\text{-}mixed(\mathcal{V}_{\mathbb{Z}}, \mathcal{V}_{\mathbb{Q}}, \varphi \cup \{x \leq \lfloor c \rfloor\})$  returns a solution v' then return v'
- otherwise, return  $bb-mixed(\mathcal{V}_{\mathbb{Z}}, \mathcal{V}_{\mathbb{Q}}, \varphi \cup \{x \geq \lceil c \rceil\})$

(b.i) upper bound

The small solution is obtain by starting in hull(H) and adding at most n vectors  $\vec{c_i}$  of C with coefficients  $\lambda_i < 1$ . Hence, an upper bound is  $(n+1) \cdot u$ .

(b.ii) answer and explanation

The same construction is still possible: one starts with an arbitrary solution  $\vec{x} \in \mathbb{Z}^k \times \mathbb{Q}^{n-k}$ , i.e.,  $\vec{x} = \vec{v} + \sum_{i=1}^n \lambda_i \vec{c_i}$  where  $\vec{v} \in hull(H)$  and each  $\vec{c_i} \in C$  and  $\lambda_i \ge 0$ . If all  $\lambda_i$  are below 1, then the small vector is obtained. Otherwise, there is some  $\lambda_i \ge 1$ . Then one can change  $\lambda_i$  to  $\lambda_i - 1$  and gets the solution  $\vec{x} - \vec{c_i}$  that is contained in  $\mathbb{Z}^k \times \mathbb{Q}^{n-k}$ , since C consists

can change  $\lambda_i$  to  $\lambda_i - 1$  and gets the solution  $\vec{x} - \vec{c_i}$  that is contained in  $\mathbb{Z}^k \times \mathbb{Q}^{n-k}$ , since C consists of integral vectors. Repeating this adaptation of the solution will finally result in the desired small solution.



 $\boxed{4} \quad (a) \ \ chosen \ theory + formula$ 

The obvious choice is LIA where each puzzle variable  $x_i$  is directly represented by an integer variable. The formula is

$$\underbrace{c = 1x_1 + \ldots + kx_k}_{\varphi_{eq}} \land \bigwedge_{i=1}^k (0 \le x_i \land x_i \le 7)$$

(b) chosen theory + formula

The next obvious choice is LRA where each puzzle variable  $x_i$  is represented by a rational variable. To avoid that rational values are taken, we replace the formula  $\varphi_{LIA}$  by  $\varphi_{LRA}$ .

$$\underbrace{\bigwedge_{i=1}^{k} (x_i = 0 \lor x_i = 1 \lor \ldots \lor x_i = 7)}_{\varphi_{LRA}}$$

(c) chosen theory + formula

Another obvious choice is bit-vector arithmetic where each puzzle variable  $x_i$  is represented by a bit-vector variable. In bit-vector arithmetic we have to specify which kind of encoding we want to use in comparisons (we want unsigned), so we replace the formula  $\varphi_{LIA}$  by  $\varphi_{BV}$ .

$$\underbrace{\bigwedge_{i=1}^{k} x_i \leq_u 7}_{\varphi_{BV}}$$

We also have to take care that no overflows can occur within  $\varphi_{eq}$ . Since the summation can take a value of at most  $1 \cdot 7 + 2 \cdot 7 + \ldots + k \cdot 7 = 7 \cdot k \cdot (k+1)/2 \leq 4(k+1)^2$ , it suffices to consider a bit-width of at least  $2 \cdot \lceil \log_2(4(k+1)) \rceil$ . Using only 3 bits (to represent numbers between 0 and 7 which covers digits 0 to 7) is not sufficient.

## (d) algorithm

Let  $\varphi(p)$  be the encoding for a single puzzle constraint, i.e., choose any of the encodings above. We first check satisfiability of the puzzle by invoking a SMT solver on  $\psi := \bigwedge_{i=1}^{n} \varphi(p_i)$ . If the  $\psi$  is not satisfiable then return "puzzle has no unique solution."

Otherwise, extract the solution, i.e., we get a concrete assignment  $x_1 = v_1, \ldots, x_m = v_m$  for digits  $v_1,\ldots,v_m.$ 

Next check satisfiability of  $\chi := \psi \land \neg(\bigwedge_{i=1}^{m} x_i = v_i)$ . If  $\chi$  is satisfiable return "puzzle has no unique solution." and otherwise return the unique solution  $x_1 = v_1, \dots, x_m = v_m.$ 

Question	Yes	No		
The Nelson–Oppen algorithm is a quantifier elimination algorithm for LRA.		Ø		
The congruence closure algorithm is used in the context of EUF.	Ø			
Difference logic constraints can be solved in polynomial time for both $\mathbb{Z}$ and $\mathbb{Q}$ .				
LRA, LIA and EUF are convex theories.				
Array Logic is decidable if both the index theory (including quantifiers) is decidable and the element theory is decidable.		Ø		